

POLITICS 589. STATES, DEMOCRACIES, NATIONS

Fall 2014, Thursdays, 1:30-4:30.

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Course Design and Objectives

This course surveys major topics and theoretical contributions in the construction of political order, the choice of constitutional regimes and the sources of citizens' compliance. The course examines: the formation and development of the modern state; democracy; authoritarianism; revolution and political stability; legitimacy and compliance; nationalism; and macro theories of political change. With the explicit goal of exploring how research in comparative politics should be pursued in the future, each session assigns readings from both traditional macrohistorical and qualitative research and more recent analytical models.

Course Procedures and Evaluation

Each student is expected to read (before class) the items listed as 'required readings' for each session.

In addition, students are expected to complete:

(1) Four short papers (around 3 pages) answering one of the week's discussion questions. Papers will be due by 4:30pm the day before class (with answers to the questions of that week's session) and should be placed in a box outside the instructor's office. No exceptions will be made and no extensions will be granted. The answers should not just summarize readings, but show reflection on how the readings address important issues, are flawed in particular dimensions, or can be developed or improved in specific directions.

(2) A final take-home exam to be set by the instructor or a research paper with a topic to be determined in advance with the instructor. Due date: January 16, 2015.

Grades: 25% participation, 35% papers, 40% final exam (paper).

Readings

Books marked with an (*) have been ordered at the Labyrinth and put on reserve. All other readings have been put on electronic reserve.

Week 1. Introduction. Two Approaches to the Problem of Authority. (September 11)

Required reading

Max Weber. *Economy and Society*. University of California Press. Volume 1, pages 3-56, 212-51.

James S. Coleman. 1990. *Foundations of Social Theory*. Cambridge, Mass: Belknap Press. Pages 27-37, 45-90, 119-174.

Week 2. Power and the State. (September 18)

Carles Boix. *Political Order and Inequality*. Forthcoming in Cambridge University Press. Introduction and chapters 1-4.

Further reading

Steven Lukes. 2005. *Power: A Radical View*. New York: Macmillan. Second edition. Chapter 1, pp. 1-59.

Mancur Olson. 2000. *Power and Prosperity*. New York: Basic Books. Chapters 1-4.

Margaret Levi. 1997. *Consent, Dissent, and Patriotism*. New York : Cambridge University Press. Chapters 3-6.

Charles Tilly, “Reflections on the History of European State-Making,” in Tilly, ed., *The Formation of National States in Western Europe* (Princeton: Princeton University Press, 1986), pp. 3-83.

Douglas North. 1981. *Structure and Change in Economic History*. New York: Norton. Chap. 3.

Machiavelli. *Discourses*. Book I, discourse 2. Penguin.

William H. McNeil. 1982. *The Pursuit of Power*. Chicago: The University of Chicago Press. Chapters 1 and 3.

Joseph Strayer. 1970. *On the Medieval Origins of the Modern State*. Princeton: Princeton University Press.

Robert Putnam. 1993. *Making Democracy Work*. Princeton, N.J.: Princeton University Press.

Perry Anderson. 1979. *Lineages of the Absolutist State*. London: Verso Editions.

Lisa Anderson. 1986. *The State and Social Transformation in Tunisia and Libya 1830-1980*. Princeton: Princeton University Press.

Stephen Skowronek. 1982. *Building a New American State*. New York: Cambridge University Press.

Robert Alford, “Paradigms of Relations Between State and Society,” in Leon Lindberg, et al., eds., *Stress and Contradiction in Modern Capitalism* (Lexington, Ma., Heath, 1975), pp. 145-60.

John H. Kautsky, “Revolutionary and Managerial Elites in Modernizing Regimes,” *Comparative Politics* 1 (July 1969), pp. 441-67.

Robert Putnam, "Bureaucrats and Politicians: Contending Elites in the Policy Process," in William B. Gwyn and George C. Edwards, eds., *Perspectives on Policy-Making* (New Orleans: Tulane University Press, 1975) pp. 179-202.

Peter B. Evans et al., *Bringing the State Back In* (NY: Cambridge University Press, 1985), pp. 3-77.

Stephen D. Krasner, "Approaches to the State: Alternative Conceptions and Historical Dynamics," *Comparative Politics*, 16 (January 1984), pp. 223-246.

Martin Shefter, "Parties and Patronage: England, Germany and Italy," *Politics and Society* (1981).

Charles Lindblom, "The Market as Prison," *Journal of Politics*, vol. 44, 1982, pp. 324-336.

James G. March and Johan P. Olson, "The New Institutionalism: Organizational factors in Political Life," *American Political Science Review*, vol. 78 (1984), pp. 734-749.

Gabriel A. Almond, "The Return of the State," and replies by Eric A. Nordlinger, Theodore J. Lowi and Sergio Fabbrini, *American Political Science Review*, vol. 82 (September 1988), pp. 875-901.

David A. Gold, Charles Y.H. Lo, and Eric Olin Wright, "Recent Developments in Marxist Theories of the Capitalist State," *Monthly Review* (Oct. 1975), pp. 29-43 and November 1975, pp. 36-51.

Fred Block, "The Ruling Class Does Not Rule: Notes on the Marxist Theory of the State," *Socialist Revolution/Review* (May 1977).

Paul Sacks, "State Structure and the Asymmetric Society: Approach to Public Policy in Britain," *Comparative Politics* (April 1980), pp. 349-376.

Martin Carnoy, *Political Theory and the State* (1984).

Kay Trimberger, *Revolution from Above: Military Bureaucrats in Development in Japan, Turkey, Egypt, and Peru* (1978).

Week 3. Power, Leaders, Dictators. (September 25)

Required reading

Gordon Tullock. 1987. *Autocracy*. Boston: Kluwer. Chapters: 1-4, 7-8.

Thomas C. Schelling. 1978. *Micromotives and Macrobbehavior*. New York: Norton. Pages 91-133.

Myerson, Roger. 2008. "The Autocrat's Credibility Problem and Foundations of the Constitutional State," *American Political Science Review* 102 (February): 125-139. Pages 133-137 only.

Milan Svolik. 2012. *The Politics of Authoritarian Rule*. Cambridge University Press. Pages 1-52, 85-100, 110-117. (*)

Samuel E. Finer. 1997. "Venice: la Serenissima." In *The History of Government. Volume II*. New York: Oxford University Press. Pages 985-1019.

Further reading

Kuran, Timur. 1991. "Now Out of Never: The Element of Surprise in the East European Revolution of 1989 (in Liberalization and Democratization in the Soviet Union and Eastern Europe)," *World Politics* 44 (October): 7-48.

Lisa Wedeen. 1999. *Ambiguities of Domination : Politics, Rhetoric, and Symbols in Contemporary Syria*. University of Chicago Press.

Linz, Juan. 2000. *Totalitarian and Authoritarian Regimes*. Boulder, Colorado: Lynne Rienner. Pages 49-261.

Bueno de Mesquita, Bruce, Smith, Alastair, Siverson, Randolph M., and Morrow, James D. 2003. *The Logic of Political Survival*. Cambridge: MIT Press.

Robert A Dahl, "Government and Political Oppositions," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 3 (1975): 115-174.

Luttwak, Edward. 1969. *Coup d'etat: A practical handbook*. New York: Knopf. Chapters 1 ("What is the Coup d'Etat?") and 2 ("When is a Coup d'Etat possible?")

Week 4. Authoritarian Control: Mechanisms and Consequences. (October 2)

Required reading

Diaz-Cayeros, Alberto, Beatriz Magaloni, and Barry R. Weingast. 2003. "Tragic brilliance: Equilibrium hegemony and democratization in Mexico." *Hoover Institution, Stanford University*. <http://notecrom.com/content/files/377/file.pdf>

Blaydes, Lisa. 2011. *Elections and Distributive Politics in Mubarak's Egypt*. Cambridge University Press. Read chapters 1-3.

Malesky, Edmund, and Paul Schuler. "The Single-Party Dictator's Dilemma: Information in Elections without Opposition." *Legislative Studies Quarterly* 36.4 (2011): 491-530.

Svolik, Milan. 2012. *The Politics of Authoritarian Rule*. Cambridge University Press. Chapter 6.

Jones, Benjamin F. and Olken, Benjamin A. 2006. "Do leaders matter? National leadership and growth since World War II," *Quarterly Journal of Economics*, 120(3): 835–864.

Wintrobe, Ronald. 1998. *The Political Economy of Dictatorship*. Cambridge University Press. Read chapters 9 and 10.

Further Reading

Gandhi, Jennifer, and Ellen Lust-Okar. "Elections under authoritarianism." *Annual Review of Political Science* 12 (2009): 403-422.

Wintrobe, Ronald. 1990. "The Tinpot and the Totalitarian: An Economic Theory of Dictatorship," *American Political Science Review* 84 (September): 849-872.

Levitsky, Steven and Way, Lucan A. 2002. The rise of competitive authoritarianism. *Journal of Democracy*, 13(2):51–65.

Lust-Okar, Ellen. 2006. Elections under authoritarianism: Preliminary lessons from Jordan. *Democratization*, 13(3):456–71.

Smith, Benjamin. 2005. Life of the party: The origins of regime breakdown and persistence under single-party rule. *World Politics*, 57(3): 421–51.

Brownlee, Jason. 2007. *Authoritarianism in an Age of Democratization*. New York: Cambridge University Press.

Brooker, Paul. 2000. Non-democratic regimes: Theory, government, and politics. New York: St. Martin's Press.

Guillermo O'Donnell, *Modernization and Bureaucratic-authoritarian: Studies in South American Politics* (1973), pp. 1-165.

David Collier, ed. *The New Authoritarianism in Latin America*, Princeton University Press, 1979.

Samuel P. Huntington, "Social and Institutional Dynamics of One-Party Systems," in S.P. Huntington and C.H. Moore, eds., *Authoritarian Politics in Modern Society* (1970): 3-44.

Seymour Martin Lipset, *Political Man: The Social Bases of Politics* (1960), chs. 1, 3.

Guillermo O'Donnell, "Reflections on the pattern of Change in the Bureaucratic-authoritarian State," *Latin American Research Review* 13 no. 1 (1978): 3-38.

Karen Remmer and Gilbert Merkx, "Bureaucratic-authoritarianism Revisited," and Guillermo O'Donnell, "Reply to Remmer and Merkx," in *Latin American Research Review* 17 no. 2 (1982): 3-36, 41-48.

Arturo Valenzuela, *The Breakdown of Democratic Regimes: Chile* (1978).

John Sheahan, "Market-oriented Policies and Political Repression in Latin America," *Economic Development and Cultural Change* 28 no. 2 (January 1980): 267-292.

Issac J. Mowoe, ed., *The Performance of Soldiers as Governors: African Politics and the African Military* (1980).

Amos Perlmutter, *The Military and Politics in Modern Times: On Professionals, Praetorians, and Revolutionary Soldiers* (1977).

Samuel Decalo, *Coups and Army Rule in Africa* (1976).

John H. Nerz, ed. *From Dictatorship to Democracy: Coping with the Legacies of Authoritarianism and Totalitarianism* (1982).

Further reading: communist regimes

Studies in Comparative Communism 12 no. 1 (Spring 1979): 3-38 (Symposium, "Pluralism in Communist Societies," Janos, Odom, Terry, Gitelman).

Studies in Comparative Communism 13 no. 1 (Spring 1980): 82-90 (more of above, Skilling, Janos).

David Lane, ed., *Politics and Society in the USSR* (second edition, 1978). Irving Howe, ed., 1984

Revisited: Totalitarianism in Our Century (1983), esp pp. 103-148, 209-267 (Walzer, Kolakowski, Djilas, and Lowenthal).

Mark Field, ed., *Social Consequences of Modernization in Communist Societies* (1976), esp. pp. 50-59 (Alex Inkeles, “The Modernization of Man in Socialist and Nonsocialist Countries”), 81-113 (Richard Lowenthal, “The Ruling Party in a Mature Society”).

Kenneth Jowitt, *The Leninist Response to National Dependency* (1978), esp. pp. 34-73.

Alfred G. Meyer, “Communism and Leadership,” *Studies in Comparative Communism* 16 no. 3 (Autumn 1983): 161-169.

J.M. Montias, “Economic Conditions and Political Instability in Communist Countries,” *Studies in Comparative Communism* 13 no. 4 (Winter 1980): 283-299.

Ellen Turkish Comisso, *Workers’ Control Under Plan and Market* (1979), pp. 42-141, 209-223.

David Lane and Felicity O’Dell, *The Soviet Industrial Worker* (1978), esp. pp. 1-52, 132-138.

Jorge I. Dominguez, *Cuba: Order and Revolution* (1978), pp. 260-305, 464-511.

Jerry Hough and Merle Fainsod, *How the Soviet Union is Governed* (1979).

Seweryn Bialer, *Stalin’s Successors: Leadership, Stability, and Change in the Soviet Union* (1980), esp. pp. 129-225.

Peter C. Ludz, *The Changing Party Elite in East Germany* (1968), esp. pp. 1-12, 120-130, 146-147, 178-186.

Joel Schwartz and William Keech, “Group Influence and the Policy Process in the Soviet Union”, *American Political Science Review*, 62 (September 1968): 840-851.

H. Gordon Skilling and Franklyn Griffiths, eds., *Interest Groups in Soviet Politics* (1971).

Further reading: dictatorships and outcomes

Przeworski, Adam, Alvarez, Michael E., Cheibub, Jose Antonio, and Limongi, Fernando. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press. Chapter 3 (“Political Regimes and Economic Growth”).

Gandhi, Jennifer. 2008. *Political Institutions under Dictatorships*. New York: Cambridge University Press.

Wright, Joseph. 2008. “Do authoritarian institutions constrain? How legislatures impact

economic growth and foreign aid effectiveness,” *American Journal of Political Science* 52 (April): 322-343.

Chapter 2, “Why democratic peace?” in Russett, Bruce. 1993. *Grasping the democratic peace: Principles for a post-Cold War world*. Princeton, NJ: Princeton University Press.

Bueno de Mesquita, Bruce, Morrow, James D., Siverson, Randolph M., and Smith, Alastair. 1999. An institutional explanation of the democratic peace. *American Political Science Review*, 93(4): 791–807.

Rosato, Sebastian. 2003. The flawed logic of democratic peace theory. *American Political Science Review*, 97(4): 585–602.

Week 5. Democracy as an Equilibrium. (October 9)

Required Reading

Carles Boix. 2003. *Democracy and Redistribution*. Cambridge Univ. Press. Chapters 1-3. (*)

Lizzeri, Alessandro, and Nicola Persico. "Why Did the Elites Extend the Suffrage? Democracy and the Scope of Government, With an Application to Britain's" Age of Reform"." *The Quarterly Journal of Economics* (2004): 707-765.

Woodberry, Robert D. "The missionary roots of liberal democracy." *American Political Science Review* 106.02 (2012): 244-274.

Further reading

Seymour M. Lipset. 1959. "Some Social Requisites of Democracy: Economic Development and Political Legitimacy," *American Political Science Review* 53: 69-105.

Barrington Moore. 1966. *Social Origins of Dictatorship and Democracy : Lord and Peasant in the Making of the Modern World*. Boston : Beacon Press. Chapters 1-3, one chapter in Part II, chapters 7-9 and epilogue.

Theda Skocpol. 1973. "A Critical Review of Barrington Moore's Social Origins," *Politics and Society* 4 (Fall), pages 1-34.

Alex Inkeles. 1966. "The Modernization of Man," in Myron Weiner, ed. *Modernization*. New York: Basic Books. Pages 138-150.

Dietrich Rueschemeyer, Evelyne Huber Stephens and John D. Stephens. 1992. *Capitalist Development and Democracy*. Chicago: The University of Chicago Press. Chapter 2.

Adam Przeworski and Fernando Limongi. 1997. "Modernization: Theories and Facts," *World Politics* 49 (January).

Luebbert, Gregory M. 1991. *Liberalism, Fascism, or Social Democracy: Social Classes and the Political Origins of Regimes in Interwar Europe*. New York : Oxford University Press.

Stanley Rothman, "Barrington Moore and the Dialectics of Revolution," *American Political Science Review* 64 (March 1970): 61-85; 182-83. (Include Moore's reply and Rothman's rejoinder.)

Adam Przeworski, "Institutionalization of Voting Patterns, or is Mobilization the Source of

Decay,” *American Political Science Review* 69 (March 1975): 49-67.

Lee Sigelman, “Understanding Political Instability: An Evaluation of the Mobilization-Institutionalization Approach,” *Comparative Political Studies* 12 (July 1979) 205-228.

Samuel P. Huntington, “Will More Countries Become Democratic?” *Political Science Quarterly*, 99 (Summer 1984): 193-218.

David Laitin and James Fearon. 1996. “Explaining Ethnic Cooperation,” *American Political Science Review* 90: 715-35.

Harry Eckstein, *Division and Cohesion in Democracy* (1966), Appendix B (“A Theory of Stable Democracy”).

Michael Mann, “Social Cohesion of Liberal Democracy,” in Anthony Giddens and David Hold, *Classes, Power, and Conflict* (1982), pp. 373-395.

Dankwart A. Rustow, “Transitions to Democracy: Toward a Dynamic Model,” *Comparative Politics*, vol. 2 (April 1970): 337-364.

Sidney Verba, Norman Nie, and Jae-On Kim, *Participation and Political Equality: A Seven-Nation Comparison* (1978), chs. 1-7, (pp. 1-142), 13-14 (pp.. 269-309).

Sidney Verba, Norman Nie, *Participation in America: Political Democracy and Social Equality* (1972).

Peter Bachrach, *The Theory of Democratic Elitism: A Critique* (1967).

Carol Pateman, *Participation and Democratic Theory* (1970).

Samuel Huntington and Joan Nelson, *No Easy Choice: Political Participation in Developing Countries* (1976).

Dennis F. Thompson, *The Democratic Citizen: Social Science and Democratic Theory in the Twentieth Century* (1970).

Jack L. Walker, “A Critique of the Elitist Theory of Democracy,” *American Political Science Review* 60 (1966): 285-295.

Juan J. Linz and Alfred Stepan, eds., *The Breakdown of Democratic Regimes* (1978).

Week 6. Endogenous Democracy? (October 16)

Required Reading

Daniel Lerner. 1958. *The Passing of Traditional Society: Modernizing the Middle East*. New York: Free Press. Chapter 1, pages 19-42.

Alex Inkeles. 1966. "The Modernization of Man," in Myron Weiner, ed. *Modernization*. New York: Basic Books. Pages 138-150.

Anderson, Perry. 1974. *Lineages of the Absolutist State*. London: Verso. Pages 15-59, 113-142, 195-235, 328-360, 397-431. (*)

Christian Welzel and Ronald Inglehart. 2007. "Mass Beliefs and Democratic Institutions." In Carles Boix and Susan Stokes, eds. *Oxford Handbook of Comparative Politics*. Oxford University Press. Chapter 13.

Carles Boix. 2011. "Democracy, Development and the International System." *American Political Science Review*. 105 (November): 809-828.

Further reading

Carles Boix and S. Stokes. "Endogenous Democratization." *World Politics* 55(July): 517-49.

Daniel Treisman. 2011. "Income, Democracy, and the Cunning of Reason," NBER WP #17132. <http://papers.nber.org/papers/W17132>

Daron Acemoglu, Simon Johnson, James A. Robinson and Pierre Yared. 2008. "Income and Democracy," *American Economic Review* 98(June): 808-842.

Guillermo O'Donnell, Philippe C. Schmitter, and Laurence Whitehead, eds. *Transitions from Authoritarian Rule : Comparative Perspectives. Volume 4: Tentative Conclusions and Uncertain Democracies*. Baltimore: Johns Hopkins University Press, 1986. Pages 1-72.

Przeworski, Adam. *Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America*. 1991. Chapters 1, 2 and 4. Pages 11-99, 136-187.

Hellman, Joel. 1998. "Winners Take All: The Politics of Partial Reform in Postcommunist Transitions , " *World Politics* 50 (January).

Herbert Kitschelt. 1992. "Political Regime Change: Structure and Process-Driven Explanations?" *American Political Science Review* 86, pp. 1028-1034.

Stephen D. Krasner. "Global Communications and National Power: Life on the Pareto Frontier,"

World Politics 43: 336-66.

Douglass North. 1990. *Institutions, Institutional Change and Economic Performance*. Cambridge: Cambridge University Press. Part 2.

Nancy Bermeo, “Redemocratization and Transition Elections: A Comparison of Spain and Portugal,” *Comparative Politics* 19, 2 (January 1987), pp. 213-232.

Raquel Fernandez and Dani Rodrik. 1991. “Resistance to Reform: Status Quo Bias in the Presence of Individual- Specific Uncertainty,” *American Economic Review* 81(December), pp. 1146-1155.

Acemoglu, Daron and James Robinson. 2006. *Economic Origins of Democracy*. Cambridge University Press.

Przeworski, Adam. 2009. “Constraints and Choices: Electoral Participation in Historical Perspective.” BJPS.

Przeworski, Adam. 2009. “Conquered or Granted? A History of Suffrage Extensions.” BJPS.

Week 7. Imperfect Democracies I. (October 23)

Required Readings

Adam Przeworski. 2010. *Democracy and the Limits of Self-Government*. New York: Cambridge University Press. Chapters 1 and 2. (*)

Manin, Bernard. 1997. *The Principles of Representative Democracy*. Cambridge University Press. Chapters TBA. (*)

Adam Przeworski, Susan C. Stokes and Bernard Manin, eds. 1999. *Democracy, Accountability, and Representation*. New York: Cambridge University Press. Chapter 1, pages 29-54.

Further Readings

Schumpeter, Joseph A. 1942. Capitalism, Socialism and Democracy. Chapters 21 and 22.

Schattschneider, E. E. 1975. *The Semi-Sovereign People: A Realist's View of Democracy in America*. Wadsworth.

Dahl, Robert. 2005. *Who Governs? Democracy and Power in an American City*. Yale University Press. Second edition.

Further reading on accountability

Gentzkow, Matthew, Edward L. Glaeser, and Claudia Goldin. "The rise of the fourth estate. How newspapers became informative and why it mattered." *Corruption and Reform: Lessons from America's Economic History*. University of Chicago Press, 2006. 187-230.

Eggers, Andrew C., and Jens Hainmueller. "MPs for sale? Returns to office in postwar British politics." *American Political Science Review* 103.04 (2009): 513-533.

Week 8. Imperfect Democracies II. (November 6)

Required Readings

Adam Przeworski. 2010. *Democracy and the Limits of Self-Government*. New York: Cambridge University Press. Chapter 6.

Tsebelis, George. 2002. *Veto Players*. Princeton University Press. Read chapters 1, 2, 3, 4 and 6.

Carles Boix. 2003. *Democracy and Redistribution*. Cambridge Univ. Press. Chapter 4. (*)

Jonathan A. Rodden. 2011. *The Long Shadow of the Industrial Revolution: Political Geography and the Representation of the Left*. Unpublished ms. Read chapters 2, 4 and 5.

<http://www.stanford.edu/~jrodden/wp/shadow.pdf>

Besley, Timothy. "Political selection." *The Journal of Economic Perspectives* 19.3 (2005): 43-60.

Further Reading

Persson, Torsten, and Guido Enrico Tabellini. *The economic effects of constitutions*. MIT press, 2005.

Horiuchi, Y. 2004. "Malapportionment and Income Inequality: A Cross-National Analysis," *British Journal of Political Science* 34 (01), 179-183.

José Cheibub. 2007. *Presidentialism, parliamentarism, and democracy*. Chapter 6.

Zakaria, Fareed. 1997. The rise of illiberal democracy. *Foreign Affairs*, 76(6):22-43.

Further reading on leader selection and on leader psychology

Timothy Besley and Marta Reynal-Querol. 2011. "Do Democracies Select More Educated Leaders?" *American Political Science Review* 105 (August): 552-566.

Borghans, Lex, Angela Lee Duckworth, James J. Heckman, and Bas ter Weel. "The Economics and Psychology of Personality Traits," *The Journal of Human Resources* 2008, 33(4): 973-1059.

Marianne Bertrand and Antoinette Schoar. 2003. "Managing with Style: The Effect of Managers on Firm Policies," *Quarterly Journal of Economics* 118 (4): 1169-1208.

Nicholas Bloom and John Van Reenen. 2007. "Measuring and Explaining Management Practices Across Firms and Countries," *Quarterly Journal of Economics* 122 (4): 1351-1408.

Almlund, Mathilde, Angela Lee Duckworth, James J. Heckman, and Tim D. Katz. Personality Psychology and Economics. Vol. 4, Elsevier, NBER Working Paper 16822.

Barrick, Murray R. and Michael K. Mount. "The Big Five Personality Dimensions and Job Performance: A Meta-Analysis," *Personnel Psychology* 1991, 44(1): 1-26

Heckman, James J. 2011. "Integrating Personality Psychology into Economics." Technical Report 17378. NBER 2011.

Heckman, James J., Jora Stirrud and Sergio Urzua. 2006. "The Effects of Cognitive and Noncognitive Abilities on Labor Market Outcomes and Social Behavior." *Journal of Labor Economics* 2006, 24 (3): 411-482.

John, Oliver P., laura P. Naumann, and Christopher J. Soto. 2008. Handbook of Personality. The Guilford Press.

Kaplan, Robert M. and Dennis P. Saccuzzo. Psychological Testing: Principles, Applications, and Issues. Pacific Grove, Calif.: Brooks/Cole Pub. Co. 1997

Nyhus, Ellen K. and Empar Pons. "The Effects of Personality on Earnings," *Journal of Economic Psychology* 2005, 26(3): 363-384.

Roberts, Brent W., "Back to the Future: Personality and Assessment and Personality Development," *Journal of Research in Personality*, 2009, 43 (2), 137–145.

Roberts, Brent W., Kate E. Walton, and Wolfgang Viechtbauer, "Patterns of Mean-Level Change in Personality Traits across the Life Course: A Meta-Analysis of Longitudinal Studies," *Psychological Bulletin*, 2006, 132 (1), 1–25.

Salgado, Jesus F., "The Five Factor Model of Personality and Job Performance in The Five Factor Model of Personality and Job Performance in the European Community," *Journal of Applied Psychology*, 1997, 82 (1), 30–43.

Week 9. Imperfect Democracies III. (November 13)

Required Readings

Bartels, Larry. 2010. *Unequal Democracy: The Political Economy of the New Gilded Age*. Princeton University Press. (*) Read chapters 1-5, 8-10.

Gilens, Martin. 2014. *Affluence and Influence: Economic Inequality and Political Power in America*. Princeton NJ: Princeton University Press. Read chapters 1-4, 8. (*)

Przeworski, Adam and Covadonga Meseguer. 2006. “Globalization and Democracy.” In Pranab Bardhan, Samuel Bowles and Michael Wallerstein, eds. 2006. *Globalization and Egalitarian Redistribution*. Princeton University and Russell Sage Foundation. Chapter 7.

Further Reading

Susan C. Stokes. 2007. Political Clientelism. In Carles Boix and Susan C. Stokes, eds., *Oxford Handbook of Comparative Politics*. Oxford University Press. Chapter 25.

Ernesto Calvo and Victoria Murillo. 2004. “Who Delivers? Partisan Clients in the Argentine Electoral Market,” *American Journal of Political Science* Vol. 48 (October): 742-757.

Michael Ross. 2006. “Is Democracy Good for the Poor?” *American Journal of Political Science* 50 (October): 861-874.

Herbert Kitschelt & Steven I. Wilkinson. 2007. *Patrons, Clients and Policies: Patterns of Democratic Accountability and Political Competition*. New York: Cambridge University Press. Chapters 1 and 14.

Philip Keefer. 2007. “Clientelism, Credibility, and the Policy Choices of Young Democracies,” *American Journal of Political Science*. 51 (October): 804–821

Jessica L. Trounstine. 2008. *Political Monopolies in American Cities: The Rise and Fall of Bosses and Reformers*. University of Chicago Press.

Zakaria, Fareed. 1997. The rise of illiberal democracy. *Foreign Affairs*, 76(6):22–43.

Timothy Besley and Marta Reynal-Querol. 2011. “Do Democracies Select More Educated Leaders?”, *American Political Science Review*, 105 (August): 552-566.

Adam Przeworski, Susan C. Stokes and Bernard Manin, eds. 1999. *Democracy, Accountability, and Representation*. New York: Cambridge University Press. Chapter 1, pages 29-54.

Week 10. Nations and National Identity I. (November 20)

Required reading

Alberto Alesina. 2002. "The Size of Countries: Does It Matter?" Harvard University.

Hobsbawm, E.. 1990. *Nations and Nationalism since 1780*. Cambridge: Cambridge University Press. (*)

Ernest Gellner. 1983. *Nations and Nationalism*. Ithaca: Cornell University Press. Chapters 1-7. (*)

Thucydides. "The Pericles' Funeral Oration." *History of the Peloponnesian War*. Volume 2, 34-46.

Alexander Motyl. 2002. "Inventing Invention: The Limits of National Identity Formation." In Ronald Grigor Suny and Michael D. Kennedy, eds. *Intellectuals and the Articulation of the Nation*. Ann Arbor: University of Michigan Press. Pages 57-75.

Further reading

Kedourie, Elie. 1960. *Nationalism*. London: Hutchinson.

H. Schulze. 1996. *States, Nations, and Nationalism: from the Middle Ages to the Present*. Oxford: Blackwell.

Simon Schama. *Citizens*.

Peter Sahlins. 1989. *Boundaries: the Making of France and Spain in the Pyrenees*. Berkeley: University of California Press.

David Laitin. 1998. *Identity in Formation: The Russian-Speaking Populations in the Near Abroad*. Ithaca: Cornell University Press. Chapters 1-3, 12-13.

Anthony D. Smith. 1989. "The Origins of Nations," *Ethnic and Racial Studies* 12 (July): 340-367.

Andrzej Walicki. 1997. "Intellectual Elites and the Vicissitudes of 'Imagined Nation' in Poland," in *East European Politics and Society* 11 (3): 227-53.

Week 11. Nations and National Identities II. (December 4)

Required reading

Benedict Anderson. 1983. *Imagined Communities. Reflections on the Origin and Spread of Nationalism*. New York: Verso. Chapter 1-7. (*)

Liah Greenfeld. 1992. *Nationalism: Five Roads to Modernity*. Cambridge, Mass.: Harvard University Press. 1992.

Week 12. Nations and National Identity III. (December 11)

Required Reading

Hroch, Miroslav. 2000. *Social Preconditions of National Revival in Europe*. New York: Columbia University Press. (First edition in English was published by Cambridge University Press in 1985.) Pages 3-17, 22-30, 127-191. (*)

Weber, Eugen. 1977. *Peasants into Frenchmen. The Modernization of Rural France, 1870-1914*. Stanford University Press. Chapters 1, 4, 6, 7, 15, 17, 18 and 29 (*).

Keith Darden. 2011. *Resisting Occupation: Mass Literacy and the Creation of Durable National Loyalties*. Cambridge University Press. Read chapters 1-5; skim chapter 6 (*)

Further Reading

Charles Tilly. 1990. *Coercion, Capital, and European States, AD 990-1990*. Cambridge, Mass.: Blackwell. Chapters 3, 5 and 6.

Jeffrey Herbst. 2000. *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton, NJ: Princeton University Press. Pages 12-172, 251-272.

David Stasavage. 2011. *States of Credit: Size, Power, and the Development of European Polities*. Princeton University Press, Economic History of the Western World Series.

Cederman, Lars-Erik. 1997. *Emergent Actors in World Politics: How States and Nations Develop and Dissolve*. Princeton, NJ: Princeton University Press. Chapters 6, 7 and 8.

Otto Hintze. 1975. *The Historical Essays of Otto Hintze*. Edited by Felix Gilbert, with the assistance of Robert M. Berdahl. New York : Oxford University Press.

Hendrik Spruyt. 1994. *The Sovereign State and Its Competitors*. Princeton: Princeton University Press.

Thomas Ertman. 1997. *Birth of the Leviathan*. New York: Cambridge University Press.

Alberto Alesina and Enrico Spolaore. 2003. *The Size of Nations*. Cambridge, Mass: The MIT Press.