

# Power Control in Cellular Networks: Taxonomy and Recent Results

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## Overview

Three major types of resource constraints:

- Congestion:  $x + y \leq 1$  (Distributed gradient and variants)
- Collision:  $x + y \leq 1, x, y \in \{0, 1\}$  (Max. weight matching and approx.)
- **Interference:**  $\frac{x}{y} \geq 1$  (Fixed point update and variants)

$$\gamma_1 = \frac{p_1}{hp_2 + \eta}$$

15 years (at least) of research, tremendous practical impact, still intellectually challenging

## Outline

- Part I: Taxonomy
- Part II: 3 Recent Results

### Acknowledgement:

- Prashanth Hande, Tian Lan, Chee Wei Tan
- Maryam Fazel, Dennice Gayme, Farhad Meshkati, Dani Palomar, Sundeep Rangan
- Qualcomm

## **Part I: Taxonomy**

## Not Covered

### Other uses of power control:

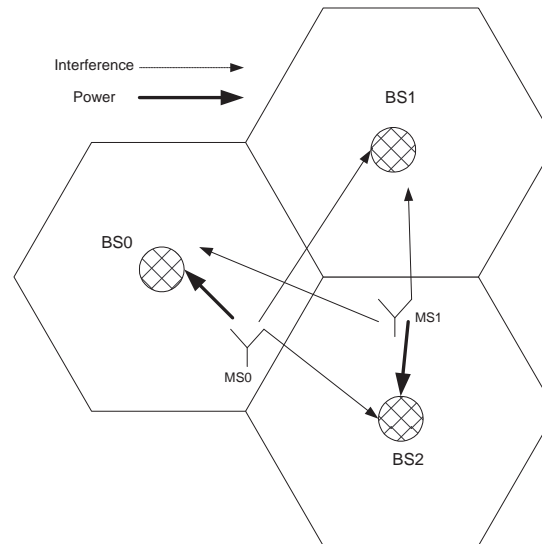
- Channel estimation
- Connectivity management

### Other problem formulations:

- Ad hoc network
- Capacity region
- Stochastic stability

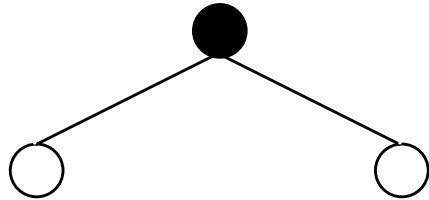
Apology for any missing references

## Multi-cellular Wireless Networks



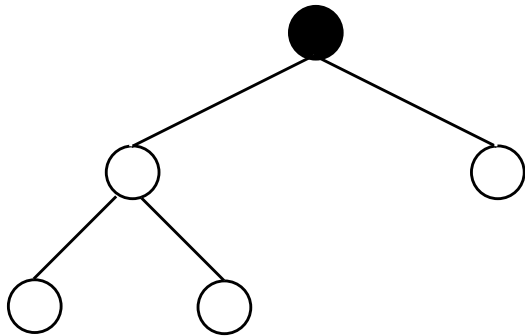
$$\gamma_i(\mathbf{p}) = \frac{p_i h_{ii}}{\sum_{j \neq i} p_j h_{ij} + \eta_i}$$

## Problem Tree I: Stationary or Opportunistic



- **Stationary:** Channel gains are constants in power control algorithm's timescale
- **Opportunistic:** Time-varying channel gains (due to fast mobility or fading)

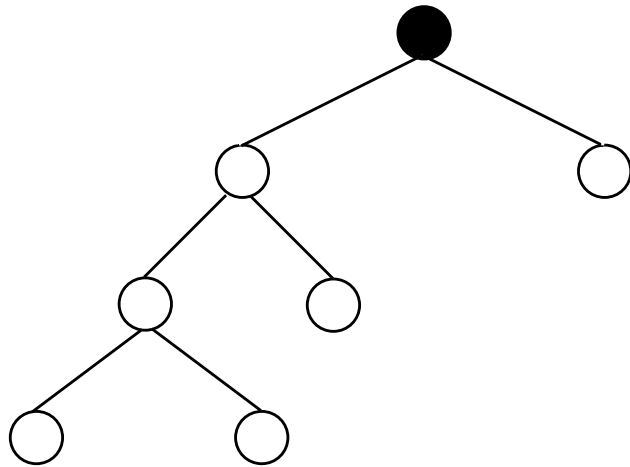
## Problem Tree II: Cooperative or Non-cooperative



- **Cooperative:** Optimization theoretic formulations, maximizing a **system-wide objective function** over **feasibility**, **QoS constraints**, and **resource constraints**
- **Non-cooperative:** Game theoretic formulations, each user maximizes its **selfish utility** subject to **local constraints**

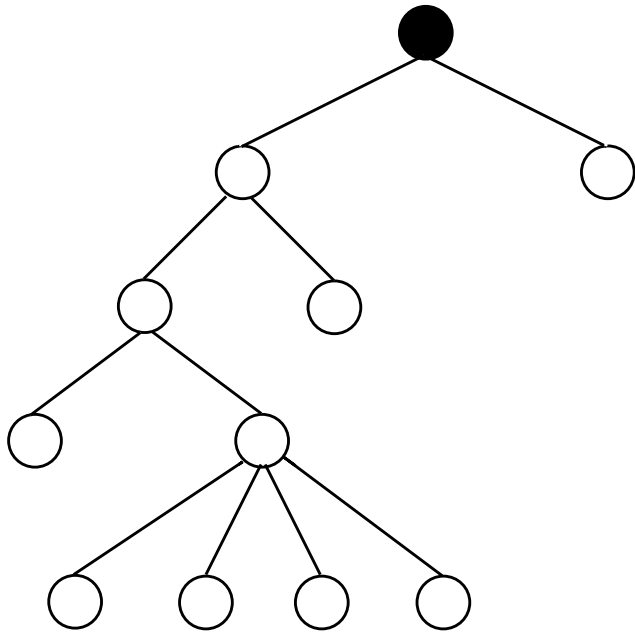


## Problem Tree III: Fast Timescale or Slow Timescale



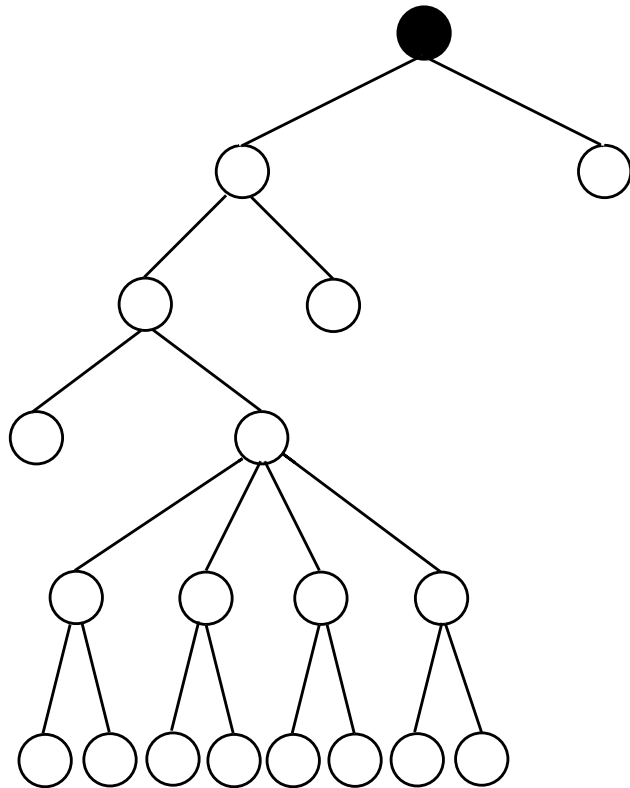
- **Fast Timescale:** Optimize over powers for **fixed** SIR targets
- **Slow Timescale:** **Jointly optimize** over powers and target SIRs

## Problem Tree IV: PC Only or Joint Control



- **PC only:** Transmit power is only the degree of freedom
- **Joint control:** Can jointly control other degrees of freedom: **multiple-antenna**, **spectral** (bandwidth allocation), **spatial** (base-station assignment), **temporal** (scheduling)

## Problem Tree V: Uplink or Downlink



- **Uplink:** from Mobile Stations (MS) to Base Station (BS), multi-cellular interference. Often more difficult
- **Downlink:** from BS to MS, total power budget. More difficult for beamforming problems, uplink-downlink duality

## More On Problem Tree I: Definition of Optimality

In general: problem formulations are **indexed by time**:

- Allow **convexification** of the underlying rate region by silencing some users during some time slots
- Converges to a **limit cycle** rather than a point
- Includes scheduling problem as a special case

A special case considered in almost all PC papers: **problem formulation is time-invariant**

- No user is silenced at the equilibrium

## More On Problem Tree II: Equilibrium or Transience

Questions about **equilibrium**:

- **Convergence**
- Properties of equilibrium: Nash equilibrium, local optimum, global optimum

Questions about **transience**:

- **Invariance**
- Properties of transience: Rate of convergence

## More On Problem Tree III: Definition of Functional Dependencies

Objective function:

- $\sum_i U_i$ : utility function that can depend on throughput, delay, jitter, energy

Efficiency

Elasticity

User satisfaction

Fairness

- $\sum_i C_i$ : cost function of power that can depend on all degrees of freedom, including power

## More On Problem Tree III: Definition of Functional Dependencies

Throughput dependency on SIR:

- Capacity formula:  $\log(1 + K\gamma)$
- High SIR:  $\log(K\gamma)$
- Low SIR:  $K\gamma$
- Reliability function:  $Rf(\gamma)$
- More complicated formula for multi-user detector and multi-carrier

## More On Problem Tree III: Definition of Functional Dependencies

Other degrees of freedom:

- **Beamforming:**  $h_{ij}$  becomes  $\mathbf{w}_i^T \mathbf{h}_{ij}$
- **Bandwidth allocation:**  $\log(1 + \gamma_i)$  becomes  $b_i \log(1 + \gamma_i \frac{B}{b_i})$  with  $\sum_i b_i = B$
- **Base station assignment:**  $G_{ii}$  becomes  $G_{i\sigma_i}$
- **Scheduling:**  $\frac{p_i}{\sum_{j \neq i} p_j + \eta_i}$  becomes  $\frac{\theta_i p_i}{\sum_{j \neq i} \theta_j p_j + \eta_i}$ , with  $\theta_i \in \{0, 1\}$



## Structures I: Convexity

### Constraint set:

- **Feasibility set**: convex or log-convex (Boche et al, Wong et al)
- **QoS requirements**: convex after a log change of variable in high SIR regime (Chiang et al)
- Resource constraints: usually affine

### Objective function:

- **$\alpha$ -fair utility functions**  $U(x) = x^{1-\alpha}/(1-\alpha)$ : concave for all  $\alpha \geq 0$ , concave after log change of variable for  $\alpha \geq 1$
- **Convex increasing cost functions**

## Structures II: Decomposability

Can global optimization be solved **distributedly**?

Can selfish interactions lead to **social welfare maximization**?

- **Centralized solution**: BS collects all information, does all the computation, then broadcasts the solutions.

Often Mobile Switching Center needs to coordinate across multiple BSs

- **Distributed solution with explicit feedback**: limited message passing between a BS and its MSs, no MSC coordination
- **Fully distributed solution with only implicit feedback**: no message passing at all, only measures physically meaningful local quantities

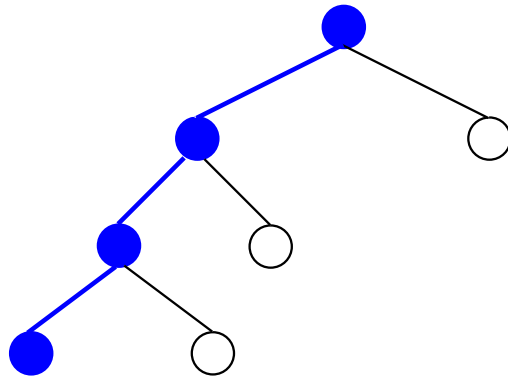
## **Part II: Some Recent Results**

## References

- C. W. Tan, D. Palomar, and M. Chiang, “Exploiting hidden convexity for flexible and robust resource allocation in cellular networks”, *Proc. IEEE INFOCOM*, May 2007.
- P. Hande, S. Rangan, M. Chiang, and X. Wu, “Distributed uplink power control for optimal SIR assignment in cellular data networks”, *IEEE/ACM Transactions on Networking*, 2008.
- F. Meshkati, M. Chiang, H. V. Poor, and S. Schwartz, “A game-theoretic approach to energy-efficient power control in multi-carrier CDMA systems”, *IEEE Journal of Selected Areas in Communications*, vol. 24, no. 6, pp. 1115-1129, June 2006.

## Part II.A

Transience: Invariance and Robustness



## Foschini Miljanic Distributed Power Control

- Simplest power control solving near-far problem:

One-shot **receive power equalization** by BS control

- 1992-1993: Zander, Foschini, Mitra:

Iterative **distributed power control (DPC)**, at iteration  $k$ :

$$p_l(k+1) = \frac{\gamma_l}{r_l(k)} p_l(k), \quad \forall l$$

$\gamma_l$ : target SIR       $r_l$ : measured SIR

Linear fixed point equation, Perron-Frobenius theory

$$\mathbf{p}(k+1) = \mathbf{D}(\boldsymbol{\gamma})\mathbf{G}\mathbf{p}(k) + \mathbf{D}(\boldsymbol{\gamma})\text{Diag}(1/h_{ii})\boldsymbol{\eta}$$

$$G_{ii} = 0, \quad G_{ij} = h_{ij}/h_{ii}, \quad D_{ii} = \gamma_i$$

Convergence for **fixed, feasible**  $\boldsymbol{\gamma}$ :  $\rho(\mathbf{D}(\boldsymbol{\gamma})\mathbf{G}) < 1$

## Difficult Issues

- When is target SIR **feasible**? (will be answered in Part II.B)
- How to **jointly optimize** SIR target? (will be answered in Part II.B)
- What happens **before convergence**? (focus of Part II.A)

## Different Levels of SIR

- Protected SIR:  $\gamma(1 + \epsilon)$
- Target SIR:  $\gamma$
- Threshold SIR:  $\beta$



## Invariance (Fazel, Gayme, Chiang)

Common Ratio Condition:

A sufficient condition for  $r_l(k) \geq \beta_l, \forall l \Rightarrow r_l(k+1) \geq \beta_l, \forall l$ : there is a constant  $\delta > 0$  such that

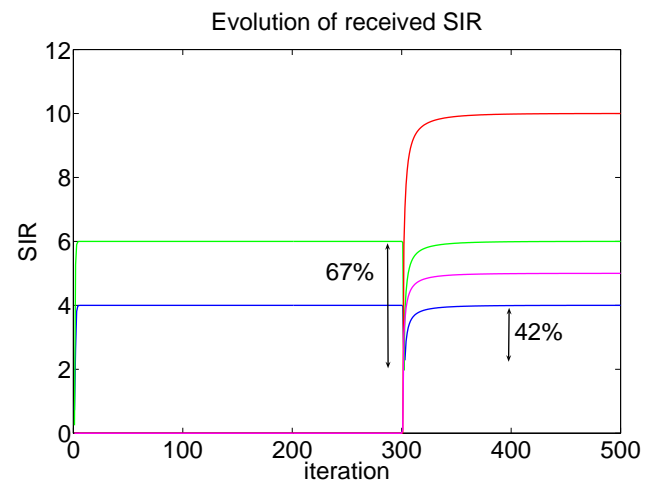
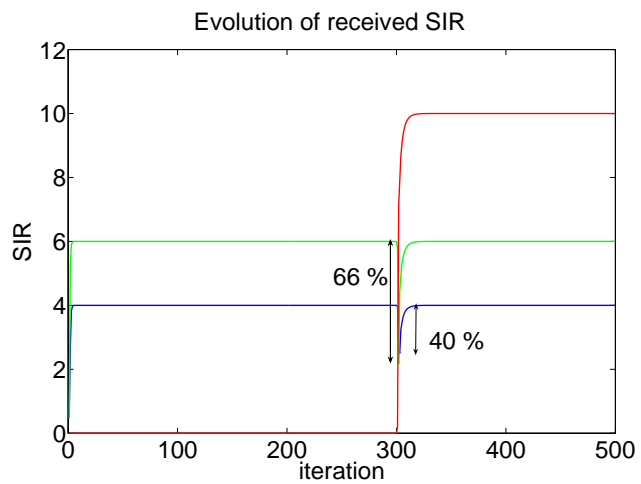
$$\frac{\gamma_l}{\beta_l} = \delta, \forall l$$

Level sets of the following Lyapunov function:

$$\begin{aligned} \mathcal{V}(\mathbf{r}(k)) &= \max_l \frac{1}{\gamma_l} |r_l(k) - \gamma_l| \\ &= \|\mathbf{D}(\boldsymbol{\gamma})^{-1}(\mathbf{r}(k) - \boldsymbol{\gamma})\|_{\infty}. \end{aligned}$$

More general test by [Linear Programming](#)

## SIR Violation When New Users Enter



## Optimizing Power Expenditure & Robustness

- $SIR_l(\mathbf{p}^*) = \gamma_l$  for all  $l$ . Tightening or loosening constraint affects **power consumption**  $\sum_l p_l^*$
- Introduce protection margin to SIR thresholds:
  - $SIR_l \geq \gamma_l$  for reliable transmission
  - $SIR_l \geq (1 + \epsilon)\gamma_l$  for **robust protection** against disturbances in network

**Tradeoff** between robustness and power saving

## DPC/ALP Algorithm

- Distributed Power Control with Active Link Protection  
Bambos et al 2000

- Each user updates the transmitter powers  $p_l(k+1)$  at the  $(k+1)$ th step according to the following rule:

$$p_l(k+1) = \begin{cases} \frac{(1+\epsilon)\gamma_l}{\text{SIR}_l(k)} p_l(k), & \text{if } \text{SIR}_l(k) \geq \gamma_l \\ (1+\epsilon)p_l(k), & \text{if } \text{SIR}_l(k) < \gamma_l \end{cases}$$

- Open issue: How to tune  $\epsilon$ ?
- Tradeoff between admission speed for new users and amount of buffer provided

## Robust Power Control Problem

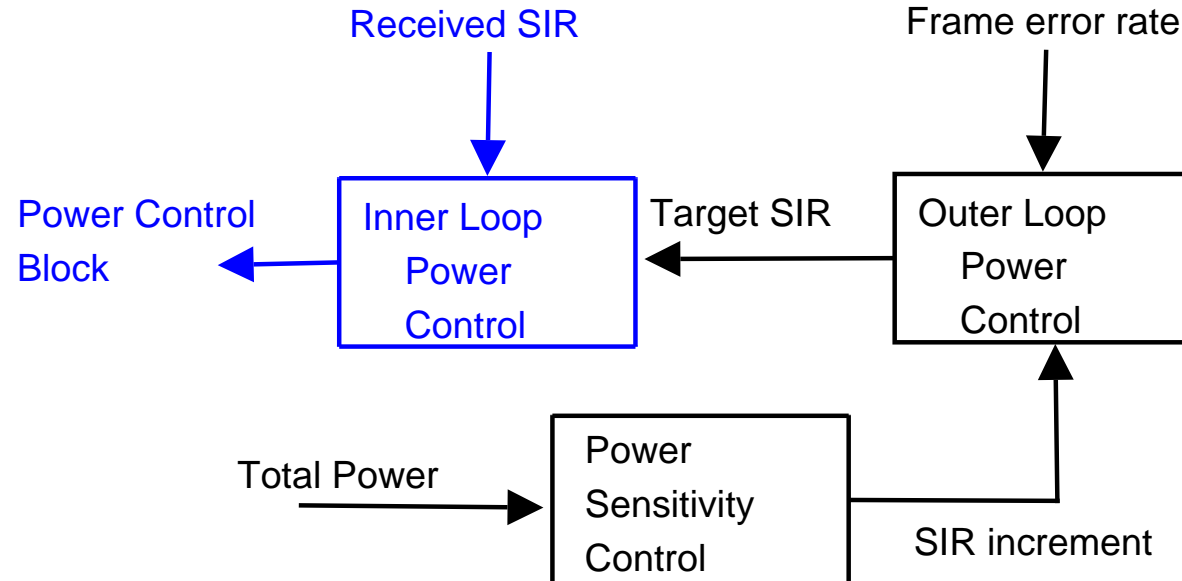
- Formulation:

$$\begin{aligned} & \text{minimize} && \sum_l p_l + \phi(\epsilon) \\ & \text{subject to} && \text{SIR}_l(\mathbf{p}) \geq \gamma_l(1 + \epsilon) \quad \forall l, \\ & && \epsilon \geq 0, p_l \geq 0 \quad \forall l \\ & \text{variables:} && p_l \forall l, \epsilon \end{aligned}$$

- Problem is **nonconvex**, but convex after log change of variables (both  $\mathbf{p}$  and  $\epsilon$ ) provided  $\frac{\partial^2 \phi(z)/\partial z^2}{\partial \phi(z)/\partial z} \geq -1/z$
- Solution: **Enhanced DPC/ALP**

## E-DPC/ALP Block Diagram (Mobile Station)

Enhanced Distributed Power Control

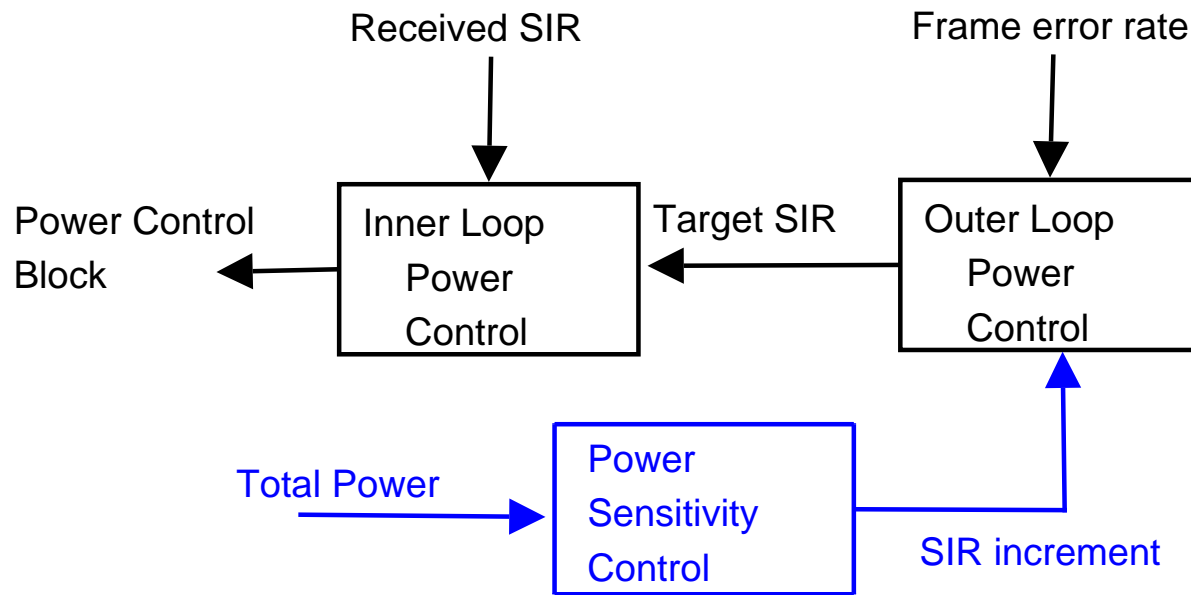


## Algorithm E-DPC/ALP (Mobile Station)

- updates the transmitter powers  $p_l(k+1)$  at the  $(k+1)$ th step according to the following rule:

$$p_l(k+1) = \begin{cases} \frac{(1+\epsilon(k))\gamma_l}{\text{SIR}_l(k)} p_l(k), & \text{if } \text{SIR}_l(k) \geq \gamma_l \\ (1 + \epsilon(k))p_l(k), & \text{if } \text{SIR}_l(k) < \gamma_l \end{cases} \quad (1)$$

## E-DPC/ALP Block Diagram (Base Station)



Enhanced Active Link Protection



## Algorithm E-DPC/ALP (Base Station)

- computes  $x_l(k+1)$ , the  $l$ th component of  $\mathbf{x}(k+1)$ , using

$$\mathbf{x}(k+1) = (1 + \epsilon(k))(\mathbf{D}\mathbf{G})^T \mathbf{x}(k) + \mathbf{1}$$

- computes

$$\nu_l(k+1) = x_l(k+1)p_l(k+1) \quad \forall l$$

- updates  $\epsilon(k+1)$  by solving

$$-\left. \frac{\partial \phi(\epsilon)}{\partial \epsilon} \right|_{\epsilon=\epsilon(k+1)} (1 + \epsilon(k+1)) = \mathbf{1}^T \boldsymbol{\nu}(k+1)$$

## Properties of E-DCP/ALP

Distributed version with BS-MS message passing

**Theorem:** If E-DCP/ALP converges, it converges to the globally optimal solution to Robust Power Control Problem

**Theorem:** A sufficient condition on spectral radius for convergence

## Choice of Cost Function

- If network can tolerate at most an **increase** of  $\delta/(\mathbf{1}^T \mathbf{p}^*)$  percent in total power,

$$\phi(\epsilon) = \delta \log(1 + 1/\epsilon)$$

- A family of  $\phi(\epsilon)$  for  $\epsilon \in (0, 1]$ :

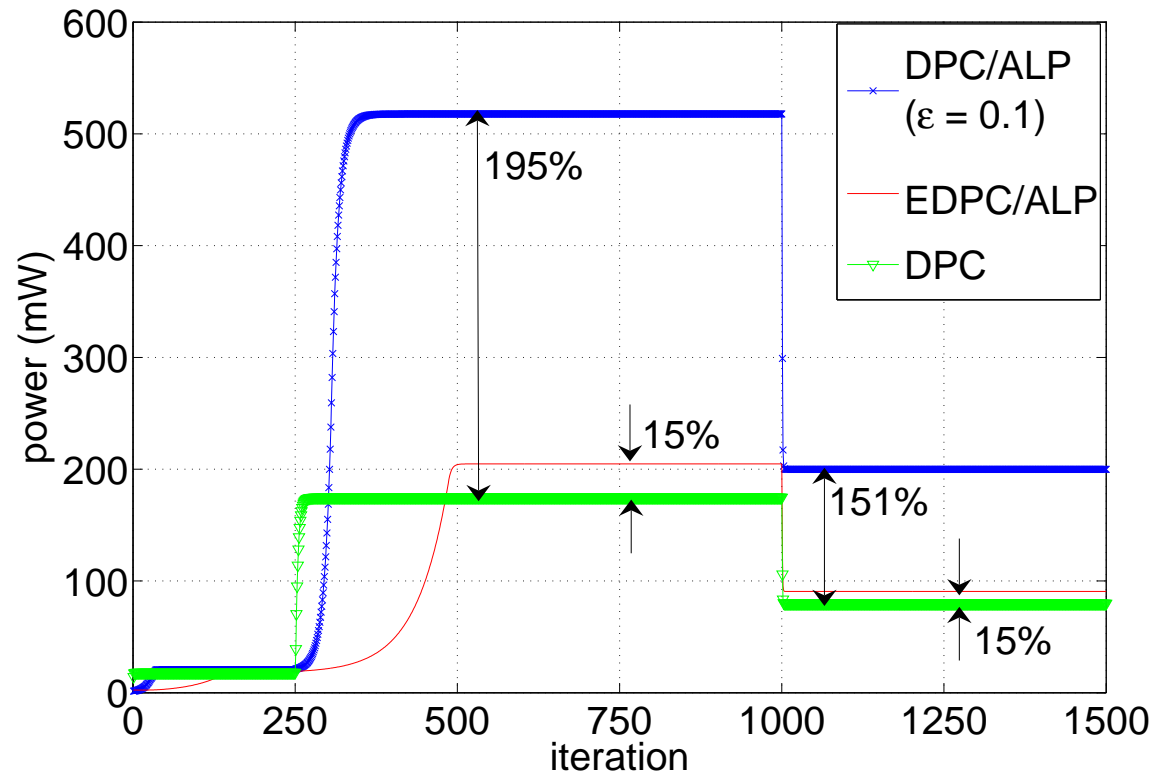
$$\phi(\epsilon) = \delta \left( \sum_{j=1}^q (-1)^{q-j} \epsilon^{-j} / j + \log(1 + 1/\epsilon) \right),$$

parameterized by a nonnegative integer  $q$  to control **rate of convergence**

- Different  $\phi(\epsilon)$  controls the exact relationship between **care** and **congestion**:

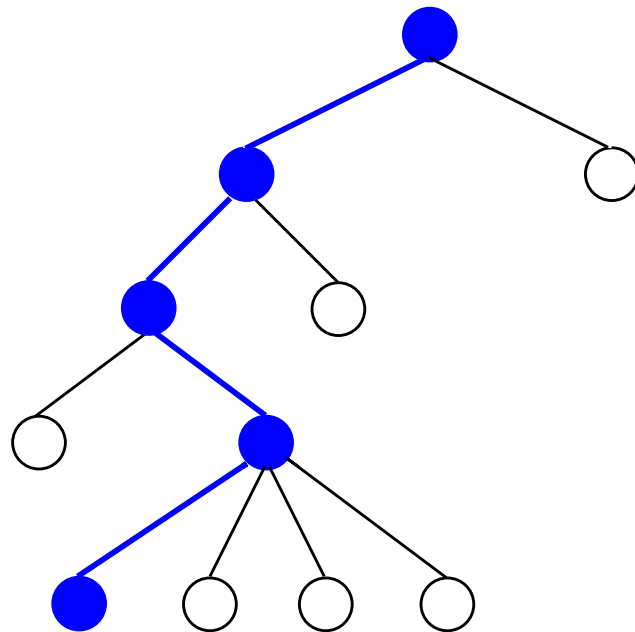
$$\epsilon(k) \propto \frac{1}{\mathbf{1}^T \boldsymbol{\nu}(k)}$$

## Numerical Example



## Part II.B

Joint SIR Assignment and Power Control



## Power Control With Variable SIR Targets

Solve the problem of distributed and jointly optimal power control and QoS assignment in multi-cellular uplink

- **Difficulty:** coupled feasibility constraint set
- **Key idea:** find the right re-parametrization

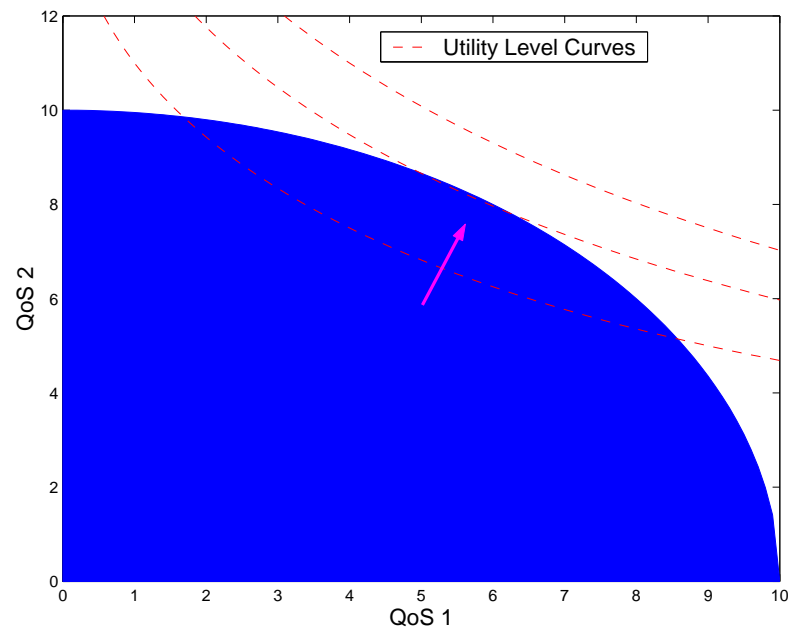
**Implementation:** Qualcomm Flarion Technologies Flash-OFDM Network

## Uplink Power Control in Multi-cellular Networks

Maximize: utility function of powers and QoS assignments

Subject to: QoS assignments feasible

Variables: transmit powers and QoS assignments



## Some Related Work

- 1989: CDMA for **voice wireless networks**
- Late 1980s: Qualcomm's **received power equalization** for near-far problem
- 1992-2000 **Fixed SIR**: distributed power control:

Zander 1992, Foschini Miljanic 1993, Mitra 1993, Yates 1995, Bambos Pottie 2000 ...

- Late 1990s: 3G for **data wireless networks**
- 2001-2004 **Nash equilibrium** for joint SIR assignment and power control:

Saraydar, Mandayam, Goodman 2001, 2002, Sung Wong 2002, Altman 2004 ...

- 2004-2005 **Centralized** computation for globally optimal joint SIR assignment and power control:

Chiang 2004, O'Neill, Julian, and Boyd 2004, Boche and Stanczak 2005

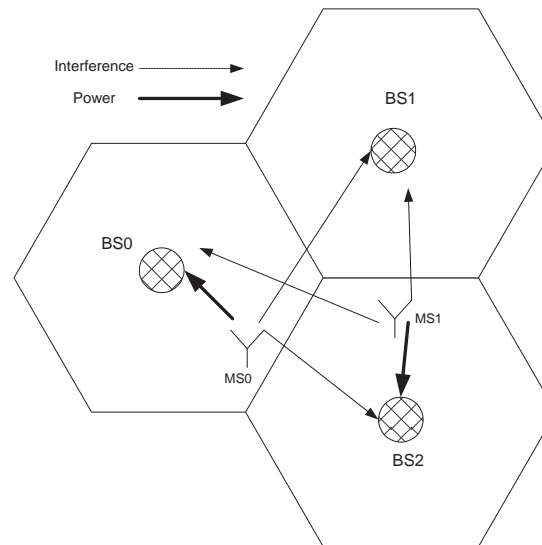


## Open Issues

Distributed and optimal joint SIR assignment and power control?

- Convexity assumed
- Coupled and complicated constraint set is the difficulty

## System Model



$M$  MS and  $N$  BS

Each MS  $i$  served by a BS  $\sigma_i$

Each BS  $k$  serving a set of MS:  $S_k$

$C_i$ : set of interference links

- Non-orthogonal system:  $C_i = \{j \mid j \neq i\}$
- Orthogonal system:  $C_i = \{j \mid \sigma_j \neq \sigma_i\}$

## Feasible Regions

Assume  $\eta \neq 0$ , feasible region:

$$\mathbf{B} = \{\gamma \succ 0 : \rho(\mathbf{GD}(\gamma)) < 1\}$$

Finite power case: given  $\rho \in [0, 1)$

$$\mathbf{B}_\rho = \{\gamma \succ 0 \mid \rho(\mathbf{GD}(\gamma)) \leq \rho\}$$

Can extend to power or interference-constrained cases

Conditions for feasible region to be convex well-understood by now

Distributed solution to a fixed, feasible SIR target is well-known

**Question:** How to attain a point on the Pareto-boundary in a distributed way?

## Load-Spillage Characterization

**Lemma:**  $\gamma \succ 0$  is feasible (and  $\rho$ -optimal) iff there exists a  $\mathbf{s} \succ 0$  and  $\rho \in [0, 1)$  such that

$$\mathbf{s}^T \mathbf{GD}(\gamma) = \rho \mathbf{s}^T$$

Let  $\mathbf{r}(\mathbf{s}) = \mathbf{G}^T \mathbf{s}$

A **new parametrization on SIR:**  $\gamma(\mathbf{s}, \rho) = \rho \mathbf{s} / \mathbf{r}(\mathbf{s})$

$\mathbf{s}$  and  $\mathbf{r}$  are **left** eigenvectors of the matrices  $\mathbf{GD}(\gamma)$  and  $\mathbf{D}(\gamma)\mathbf{G}$  (corresponding to eigenvalues  $\rho$ )

$\mathbf{s}$ : **Load vector:**  $s_i = r_i \gamma_i / \rho$

$\mathbf{r}$ : **Spillage vector:**  $r_i = \sum_j G_{ji} s_j$

Alternative to **power-interference characterization**

**Key** to distributed algorithm

## Attaining Pareto-Optimality

Algorithm:

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Initialize: Fixed  $\mathbf{s} \succ 0$  and  $\rho \in [0, 1)$ .

1. BS  $k$  broadcasts the **BS-load factor**  $\ell_k = \sum_{j \in S_k} s_j$ .
2. Compute the **spillage factor**  $r_i = \sum_{j \neq i, j \in S_{\sigma_i}} s_j + \sum_{k \neq \sigma_i} h_{ki} \ell_k$ .
3. **Assign SIR values**  $\gamma_i = \rho s_i / r_i$ .

Stop. The resulting SIR vector  $\boldsymbol{\gamma} = \boldsymbol{\gamma}(\mathbf{s}, \rho)$ .

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Alternative versions: **MS-Control** or **BS-Control**

**Question:** Which Pareto-optimal point will be obtained?

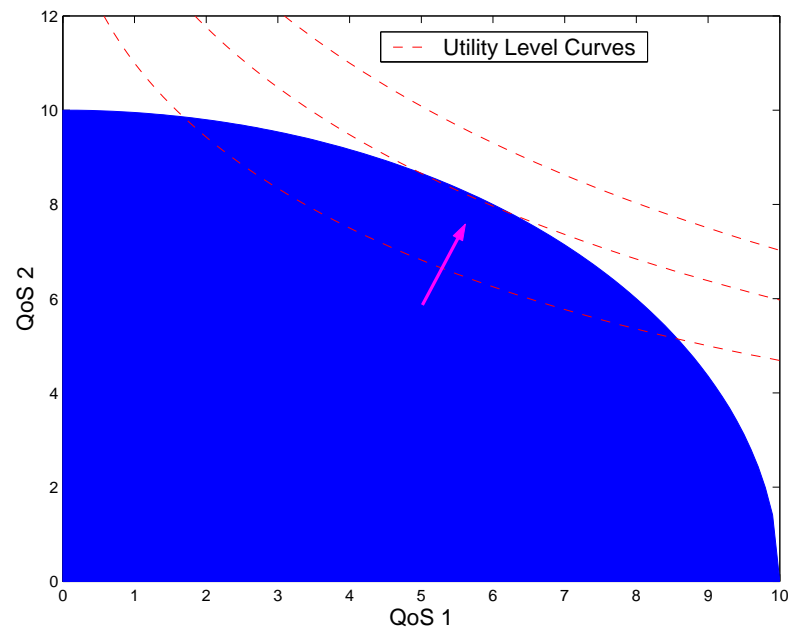
A distributedly computable ascent direction coming up next

## Uplink Power Control in Multi-cellular Networks

Maximize: utility function of powers and QoS assignments

Subject to: QoS assignments feasible

Variables: transmit powers and QoS assignments



## Utility Maximization

Which Pareto-optimal  $\gamma$  to pick?

Maximize concave utility functions over Pareto-optimal boundary

Utility functions  $U(\gamma) = \sum_i U_i(\gamma_i)$ :

- Strictly increasing, twice differentiable with bounded derivatives, strictly concave in  $\log \gamma_i$
- **No starvation**: As  $\gamma_i \rightarrow 0$ ,  $U_i(\gamma_i) \rightarrow -\infty$

**Intuition**: Assign higher SIR to

- MS with good channel condition (**power-interference view**)
- MS with worse interfering channel condition (**load-spillage view**)

## Distributed Algorithm

Load-Spillage Power Control (LSPC) Algorithm:

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Initialize: Arbitrary  $s[0] \succ 0$ .

1. BS  $k$  broadcasts the BS-load factor  $\ell_k[t] = \sum_{i \in S_k} s_i[t]$ .
2. Compute the spillage-factor  $r_i[t]$  by  $\sum_{j \neq i, j \in S_{\sigma_i}} s_j + \sum_{k \neq \sigma_i} h_{ki} \ell_k$ .
3. Assign SIR values  $\gamma_i[t] = s_i[t]/r_i[t]$ .
4. Measure the resulting interference  $q_i[t]$ .
5. Update (in a distributed way) the load factor  $s_i[t]$ :

$$s_i[t + 1] = s_i[t] + \delta \Delta s_i[t].$$

where  $\Delta s_i = \frac{U'_i(\gamma_i) \gamma_i}{q_i} - s_i$

Continue:  $t := t + 1$ .

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## Convergence and Optimality

**Theorem:** For sufficiently small step size  $\delta > 0$ , Algorithm converges to the globally optimal solution of

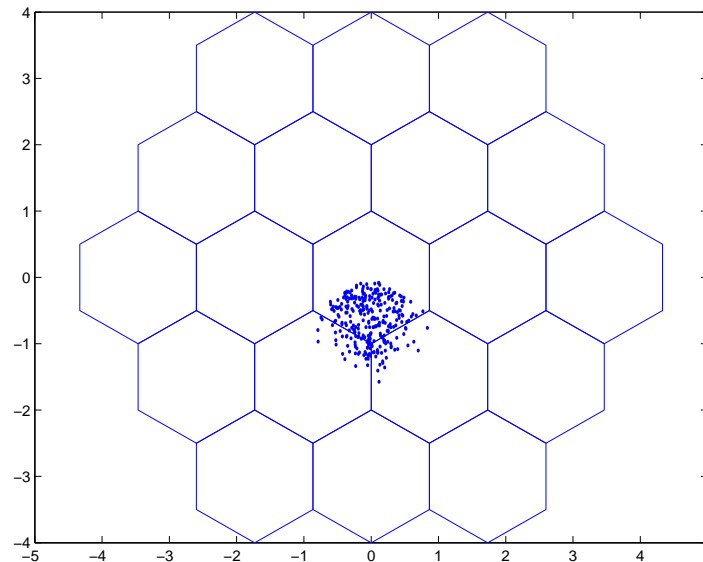
$$\begin{aligned} & \text{maximize} && U(\boldsymbol{\gamma}) \\ & \text{subject to} && \rho(\mathbf{D}(\boldsymbol{\gamma})\mathbf{G}) \leq 1 \end{aligned}$$

**Proof:** Key ideas:

- Develop a locally-computable ascent direction (**most involved step**)
- Evaluate KKT conditions
- Guarantee Lipschitz condition

Extend to power and interference constrained cases

## Simulation



3GPP Evaluation Tool in industry: 19 cells in three hexagons

Each cell divided into three 120 degree sectors, 57 base station sectors

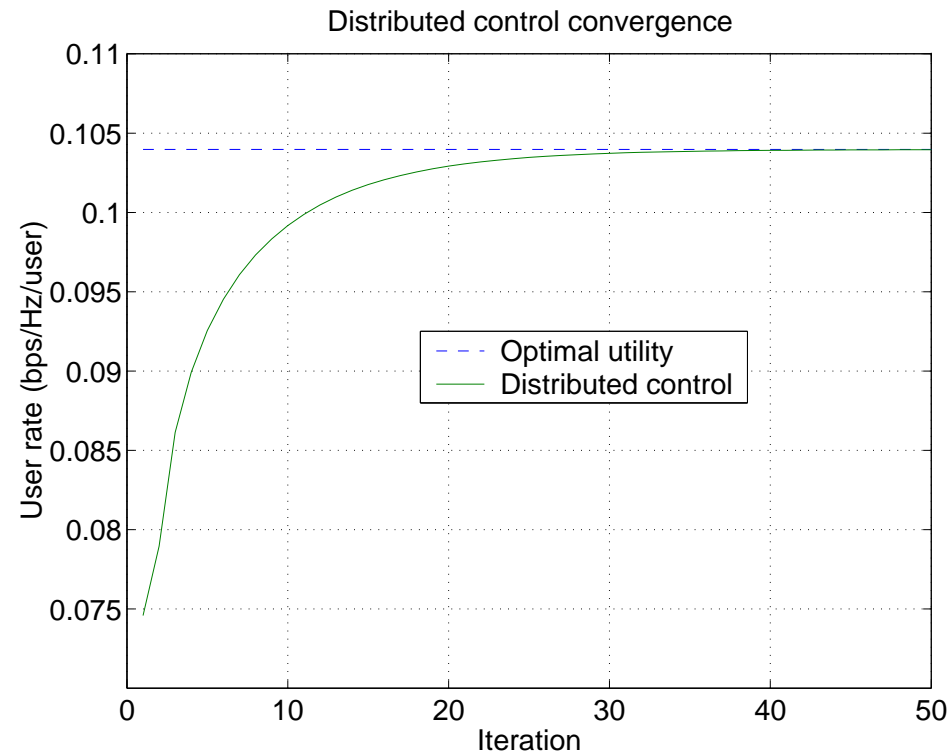
Uniform distribution of MS

Antenna: 65 degree 3 dB bandwidth, 15 dB antenna gain

Channel: Pass loss exponent: 3.7, log-normal shadowing: 8.9 dB

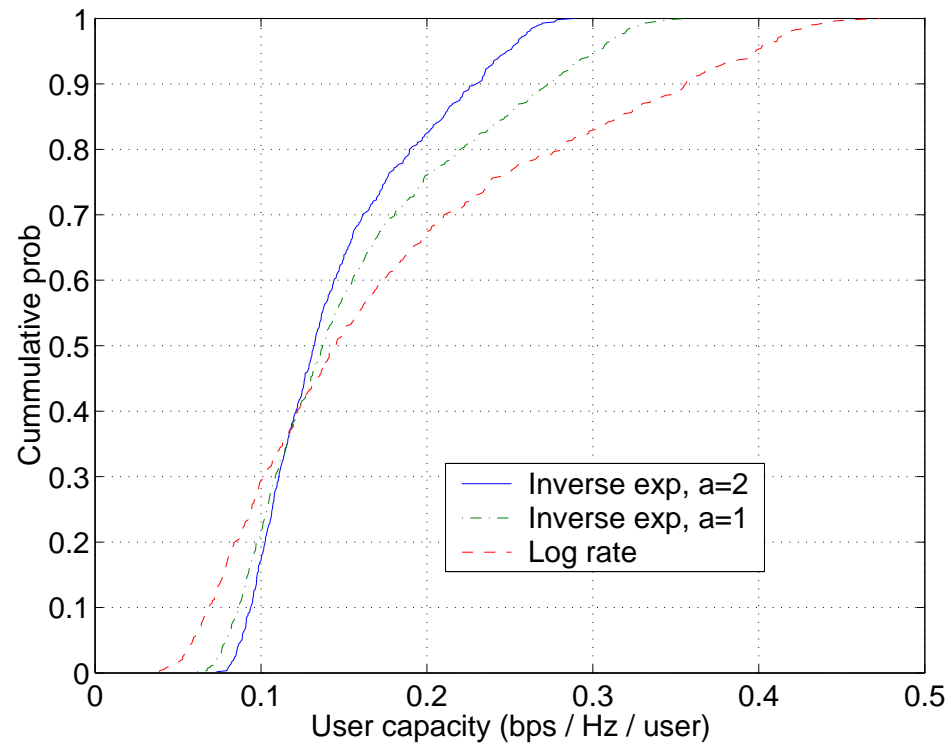
# Convergence

10 MS per sector, 570 MS in total  
Fast convergence with distributed control



## Impacts of Utility Functions

Effects of shapes of utility function



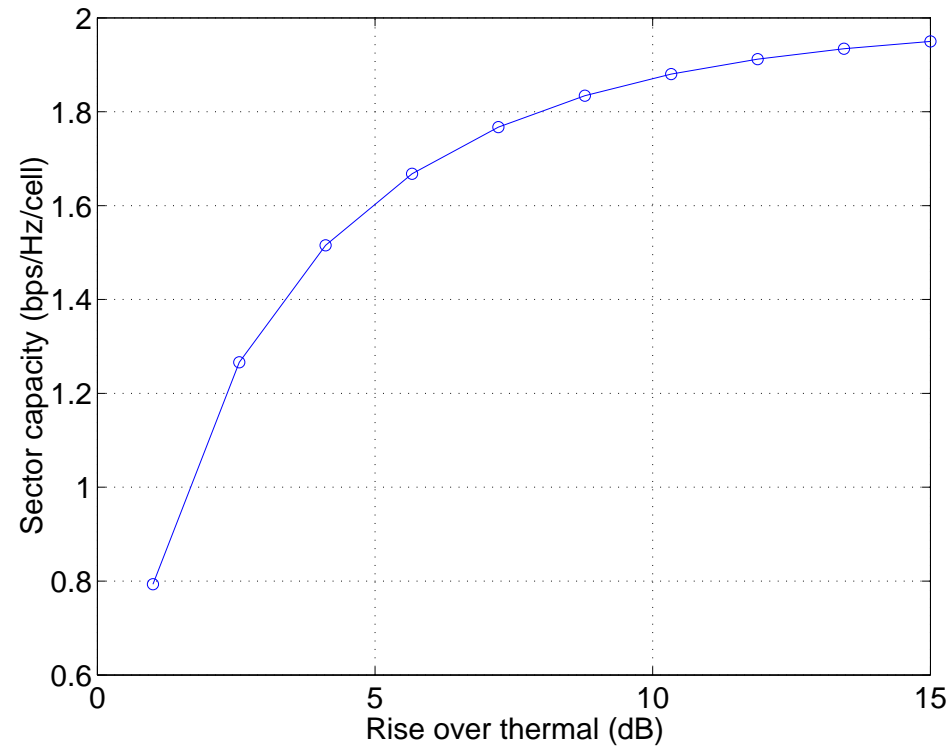
## Tradeoff between Sector Capacity and Fairness

Tradeoff between efficiency and fairness

Utility function	Sector capacity (bps / Hz / sector)	10% Worst User capacity (bps / Hz)
Log	1.90	0.055
$\alpha$ -fair, $\alpha = 2$	1.58	0.086
$\alpha$ -fair, $\alpha = 3$	1.46	0.094
$\alpha$ -fair, $\alpha = 4$	1.46	0.097

## Spectral Efficiency and MS Power Consumption

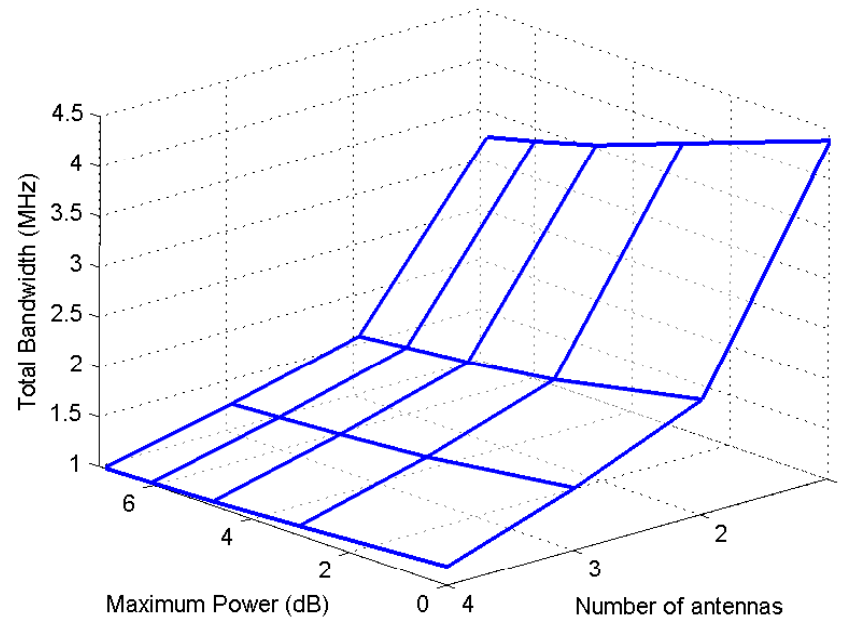
Interference-limited version of distributed algorithm  
Tradeoff between sector capacity and Rise-Over-Thermal limit



## Extensions

Joint **bandwidth allocation**, **beamforming**, **power control** for utility-optimal SIR assignment by distributed algorithm

**Economic implication:** Pareto-optimal **tradeoff surface** among three degrees of design freedom that achieve the same utility



## Summary

A distributed and jointly optimal QoS assignment and power control (for convex formulations) has now been obtained using **load-spillage characterization**

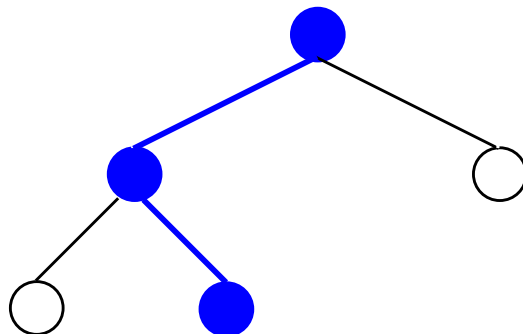
Easy extensions: implementation alternatives, joint bandwidth assignment, other modulation schemes, ad hoc networks ...

**Difficult extension:** distributed convexification



## Part II.C

Multi-Carrier Energy-Efficiency Power Control Game



## Some Related Work

- MacKenzie and Wicker 2001
- Xiao, Shroff and Chong 2001
- Alpcan, Basar, Srikant, Altman 2002
- Saraydar, Mandayam, and Goodman 2002
- Yu, Ginis, and Cioffi 2002
- Sung and Wong 2003

### Open issues:

- Energy efficiency as utility function  $\Rightarrow$  **Non-quasiconcave utilities**
- Multiple carriers  $\Rightarrow$  **Vector strategy**

## Energy Efficiency Utility Function

$l$ : carrier index.  $D$  carriers

$k$ : user index.  $K$  users

$$\gamma_{kl} = \frac{p_{kl} h_{kl}}{\eta + \frac{1}{N} \sum_{j \neq k} p_{jl} h_{jl}}: \text{SIR for user } k \text{ on carrier } l$$

$f(\gamma_{kl})$ : reliability function (sigmoidal function)

Throughput:  $T_{kl} = R_k f(\gamma_{kl})$

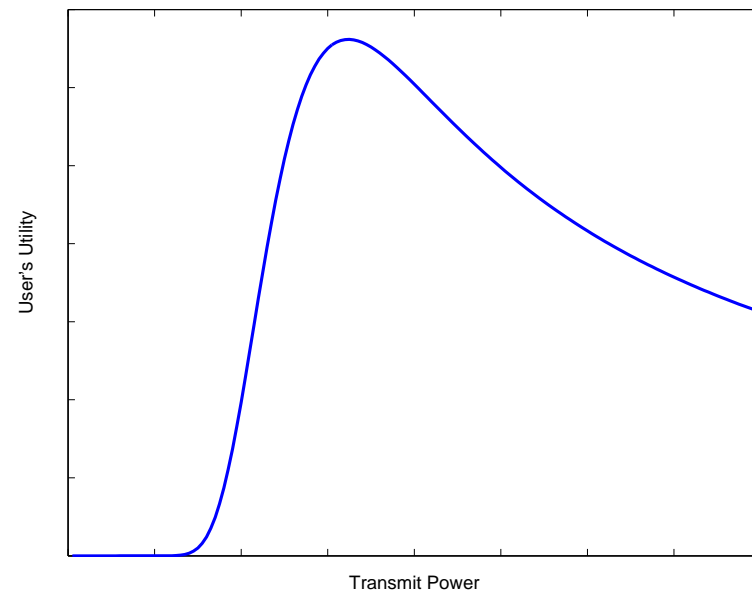
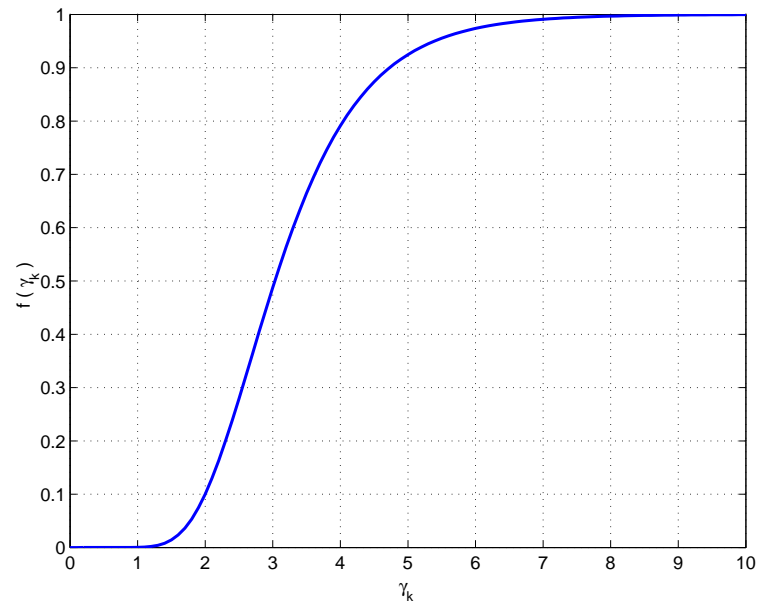
Power:  $p_{kl}$

Energy efficiency utility function:  $u_k = \frac{\sum_{l=1}^D T_{kl}}{\sum_{l=1}^D p_{kl}}$

Local and selfish utility maximization:  $\max_{\mathbf{p}_k} u_k$

Game:  $[\{1, 2, \dots, K\}, \{[0, P_{max}]_k^D\}, \{u_k\}]$

## Reliability Function and Energy Efficiency Utility



## Multi-Carrier Energy Efficiency Maximization

$\gamma^*$ : unique positive solution of  $f(\gamma) = \gamma f'(\gamma)$

$p_{kl}^*$ : transmit power needed to achieve SIR  $\gamma^*$  (or  $P_{max}$  if  $\gamma^*$  is not attainable)

$L_k$ :  $\operatorname{argmin}_l p_{kl}^*$  ('best' carrier)

**Theorem:** Energy efficiency maximizer is  $p_{kl} = p_{kL_k}^*$  for  $l = L_k$  and 0 otherwise

**Only** transmit on the 'best' carrier

Reduces number of possibilities of NE to  $D^K$

## Characterization of NE

Channel gains  $\{h_{jl}\}$  determine NE possibilities

First assume that  $\gamma^*$  is attainable by all users (large enough processing gain  $N$ )

Define  $\Theta_n = \frac{1}{1 - (n-1) \frac{\gamma^*}{N}}$ ,  $n = 0, 1, \dots, K$

$(0 < \Theta_0 < \Theta_1 = 1 < \Theta_2 < \dots < \Theta_K)$

$n(i)$ : number of users transmitting on carrier  $i$

**Theorem:** For user  $k$  to transmit on carrier  $l$  at NE:

$$\frac{h_{kl}}{h_{ki}} > \frac{\Theta_{n(l)}}{\Theta_{n(i)}} \Theta_0, \quad \forall i \neq l$$

and in this case,  $p_{kl}^* = \gamma^* \sigma^2 \frac{\Theta_{n(l)}}{h_{kl}}$

## Existence and Uniqueness of NE

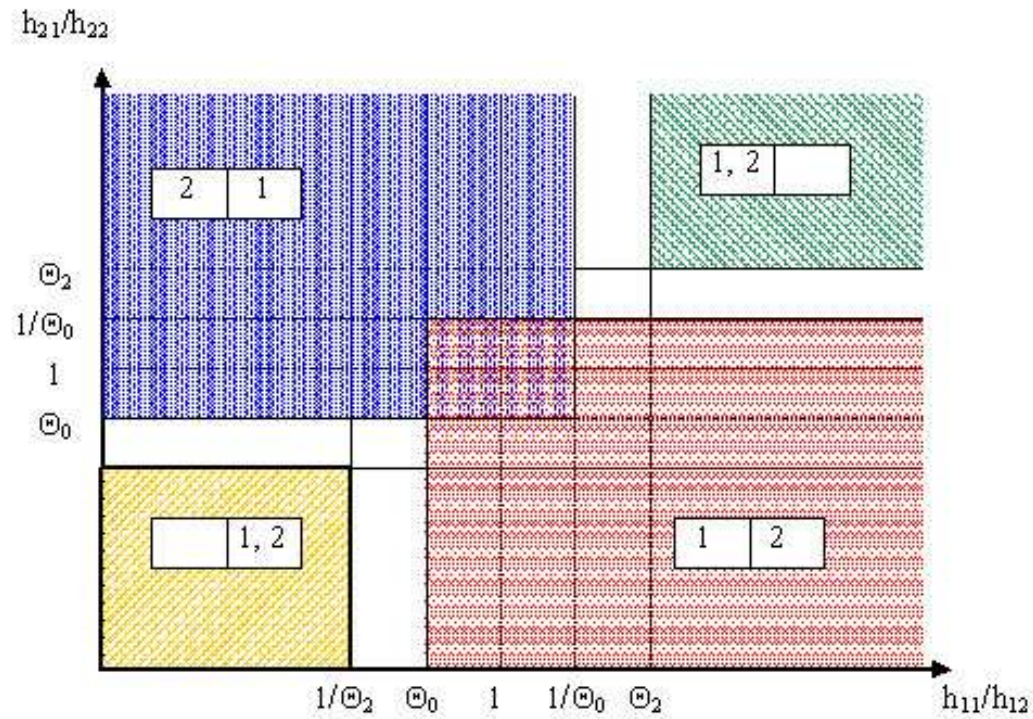
**Existence of NE:** Sufficient condition is that channel gains satisfy  $K(D - 1)$  inequalities **simultaneously**

**Uniqueness of NE:** **Not** guaranteed

( $K = 2, D = 2$ ) case. Four possibilities:

- $(1, 2|)$ :  $\frac{h_{11}}{h_{12}} > \Theta_2$  and  $\frac{h_{21}}{h_{22}} > \Theta_2$
- $(|1, 2)$ :  $\frac{h_{11}}{h_{12}} < \frac{1}{\Theta_2}$  and  $\frac{h_{21}}{h_{22}} < \frac{1}{\Theta_2}$
- $(1|2)$ :  $\frac{h_{11}}{h_{12}} > \Theta_0$  and  $\frac{h_{21}}{h_{22}} < \frac{1}{\Theta_0}$
- $(2|1)$ :  $\frac{h_{11}}{h_{12}} < \frac{1}{\Theta_0}$  and  $\frac{h_{21}}{h_{22}} > \Theta_0$

## Example



**Homogeneity of channel gains:** If either  $h_{11}/h_{22}$  or  $h_{22}/h_{11}$  belongs to  $[1/\Theta_2^2, \Theta_0^2]$ , then there does **not** exist NE



## Two-Carrier Two-User Case

Rayleigh fading channel:  $h_{kl} = \frac{c}{d_k^4} a_{kl}^2$

$a_{kl}$ : i.i.d. and have Rayleigh distribution with mean 1

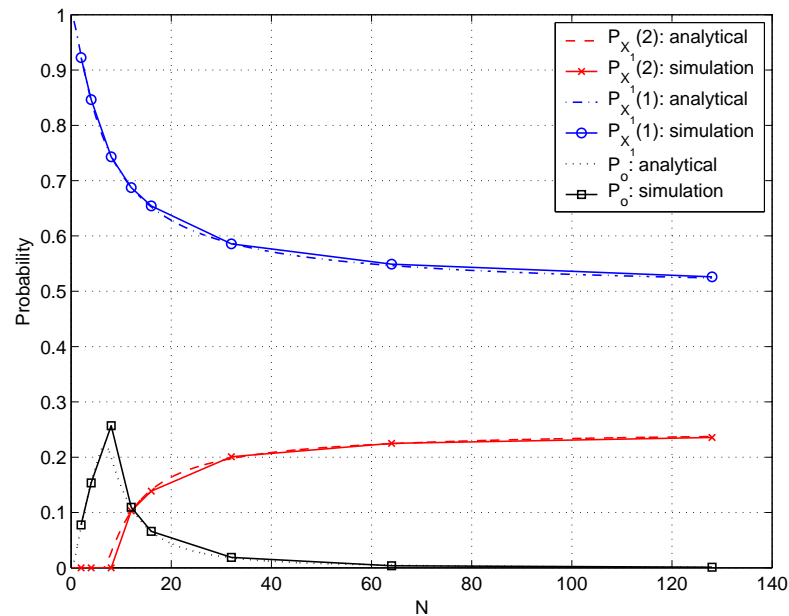
$X_1$ : number of users transmitting over first carrier at NE

$$P_{X_1}(0) = P_{X_1}(2) = \begin{cases} 0 & \text{if } N \leq \gamma^* \\ \left(\frac{1}{1+\Theta_2}\right)^2 & \text{if } N > \gamma^* \end{cases},$$
$$P_{X_1}(1) = 2 \left(\frac{1}{1+\Theta_0}\right)^2 - \left(\frac{1-\Theta_0}{1+\Theta_0}\right)^2,$$
$$P_o = \begin{cases} 2 \left(\frac{\Theta_0}{1+\Theta_0}\right)^2 & \text{if } N \leq \gamma^* \\ 2 \left[ \left(\frac{\Theta_0}{1+\Theta_0}\right)^2 - \left(\frac{1}{1+\Theta_2}\right)^2 \right] & \text{if } N > \gamma^* \end{cases}.$$

## Two-Carrier Case

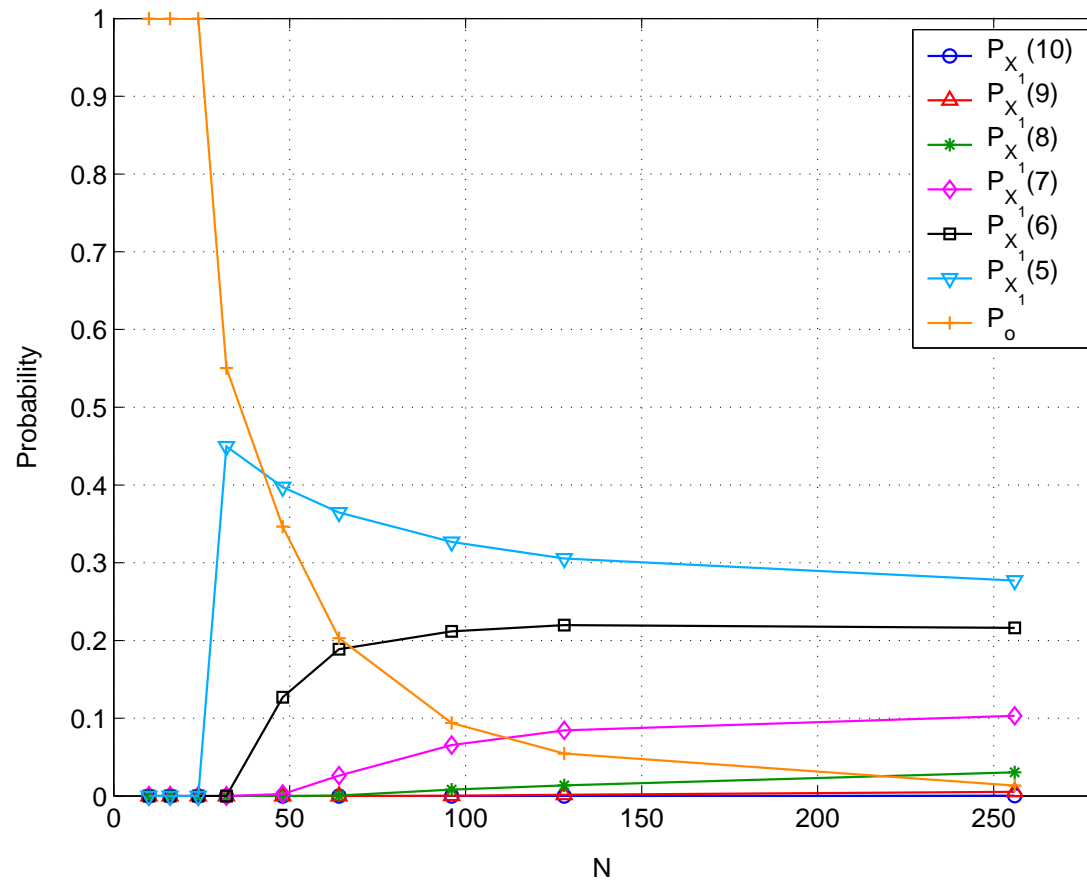
As processing gain  $N$  becomes large, there **exists** a **unique** NE well approximated by:

$$Pr\{X_1 = m\} \approx C_m^K (0.5)^K, \quad m = 0, 1, \dots, K$$



## Example (2 carriers, 10 users)

No NE when  $N$  is too small, and always exists NE as  $N \rightarrow \infty$



## Distributed Algorithm

Sequential best response algorithm:

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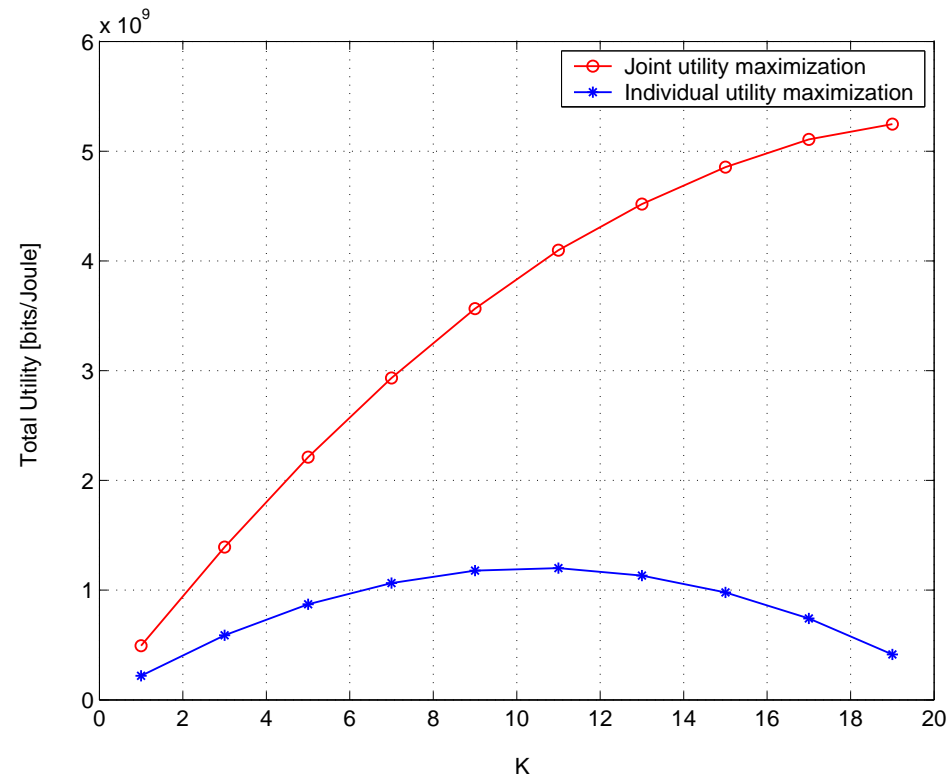
1.  $k = 1$
  2. User  $k$  picks “best” carrier and transmits on it only, at power level to attain SIR  $\gamma^*$
  3.  $k = (k + 1) \bmod K$
- 

**Theorem:** Above algorithm **converges** to NE (when it exists) for all two-user and three-user cases

**Observation:** Always converges to NE in all cases

## Performance Gain

Comparison between our vector-valued strategy game and optimization over individual carriers



## Summary

- Power control in cellular networks has a long history, rich taxonomy, structured understanding, and verifiable applications
- Many branches extensively studied and open problems solved, building up an intellectual basis for this area
- Many more branches still under-explored and some open problems unresolved