
Controlling Agile Robots with Formal Safety Guarantees

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Introduction

- The Federal Aviation Administration (FAA) is very concerned
- **Safety** with **autonomy** in the loop
 - Regulation, certification

Ideal goal: *Formally guarantee* that the robot will operate safely

- **Dynamics** are complicated and uncertain
- Cluttered (e.g., urban) **environments**



Introduction

- Challenges not restricted to unmanned aerial vehicles (UAVs)



Roadmap

1. Focus on high-speed unmanned aerial vehicle (UAV) flight
2. Sum-of-squares (SOS) programming-based algorithms for designing **feedback controllers with formal guarantees** on safety
3. **Real-time planning** in previously unseen environments
4. **Hardware experiments** on a small fixed-wing airplane flying through cluttered environments

General problem

Guarantee that UAV will fly through an environment without collisions **given no prior map**

- Assumptions:
 - Model of dynamics
 - Model of bounded uncertainty in dynamics
 - Obstacles reported in a finite sensor horizon



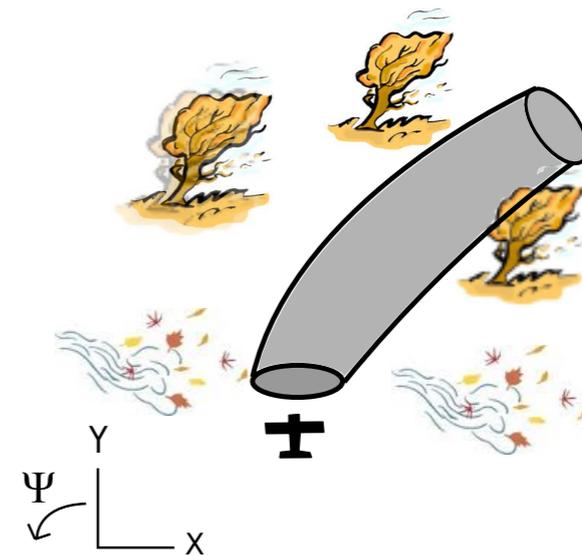
Important sub-problem

- How to plan a *single maneuver* such that the UAV is *guaranteed to be collision-free*?



Related work

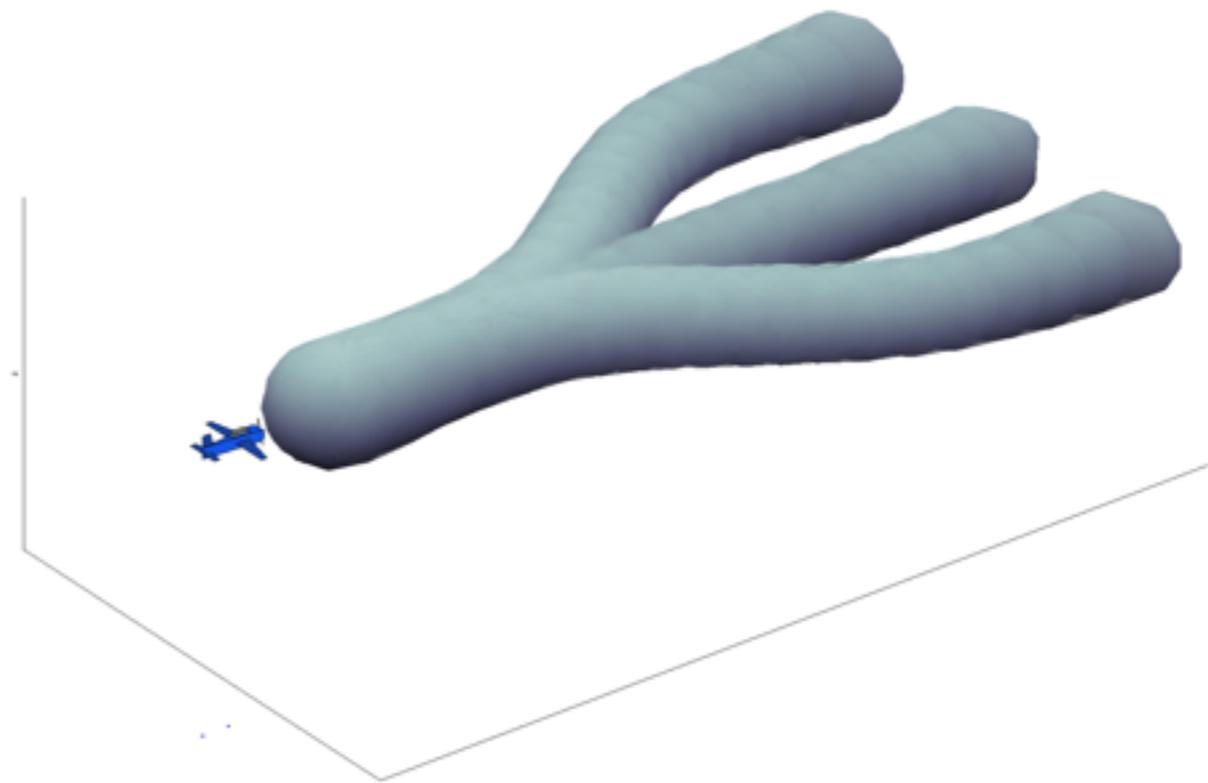
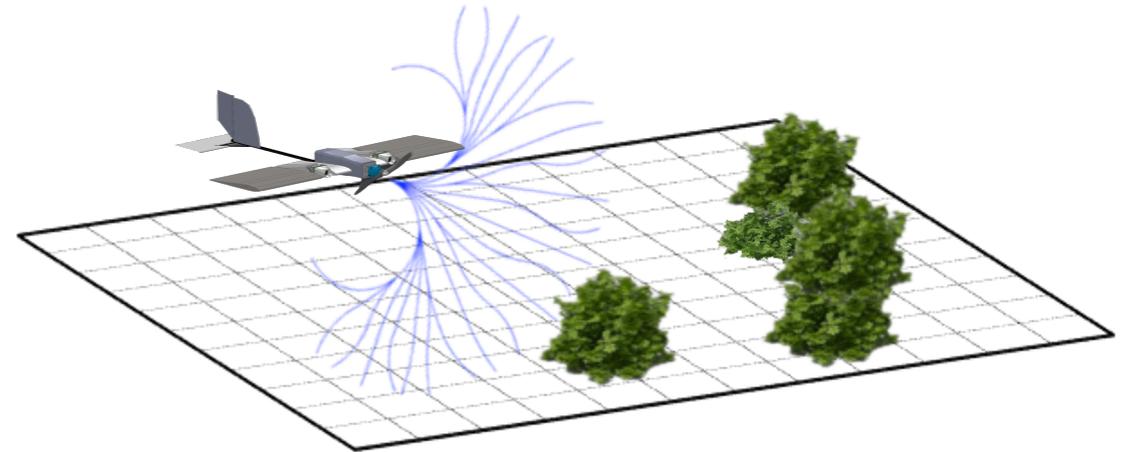
- **Robust kinematic motion planning**
 - [Brooks '82, Lozano-Perez '84, Jacobs '90, Latombe '90, Missiuro '06, Guibas '08, Malone '13, ...]
- **Planning under uncertainty**
 - [Feldman '77, Littman '95, Kaelbling '98, LaValle '98, Prentice '09, Candido'11, Patil '15 ...]
- Computing **reachable sets** using **Hamilton Jacobi Bellman (HJB) equation**
 - Linear systems + bounded uncertainty
[Kurzhanski '01, Girard '05, ...]
 - Nonlinear systems + bounded uncertainty
[Tomlin '03, Mitchell '05, Gillula '10, ...]



Approach

Offline computation:

- Compute **trajectory library** [Stolle '06, Frazzoli '01]
- Widely used: [Stentz '07, Liu '13, Barry '16...]
- Compute **feedback controllers**
- Compute **“funnels”** around each maneuver that the airplane is ***guaranteed*** to remain in

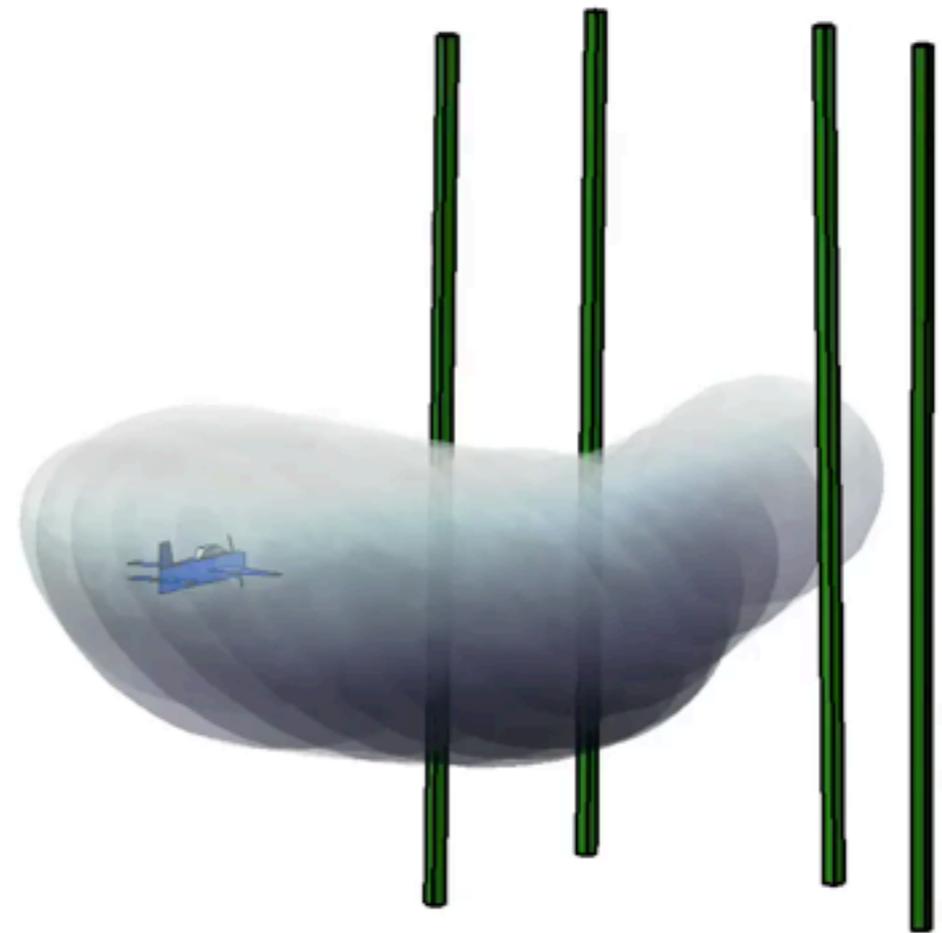


Approach

Online computation:

- Search through library to find a collision free funnel

This *guarantees* that the robot will remain collision free



Offline Computation

Funnels

- Control system:

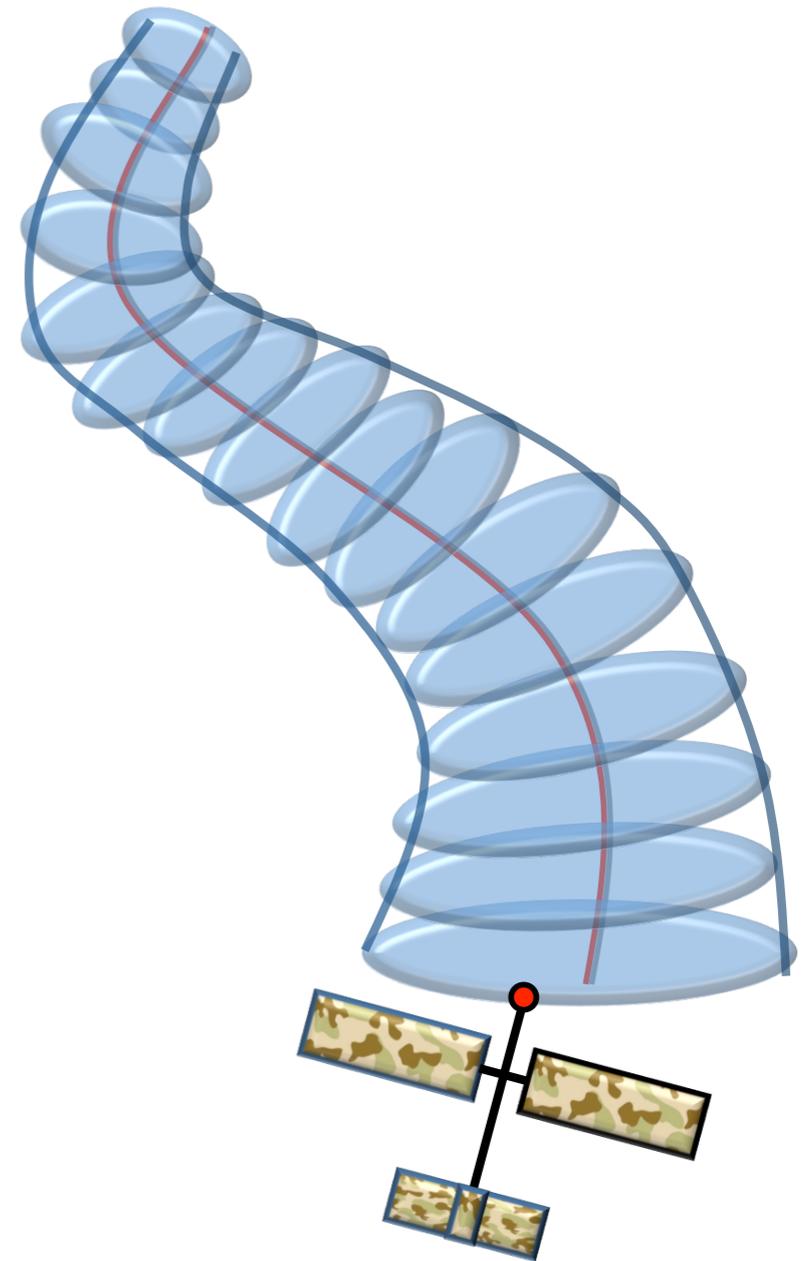
$$\dot{\mathbf{x}} = f(\mathbf{x}(t), \mathbf{w}(t), \mathbf{u}(t)), \quad \mathbf{u}(t) \in \mathbb{R}^m$$

$\mathbf{x}(t) \in \mathbb{R}^n$: state

$\mathbf{w}(t) \in \mathbb{R}^d$: disturbance

$\mathbf{u}(t) \in \mathbb{R}^m$: control input

- Design feedback controller that **minimizes size of funnel**



Funnel

- Funnel is represented as a time-varying sub-level set:

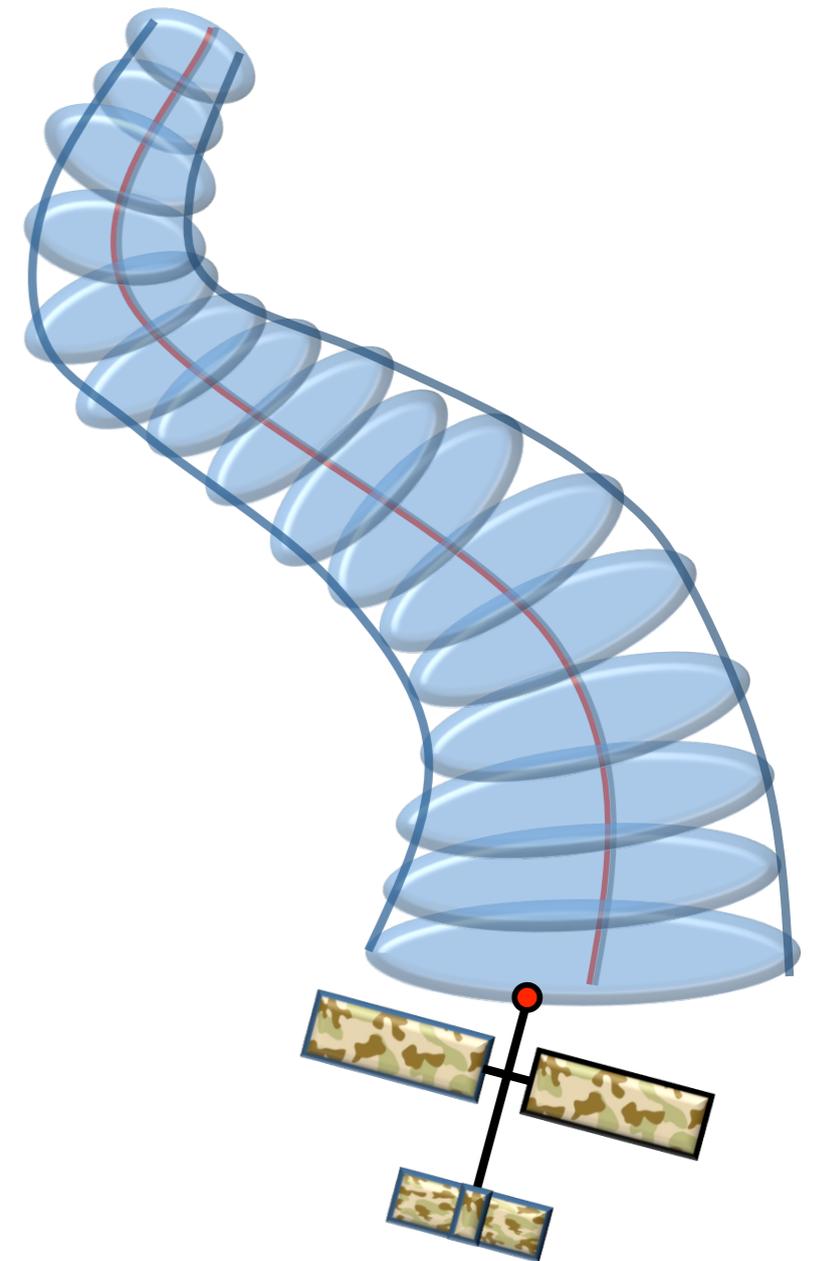
$$\mathcal{F}(t) = \{\mathbf{x}(t) \in \mathbb{R}^n \mid V(t, \mathbf{x}(t)) \leq \rho(t)\}$$

- Guarantees *invariance*:

$$\mathbf{x}(0) \in \mathcal{F}(0) \implies \mathbf{x}(t) \in \mathcal{F}(t), \forall t \in [0, T]$$

- Lyapunov condition [Tedrake '09]:

$$V(t, \mathbf{x}) = \rho(t) \implies \dot{V}(t, \mathbf{x}) < \dot{\rho}(t), \forall t \in [0, T]$$



Robust Funnel

- Dynamics subject to bounded uncertainty:

$$\dot{\mathbf{x}}(t) = f(\mathbf{x}(t), \mathbf{w}(t)), \quad \mathbf{w}(t) \in \mathcal{W}$$

- Can guarantee **invariance despite bounded uncertainty**:

$$\mathbf{x}(0) \in \mathcal{F}(0) \implies \mathbf{x}(t) \in \mathcal{F}(t), \forall \mathbf{w} : [0, T] \rightarrow \mathcal{W}$$

- Lyapunov condition:

$$V(t, \mathbf{x}) = \rho(t) \implies \dot{V}(t, \mathbf{x}, \mathbf{w}) < \dot{\rho}(t), \forall \mathbf{w} \in \mathcal{W}$$

Implementation using SOS Programming

$$V(t, \mathbf{x}) = \rho(t) \implies \dot{V}(t, \mathbf{x}, \mathbf{w}) < \dot{\rho}(t), \forall \mathbf{w} \in \mathcal{W}$$

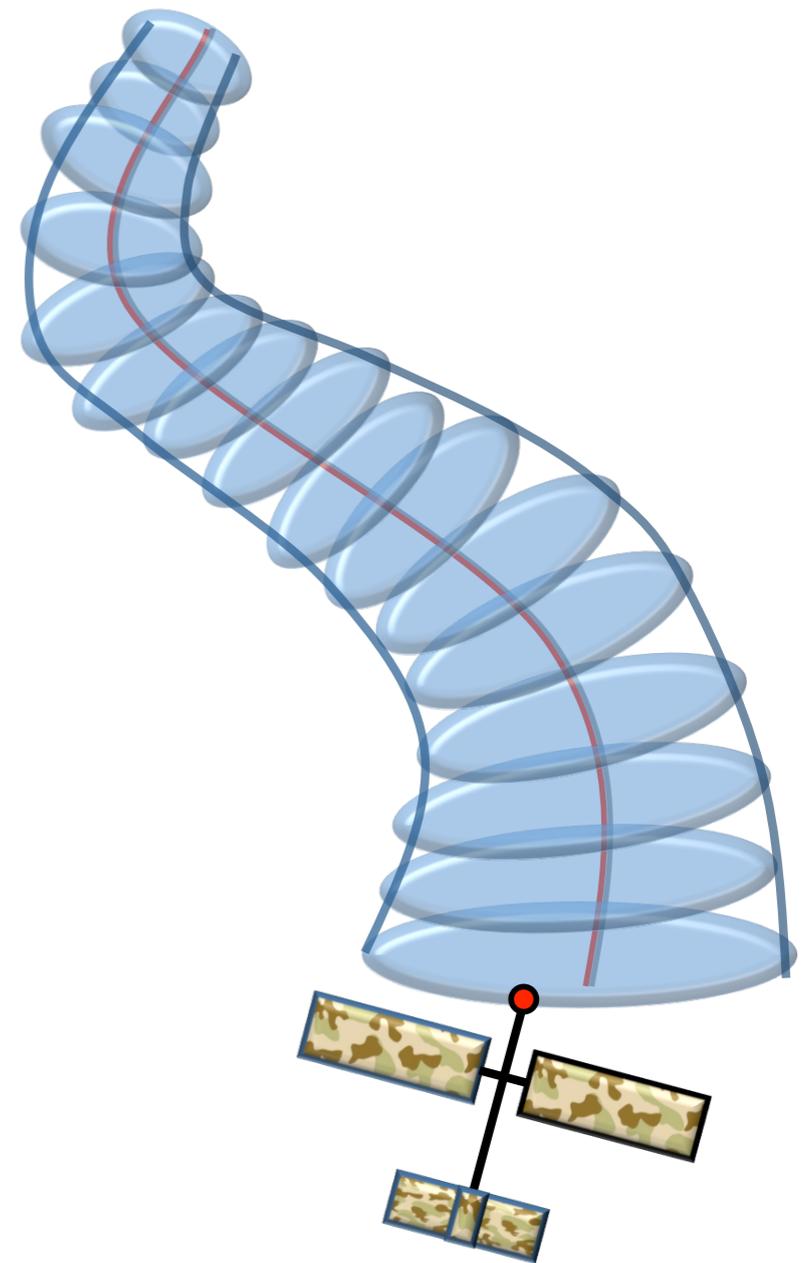
- Approximate dynamics with polynomials
- Impose Lyapunov conditions for funnels using Sum-of-Squares (SOS) programming
- SOS programs for UAV: **12 variables** (typically **degree 4** SOS constraints)

Feedback control synthesis

- Control system:

$$\dot{\mathbf{x}} = f(\mathbf{x}(t), \mathbf{w}(t), \mathbf{u}(t)), \quad \mathbf{u}(t) \in \mathbb{R}^m$$

- Can design controller that explicitly **minimizes size of funnel**
- **Alternate** between search for Lyapunov function and controller



Hardware validation

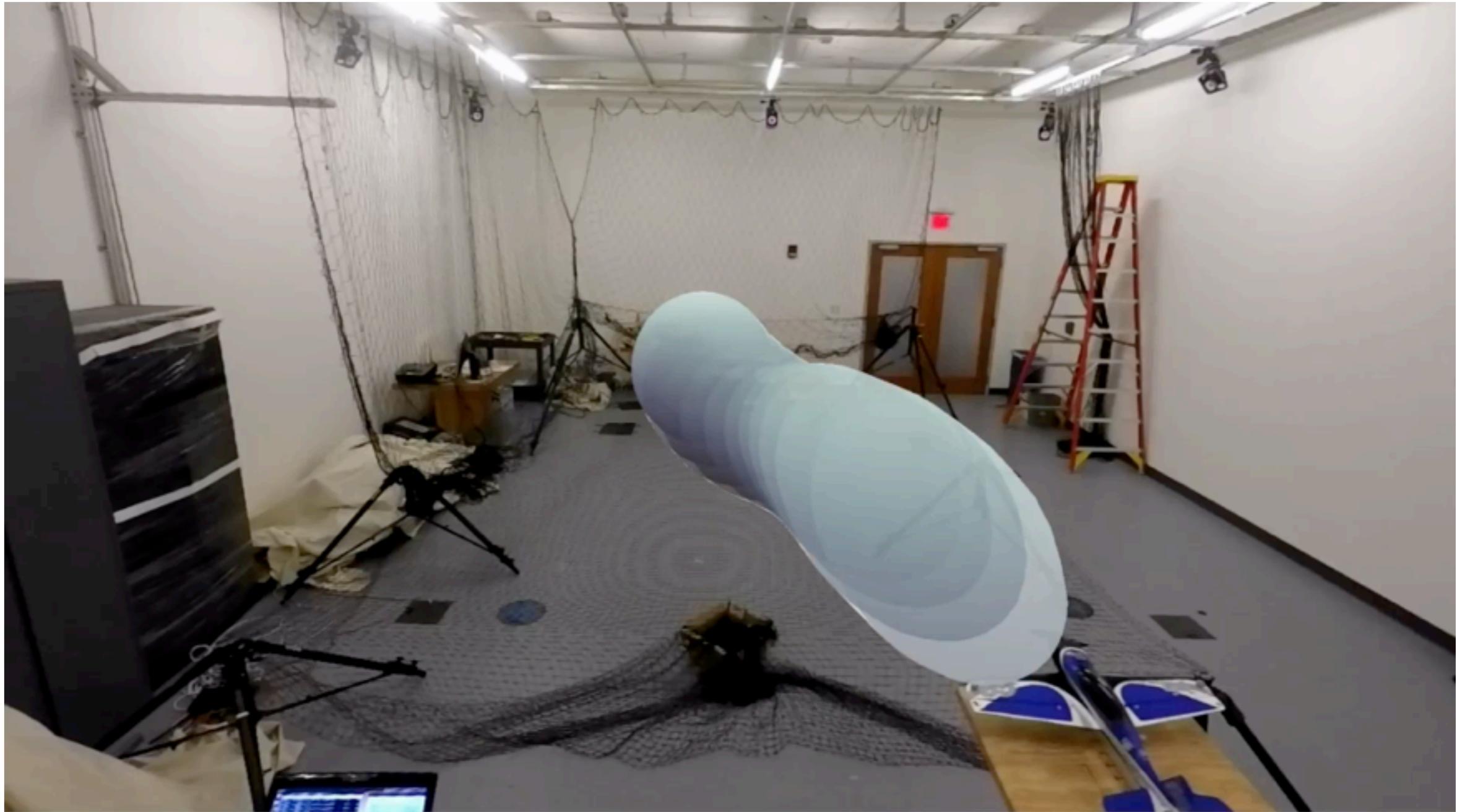
Goal: Demonstrate that guarantees from funnel are valid on real hardware system

Hardware validation on fixed-wing airplane

- SBach:
 - Small acrobatic RC airplane
 - **System identification: accurate 12 state dynamic model**
 - Rigid-body subject to aerodynamic forces
 - Lift/drag coefficients: flat-plate model + correction
 - Model refined using data from flights
 - Parametric uncertainty: decreased as model improved
- Experiments are in motion capture arena
- Computation is off-board

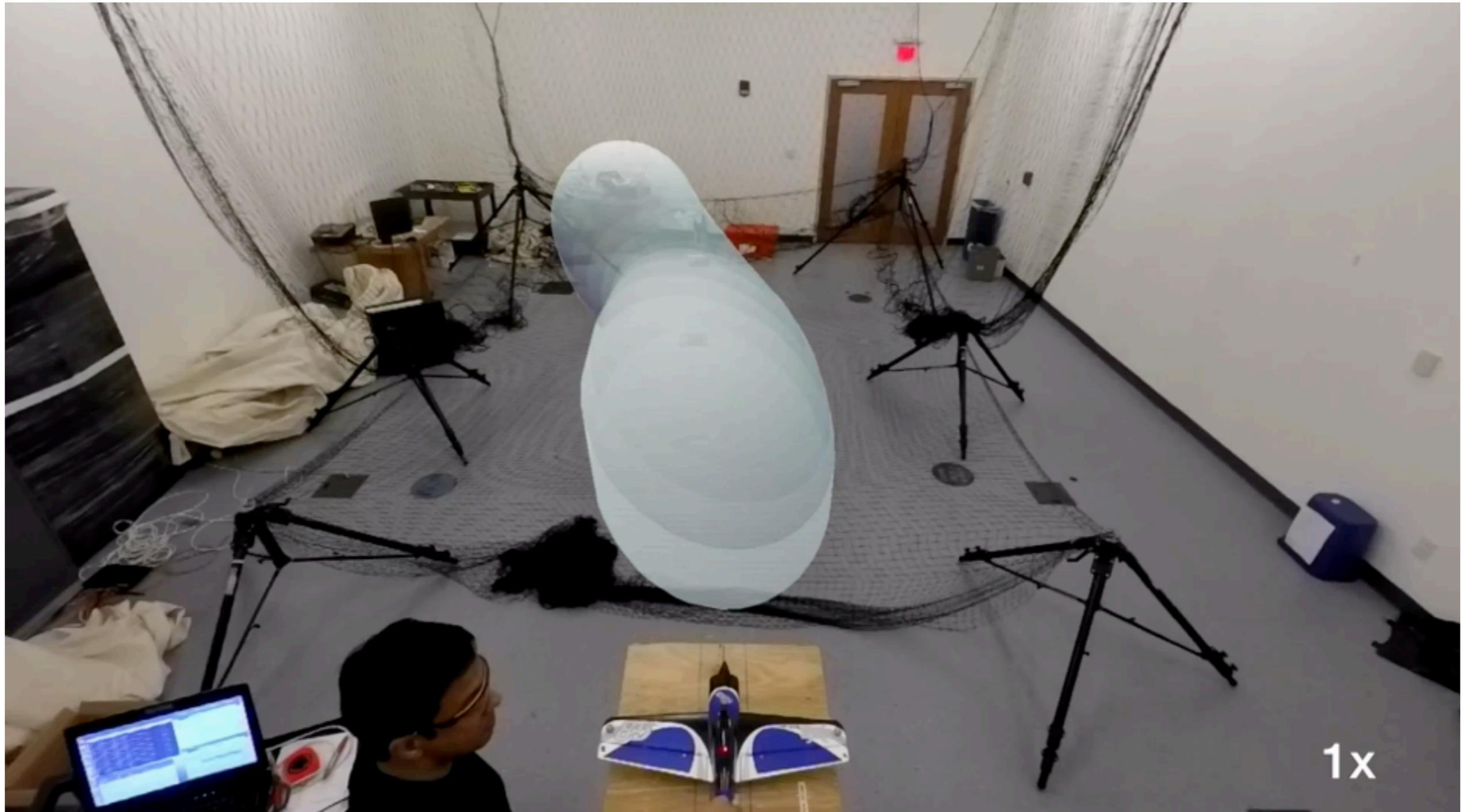


Funnel



Computation time: ~30 mins

Flying through funnel

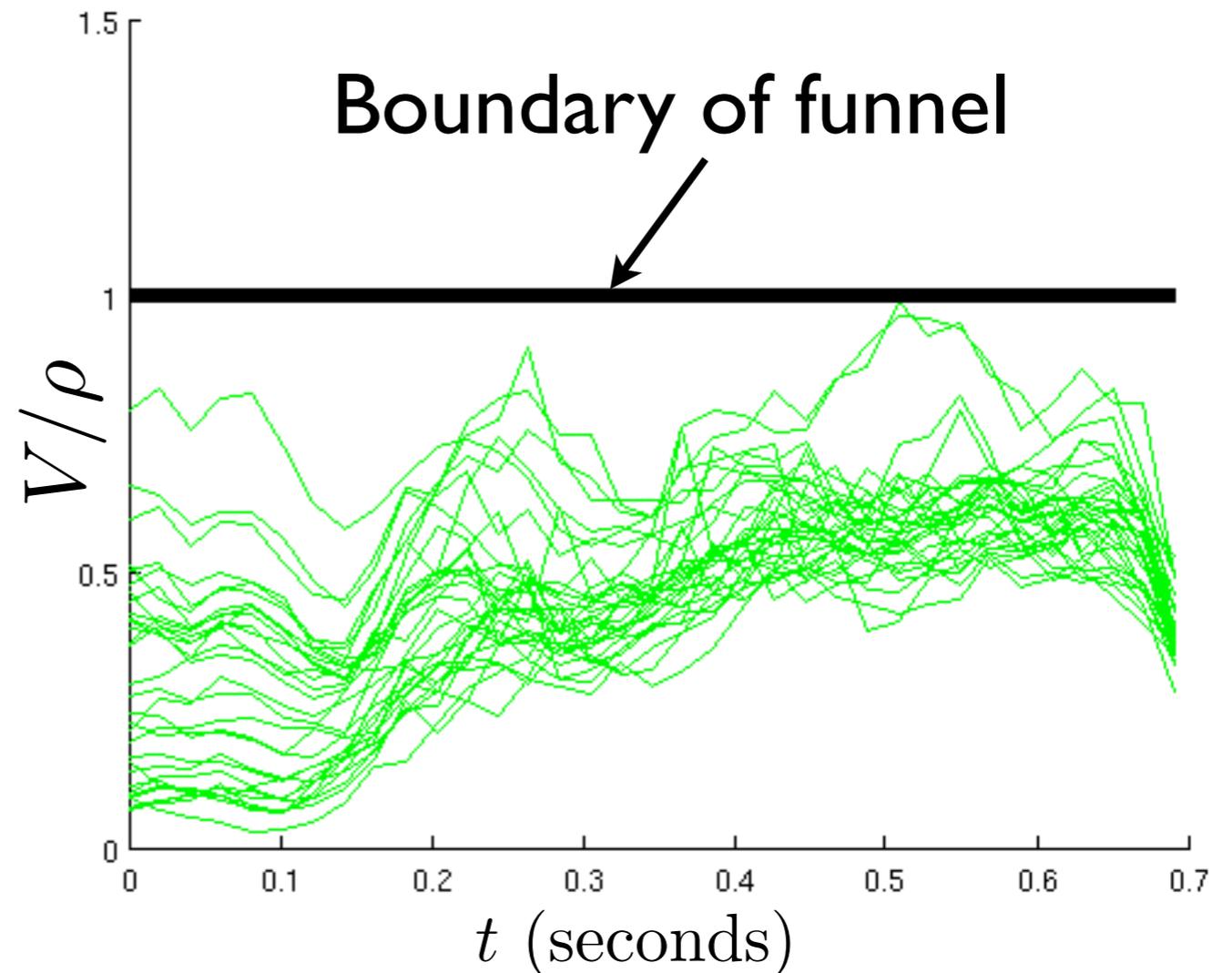


Experimental results

- **Funnel is valid for hardware experiments**
- **30 flights**
 - Different initial conditions in inlet of funnel
- ***All trajectories* remain within the 12 dimensional funnel**

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Online Computation

Online computation

- How to deal with obstacles reported by sensors at runtime?



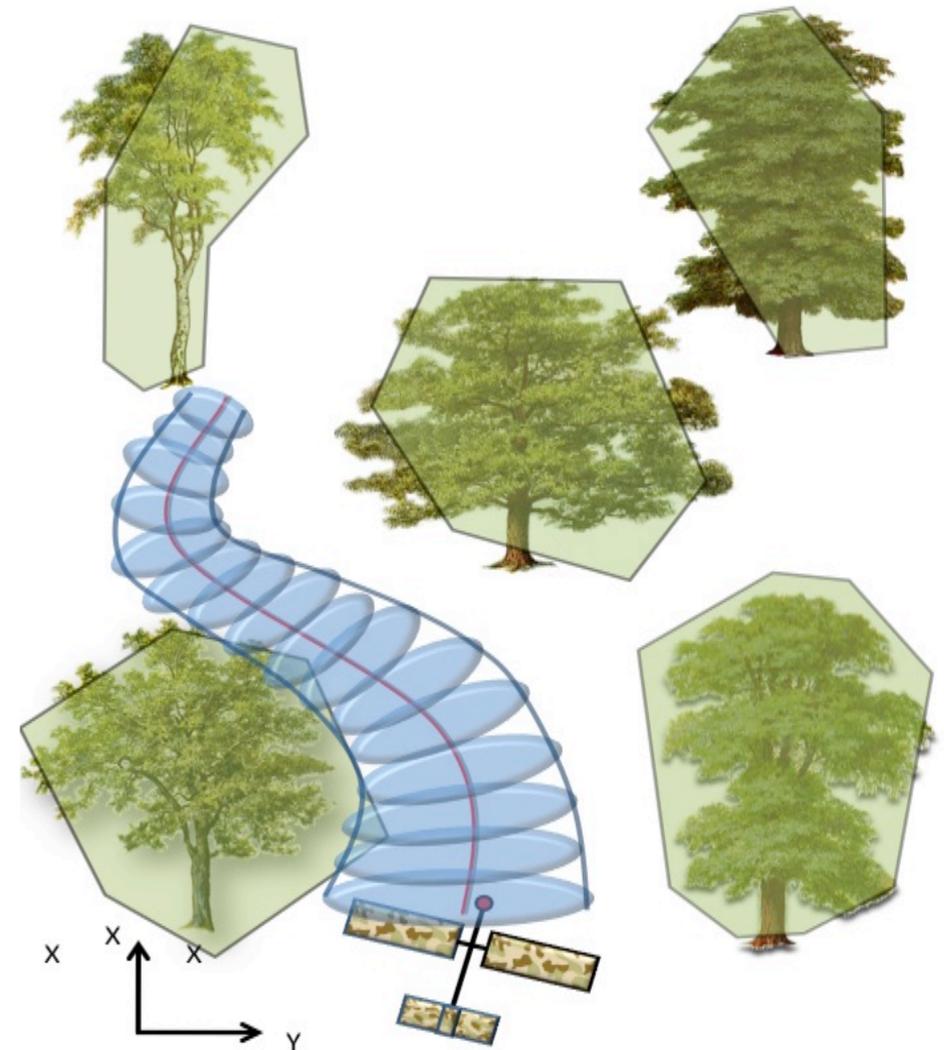
Real-time planning with funnels

- Search through pre-computed library of funnels
- Find one whose *projection* is collision free
- This is a purely geometric problem
- Leverage mature collision libraries (e.g., Bullet)



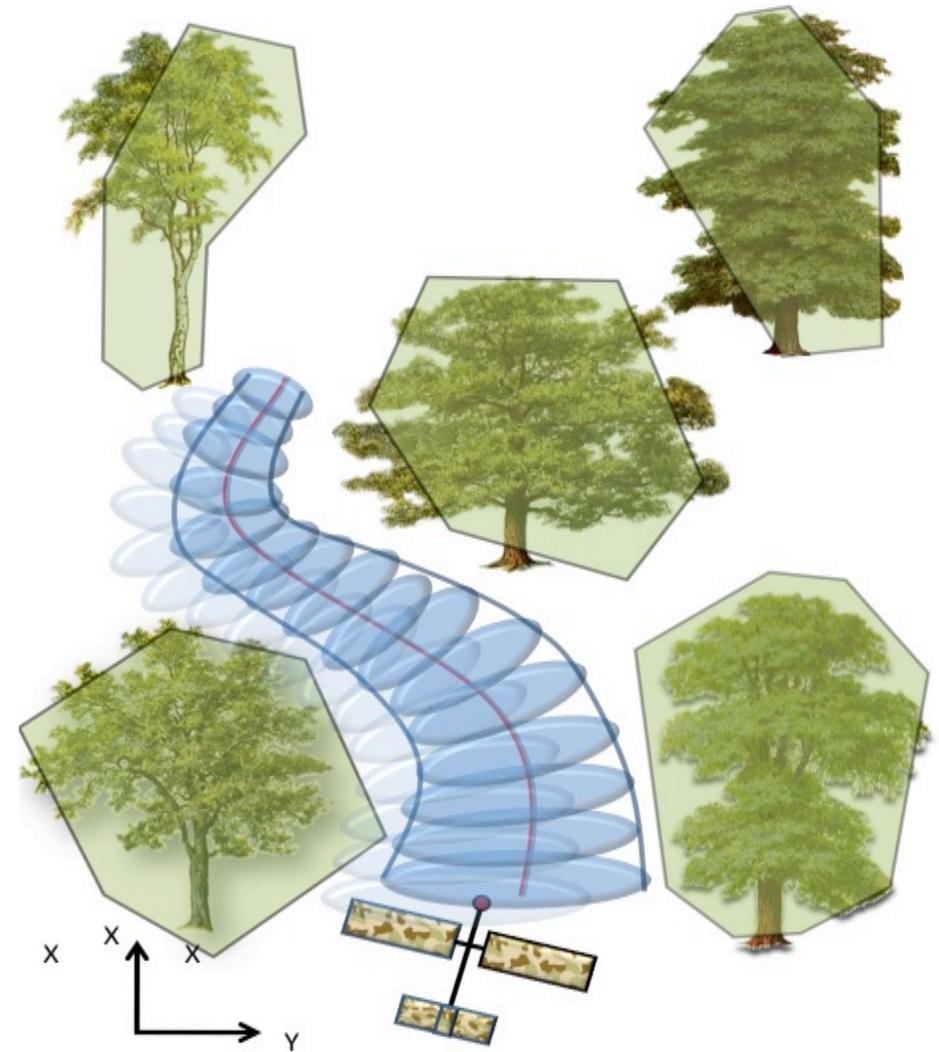
Exploiting invariances in dynamics

- What if we cannot find a collision-free funnel?
- Idea: Exploit invariances in dynamics
 - e.g., shift invariance
- Shifting a funnel results in a valid funnel
- Shift funnel while maintaining current state in “inlet”



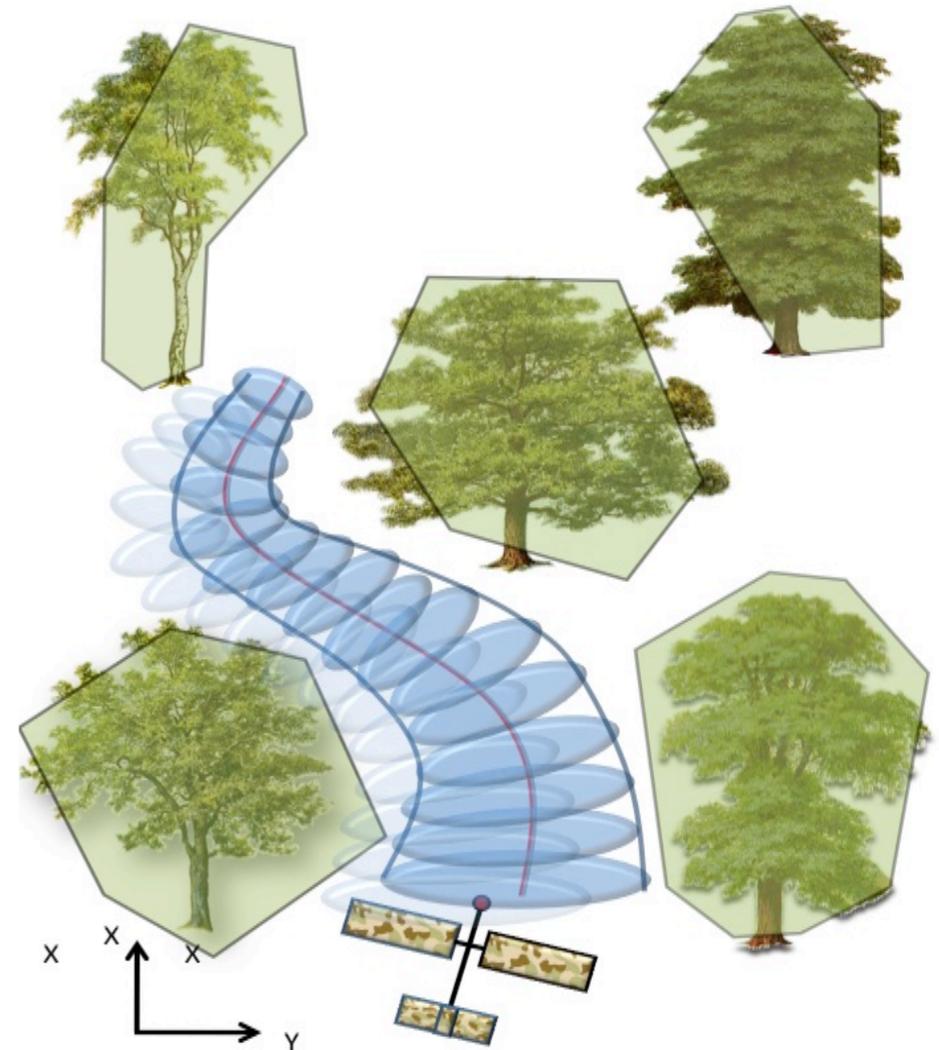
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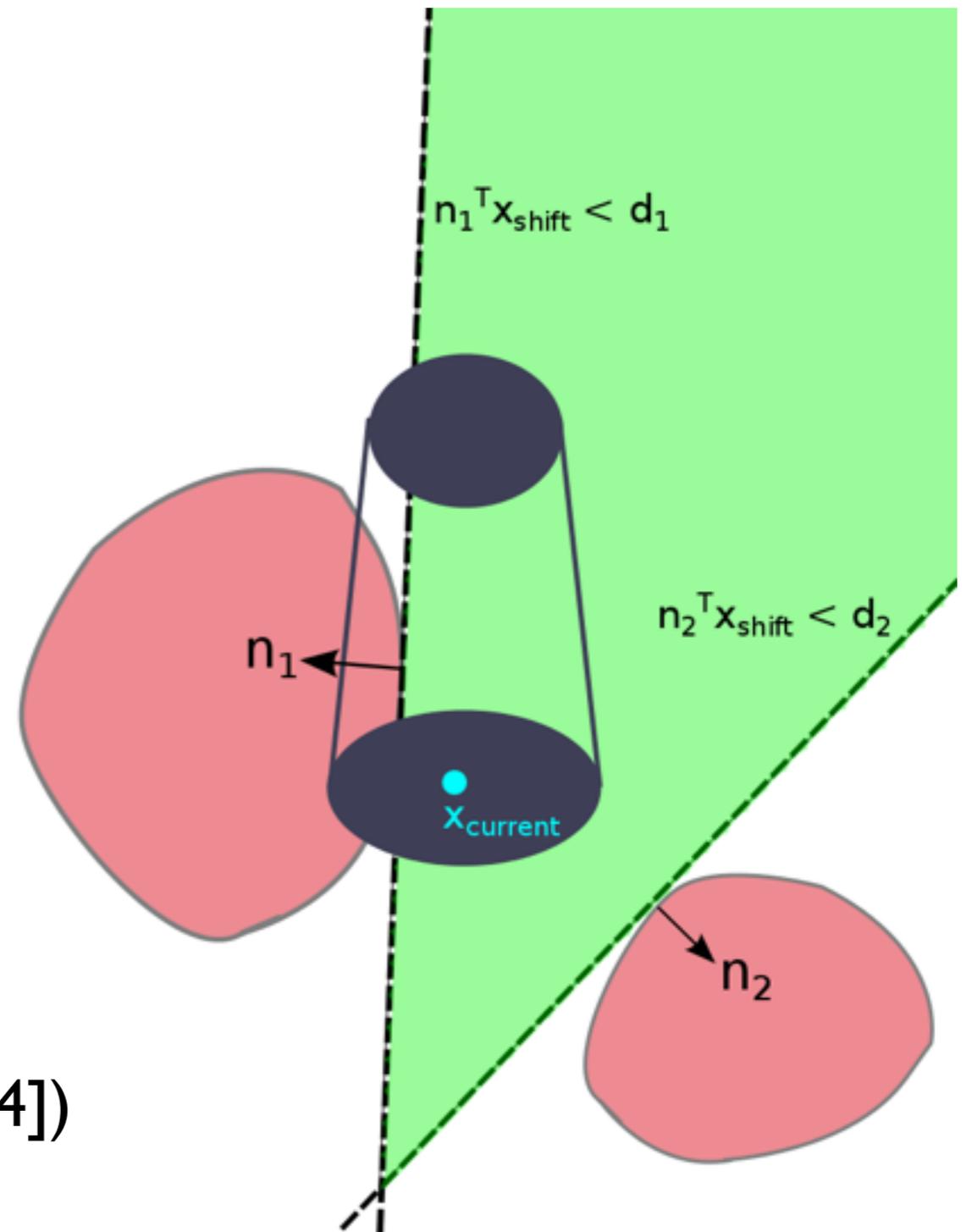
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Can handle using a convex Quadratically Constrained Quadratic Program

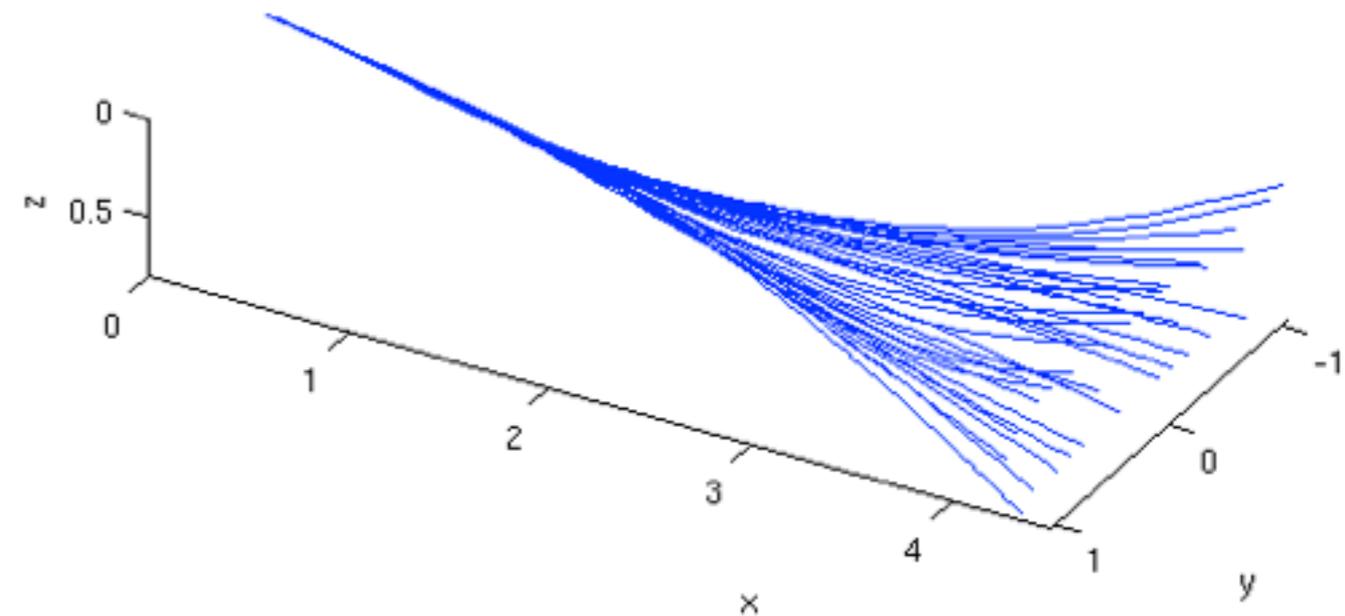
Exploiting invariances in dynamics

- For each segment of funnel, find collision normal and distance to each obstacle
- This defines a separating hyperplane to each obstacle
- These are **linear constraints**
- Containment of current state in inlet of funnel (**convex quadratic constraint**)
- **Convex QCQP!**
- Extremely fast software based on code generation (e.g., ForcesPro [Domahidi '14])

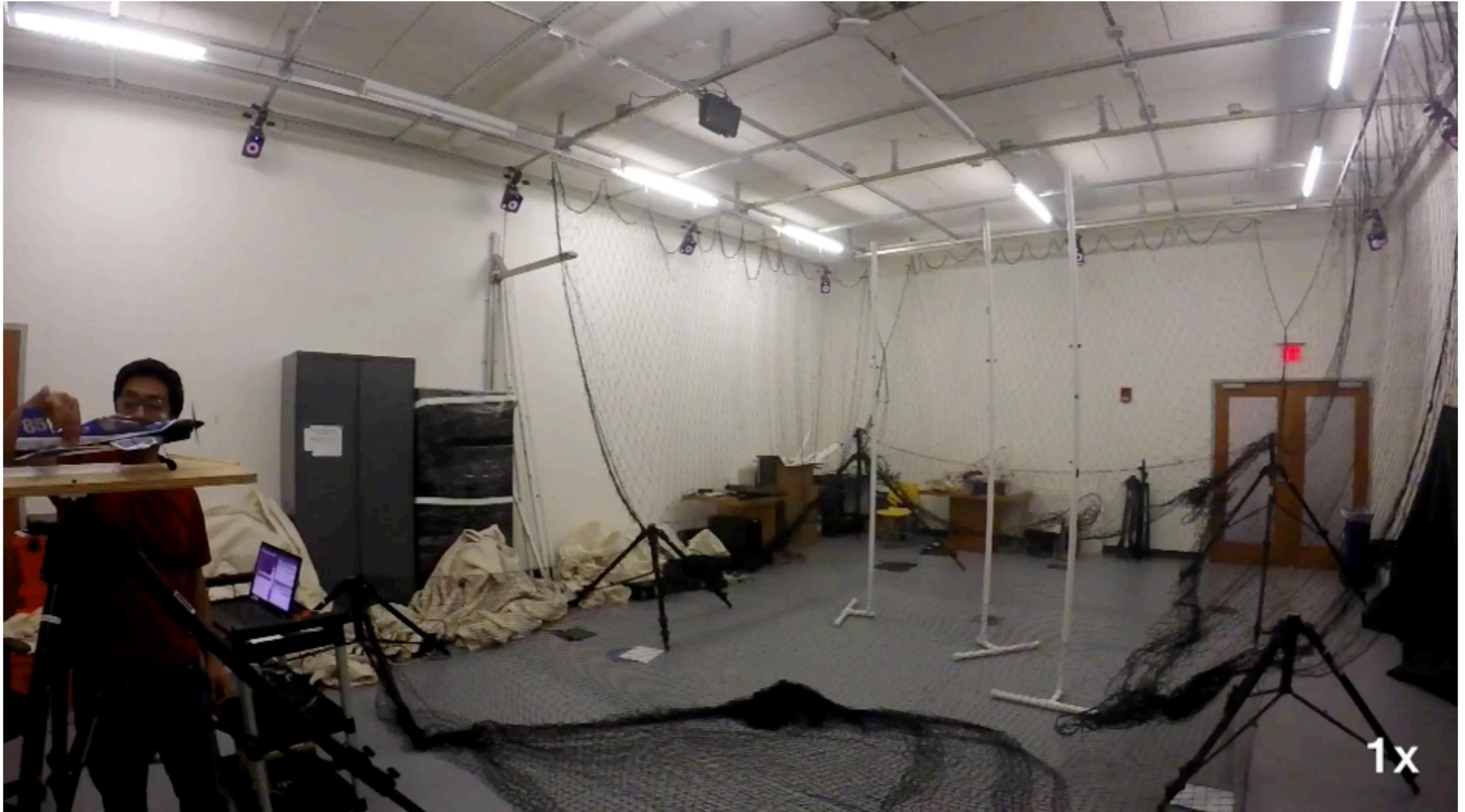


Hardware experiments

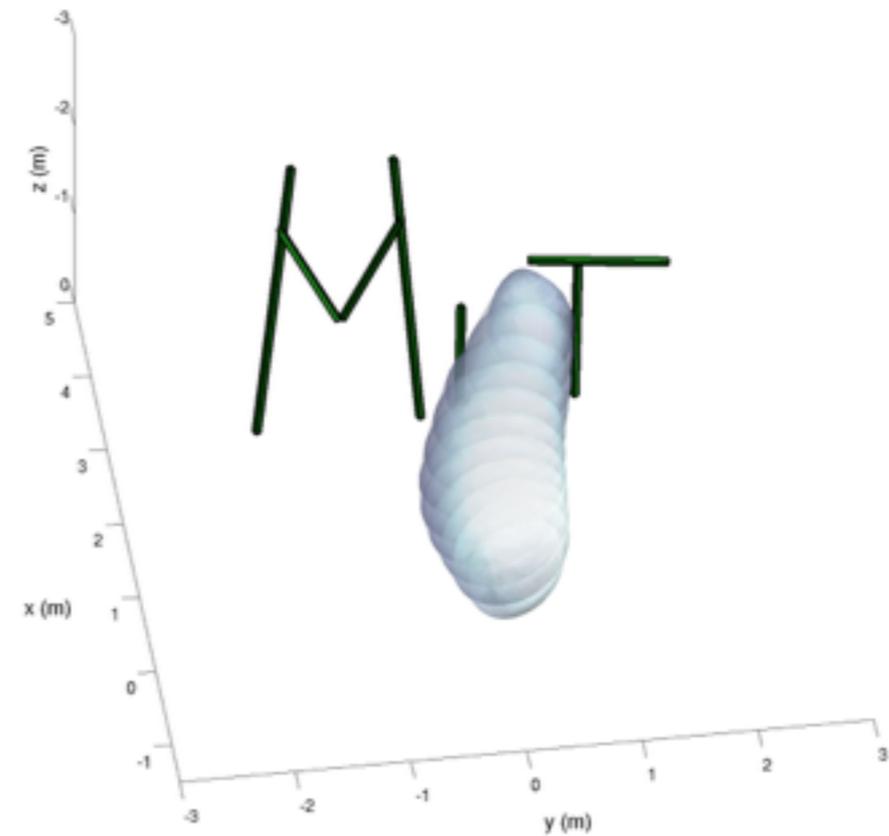
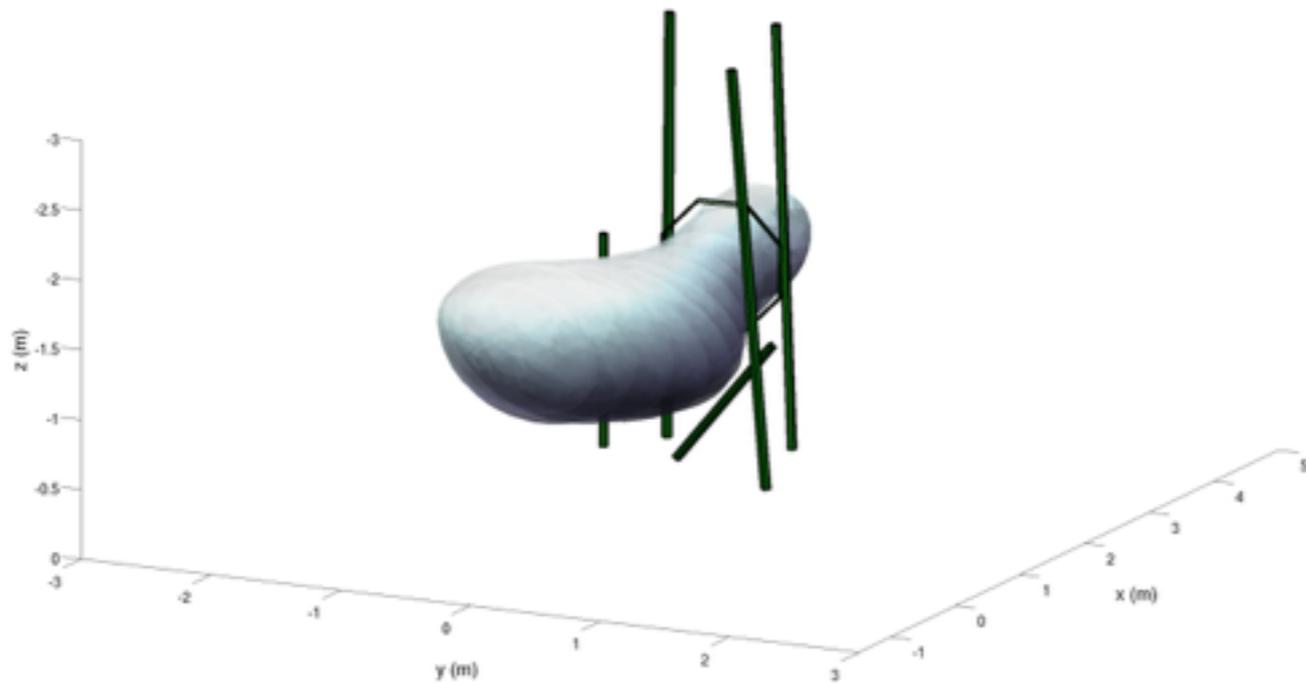
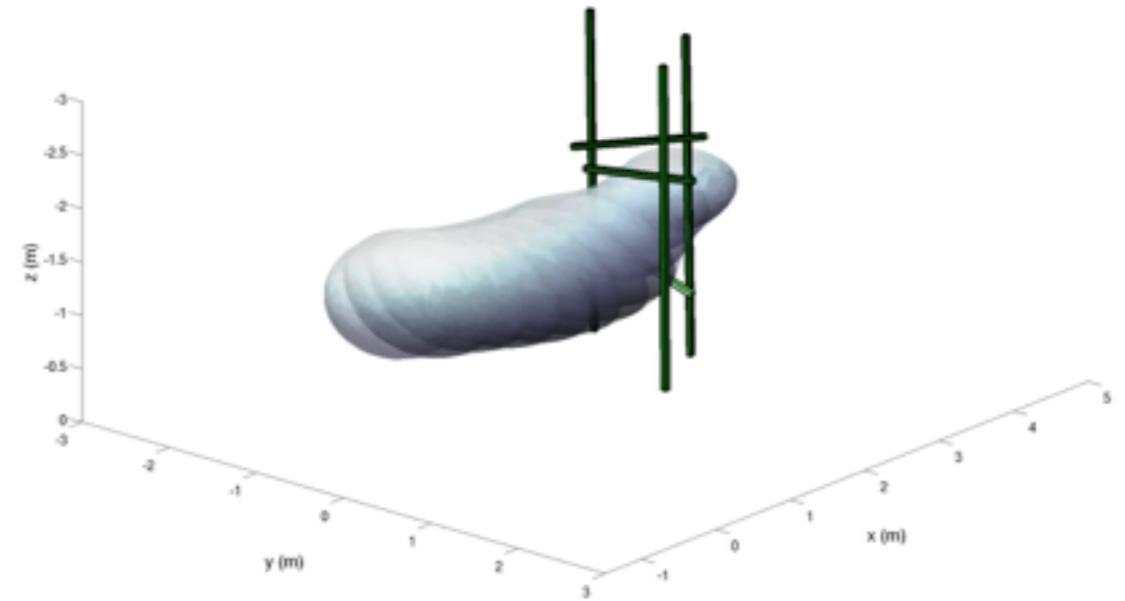
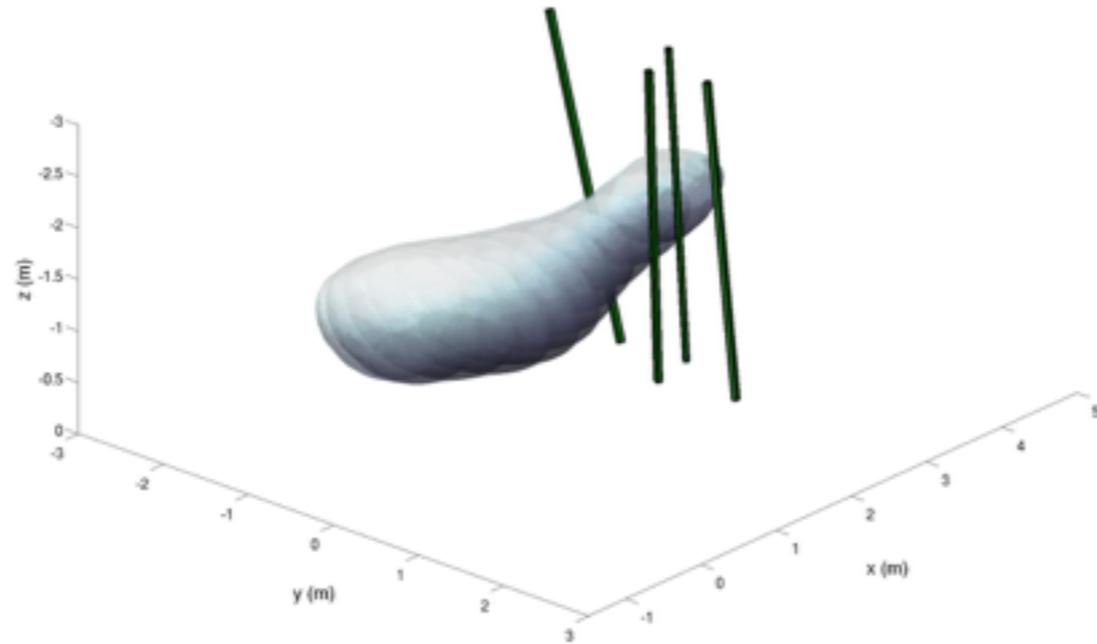
- 40 pre-computed funnels
- Planner is informed obstacle locations when airplane clears launcher



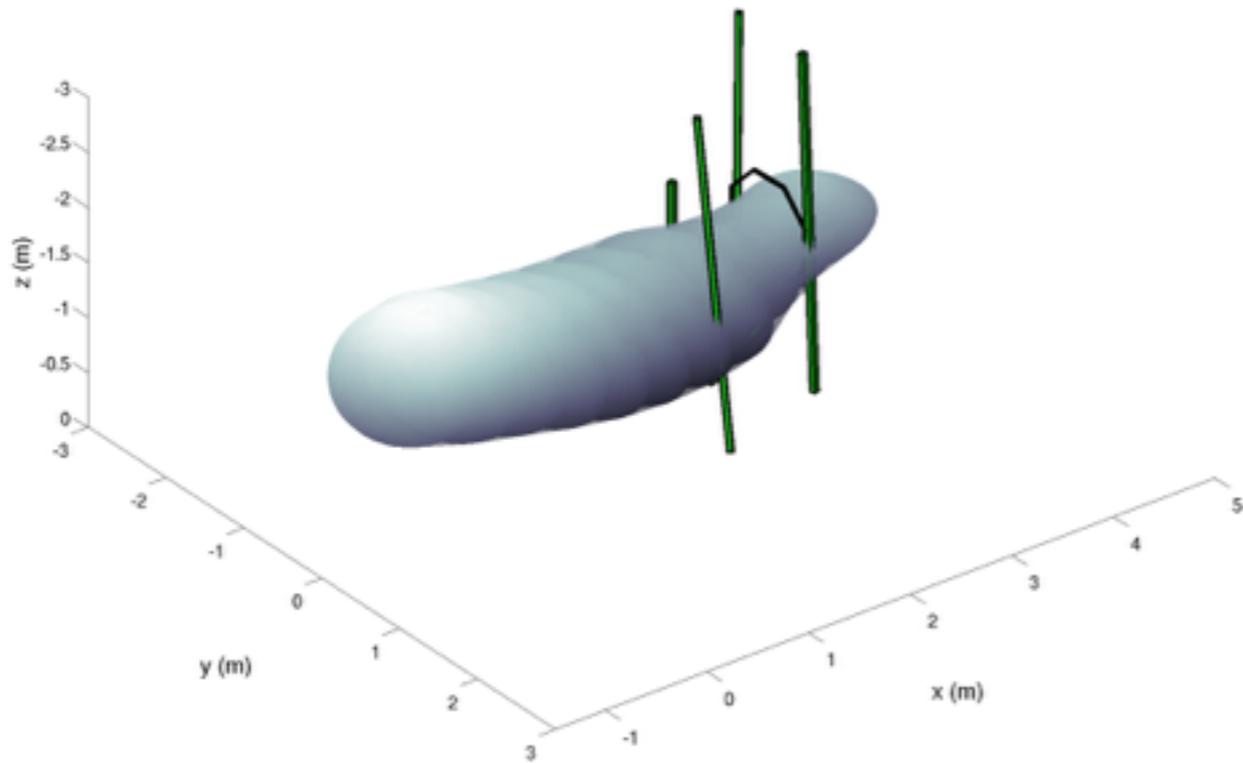
Results



Examples of planned funnels

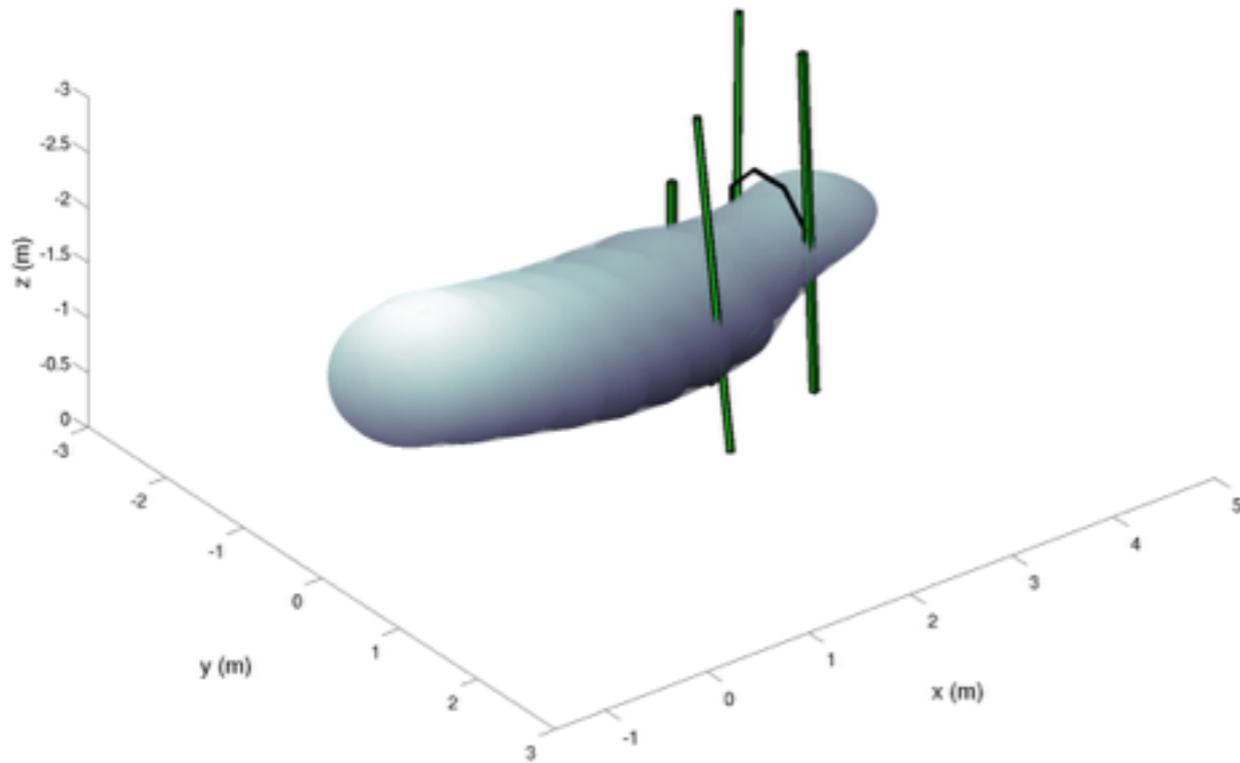


Importance of exploiting invariances

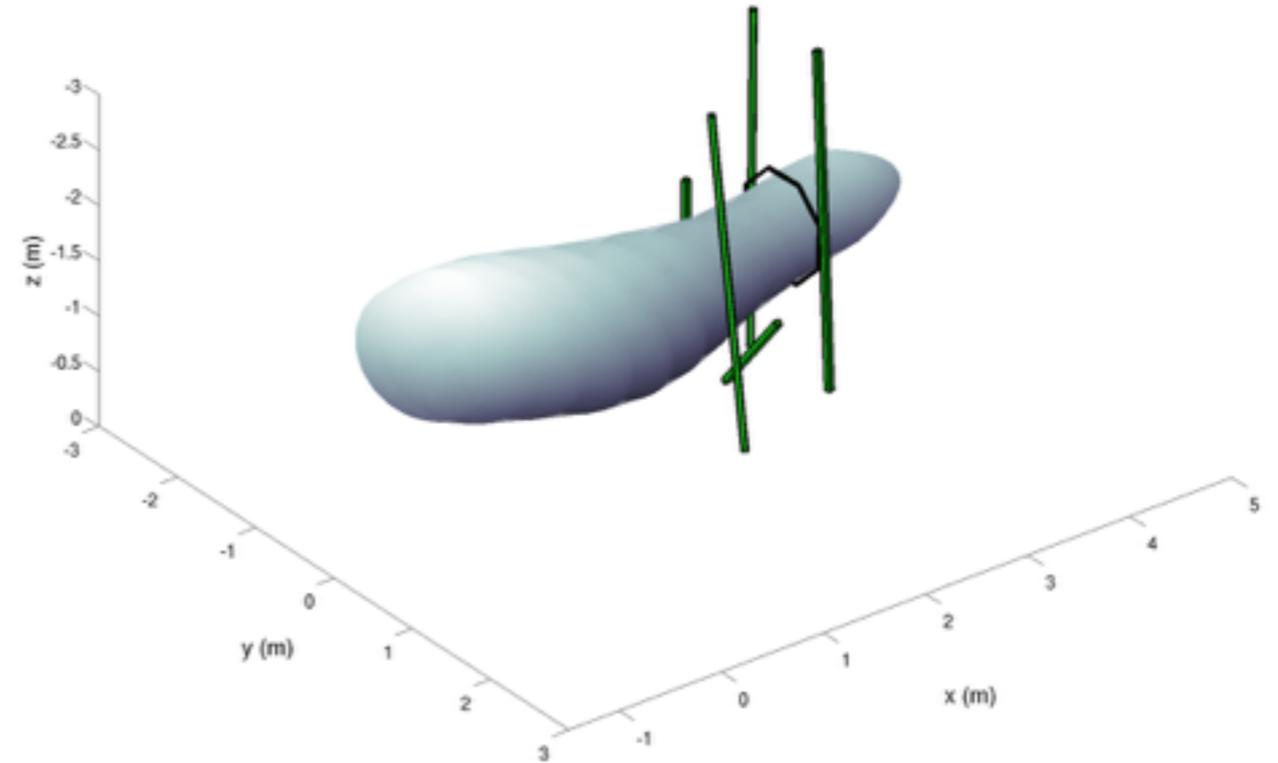


Best funnel with no shifting

Importance of exploiting invariances



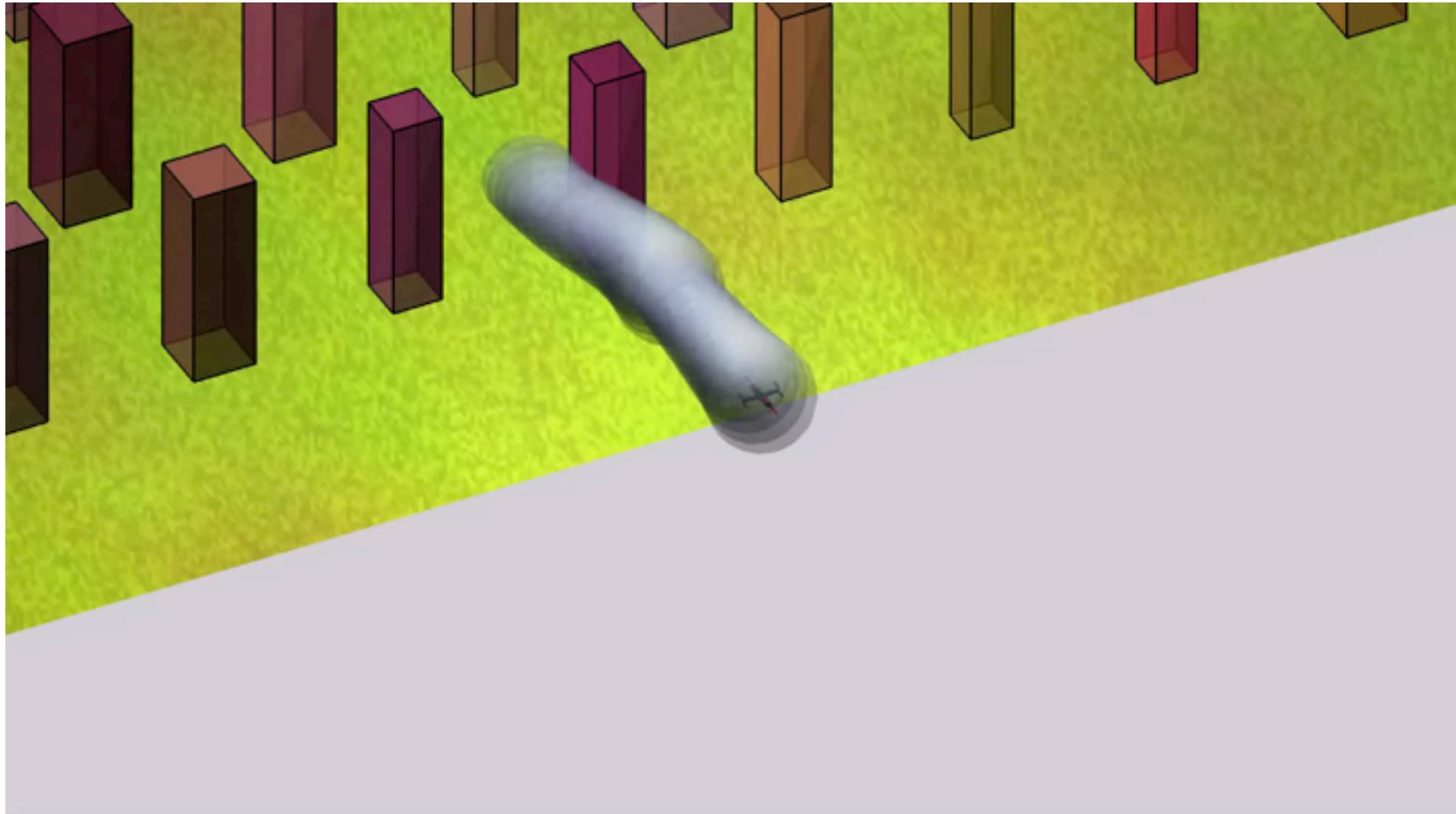
Best funnel with no shifting



Best funnel with shifting

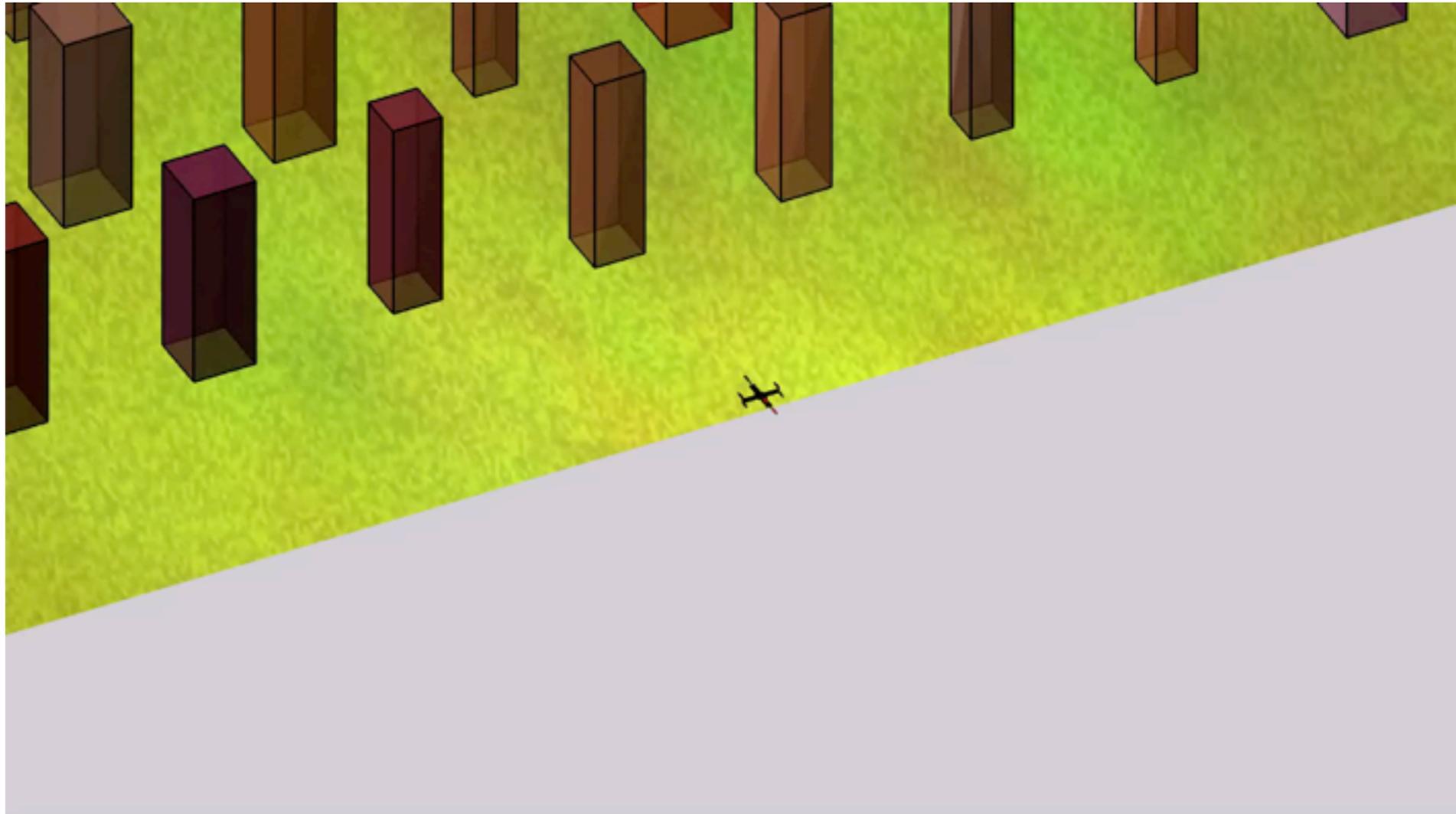
Flying continuously

Receding horizon planning



- Quadrotor: 12 states, 4 control inputs
- Uncertain “cross-wind”

Receding horizon planning



Constraints on environment guarantee that collision-free funnels will always be found

Robust real-time planning using contraction theory

**Joint work with Sumeet Singh (Stanford),
Marco Pavone (Stanford), Jean-Jacques Slotine (MIT)**

Do we need a fixed library of funnels?

- **Ideal goal:** Generate a funnel around any nominal trajectory
- Need a notion of invariance that is independent of a specific trajectory

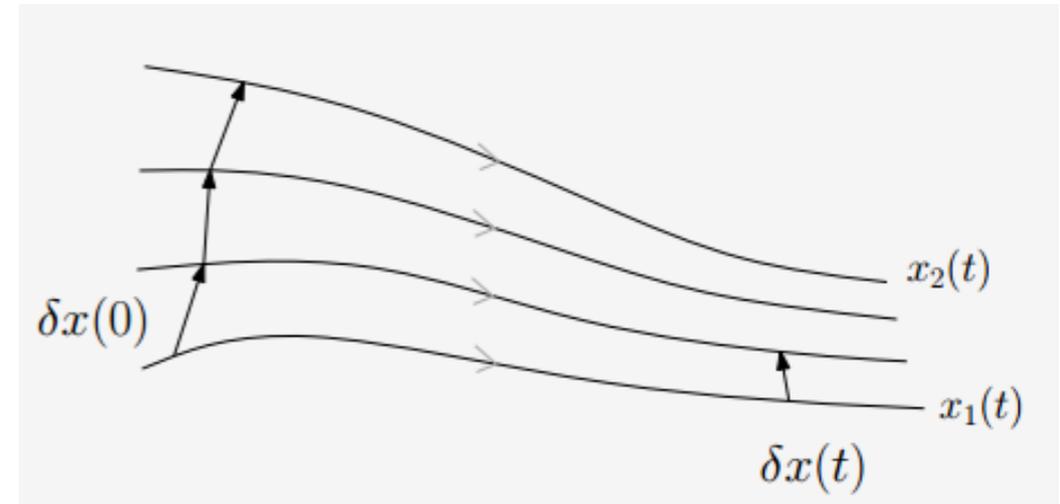
- Incremental exponential stability (IES):

$$\|x^*(t) - x(t)\| \leq C e^{-\lambda t} \|x^*(0) - x(0)\|$$

- **Goal:** design a tracking feedback controller that can be applied to *any* feasible trajectory and make it IES

Contraction Theory

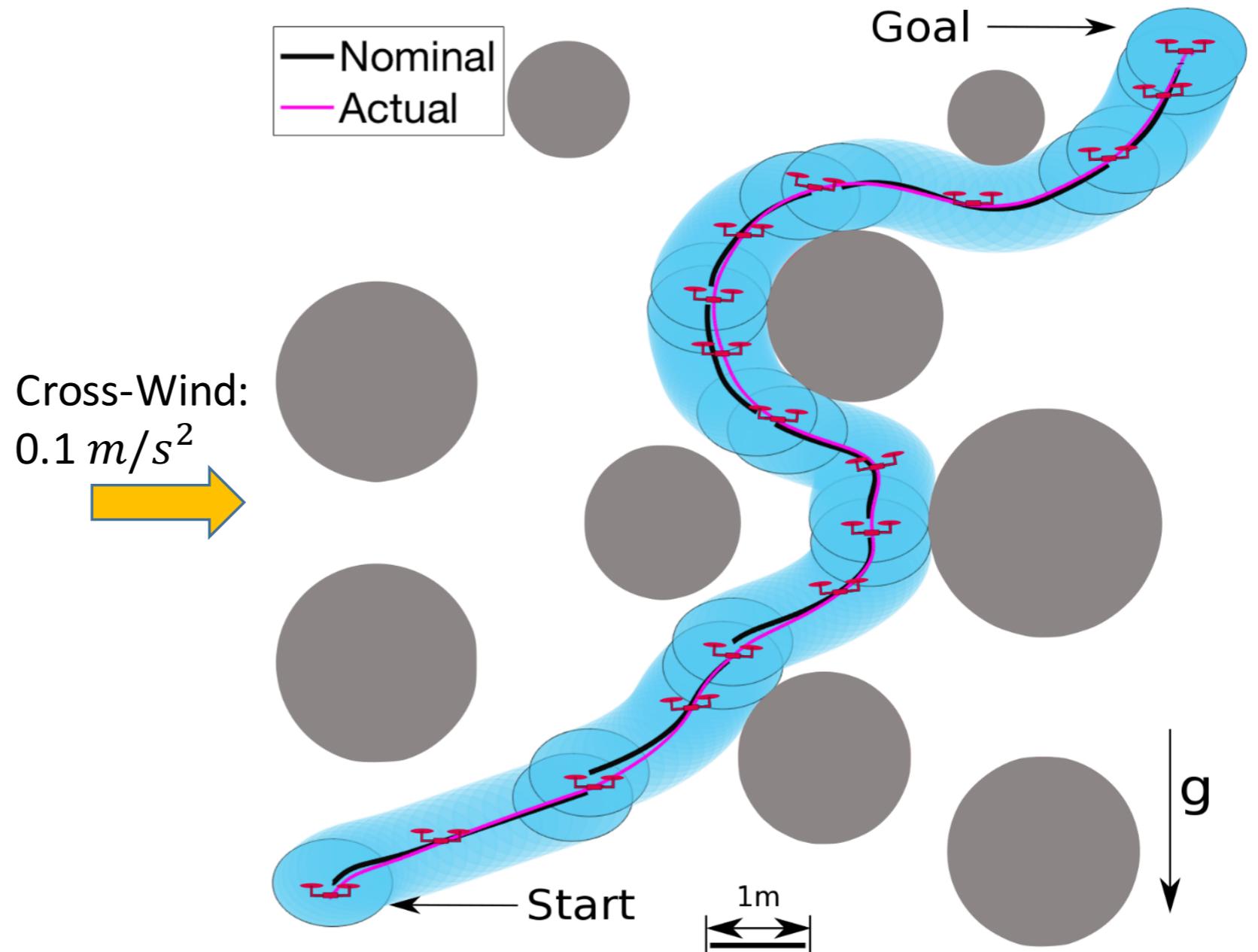
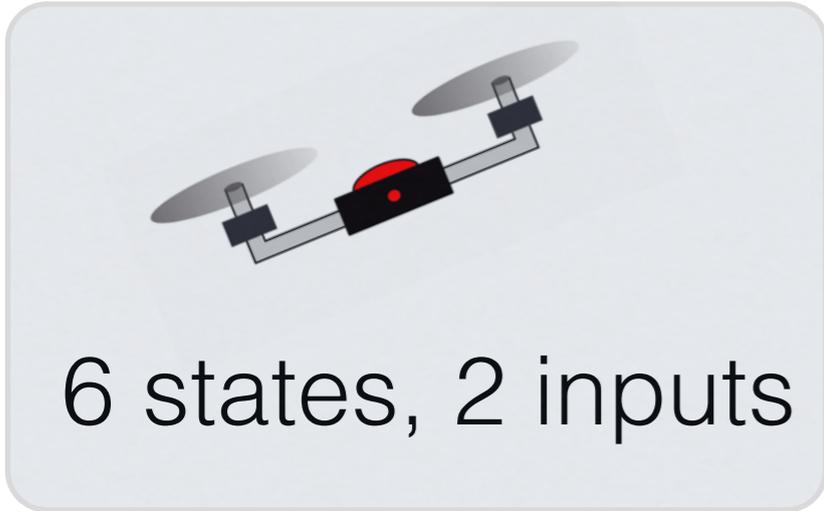
- **Contraction theory** [Lohmiller and Slotine '98]:
 - Convergence between trajectories
 - Dynamics of (infinitesimal) distances δ between trajectories is linear
- **Control contraction metrics (CCMs)** [Manchester and Slotine '15, '16]:
 - Design a differential controller using a differential Control Lyapunov Function
 - Conditions based on SOS programming



Robust real-time motion planning

- **Offline:**
- CCM tracking controller can be used to make *any* nominal trajectory IES
- This gives us a (fixed-size) funnel around any nominal trajectory
 - Analysis extends to bounded disturbances
- **Online:**
- Compute nominal trajectory such that funnel around it is collision-free
- Receding horizon planning

Example: Planar Quadrotor



Challenges and Future Directions

- Sensing and estimation
 - Exciting new sensors (e.g., Intel's RealSense, FPGA stereo, sparse stereo, ...)
 - How can we make guarantees with sensing?
- Real-time planning with probabilistic guarantees
 - e.g., won't collide with 0.95 probability (with stochastic wind gusts)
 - Using such certificates for real-time planning
- Formal/model-based tools and data-driven learning
 - How can we combine model-based tools with data-driven approaches?

Acknowledgements

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 - Russ Tedrake, Amir Ali Ahmadi
 - Sumeet Singh, Marco Pavone, Jean-Jacques Slotine
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 - ONR MURI grant N00014-09-1-1051
 - ONR Science of Autonomy, N00014-15-1-2673



Contributions and Future Work



Funnels and controllers

- Guarantees that system will remain within funnel
- Computed using powerful tools from SOS programming



Real-time planning using funnels

- Collision-free funnel guarantees safety
- Can handle complicated geometric constraints at runtime

Future work

- Guarantees with sensing/estimation
- Real-time planning with probabilistic guarantees
- Formal guarantees and learning

Tremendous potential to make **robots operate safely** in real environments