

INTRODUCTION TO (COMPARATIVE) POLITICS I

Fall 2022, Wednesday 1:30-4:20 pm.

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Course Design and Objectives

This course surveys major topics and theoretical contributions in the field of comparative politics. The course examines: the formation and development of the modern state; political development, democracy; authoritarianism; revolution and political stability; nationalism. With the explicit goal of exploring how research in comparative politics should be pursued in the future, each session assigns readings from both traditional macrohistorical and qualitative research and more recent analytical models.

Course Procedures and Evaluation

Each student is expected to read (before class) the items listed as 'required readings' for each session. In some sessions 'background' reading, which is optional, is intended to provide introductions to the week's main readings.

In addition, students are expected to complete:

(1) Four short papers (around 3 pages) answering one of the week's discussion questions. Papers will be due by 4:30pm the day before class (with answers to the questions of that week's session). No exceptions will be made and no extensions will be granted. The answers should not just summarize readings, but show reflection on how the readings address important issues, are

flawed in particular dimensions, or can be developed or improved in specific directions.

(2) A final take-home exam to be set by the instructor or a research paper with a topic to be determined in advanced with the instructor. Due date: December 22, 2022.

Participation in class will count for about 1/4 of the grade. The other assignment (short papers, exam) will count for 3/4 per cent of the course grade.

Readings

Books marked with an **(B)** may be bought at Labyrinth and they should be on reserve at Firestone. All other readings have been put on electronic reserve.

Week 1. Organizational Session. Problems, Microfoundations, Method. (September 7)

Required readings

(A) Predecessors: Three Examples

Aristotle. *Politics*. Book IV, sections 11-13. Cambridge.

Machiavelli. *Discourses*. Book I, discourse 2. Penguin.

Montesquieu. *Spirit of Laws*. Book 8, chapters 2-3.

(B) Microfoundations

Jon Elster. 1983. *Explaining Technical Change*. New York: Cambridge University Press. Pages 9-88. **(B)**

Max Weber. *Economy and Society*. University of California Press. Volume 1, pages 4-40, 63-71.

Gary Cox. 2004. "Lies, damned lies, and rational choice analyses." In Ian Shapiro, Rogers M. Smith and Tarek E. Masoud, eds. *Problems and Methods in the Study of Politics*. New York: Cambridge University Press. Chapter 8, pages 167-85.

Donald Green and Ian Shapiro. 1994. *Pathologies of Rational Choice Theory*. Yale University Press. Chapter 2.

(C) Comparative method

Jon Elster. *Explaining Social Behavior: More Nuts and Bolts for the Social Sciences*, Cambridge University Press, 2007, "Explanations," "Mechanisms," and "Interpretation," pp. 7-66 and "Explanation by Consequence and Natural Selection" pp. 271-286.

Gary King, Robert O. Keohane and Sidney Verba. 1994. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton, N.J. : Princeton University Press. Pages 3-114. **(B)**

John Gerring. "Research Design: General Criteria," pp. 155-199 in *Social Science Methodology: A Critical Framework*. Cambridge University Press, 2001.

Alan S. Gerber et al. 2014. "The Illusion of Learning from Observational Research." In Dawn L. Teele, ed. *Field Experiments and Their Critics*. Yale University Press. Chapter 1.

Susan C. Stokes. 2014. "A Defense of Observational Research." In Dawn L. Teele, ed. *Field Experiments and Their Critics*. Yale University Press. Chapter 2.

Further readings

J. Donald Moon. 1975. "The Logic of Political Inquiry," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 1, pp. 131-228.

Gabriel Almond and Stephen Genco, "Clouds, Clocks, and the Study of Politics," *World Politics*, 29 (July 1977), pp. 489-522.

Robert Bates. "Comparative Politics and Rational Choice: A Review Essay," *American Political Science Review*.

Adam Przeworski and Henry Teune, *The Logic of Comparative Inquiry*, pp. 3-60.

Brian Barry. *Economists, Sociologists and Democracy*.

Arendt Lijphart, "Comparative Politics and the Comparative Method," *American Political Science Review*, 65, (September 1971), pp. 682-93.

Louise Kidder, *Research Methods in Social Relations*, 4th edition (NY: Holt, Rinehart and Winston, 1981), ch. 3.

Ronald Rogowski. "Comparative Politics," in Ada Finifter, ed. *The State of the Discipline*.

Peter Gourevitch, "International Trade, Domestic Coalitions and Liberty," *Journal of Interdisciplinary History*, vol. 8, no. 21 (Autumn 1977), pp. 281-313.

Harry Eckstein, "Case Study and Theory in Political Science," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol.7 (1975), pp. 79-123.

Harry Eckstein, "A Perspective on Comparative Politics: Past and Present," in Harry Eckstein and David Apter, eds., *Comparative Politics: A Reader* (1963), pp. 1-33.

Alasdair MacIntyre, "Is a Science of Comparative Politics Possible?" in Paul Lewis et al., eds., *The Practice of Comparative Politics*, 2nd edition. (NY: Longman, 1978).

Robert T. Holt and John E. Turner, eds., *The Methodology of Comparative Research* (1970).

Lawrence C. Mayer, *Comparative Political Inquiry: A Methodological Survey* (1972).

Ronald H. Chilcote, *Theories of Comparative Politics: The Search for A Paradigm*, Westview Press, 1981.

Mattei Dogan and Dominique Pelassy, *How to Compare Nations: Strategies in Comparative Politics* (1984).

W. Phillips Shively, *The Craft of Political Research*, second edition (1980).

Brian Barry, *Sociologists, Economists, and Democracy* (1978 edition).

Sidney Verba, "Cross-National Survey Research: The Problem of Credibility," in Ivan Vallier, ed., *Comparative Methodologies in Sociology*.

Week 2. Power. From Anarchy to Political Order. (September 14)

Required readings

Steven Lukes. 2021. *Power: A Radical View*. Bloomsbury Academic paperback. Chapter 1, pp. 1-59. **(B)**

Max Weber. *Economy and Society*. University of California Press. Volume 1, pages 3-56, 212-51.

Carles Boix. 2015. *Political Order and Inequality*. Princeton University. Chapters 1-4. **(B)**

Further readings

James S. Coleman. 1990. *Foundations of Social Theory*. Cambridge, Mass: Belknap Press. Pages 27-37, 45-90, 119-174.

Mancur Olson. 2000. *Power and Prosperity*. New York: Basic Books. Chapters 1-4.

William H. McNeil. 1982. *The Pursuit of Power*. Chicago: The University of Chicago Press. Chapters 1 and 3.

Robert Alford, "Paradigms of Relations Between State and Society," in Leon Lindberg, et al., eds., *Stress and Contradiction in Modern Capitalism* (Lexington, Ma., Heath, 1975), pp. 145-60.

John H. Kautsky, "Revolutionary and Managerial Elites in Modernizing Regimes," *Comparative Politics* 1 (July 1969), pp. 441-67.

Robert Putnam, "Bureaucrats and Politicians: Contending Elites in the Policy Process," in William B. Gwyn and George C. Edwards, eds., *Perspectives on Policy-Making* (New Orleans: Tulane University Press, 1975) pp. 179-202.

Peter B. Evans et al., *Bringing the State Back In* (NY: Cambridge University Press, 1985), pp. 3-77.

Stephen D. Krasner, "Approaches to the State: Alternative Conceptions and Historical Dynamics," *Comparative Politics*, 16 (January 1984), pp. 223-246.

Martin Shefter, "Parties and Patronage: England, Germany and Italy," *Politics and Society* (1981).

Charles Lindblom, "The Market as Prison," *Journal of Politics*, vol. 44, 1982, pp. 324-336.

James G. March and Johan P. Olson, "The New Institutionalism: Organizational factors in Political Life," *American Political Science Review*, vol. 78 (1984), pp. 734-749.

Gabriel A. Almond, "The Return of the State," and replies by Eric A. Nordlinger, Theodore J. Lowi and Sergio Fabbrini, *American Political Science Review*, vol. 82 (September 1988), pp. 875-901.

David A. Gold, Charles Y.H. Lo, and Eric Olin Wright, "Recent Developments in Marxist Theories of the Capitalist State," *Monthly Review* (Oct. 1975), pp. 29-43 and November 1975, pp. 36-51.

Fred Block, "The Ruling Class Does Not Rule: Notes on the Marxist Theory of the State," *Socialist Revolution/Review* (May 1977).

Paul Sacks, "State Structure and the Asymmetric Society: Approach to Public Policy in Britain," *Comparative Politics* (April 1980), pp. 349-376.

Martin Carnoy, *Political Theory and the State* (1984).

Kay Trimberger, *Revolution from Above: Military Bureaucrats in Development in Japan, Turkey, Egypt, and Peru* (1978).

Alberto Alesina and Enrico Spolaore. 2003. *The Size of Nations*. Cambridge, Mass: The MIT Press.

Week 3. State Formation. (September 21)

Required readings

Charles Tilly. 1990. *Coercion, Capital, and European States, AD 990-1990*. Cambridge, Mass.: B. Blackwell. Chapters 1, 3, 5 and 6. **(B)**

Abramson, Scott F. "The economic origins of the territorial state." *International Organization* 71, no. 1 (2017): 97-130.

Jeffrey Herbst. 2000. *States and Power in Africa*. Princeton: Princeton University Press, 2000, chapters 1, 2 and 5.

Scott, James. 1998. *Seeing like a State*. New Haven: Yale University Press, pp. 87-102, 182-191.

Queralt, Dídac. 2019. War, International Finance, and Fiscal Capacity in the Long Run. *International Organization* 73 (4): 713-753.

Further readings

Charles Tilly, "Reflections on the History of European State-Making," in Tilly, ed., *The Formation of National States in Western Europe* (Princeton: Princeton University Press, 1986), pp. 3-83.

Otto Hintze. 1975. *The Historical Essays of Otto Hintze*. Edited by Felix Gilbert, with the assistance of Robert M. Berdahl. New York : Oxford University Press.

Joseph Strayer. 1970. *On the Medieval Origins of the Modern State*. Princeton: Princeton University Press.

Robert Putnam. 1993. *Making Democracy Work*. Princeton, N.J.: Princeton University Press.

Perry Anderson. 1979. *Lineages of the Absolutist State*. London: Verso Editions.

Lisa Anderson. 1986. *The State and Social Transformation in Tunisia and Libya 1830-1980*. Princeton: Princeton University Press.

Hendrik Spruyt. 1994. *The Sovereign State and Its Competitors*. Princeton: Princeton University Press.

Thomas Ertman. 1997. *Birth of the Leviathan*. New York: Cambridge University Press.

Week 4. The Logic of Authoritarian Control (September 28)

Required readings

Gordon Tullock. 1987. *Autocracy*. Boston: Kluwer. Chapters: 1-4, 7-8.

Thomas C. Schelling. 1978. *Micromotives and Macrobehavior*. New York: Norton. Pages 91-133.

Kuran, Timur. 1991. "Now Out of Never: The Element of Surprise in the East European Revolution of 1989 (in Liberalization and Democratization in the Soviet Union and Eastern Europe)," *World Politics* 44 (October): 7-48.

Milan Svoblik. 2012. *The Politics of Authoritarian Rule*. Cambridge University Press. Pages 1-52. **(B)**

Samuel E. Finer. 1997. "Venice: la Serenissima." In *The History of Government. Volume II*. New York: Oxford University Press. Pages 985-1019.

Walder, Andrew G. and Qinglian Lu. 2017. "The Dynamics of Collapse in an Authoritarian Regime: China in 1967." *American Journal of Sociology* 122: 1144-1182.

Further readings

Myerson, Roger. 2008. "The Autocrat's Credibility Problem and Foundations of the Constitutional State," *American Political Science Review* 102 (February): 125-139. Pages 133-137 only.

Lisa Wedeen. 1999. *Ambiguities of Domination : Politics, Rhetoric, and Symbols in Contemporary Syria*. University of Chicago Press.

Linz, Juan. 2000. *Totalitarian and Authoritarian Regimes*. Boulder, Colorado: Lynne Rienner. Pages 49-261.

Bueno de Mesquita, Bruce, Smith, Alastair, Siverson, Randolph M., and Morrow, James D. 2003. *The Logic of Political Survival*. Cambridge: MIT Press.

Robert A Dahl, "Government and Political Oppositions," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 3 (1975): 115-174.

Luttwak, Edward. 1969. *Coup d'etat: A practical handbook*. New York: Knopf. Chapters 1 ("What is the Coup d'Etat?") and 2 ("When is a Coup d'Etat possible?")

Week 5. Authoritarian Institutions and Outcomes (October 5)

Required readings

Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. New York: Cambridge University Press. Introduction and chapter 1.

Milan Svolik. 2012. *The Politics of Authoritarian Rule*. Cambridge University Press. Pages 85-100, 110-117. **(B)**

Malesky, Edmund, and Paul Schuler. "The Single-Party Dictator's Dilemma: Information in Elections without Opposition." *Legislative Studies Quarterly* 36.4 (2011): 491-530.

Jones, Benjamin F. and Olken, Benjamin A. 2006. "Do leaders matter? National leadership and growth since World War II," *Quarterly Journal of Economics*, 120(3): 835–864.

Gehlbach, Scott, Konstantin Sonin, and Milan Svolik. 2016. Formal Models of Nondemocratic Politics. *Annual Review of Political Science* 19: 565.584.

Guriev, Sergei and Daniel Treisman. 2019. "Informational Autocrats." *Journal of Economic Perspectives* 33: 100.127.

Pan, Jennifer. 2020. *Welfare for autocrats. How social assistance in China cares for its rulers*. Introduction & Chapter 5.

Lachapelle, Jean, Steven Levitsky, Lucan A. Way, and Adam E. Casey. "Social revolution and authoritarian durability." *World Politics* 72, no. 4 (2020): 557-600.

Further readings

Gandhi, Jennifer, and Ellen Lust-Okar. "Elections under authoritarianism." *Annual Review of Political Science* 12 (2009): 403-422.

Wintrobe, Ronald. 1990. "The Tinpot and the Totalitarian: An Economic Theory of Dictatorship," *American Political Science Review* 84 (September): 849-872.

Levitsky, Steven and Way, Lucan A. 2002. The rise of competitive authoritarianism. *Journal of Democracy*, 13(2):51–65.

Lust-Okar, Ellen. 2006. Elections under authoritarianism: Preliminary lessons from Jordan. *Democratization*, 13(3):456–71.

Smith, Benjamin. 2005. Life of the party: The origins of regime breakdown and persistence under single-party rule. *World Politics*, 57(3): 421–51.

Brownlee, Jason. 2007. *Authoritarianism in an Age of Democratization*. New York: Cambridge University Press.

Brooker, Paul. 2000. *Non-democratic regimes: Theory, government, and politics*. New York: St. Martin's Press.

Guillermo O'Donnell, *Modernization and Bureaucratic-authoritarian: Studies in South American Politics* (1973), pp. 1-165.

David Collier, ed. *The New Authoritarianism in Latin America*, Princeton University Press, 1979.

Samuel P. Huntington, "Social and Institutional Dynamics of One-Party Systems," in S.P. Huntington and C.H. Moore, eds., *Authoritarian Politics in Modern Society* (1970): 3-44.

Seymour Martin Lipset, *Political Man: The Social Bases of Politics* (1960), chs. 1, 3.

Guillermo O'Donnell, "Reflections on the pattern of Change in the Bureaucratic-authoritarian State," *Latin American Research Review* 13 no. 1 (1978): 3-38.

Blaydes, Lisa. 2011. *Elections and Distributive Politics in Mubarak's Egypt*. Cambridge University Press. Read chapters 1-3.

Karen Remmer and Gilbert Merkx, "Bureaucratic-authoritarianism Revisited," and Guillermo O'Donnell, "Reply to Remmer and Merkx," in *Latin American Research Review* 17 no. 2 (1982): 3-36, 41-48.

Arturo Valenzuela, *The Breakdown of Democratic Regimes: Chile* (1978).

John Sheahan, "Market-oriented Policies and Political Repression in Latin America," *Economic Development and Cultural Change* 28 no. 2 (January 1980): 267-292.

Issac J. Mowoe, ed., *The Performance of Soldiers as Governors: African Politics and the African Military* (1980).

Amos Perlmutter, *The Military and Politics in Modern Times: On Professionals, Praetorians, and Revolutionary Soldiers* (1977).

Samuel Decalo, *Coups and Army Rule in Africa* (1976).

John H. Nerz, ed. *From Dictatorship to Democracy: Coping with the Legacies of Authoritarianism and Totalitarianism* (1982).

Further readings: communist regimes

Studies in Comparative Communism 12 no. 1 (Spring 1979): 3-38 (Symposium, "Pluralism in Communist Societies," Janos, Odom, Terry, Gitelman).

Studies in Comparative Communism 13 no. 1 (Spring 1980): 82-90 (more of above, Skilling, Janos).

David Lane, ed., *Politics and Society in the USSR* (second edition, 1978). Irving Howe, ed., *1984 Revisited: Totalitarianism in Our Century* (1983), esp pp. 103-148, 209-267 (Walzer, Kolakowski, Djilas, and Lowenthal).

Mark Field, ed., *Social Consequences of Modernization in Communist Societies* (1976), esp. pp. 50-59 (Alex Inkeles, "The Modernization of Man in Socialist and Nonsocialist Countries"), 81-113 (Richard Lowenthal, "The Ruling Party in a Mature Society").

Kenneth Jowitt, *The Leninist Response to National Dependency* (1978), esp. pp. 34-73.

Alfred G. Meyer, "Communism and Leadership," *Studies in Comparative Communism* 16 no. 3 (Autumn 1983): 161-169.

J.M. Montias, "Economic Conditions and Political Instability in Communist Countries," *Studies in Comparative Communism* 13 no. 4 (Winter 1980): 283-299.

Ellen Turkish Comisso, *Workers' Control Under Plan and Market* (1979), pp. 42-141, 209-223.

David Lane and Felicity O'Dell, *The Soviet Industrial Worker* (1978), esp. pp. 1-52, 132-138.

Jorge I. Dominguez, *Cuba: Order and Revolution* (1978), pp. 260-305, 464-511.

Jerry Hough and Merle Fainsod, *How the Soviet Union is Governed* (1979).

Seweryn Bialer, *Stalin's Successors: Leadership, Stability, and Change in the Soviet Union* (1980), esp. pp. 129-225.

Peter C. Ludz, *The Changing Party Elite in East Germany* (1968), esp. pp. 1-12, 120-130, 146-147, 178-186.

Joel Schwartz and William Keech, "Group Influence and the Policy Process in the Soviet Union", *American Political Science Review*, 62 (September 1968): 840-851.

H. Gordon Skilling and Franklyn Griffiths, eds., *Interest Groups in Soviet Politics* (1971).

Further readings: dictatorships and outcomes

Przeworski, Adam, Alvarez, Michael E., Cheibub, Jose Antonio, and Limongi, Fernando. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press. Chapter 3 (“Political Regimes and Economic Growth”).

Gandhi, Jennifer. 2008. *Political Institutions under Dictatorships*. New York: Cambridge University Press.

Wright, Joseph. 2008. “Do authoritarian institutions constrain? How legislatures impact economic growth and foreign aid effectiveness,” *American Journal of Political Science* 52 (April): 322-343.

Chapter 2, “Why democratic peace?” in Russett, Bruce. 1993. *Grasping the democratic peace: Principles for a post-Cold War world*. Princeton, NJ: Princeton University Press.

Bueno de Mesquita, Bruce, Morrow, James D., Siverson, Randolph M., and Smith, Alastair. 1999. An institutional explanation of the democratic peace. *American Political Science Review*, 93(4): 791–807.

Rosato, Sebastian. 2003. The flawed logic of democratic peace theory. *American Political Science Review*, 97(4): 585–602.

Week 6. Political Modernization (October 12)

Required readings

Daniel Lerner. *The Passing of Traditional Society*. Glencoe, Ill.: Free Press, 1958, chapter 1.

Karl Deutsch, "Social Mobilization and Political Development," in Jason Finkle and Richard Gable, eds., *Political Development and Social Change*, 1971, pp. 384-401.

Huntington, Samuel *Political Order in Changing Societies* Chapter 1, 3 to 7. **(B)**

Caporaso, James A. 1980. "Dependency Theory: Continuities and Discontinuities in Development Studies." *International Organization* 39 (Autumn), 605-628.

Inglehart, Ronald. *Culture Shift in Advanced Industrial Society*. Princeton University Press, 1989, Pgs 66-103.

Further readings

Alex Inkeles. 1966. "The Modernization of Man," in Myron Weiner, ed. *Modernization*. New York: Basic Books. Pages 138-150.

Douglas North. 1981. *Structure and Change in Economic History*. New York: Norton. Chapters 1-4, 6-9, 11-12.

Douglass C. North, John J. Wallis & Barry R. Weingast. 2006. "A Conceptual Framework for Interpreting Recorded Human History," NBER Working Paper No. W12795.

Samuel Huntington and Jorge Dominguez. 1975. "Political Development." In Fred Greenstein and Nelson Polsby, eds. *Handbook of Political Science*. Reading, Mass. : Addison-Wesley Publishing Company. Volume 3, pages 1-98.

Jason Finkle and Richard Gable, eds. *Political Development and Social Change* (second edition, 1971): chapters by Joseph Gusfield, "Tradition and Modernity," pp. 15-26; Cyril Black, "Phases of Modernization," pp. 436-455; Lucian Pye, "The Nature of Transitional Politics," pp. 538-549.

Leonard Binder et al., *Crises and Sequences in Political Development* (1971), Chapter 1 (pp. 3-72).

Samuel P. Huntington, "The Change to Change: Modernization, Development, and Politics," *Comparative Politics*, 3 (April 1971): 283-322.

Jose Ocampo and Dale Johnson, "The Concept of Political Development," in James Cockcroft, Andre Gunder Frank, and Dale Johnson, eds., *Dependence and Development* (1972), pp. 399-424.

Myron Weiner and Samuel Huntington, eds., *Understanding Political Development* (1987), ch. 2 (Weiner) and 3 (Dominguez), pp. 33-99.

Robert H. Bates, ed., *Towards a Political Economy of Development: A Rational Choice Perspective* (1988), pp. 80-130, 239-244.

Ronald H. Chilcote, *Theories of Comparative Politics: The Search for a Paradigm*, Westview Press, 1981.

Robert Dahl. *Polyarchy*. Yale University Press. Chapters 1, 3, 5 to 8.

Mattei Dogan and Dominguez Pelassy, *How to Compare Nations: Strategies in Comparative Politics* (1984).

W. Phillips Shively, *The Craft of Political Research*, second edition (1980).

Brian Barry, *Sociologists, Economists, and Democracy* (1978 edition).

Sidney Verba, "Cross-National Survey Research: The problems of Credibility," in Ivan Vallier, ed., *Comparative Methodologies in Sociology*.

David Morawetz, *Twenty-five years of Economic Development, 1950-1975* (1977).

Bela Balassa, *Policy Reform in Developing Countries* (1977).

Simon Kuznets, *Economic Growth of Nations* (1971).

Hollis Chenery, *Redistribution with Growth* (1974).

Gabriel Almond and James Coleman, *The Politics of the Developing Areas* (1960).

Max Millikan and Donald Blackmer, eds., *The Emerging Nations* (1961).

J. Samuel Valenzuela and Arturo Valenzuela, "Modernization and Dependency: Alternative Perspectives in the Study of Latin American Underdevelopment," *Comparative Politics* 10 no. 4 (July 1978): 535-552.

Lloyd and Susanne Rudolph, *The Modernity of Tradition: Politics and Development in India* (1967), pp. 3-14.

Week 7. Democratization Theory I. (October 26)

Required readings

Robert Dahl. *Polyarchy: Participation and Opposition*. New Haven: Yale University Press, 1971, chapter 1.

Barrington Moore. 1966. *Social Origins of Dictatorship and Democracy : Lord and Peasant in the Making of the Modern World*. Boston : Beacon Press. Chapters 1-3, one chapter in Part II, chapters 7-9 and epilogue. **(B)**

Theda Skocpol. 1973. "A Critical Review of Barrington Moore's Social Origins," *Politics and Society* 4 (Fall), pages 1-34.

Adam Przeworski and Fernando Limongi. 1997. "Modernization: Theories and Facts," *World Politics* 49 (January).

Further readings

Seymour M. Lipset. 1959. "Some Social Requisites of Democracy: Economic Development and Political Legitimacy," *American Political Science Review* 53: 69-105.

Dietrich Rueschemeyer, Evelyne Huber Stephens and John D. Stephens. 1992. *Capitalist Development and Democracy*. Chicago: The University of Chicago Press. Chapter 2.

Luebbert, Gregory M. 1991. *Liberalism, Fascism, or Social Democracy: Social Classes and the Political Origins of Regimes in Interwar Europe*. New York: Oxford University Press.

Stanley Rothman, "Barrington Moore and the Dialectics of Revolution," *American Political Science Review* 64 (March 1970): 61-85; 182-83. (Include Moore's reply and Rothman's rejoinder.)

Adam Przeworski, "Institutionalization of Voting Patterns, or is Mobilization the Source of Decay," *American Political Science Review* 69 (March 1975): 49-67.

Lee Sigelman, "Understanding Political Instability: An Evaluation of the Mobilization-Institutionalization Approach," *Comparative Political Studies* 12 (July 1979) 205-228.

Samuel P. Huntington, "Will More Countries Become Democratic?" *Political Science Quarterly*, 99 (Summer 1984): 193-218.

David Laitin and James Fearon. 1996. "Explaining Ethnic Cooperation," *American Political*

Science Review 90: 715-35.

Week 8. Democratization Theory II. (November 2)

Required readings

Guillermo O'Donnell, Philippe C. Schmitter, and Laurence Whitehead, eds. *Transitions from Authoritarian Rule : Comparative Perspectives. Volume 4: Tentative Conclusions and Uncertain Democracies*. Baltimore: Johns Hopkins University Press, 1986. Pages 1-72. **(B)**

Carles Boix. 2003. *Democracy and Redistribution*. Cambridge University Press. Chapters 1-3. **(B)**

Boix, Carles. 2011. "Democracy, Development and the International System." *American Political Science Review*. 105 (November): 809-828.

Graham, Matthew H., and Milan W. Svobik. "Democracy in America? Partisanship, polarization, and the robustness of support for democracy in the United States." *American Political Science Review* 114, no. 2 (2020): 392-409.

Further readings

Carles Boix and S. Stokes. "Endogenous Democratization." *World Politics* 55(July): 517-49.

Przeworski, Adam. *Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America*. 1991. Chapters 1, 2 and 4. Pages 11-99, 136-187.

Hellman, Joel. 1998. "Winners Take All: The Politics of Partial Reform in Postcommunist Transitions ," *World Politics* 50 (January).

Herbert Kitschelt. 1992. "Political Regime Change: Structure and Process-Driven Explanations?" *American Political Science Review* 86, pp. 1028-1034.

Stephen D. Krasner. "Global Communications and National Power: Life on the Pareto Frontier," *World Politics* 43: 336-66.

Miller, Michael K. 2021. "Shock to the System Coups, Elections, and War on the Road to Democratization, 1800–2014." Princeton University Press.

Graham, Matthew and Milan W. Svobik. 2019. "Democracy in America? Partisanship, Polarization, and the Robustness of Support for Democracy in the United States." Unpublished manuscript. Yale University.

Treisman, Daniel. 2017. "Democracy by Mistake." NBER Working Paper No. w23944.

Ansell, Ben and David Samuels. 2014. *Inequality and Democratization: An Elite-Competition Approach*. New York: Cambridge University Press.

Treisman, Daniel. 2018. "Is Democracy in Danger? A Quick Look at the Data." Unpublished manuscript. UCLA.

Nancy Bermeo, "Redemocratization and Transition Elections: A Comparison of Spain and Portugal," *Comparative Politics* 19, 2 (January 1987), pp. 213-232.

Raquel Fernandez and Dani Rodrik. 1991. "Resistance to Reform: Status Quo Bias in the Presence of Individual- Specific Uncertainty," *American Economic Review* 81(December), pp. 1146-1155.

Acemoglu, Daron and James Robinson. 2006. *Economic Origins of Democracy*. Cambridge University Press.

Week 9. Political Instability, Violence, Revolutions. (November 9)

Required readings

Civil Wars

- James Fearon and David Laitin. 2003. "Ethnicity, Insurgency, and Civil War," *American Political Science Review* 97 (February): 75-90.
- Miguel, E., Satyanath, S. and Sergenti, E., 2004. Economic shocks and civil conflict: An instrumental variables approach. *Journal of political Economy*, 112(4), pp.725-753.
- Paul Collier and Anke Hoeffler, "Greed and Grievance in Civil War," *Oxford Economic Papers* 56(4) (2004), pp. 563-595.
- Stathis Kalyvas, *The Logic of Violence in Civil War* (2006). Pages 146-209.

Revolutions

- Theda Skocpol, *States and Social Revolutions* (1979), pp. 3-43.
- Steven Pincus. 2007. "Rethinking Revolutions: A neo-Tocquevillian Perspective." In Charles Boix and Susan Stokes, eds. *Oxford Handbook of Comparative Politics*. Oxford University Press. Chapter 17.
- Jack A. Goldstone, "Toward a Fourth Generation of Revolutionary Theory," *Annual Review of Political Science* (2001), pp. 139-187.
- Mark R. Beissinger. 2022. *The Revolutionary City: Urbanization and the Global Transformation of Rebellion*. Princeton University Press. Introduction and Chapter 1
- Elisabeth Jean Wood, *Insurgent Collective Action and Civil War in El Salvador* (2003), pp. 226-256.
- James C. Scott, *The Moral Economy of the Peasant: Rebellion and Subsistence in Southeast Asia*. Yale, 1976. Chapters 1-2.

Further readings

Collier, Paul and Anke Hoeffler. 1999. "Justice Seeking and Loot-seeking in Civil War." World Bank. Typescript.

- Jeremy Weinstein. 2007. *Inside Rebellion: The Politics of Insurgent Violence*. Pages 27-60.
- Collier, Paul and Anke Hoeffler. 2001. "Greed and Grievance in Civil War." World Bank. Typescript.
- Sambanis, Nicholas. 2003. "Expanding Economic Models of Civil War Using Case Studies". Yale University. Unpublished manuscript.
- David Laitin and James Fearon. 1996. "Explaining Ethnic Cooperation," *American Political Science Review* 90: 715-35.
- John Londregan and Keith Poole. 1990. "Poverty, the Coup Trap, and the Seizure of Executive Power," *World Politics*, 1-19.
- Samuel P. Huntington, "Will More Countries Become Democratic?" *Political Science Quarterly*, 99 (Summer 1984): 193-218.
- Nancy Bermeo, "Redemocratization and Transition Elections: A Comparison of Spain and Portugal," *Comparative Politics* 19, 2 (January 1987), pp. 213-232.
- Theda Skocpol, *States and Social Revolution*. Cambridge University Press, 1979, pp. 3-42, 161-171.
- Kay Trimberger, *Revolution from Above: Military Bureaucrats in Development in Japan, Turkey, Egypt, and Peru* (1978), pp. 1-36.
- Ted Robert Gurr, "The Revolution-Social Change Nexus," *Comparative Politics*, 5 (April 1973) 359-392.
- Charles Tilly, "Revolution and Collective Violence," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 3 (1975): 483-547.
- Joel Migdal, *Peasants, Politics and Revolution: Pressures Towards Political and Social Change in the Third World* (1974), pp. 226-256.
- Eric R. Wolf, *Peasant Wars in the Twentieth Century* (1969), pp. 1x-xv, 277-302.
- Aristide Zolberg, *Creating Political Order: The party-States of West Africa* (1966).
- S.N. Eisenstadt, *The Political Systems of Empires* (1963).
- Henry Bienen, *Armies and Parties in Africa* (1978).

Charles Tilly, ed., *The Formation of National States in Western Europe* (1975).

Raymond Grew, ed., *Crises of Political Developments in Europe and the United States* (1978).

Jonathan Kelley and Herbert S. Klein, *Revolution and the Rebirth of Inequality* (1982), esp. chs 1, 8.

Ekkart Zimmerman, *Political Violence, Crises, and Revolutions: Theories and Research* (1983), chs. 1-2 (pp. 1-15), 5 (pp. 37-187), and 8 (pp. 292-411).

E.J. Hobsbawm, *Primitive Rebels: Studies in Archaic Forms of Social Movements in the 19th and 20th Centuries* (1959).

Jorge I. Dominguez, *Insurrection or Loyalty: The Breakdown of the Spanish American Empire* (1980).

Ted Robert Gurr, *Why Men Rebel* (1970).

Donald L. Horowitz, *Ethnic Groups in Conflict* (1985).

Michael Schwartz, *Radical Protest and Social Structure* (1976).

Frances Fox Piven and Richard Claven, *Poor Peoples Movements: Why They Succeed and How They Fail* (1977).

Week 10. Representative Democracy (I). (November 16)

Required readings

Schumpeter, Joseph A. 1942. *Capitalism, Socialism and Democracy*. Chapters 21 (“The Classical Doctrine of Democracy”) and 22 (“Another Theory of Democracy”), pages 250-283.

Melvin J. Hinich and Michael C. Munger. 1997. *Analytical Politics*. New York: Cambridge University Press. Pages 21-37.

Achen, Christopher H and Larry M. Bartels. 2016. *Democracy for Realists. Why Elections Do Not Produce Responsive Government*. Princeton University Press. Chapters 1-7. **(B)**

Adam Przeworski, Susan C. Stokes and Bernard Manin, eds. 1999. *Democracy, Accountability, and Representation*. New York: Cambridge University Press. Chapter 1, pages 29-54.

Further readings

Bernard Manin. 1997. *The Principles of Representative Government*. Cambridge University Press.

Anthony Downs. 1957. *An Economic Theory of Democracy*. New York: Harper and Row.

William H. Riker. 1980. “Implications from the Disequilibrium of Majority Rule for the Study of Institutions,” *American Political Science Review* 74(2), pp. 432-446.

John H. Aldrich. 1995. *Why Parties?* Chicago: The University of Chicago Press.

Gary Cox and Matthew D. McCubbins. 1993. *Legislative Leviathan*. Berkeley: University of California Press. Chapters 4 and 5.

Gary Cox. 1997. *Making Votes Count*. New York: Cambridge University Press.

Lipset, Seymour M. and Stein Rokkan. 1967. *Party Systems and Voter Alignments*. New York: Free Press. Pages 1-56.

Herbert Kitschelt and Steven Wilkinson, eds. 2007. *Patrons, Clients and Policies*. Cambridge University Press.

Arend Lijphart. *Patterns of Democracy: Government Forms and Performance in Thirty-Six Countries*. New Haven: Yale University Press, 1999.

Week 11. Representative Democracy (II). (November 30)

Required readings

Dahl, Robert. 2005. *Who Governs? Democracy and Power in an American City*. Yale University Press. Second edition. Skim chapters 1-5, read chapters 6-10, 12-26, skim chapters 27-28. **(B)**

Gilens, Martin, and Benjamin I. Page. 2014. "Testing theories of American politics: Elites, interest groups, and average citizens." *Perspectives on politics* 12 (3): 564-581.

Robert Putnam. *Making Democracy Work*. Princeton: Princeton University Press. **(B)**

Further readings

Adam Przeworski. 2010. *Democracy and the Limits of Self-Government*. New York: Cambridge University Press.

Gabriel Almond and Sidney Verba. *The Civic Culture*, Princeton: Princeton University Press, 1963.

Tarrow, Sidney. "Making Social Science Work across Space and Time: A Critical Reflection on Robert Putnam's *Making Democracy Work*." *American Political Science Review* (June 1996).

Amaney Jamal and Irfan Nooruddin. "The Democratic Utility: A Cross-National Analysis." *Journal of Politics*. 2010. pgs. 45-59.

Week 12. National Identities and Nationalism. (December 7)

Required readings

Ernest Gellner. 1983. *Nations and Nationalism*. Ithaca: Cornell University Press. Chapters 1-7.

(B)

Benedict Anderson. 1983. *Imagined Communities. Reflections on the Origin and Spread of Nationalism*. New York: Verso. **(B)**

Daniel Posner, "The Political Salience of Cultural Difference: Why Chewas and Tumbukas are Allies in Zambia and Adversaries in Malawi," *American Political Science Review* 98, 4: 529-545.

Further readings

Kedourie, Elie. 1960. *Nationalism*. London: Hutchinson.

Hobsbawn, E.. 1990. *Nations and Nationalism since 1780*. Cambridge: Cambridge University Press.

H. Schulze. 1996. *States, Nations, and Nationalism: from the Middle Ages to the Present*. Oxford: Blackwell.

Hroch, Miroslav. 2000. *Social Preconditions of National Revival in Europe*. New York: Columbia University Press. (First edition in English was published by Cambridge University Press in 1985.)

Weber, Eugen. 1977. *Peasants into Frenchmen. The Modernization of Rural France, 1870-1914*. Stanford University Press.

Peter Sahlins. 1989. *Boundaries: the Making of France and Spain in the Pyrenees*. Berkeley: University of California Press.

David Laitin. 1998. *Identity in Formation: The Russian-Speaking Populations in the Near Abroad*. Ithaca: Cornell University Press.