

**POL 589. STATES, DEMOCRACIES, NATIONS**  
Spring 2009, Wednesday 1:30-4:20, Corwin 127

Professor Carles Boix  
Robertson Hall 433  
8-2139

E-mail: [cboix@princeton.edu](mailto:cboix@princeton.edu)  
Office hours: Fri. 3-5 pm. or by appt.

Faculty Assistant: Sandy Paroly, 210 Robertson Hall, [sparoly@princeton.edu](mailto:sparoly@princeton.edu).

### **Course Design and Objectives**

This course surveys major topics and theoretical contributions in the construction of political order, the choice of constitutional regimes and the sources of citizens' compliance. The course examines: the formation and development of the modern state; democracy; authoritarianism; revolution and political stability; legitimacy and compliance; nationalism; and macro theories of political change. With the explicit goal of exploring how research in comparative politics should be pursued in the future, each session assigns readings from both traditional macrohistorical and qualitative research and more recent analytical models.

### **Course Procedures and Evaluation**

Each student is expected to read (before class) the items listed as 'required readings' for each session.

In addition, students are expected to complete:

(1) Six short papers (around 3 pages) answering one of the week's discussion questions. Papers will be due by 4:30pm the day before class (with answers to the questions of that week's session) and should be placed in a box outside the instructor's office. No exceptions will be made and no extensions will be granted. The answers should not just summarize readings, but show reflection on how the readings address important issues, are flawed in particular dimensions, or can be developed or improved in specific directions.

(2) A final take-home exam to be set by the instructor or a research paper with a topic to be determined in advanced with the instructor. Due date: Monday May 18, 2009.

Grades: 20% participation, 40% papers, 40% final exam (paper).

### **Readings**

Books marked with an (\*) have been ordered at the Labyrinth and put on reserve. All other readings have been put on electronic reserve.

## **Week 1. Anarchy, Order, the State.** (February 4)

### Required Reading

Machiavelli. *Discourses*. Book I, discourse 2. Penguin.

Charles Tilly. 1990. *Coercion, Capital, and European States, AD 990-1990*. Cambridge, Mass.: B. Blackwell. Chapters 1 and 3. (\*)

Mancur Olson. 2000. *Power and Prosperity*. New York: Basic Books. Chapters 1-4. (\*)

Douglas North. 1981. *Structure and Change in Economic History*. New York: Norton. Chapter 3. (\*)

William H. McNeil. 1982. *The Pursuit of Power*. Chicago: The University of Chicago Press. Chapters 1 and 3.

### Further reading

Charles Tilly, "Reflections on the History of European State-Making," in Tilly, ed., *The Formation of National States in Western Europe* (Princeton: Princeton University Press, 1986), pp. 3-83.

Otto Hintze. 1975. *The Historical Essays of Otto Hintze*. Edited by Felix Gilbert, with the assistance of Robert M. Berdahl. New York : Oxford University Press.

Joseph Strayer. 1970. *On the Medieval Origins of the Modern State*. Princeton: Princeton University Press.

Robert Putnam. 1993. *Making Democracy Work*. Princeton, N.J.: Princeton University Press.

Perry Anderson. 1979. *Lineages of the Absolutist State*. London: Verso Editions.

Lisa Anderson. 1986. *The State and Social Transformation in Tunisia and Libya 1830-1980*. Princeton: Princeton University Press.

Stephen Skowronek. 1982. *Building a New American State*. New York: Cambridge University Press.

Robert Alford, "Paradigms of Relations Between State and Society," in Leon Lindberg, et al., eds., *Stress and Contradiction in Modern Capitalism* (Lexington, Ma., Heath, 1975), pp. 145-60.

John H. Kautsky, "Revolutionary and Managerial Elites in Modernizing Regimes," *Comparative*

*Politics* 1 (July 1969), pp. 441-67.

Robert Putnam, "Bureaucrats and Politicians: Contending Elites in the Policy Process," in William B. Gwyn and George C. Edwards, eds., *Perspectives on Policy-Making* (New Orleans: Tulane University Press, 1975) pp. 179-202.

Peter B. Evans et al., *Bringing the State Back In* (NY: Cambridge University Press, 1985), pp. 3-77.

Stephen D. Krasner, "Approaches to the State: Alternative Conceptions and Historical Dynamics," *Comparative Politics*, 16 (January 1984), pp. 223-246.

Martin Shefter, "Parties and Patronage: England, Germany and Italy," *Politics and Society* (1981).

Charles Lindblom, "The Market as Prison," *Journal of Politics*, vol. 44, 1982, pp. 324-336.

James G. March and Johan P. Olson, "The New Institutionalism: Organizational factors in Political Life," *American Political Science Review*, vol. 78 (1984), pp. 734-749.

Gabriel A. Almond, "The Return of the State," and replies by Eric A. Nordlinger, Theodore J. Lowi and Sergio Fabbrini, *American Political Science Review*, vol. 82 (September 1988), pp. 875-901.

David A. Gold, Charles Y.H. Lo, and Eric Olin Wright, "Recent Developments in Marxist Theories of the Capitalist State," *Monthly Review* (Oct. 1975), pp. 29-43 and November 1975, pp. 36-51.

Fred Block, "The Ruling Class Does Not Rule: Notes on the Marxist Theory of the State," *Socialist Revolution/Review* (May 1977).

Paul Sacks, "State Structure and the Asymmetric Society: Approach to Public Policy in Britain," *Comparative Politics* (April 1980), pp. 349-376.

Martin Carnoy, *Political Theory and the State* (1984).

Kay Trimberger, *Revolution from Above: Military Bureaucrats in Development in Japan, Turkey, Egypt, and Peru* (1978).

## **Week 2. Foundations of Power. Dictatorships (I).** (February 11)

### Required reading

Steven Lukes. 2005. *Power: A Radical View*. New York: Macmillan. Second edition. Chapter 1, pp. 1-59. (\*)

Gordon Tullock. 1987. *Autocracy*. Boston: Kluwer. Chapters: 1-4, 7-8. (\*)

Myerson, Roger. 2008. "The Autocrat's Credibility Problem and Foundations of the Constitutional State," *American Political Science Review* 102 (February): 125-139. Pages 133-137 only.

Kuran, Timur. 1991. "Now Out of Never: The Element of Surprise in the East European Revolution of 1989 (in Liberalization and Democratization in the Soviet Union and Eastern Europe)," *World Politics* 44 (October): 7-48.

Lisa Wedeen. 1999. *Ambiguities of Domination : Politics, Rhetoric, and Symbols in Contemporary Syria*. University of Chicago Press. (\*)

Luttwak, Edward. 1969. *Coup d'etat: A practical handbook*. New York: Knopf. Chapters 1 ("What is the Coup d'Etat?") and 2 ("When is a Coup d'Etat possible?")

### Further reading

Linz, Juan. 2000. *Totalitarian and Authoritarian Regimes*. Boulder, Colorado: Lynne Rienner. Pages 49-261.

Bueno de Mesquita, Bruce, Smith, Alastair, Siverson, Randolph M., and Morrow, James D. 2003. *The Logic of Political Survival*. Cambridge: MIT Press.

Robert A Dahl, "Government and Political Oppositions," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 3 (1975): 115-174.

Guillermo O'Donnell, *Modernization and Bureaucratic-authoritarian: Studies in South American Politics* (1973), pp. 1-165.

David Collier, ed. *The New Authoritarianism in Latin America*, Princeton University Press, 1979.

Samuel P. Huntington, "Social and Institutional Dynamics of One-Party Systems," in S.P. Huntington and C.H. Moore, eds., *Authoritarian Politics in Modern Society* (1970): 3-44.

Stephen White, "What is a Common System?" *Studies in Comparative Communism* 16, no. 4 (Winter 1983): 247-263.

Seymour Martin Lipset, *Political Man: The Social Bases of Politics* (1960), chs. 1, 3.

Guillermo O'Donnell, "Reflections on the pattern of Change in the Bureaucratic-authoritarian State," *Latin American Research Review* 13 no. 1 (1978): 3-38.

Karen Remmer and Gilbert Merckx, "Bureaucratic-authoritarianism Revisited," and Guillermo O'Donnell, "Reply to Remmer and Merckx," in *Latin American Research Review* 17 no. 2 (1982): 3-36, 41-48.

Arturo Valenzuela, *The Breakdown of Democratic Regimes: Chile* (1978).

John Sheahan, "Market-oriented Policies and Political Repression in Latin America," *Economic Development and Cultural Change* 28 no. 2 (January 1980): 267-292.

Issac J. Mowoe, ed., *The Performance of Soldiers as Governors: African Politics and the African Military* (1980).

Amos Perlmutter, *The Military and Politics in Modern Times: On Professionals, Praetorians, and Revolutionary Soldiers* (1977).

Samuel Decalo, *Coups and Army Rule in Africa* (1976).

Harry Eckstein, *Division and Cohesion in Democracy* (1966), Appendix B ("A Theory of Stable Democracy").

Michael Mann, "Social Cohesion of Liberal Democracy," in Anthony Giddens and David Hold, *Classes, Power, and Conflict* (1982), pp. 373-395.

Dankwart A. Rustow, "Transitions to Democracy: Toward a Dynamic Model," *Comparative Politics*, vol. 2 (April 1970): 337-364.

Robert A. Dahl, *Polyarchy: Participation and Opposition* (1971).

Robert A. Dahl, *Preface to Democratic Theory* (1956).

Sidney Verba, Norman Nie, and Jae-On Kim, *Participation and Political Equality: A Seven-Nation Comparison* (1978), chs. 1-7, (pp. 1-142), 13-14 (pp. 269-309).

Sidney Verba, Norman Nie, *Participation in America: Political Democracy and Social Equality* (1972).

Peter Bachrach, *The Theory of Democratic Elitism: A Critique* (1967).

Carol Pateman, *Participation and Democratic Theory* (1970).

Samuel Huntington and Joan Nelson, *No Easy Choice: Political Participation in Developing Countries* (1976).

Dennis F. Thompson, *The Democratic Citizen: Social Science and Democratic Theory in the Twentieth Century* (1970).

Jack L. Walker, "A Critique of the Elitist Theory of Democracy," *American Political Science Review* 60 (1966): 285-295.

Juan J. Linz and Alfred Stepan, eds., *The Breakdown of Democratic Regimes* (1978).

John H. Nerz, ed. *From Dictatorship to Democracy: Coping with the Legacies of Authoritarianism and Totalitarianism* (1982).

Further reading (communist regimes)

*Studies in Comparative Communism* 12 no. 1 (Spring 1979): 3-38 (Symposium, "Pluralism in Communist Societies," Janos, Odom, Terry, Gitelman).

*Studies in Comparative Communism* 13 no. 1 (Spring 1980): 82-90 (more of above, Skilling, Janos).

David Lane, ed., *Politics and Society in the USSR* (second edition, 1978). Irving Howe, ed., *1984 Revisited: Totalitarianism in Our Century* (1983), esp pp. 103-148, 209-267 (Walzer, Kolakowski, Djilas, and Lowenthal).

Mark Field, ed., *Social Consequences of Modernization in Communist Societies* (1976), esp. pp. 50-59 (Alex Inkeles, "The Modernization of Man in Socialist and Nonsocialist Countries"), 81-113 (Richard Lowenthal, "The Ruling Party in a Mature Society").

Kenneth Jowitt, *The Leninist Response to National Dependency* (1978), esp. pp. 34-73.

Alfred G. Meyer, "Communism and Leadership," *Studies in Comparative Communism* 16 no. 3 (Autumn 1983): 161-169.

J.M. Montias, "Economic Conditions and Political Instability in Communist Countries," *Studies in Comparative Communism* 13 no. 4 (Winter 1980): 283-299.

Ellen Turkish Comisso, *Workers' Control Under Plan and Market* (1979), pp. 42-141, 209-223.

David Lane and Felicity O'Dell, *The Soviet Industrial Worker* (1978), esp. pp. 1-52, 132-138.

Jorge I. Domínguez, *Cuba: Order and Revolution* (1978), pp. 260-305, 464-511.

Jerry Hough and Merle Fainsod, *How the Soviet Union is Governed* (1979).

Seweryn Bialer, *Stalin's Successors: Leadership, Stability, and Change in the Soviet Union* (1980), esp. pp. 129-225.

Peter C. Ludz, *The Changing Party Elite in East Germany* (1968), esp. pp. 1-12, 120-130, 146-147, 178-186.

Joel Schwartz and William Keech, "Group Influence and the Policy Process in the Soviet Union", *American Political Science Review*, 62 (September 1968): 840-851.

H. Gordon Skilling and Franklyn Griffiths, eds., *Interest Groups in Soviet Politics* (1971).

### **Week 3. Dictatorships (II).** (February 18)

#### Required reading

Samuel E. Finer. 1997. "Venice: la Serenissima." In *The History of Government. Volume II*. New York: Oxford University Press. Pages 985-1019.

Boix, Carles and Milan Svolik. 2009. "The Foundations of Limited Authoritarian Government: Institutions and Power-sharing in Dictatorships." Unpublished manuscript.

Wintrobe, Ronald. 1990. "The Tinpot and the Totalitarian: An Economic Theory of Dictatorship," *American Political Science Review* 84 (September): 849-872.

Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. New York: Cambridge University Press. Introduction and chapter 1.

Przeworski, Adam, Alvarez, Michael E., Cheibub, Jose Antonio, and Limongi, Fernando. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press. Chapter 3 ("Political Regimes and Economic Growth").

Jones, Benjamin F. and Olken, Benjamin A. 2006. "Do leaders matter? National leadership and growth since World War II," *Quarterly Journal of Economics*, 120(3): 835–864.

Wright, Joseph. 2008. "Do authoritarian institutions constrain? How legislatures impact economic growth and foreign aid effectiveness," *American Journal of Political Science* 52 (April): 322-343.

#### Further Reading

Levitsky, Steven and Way, Lucan A. 2002. The rise of competitive authoritarianism. *Journal of Democracy*, 13(2):51–65.

Lust-Okar, Ellen. 2006. Elections under authoritarianism: Preliminary lessons from Jordan. *Democratization*, 13(3):456–71.

Gandhi, Jennifer. 2008. *Political Institutions under Dictatorships*. New York: Cambridge University Press. Chapters 4 and 5.

Smith, Benjamin. 2005. Life of the party: The origins of regime breakdown and persistence under single-party rule. *World Politics*, 57(3): 421–51.

Brownlee, Jason. 2007. *Authoritarianism in an Age of Democratization*. New York: Cambridge University Press.

Brooker, Paul. 2000. *Non-democratic regimes: Theory, government, and politics*. New York: St. Martin's Press.

Chapter 2, "Why democratic peace?" in Russett, Bruce. 1993. *Grasping the democratic peace: Principles for a post-Cold War world*. Princeton, NJ: Princeton University Press.

Bueno de Mesquita, Bruce, Morrow, James D., Siverson, Randolph M., and Smith, Alastair. 1999. An institutional explanation of the democratic peace. *American Political Science Review*, 93(4): 791–807.

Rosato, Sebastian. 2003. The flawed logic of democratic peace theory. *American Political Science Review*, 97(4): 585–602.

## **Week 4. Democratization Theory I. (February 25)**

### Required Reading

Seymour M. Lipset. 1959. "Some Social Requisites of Democracy: Economic Development and Political Legitimacy," *American Political Science Review* 53: 69-105.

Barrington Moore. 1966. *Social Origins of Dictatorship and Democracy : Lord and Peasant in the Making of the Modern World*. Boston : Beacon Press. Chapters 1-3, one chapter in Part II, chapters 7-9 and epilogue. (\*)

Theda Skocpol. 1973. "A Critical Review of Barrington Moore's Social Origins," *Politics and Society* 4 (Fall), pages 1-34.

### Further reading

Dietrich Rueschemeyer, Evelyne Huber Stephens and John D. Stephens. 1992. *Capitalist Development and Democracy*. Chicago: The University of Chicago Press. Chapter 2.

Luebbert, Gregory M. 1991. *Liberalism, Fascism, or Social Democracy: Social Classes and the Political Origins of Regimes in Interwar Europe*. New York : Oxford University Press.

Stanley Rothman, "Barrington Moore and the Dialectics of Revolution," *American Political Science Review* 64 (March 1970): 61-85; 182-83. (Include Moore's reply and Rothman's rejoinder.)

Adam Przeworski, "Institutionalization of Voting Patterns, or is Mobilization the Source of Decay," *American Political Science Review* 69 (March 1975): 49-67.

Lee Sigelman, "Understanding Political Instability: An Evaluation of the Mobilization-Institutionalization Approach," *Comparative Political Studies* 12 (July 1979) 205-228.

Samuel P. Huntington, "Will More Countries Become Democratic?" *Political Science Quarterly*, 99 (Summer 1984): 193-218.

David Laitin and James Fearon. 1996. "Explaining Ethnic Cooperation," *American Political Science Review* 90: 715-35.

## **Week 5. Democratization Theory II. (March 4)**

### Required Reading

Adam Przeworski and Fernando Limongi. 1997. "Modernization: Theories and Facts," *World Politics* 49 (January).

Carles Boix and S. Stokes. "Endogenous Democratization." *World Politics* 55(July): 517-49.

Daron Acemoglu, Simon Johnson, James A. Robinson and Pierre Yared. 2008. "Income and Democracy," *American Economic Review* 98(June): 808-842.

Carles Boix. 2003. *Democracy and Redistribution*. Cambridge University Press. Chapters 1-3.  
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Przeworski, Adam. 2007. "Constraints and Choices: Electoral Participation in Historical Perspective." Unpublished manuscript. NYU.

Przeworski, Adam. 2007. "Conquered or Granted? A History of Suffrage Extensions." Unpublished manuscript. NYU.

### Further reading

Guillermo O'Donnell, Philippe C. Schmitter, and Laurence Whitehead, eds. *Transitions from Authoritarian Rule : Comparative Perspectives. Volume 4: Tentative Conclusions and Uncertain Democracies*. Baltimore: Johns Hopkins University Press, 1986. Pages 1-72.

Przeworski, Adam. *Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America*. 1991. Chapters 1, 2 and 4. Pages 11-99, 136-187.

Hellman, Joel. 1998. "Winners Take All: The Politics of Partial Reform in Postcommunist Transitions ," *World Politics* 50 (January).

Herbert Kitschelt. 1992. "Political Regime Change: Structure and Process-Driven Explanations?" *American Political Science Review* 86, pp. 1028-1034.

Stephen D. Krasner. "Global Communications and National Power: Life on the Pareto Frontier," *World Politics* 43: 336-66.

Douglass North. 1990. *Institutions, Institutional Change and Economic Performance*. Cambridge: Cambridge University Press. Part 2.

Nancy Bermeo, "Redemocratization and Transition Elections: A Comparison of Spain and Portugal," *Comparative Politics* 19, 2 (January 1987), pp. 213-232.

Raquel Fernandez and Dani Rodrik. 1991. "Resistance to Reform: Status Quo Bias in the Presence of Individual- Specific Uncertainty," *American Economic Review* 81(December), pp. 1146-1155.

Acemoglu, Daron and James Robinson. 2006. *Economic Origins of Democracy*. Cambridge University Press.

## **Week 6. Imperfect Democracies. (March 11)**

Robert D. Putnam. 1993. *Making Democracy Work*. Princeton, N.J.: Princeton University Press. (\*)

Adam Przeworski, Susan C. Stokes and Bernard Manin, eds. 1999. *Democracy, Accountability, and Representation*. New York: Cambridge University Press. Pages 1-16.

Herbert Kitschelt & Steven I. Wilkinson. 2007. *Patrons, Clients and Policies: Patterns of Democratic Accountability and Political Competition*. New York: Cambridge University Press. Chapters 1 and 14.

Susan C. Stokes. 2005. "Perverse Accountability: A Formal Model of Machine Politics with Evidence from Argentina," *American Political Science Review* 99 (August): 315-325.

Ernesto Calvo & Victoria Murillo. 2004. "Who Delivers? Partisan Clients in the Argentine Electoral Market," *American Journal of Political Science* Vol. 48 (October): 742-757.

Philip Keefer. 2007. "Clientelism, Credibility, and the Policy Choices of Young Democracies," *American Journal of Political Science*. 51 (October): 804–821

### Further Reading

Zakaria, Fareed. 1997. The rise of illiberal democracy. *Foreign Affairs*, 76(6):22–43.

## **Week 7. Political Order and Violence. (March 25)**

### Required Reading

Samuel Huntington. 1968. *Political Order in Changing Societies*. New Haven: Yale University Press. Chapters 1, 3 to 7. (\*)

James Fearon and David Laitin. 2003. "Ethnicity, Insurgency, and Civil War," *American Political Science Review* 97 (February): 75-90.

Carles Boix. 2008. "Economic Roots of Civil Wars and Revolutions in the Contemporary World," *World Politics* 60 (April): 390-437. Only pages 390-393 & 401-433.

Steven Pincus. 2007. "Rethinking Revolutions: A neo-Tocquevillian Perspective." In Carles Boix and Susan Stokes, eds. *Oxford Handbook of Comparative Politics*. Oxford University Press. Chapter 17.

Stathis N. Kalyvas, Laia Balcells, and Dominic Rohner. 2008. "International System and Technologies of Rebellion: How the Cold War Shaped Internal Conflict." Working paper.

James C. Scott, *The Moral Economy of the Peasant: Rebellion and Subsistence in Southeast Asia*. Yale, 1976, chapters 1, 2.

### Further Reading

John Londregan and Keith Poole. 1990. "Poverty, the Coup Trap, and the Seizure of Executive Power," *World Politics*, 1-19.

Collier, Paul and Anke Hoeffler. 1999. "Justice Seeking and Loot-seeking in Civil War." World Bank. Typescript.

Collier, Paul and Anke Hoeffler. 2001. "Greed and Grievance in Civil War." World Bank. Typescript.

Sambanis, Nicholas. 2003. "Expanding Economic Models of Civil War Using Case Studies". Yale University. Unpublished manuscript.

David Laitin and James Fearon. 1996. "Explaining Ethnic Cooperation," *American Political Science Review* 90: 715-35.

Stathis Kalyvas. 2006. *The Logic of Violence in Civil War*. New York: Cambridge University Press.

Samuel P. Huntington, "Will More Countries Become Democratic?" *Political Science Quarterly*, 99 (Summer 1984): 193-218.

Nancy Bermeo, "Redemocratization and Transition Elections: A Comparison of Spain and Portugal," *Comparative Politics* 19, 2 (January 1987), pp. 213-232.

Theda Skocpol, *States and Social Revolution*. Cambridge University Press, 1979, pp. 3-42, 161-171.

Kay Trimberger, *Revolution from Above: Military Bureaucrats in Development in Japan, Turkey, Egypt, and Peru* (1978), pp. 1-36.

Ted Robert Gurr, "The Revolution-Social Change Nexus," *Comparative Politics*, 5 (April 1973) 359-392.

Charles Tilly, "Revolution and Collective Violence," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 3 (1975): 483-547.

Joel Migdal, *Peasants, Politics and Revolution: Pressures Towards Political and Social Change in the Third World* (1974), pp. 226-256.

Eric R. Wolf, *Peasant Wars in the Twentieth Century* (1969), pp. 1x-xv, 277-302.

Aristide Zolberg, *Ceasing Political Order: The party-States of West Africa* (1966).

S.N. Eisenstadt, *The Political Systems of Empires* (1963).

Henry Bienen, *Armies and Parties in Africa* (1978).

Charles Tilly, ed., *The Formation of National States in Western Europe* (1975).

Raymond Grew, ed., *Crises of Political Developments in Europe and the United States* (1978).

Jonathan Kelley and Herbert S. Klein, *Revolution and the Rebirth of Inequality* (1982), esp. chs 1, 8.

Ekkart Zimmerman, *Political Violence, Crises, and Revolutions: Theories and Research* (1983), chs. 1-2 (pp. 1-15), 5 (pp. 37-187), and 8 (pp. 292-411).

E.J. Hobsbawm, *Primitive Rebels: Studies in Archaic Forms of Social Movements in the 19<sup>th</sup> and 20<sup>th</sup> Centuries* (1959).

Jorge I. Domínguez, *Insurrection or Loyalty: The Breakdown of the Spanish American Empire*

(1980).

Ted Robert Gurr, *Why Men Rebel* (1970).

Donald L. Horowitz, *Ethnic Groups in Conflict* (1985).

Michael Schwartz, *Radical Protest and Social Structure* (1976).

Frances Fox Piven and Richard Claven, *Poor Peoples Movements: Why They Succeed and How They Fail* (1977).

**Week 8. Compliance and Consent. (April 1)**

Required Reading

Max Weber. *Economy and Society*. University of California Press. Volume 1, pages 212-51; volume 2, pages 901-26, 941-54, 1006-31, 1111-55.

Margaret Levi. 1997. *Consent, Dissent, and Patriotism*. New York : Cambridge University Press. (\*)

Jurgen Habermas. 1975. *Legitimation Crisis*. Boston: Beacon Press. Pages 95-117.

Further Reading

Seymour M. Lipset. 1981. *Political Man*. The John Hopkins University Press. Chapter 3.

## **Week 9. National Identities and Nationalism (I).** (April 8)

### Required reading

Ernest Gellner. 1983. *Nations and Nationalism*. Ithaca: Cornell University Press. Chapters 1-7. (\*)

Benedict Anderson. 1983. *Imagined Communities. Reflections on the Origin and Spread of Nationalism*. New York: Verso. Chapter 1-7. (\*)

Anthony D. Smith. 1989. "The Origins of Nations," *Ethnic and Racial Studies* 12 (July): 340-367.

### Further reading

Kedourie, Elie. 1960. *Nationalism*. London: Hutchinson.

Hobsbawm, E.. 1990. *Nations and Nationalism since 1780*. Cambridge: Cambridge University Press.

H. Schulze. 1996. *States, Nations, and Nationalism: from the Middle Ages to the Present*. Oxford: Blackwell.

Hroch, Miroslav. 2000. *Social Preconditions of National Revival in Europe*. New York: Columbia University Press. (First edition in English was published by Cambridge University Press in 1985.) (\*)

## **Week 10. National Identities and Nationalism (II).** (April 15)

### Required reading

Alexander Motyl. 2002. "Inventing Invention: The Limits of National Identity Formation." In Ronald Grigor Suny and Michael D. Kennedy, eds. *Intellectuals and the Articulation of the Nation*. Ann Arbor: University of Michigan Press. Pages 57-75.

Liah Greenfeld. 1992. *Nationalism: Five Roads to Modernity*. Cambridge, Mass.: Harvard University Press. 1992. Chapters TBA. (\*)

Simon Schama. *Citizens*. Chapter TBA.

Andrzej Walicki. 1997. "Intellectual Elites and the Vicissitudes of 'Imagined Nation' in Poland," in *East European Politics and Society* 11 (3): 227-53.

### Further Reading

Weber, Eugen. 1977. *Peasants into Frenchmen. The Modernization of Rural France, 1870-1914*. Stanford University Press.

Peter Sahlins. 1989. *Boundaries: the Making of France and Spain in the Pyrenees*. Berkeley: University of California Press. (\*)

David Laitin. 1998. *Identity in Formation: The Russian-Speaking Populations in the Near Abroad*. Ithaca: Cornell University Press. Chapters 1-3, 12-13. (\*)

**Week 11. Number and Size of States. (April 22)**

Required Reading

Charles Tilly. 1990. *Coercion, Capital, and European States, AD 990-1990*. Cambridge, Mass.: Blackwell. Chapters 3, 5 and 6. (\*)

Jeffrey Herbst. 2000. *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton, NJ: Princeton University Press. Pages 12-172, 251-272. (\*)

Alberto Alesina and Enrico Spolaore. 2003. *The Size of Nations*. Cambridge, Mass: The MIT Press. Pages 1-23, 31-38, 53-74, 81-85, 95-101, 137-144, 175-224. (\*)

Further Reading

Hendrik Spruyt. 1994. *The Sovereign State and Its Competitors*. Princeton: Princeton University Press.

Thomas Ertman. 1997. *Birth of the Leviathan*. New York: Cambridge University Press.

## **Week 12. Political Change and Political Development.** (April 29)

### Required Reading

Daniel Lerner. 1958. *The Passing of Traditional Society: Modernizing the Middle East*. New York: Free Press. Chapter 1, pages 19-42.

Alex Inkeles. 1966. "The Modernization of Man," in Myron Weiner, ed. *Modernization*. New York: Basic Books. Pages 138-150.

Douglas North. 1981. *Structure and Change in Economic History*. New York: Norton. Chapters 1-4, 6-9, 11-12. (\*)

Carles Boix. 2003. *Democracy and Redistribution*. New York: Cambridge University Press. Pages 204-32.

Robert Bates. 1990. "Macropolitical Economy in the Field of Development." In James E. Alt and Kenneth Shepsle (eds). 1990. *Perspectives on Positive Political Economy*. Cambridge: Cambridge University Press. Chapter 2.

### Further reading

Samuel Huntington and Jorge Dominguez. 1975. "Political Development." In Fred Greenstein and Nelson Polsby, eds. *Handbook of Political Science*. Reading, Mass. : Addison-Wesley Publishing Company. Volume 3, pages 1-98.

Jason Finkle and Richard gable, eds. *Political Development and Social Change* (second edition, 1971): chapters by Joseph Gusfield, "Tradition and Modernity," pp. 15-26; Cyril Black, "Phases of Modernization," pp. 436-455; Lucian Pye, "The Nature of Transitional Politics," pp. 538-549.

Leonard Binder et al., *Crises and Sequences in Political Development* (1971), Chapter 1 (pp. 3-72).

Samuel P. Huntington, "The Change to Change: Modernization, Development, and Politics," *Comparative Politics*, 3 (April 1971): 283-322.

Jose Ocampo and Dale Johnson, "The Concept of Political Development," in James Cockcroft, Andre Gunder Frank, and Dale Johnson, eds., *Dependence and Development* (1972), pp. 399-424.

Myron Weiner and Samuel Huntington, eds., *Understanding Political Development* (1987), ch. 2 (Weiner) and 3 (Dominguez), pp. 33-99.

Robert H. Bates, ed., *Towards a Political Economy of Development: A Rational Choice Perspective* (1988), pp. 80-130, 239-244.

Ronald H. Chilcote, *Theories of Comparative Politics: The Search for a Paradigm*, Westview Press, 1981.

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