

TO: All Faculty and Department Managers

DATE: November 2, 2010

FROM: Jeffrey Friedland, Director

SUBJECT: New NSF Proposal and Award Policies and Procedures Guide, January 2011

NSF recently announced a new Proposal and Award Policies and Procedures Guide (NSF 11-1) that is effective as of January 18, 2011. Significant changes are highlighted below.

Proposals submitted, or due, on or after January 18, 2011

Chapter II.C.2.j, Special Information and Supplementary Documentation – Required Data Management Plan - All proposals must describe plans for data management and sharing of the products of research, or assert the absence of the need for such plans. Fastlane will not permit submission of a proposal that is missing the Data Management Plan. If the project is not expected to generate data or samples, it is acceptable to state this assertion; however, the statement will be subject to peer review. Links to data management requirements and plans relevant to specific Directorates, Offices, Divisions, Programs, or other NSF units (*e.g.*, ENG, GEO, SBE, SES,...) are provided and must be followed. The Data Management Plan will be reviewed as part of the intellectual merit or broader impacts of the proposal, or both, as appropriate.

For those researchers who elect to do so, you may use the University's DataSpace as an option to fulfill the new requirement. DataSpace fees can be budgeted as a direct cost in your NSF proposals. For additional DataSpace information, please refer to the following document or contact the University's Office of Information Technology's Digital Repository Architect at dspadmin@princeton.edu.



DataSpace
Information

Chapter II.C.2.g(xi), Cost Sharing - Inclusion of voluntary committed cost sharing is prohibited, and Line M on the proposal budget will not be available for use by the proposer. Mandatory cost sharing will only be required when explicitly authorized by the NSF Director in the proposal solicitation. Proposers are advised not to exceed the mandatory cost sharing level or amount specified in the solicitation

[Chapter II.C.2.b, Project Summary](#) - NSF encourages the use of separate headings for the merit review criteria in the one page Project Summary.

New awards effective on or after January 18, 2011

[Chapter II.B.1.a, Changes in Objectives or Scope](#) - NSF approval is required for any change to the Facilities, Equipment, and Other Resources section of the approved proposal that would constitute a change in objective or scope.

[Chapter II.D, Cost Sharing](#) - Inclusion of voluntary committed cost sharing is prohibited. When mandatory cost sharing is included on Line M and accepted by NSF, the commitment of funds becomes legally binding and is subject to audit. Failure to provide the level of cost sharing required by the NSF solicitation and reflected in the approved award budget may result in termination of the NSF award, disallowance of award costs and/or refund of award funds to NSF by the awardee.

[Chapter II.E.3, Project Outcomes Report for the General Public](#) - Within 90 days following expiration of the grant, a project outcomes report (in addition to the Fastlane final progress report) for the general public must be submitted electronically via Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. NSF specifies that the Project Outcomes Report for the General Public will be posted in the Research Spending and Results section of the Research.gov website.

If you have any questions, please feel free to contact your ORPA representative.

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References

NSF Proposal Guide: http://www.nsf.gov/pubs/policydocs/pappguide/nsf11001/gpg_index.jsp

NSF Award Guide: http://www.nsf.gov/pubs/policydocs/pappguide/nsf11001/aag_index.jsp

Summary of Significant Changes: <http://www.nsf.gov/pubs/policydocs/pappguide/nsf11001/>

Required Data Management Requirements Per NSF Directorate or Division:

- [Engineering Directorate \(ENG\)](#)
- Geological Sciences Directorate (GEO)
 - [Division of Earth Sciences](#)
 - [Integrated Ocean Drilling Program](#)
 - [Division of Ocean Sciences](#)
- Social, Behavioral and Economic Sciences Directorate (SBE)
 - [Data Archiving Policy](#) for the Division of Social and Economic Sciences (SES)

University DataSpace: <http://dataspace.princeton.edu>