



INDEPENDENT CONTRACTOR AGREEMENT

No. xxxx

This Agreement is made by and between The Trustees of Princeton University, a New Jersey not-for-profit educational corporation (the "University"), and _____ (the "Contractor").

For good and valuable consideration, the parties agree as follows:

- ENTIRE AGREEMENT:** The following terms and conditions, the attached Schedule A, and, if applicable, the attached Purchase Order (collectively, the "Agreement") shall constitute the complete and exclusive statement of the agreement between University and Contractor with respect to the services purchased hereunder (the "Services"). The Agreement may be modified only pursuant to a written instrument signed by authorized representatives of both parties. The invalidity in whole or in part of any provision of the Agreement shall not affect the validity of other provisions. To the extent that Contractor's terms and conditions, if any, shall conflict with the Agreement, the latter shall control. Either party's failure to insist upon the performance of any term of the Agreement shall not be construed as a waiver of that party's present or future right to such performance and each party's obligations in respect thereto shall continue in full force and effect. The headings in the Agreement have been inserted solely for convenient reference and shall be ignored in its construction.
- GENERAL PURPOSE:** The general purpose of this Agreement is for the Project entitled "_____". Contractor will perform duties as directed by _____. The surrounding facts and circumstances are more fully set forth in the attached Schedule A, incorporated herein.
- TERM:** The term of this Agreement shall be from ____/____/_____ until ____/____/_____.
- TIMETABLE:** If applicable, the timetable set forth in the attached Schedule A shall be adhered to unless such period is otherwise extended by University. Contractor shall be responsible to University for any damage caused by the failure by Contractor to comply with the timetable.
- INDEPENDENT CONTRACTOR:** In performing hereunder, Contractor and its employees, agents, subcontractors and representatives shall be as independent contractors and not as employees or agents of University. All persons furnished or retained by Contractor in connection with this Agreement are so furnished or retained as Contractor's employees or agents. Contractor shall not transact business, enter into agreements, or otherwise make commitments on behalf of University unless expressly authorized in writing by University. Neither Contractor nor its employees, agents, subcontractors or representatives shall be entitled to benefits provided by University to its employees, including but not limited to fringe benefits, worker's compensation, health and unemployment insurance, and pension plans. University shall not pay or withhold federal, state, or local income or other payroll taxes on behalf of Contractor or its employees, agents, subcontractors or representatives. Contractor agrees to report and pay all such applicable taxes. Contractor shall defend, indemnify and hold harmless University from and against any and all liability for the payment of taxes, interest and/or penalties, as well as damages and costs, including attorney's fees, in connection with any claim or finding that Contractor and/or its employees, agents, subcontractors or representatives are employees of University.
- WARRANTY:** Contractor warrants that all Services shall conform to the specifications of this Agreement and shall be performed in a professional and workmanlike manner.
- FINAL INSPECTION AND ACCEPTANCE:** All Services shall be subject to University's final inspection and acceptance, notwithstanding any prior payment or preliminary inspection on the part of University. University shall provide written notice of its final acceptance within thirty (30) days from the date of the completion of the Services (the "Final Acceptance"). University's failure to provide such notice shall be deemed Final Acceptance. While Final Acceptance is pending, University may require Contractor to correct or replace such non-conforming Services, at no cost to University. Such remedy shall not limit any other rights or remedies as may be available at law, in equity or under this Agreement.
- PAYMENT AND INVOICES:** Contractor shall be paid at the rate of \$_____ per _____, with the total amount paid under this Agreement not to exceed \$_____, for providing the Services. University shall remit payment to Contractor [net thirty (30) days after Final Acceptance] [pursuant to the schedule of progress payments for work performed set forth in the attached Schedule A], provided that Contractor sends an invoice for the billing period to Geter Hicks at ghicks@princeton.edu. Alternatively, invoices may be faxed to (609) 258-1159 or mailed to Princeton University, Office of Research and Project Administration, P.O. Box 36, 4 New South Building, Princeton, NJ 08544-0036. Invoices must specify the Services provided (which must match the description in Paragraph 2 above), the dates of and actual work performed during the billing period, the specific dollar amount due, and, if applicable, the

Purchase Order numbers. Contractor is an independent contractor and shall be solely responsible for all taxes, contributions and premiums with respect to the payments hereunder.

9. **TERMINATION:**

- a. University may terminate this Agreement at any time, in whole or in part, by written notice to Contractor. If such termination is for University's convenience, University, after deducting any amount(s) previously paid, shall pay for all Services rendered by Contractor, as well as any reasonable costs incurred by Contractor, up to the time of termination but not including Contractor's lost profits. Under no circumstances shall Contractor be entitled to recover more than the price of the Services as stated in the Agreement. Upon receiving notice of University's termination for convenience, Contractor shall use its best efforts to reduce or mitigate any costs incurred in connection with the Services.
- b. Either party may, without prejudice to any other rights or remedies provided at law, in equity or under this Agreement, by written notice to the other party, terminate this Agreement in whole or in part under any of the following circumstances:
 - i. If the non-terminating party applies for bankruptcy, makes an assignment for the benefit of creditors, or is in receivership; or
 - ii. If the non-terminating party fails to perform any of the terms of this Agreement and so fails to cure such failure within thirty (30) days after receiving notice from the terminating party; or
 - iii. If the non-terminating party fails to make progress such that the terminating party has reason to question the non-terminating party's ability to perform and the non-terminating party fails to provide adequate assurance of its ability to perform within a period of thirty (30) days after receiving notice from the terminating party.

In the event University terminates this Agreement pursuant to this subparagraph b, Contractor shall also be obligated to pay any direct damages, including but not limited to all additional costs that University may incur in finding replacement Services, as well as any consequential and incidental damages incurred by University. In the event Contractor terminates this Agreement pursuant to this subparagraph b, University shall not be liable for consequential and incidental damages incurred by Contractor and in no circumstances shall University's liability exceed the price of the Services as stated in this Agreement.

- c. Upon termination of this Agreement, University, in addition to any other rights or remedies available at law, in equity or under this Agreement, may require Contractor to transfer title to and deliver to University, in the manner and to the extent directed by University, any goods, plans, drawings, or other materials that Contractor has specifically produced or acquired for the performance of the Agreement ("Performance Materials"). Payment for such Performance Materials shall be at the price specified in the Agreement or as otherwise agreed upon by the parties.
10. **FORCE MAJEURE:** Except with respect to defaults of Contractor's subcontractors, Contractor shall not be liable for any excess costs incurred by University if Contractor's failure to perform arises out of causes beyond the control and without the fault or negligence of Contractor and despite the best efforts of Contractor. Such causes include acts of God, acts of University, acts of a government in either its sovereign or contractual capacity, fires, floods, epidemics, quarantine restrictions, strikes and freight embargoes. If failure to perform is caused by default of Contractor's subcontractor, and if such default arises out of causes beyond the control of both Contractor and its subcontractor, and without the fault or negligence of and despite the best efforts by either of them, Contractor shall not be liable for any excess costs for failure to perform, unless the goods, materials or services to be furnished by Contractor's subcontractor were reasonably obtainable from other sources in sufficient time to permit Contractor to meet the required delivery schedule.
11. **OWNERSHIP:** University shall own all data, information and other work arising directly from Contractor's performance hereunder. University shall at all times have access to review the ongoing work of Contractor for purposes of inspecting same and determining that work is being performed in accordance with the terms of this Agreement. Immediately upon termination of this Agreement for any reason, all such data, information and other work, in whatever form, shall be turned over to University.

Any works of authorship developed in the course of performance under this Agreement shall be deemed works-for-hire under federal copyright law and all ownership rights to such copyrightable works shall be the property of University. Should any works of authorship not constitute works-for-hire under federal copyright law, Contractor hereby grants, transfers, assigns and conveys to University and its successors and assigns, Contractor's entire right, title, and interest in and to such works or any part thereof, including but not limited to the following rights: to reproduce; to prepare derivative works; to distribute by sale, license or other transfer; to perform publicly; to display; and to secure copyrights and renewals, reissues and extensions of any such copyrights in the United States of America or any foreign country.

Any patentable invention conceived or reduced to practice in the course of performance under this Agreement shall be the property of University. All trademark or other intellectual property rights arising directly from Contractor's performance under this Agreement shall be the property of University.

Whether a copyright, patent, trademark or other intellectual property right shall be maintained or registered in the United States of America or any foreign country shall be at the sole discretion of University. Contractor agrees to cooperate fully with University in the preparation and execution of all documents necessary or incidental to the protection and preservation of the rights granted herein

to University. Contractor warrants and represents that the Services provided hereunder will not infringe, individually or collectively, any copyright, patent, trademark or other intellectual property right of any third party.

12. **UNIVERSITY EQUIPMENT:** All materials, tools, equipment and other property either furnished by University to Contractor or individually paid for by University (“University Equipment”) shall remain the property of University, but Contractor shall assume all risks of and be entirely responsible for any losses or damages arising from its use of University Equipment. Upon completion or termination of this Agreement, Contractor shall promptly return all University Equipment in the condition in which Contractor received it, taking into account reasonable wear and tear.
13. **CONFIDENTIAL INFORMATION:** Contractor shall treat as confidential all non-public information disclosed by University in connection with this Agreement, including but not limited to written or oral communications, education records (as defined under the Family Educational Rights and Privacy Act of 1974 (FERPA)), personal data, plans, specifications, and other data (collectively, “Confidential Information”). The terms and conditions of this Agreement shall also be deemed Confidential Information. Contractor shall not disclose Confidential Information to any third party except as University authorizes, and shall only disclose it to those within Contractor’s organization who need to use it in performance of the Agreement. Upon completion or termination of this Agreement, Contractor shall return or destroy all such Confidential Information (except for this Agreement), or otherwise dispose of it as University may approve. This provision is not intended to restrict Contractor’s right to use or disclose information that is already known to the public or rightfully obtained without restriction from other sources. Contractor shall defend, indemnify and hold harmless University from and against any and all claims, demands, damages, liabilities, expenses, losses of every nature and kind, including but not limited to attorney’s fees and costs, sustained or alleged to have been sustained by University as a result of any disclosure or use of any Confidential Information in violation of this Agreement.
14. **USE OF PRINCETON NAME OR MARKS:** Contractor shall not use University’s name or trademarks in connection with any advertising, marketing or other promotional efforts or materials without the prior written approval of University.
15. **APPLICABLE LAW/VENUE:** All disputes regarding the construction, interpretation and the parties’ obligations under this Agreement shall be governed by the laws of the State of New Jersey, notwithstanding any of that state’s laws to the contrary. The venue and jurisdiction for the resolution of any such disputes shall be Mercer County, New Jersey.
16. **INDEMNIFICATION:** Contractor shall defend, indemnify and hold harmless University, its officers, employees, trustees, agents and representatives from and against any and all claims, demands, damages, liabilities, expenses, losses of every nature and kind, including but not limited to attorney’s fees and costs, (collectively, “Claims”) sustained or alleged to have been sustained in connection with or arising out of the performance hereunder of Contractor, its agents, employees, subcontractors and consultants, even in the event University is alleged or found to be partially negligent. However, Contractor shall not be obligated to so indemnify University in the event University is proven to be solely negligent.

If any Claims alleging infringement of intellectual property rights, including but not limited to Claims of patent or copyright infringement or misappropriation of trade secrets, are brought against University in connection with University’s use of any goods or materials that Contractor specifically produces for the performance of this Agreement, Contractor shall not only indemnify University as set forth above but also, upon University’s request, shall procure for University the right to continue to use such goods or materials, or replace or modify such goods or materials, such that the alleged infringement is removed; provided, however, that such alleged infringement does not arise solely from University’s alteration or modification of the goods or materials.

17. **INSURANCE:** If Contractor shall hereunder provide Services on University’s property, Contractor shall maintain and keep in force at Contractor’s expense the following minimum insurance coverage throughout Contractor’s performance under this Agreement:

a. Workers Compensation	Statutory
b. Employer’s Liability	\$500,000
c. Commercial General Liability, including: Contractual, Premises Operations, Products and Completed Operations, Independent Contractors and Personal Injury, Bodily Injury and Property Damage	
Combined Single Limit Each Occurrence	\$2,000,000
Aggregate	\$2,000,000
d. Automobile Liability	
Bodily Injury and Property Damage	\$1,000,000

If Contractor is required to maintain a professional license in order to practice his/her profession, Contractor must maintain professional liability insurance in the amount of \$2 million per occurrence. Professions this applies to, but is not limited to, include architects, engineers, attorneys, physicians, nurses and physical therapists. This sample list of professions is representative only and is not meant to be complete.

The Automobile Liability insurance shall provide coverage for any vehicle used by Contractor.

All policies shall be underwritten by a carrier licensed in New Jersey and rated at least "A-" in Best's Key Rating Guide. "The Trustees of Princeton University, including its officers, employees and agents" shall be named as additional insureds in the General Liability policy specified above. Certificate(s) evidencing the above insurance coverages—with a statement thereon that University is an additional insured and that the insurance afforded is primary insurance as to any other valid and collectible insurance in force—shall be sent to University's Office of Risk Management, P.O. Box 35, Princeton, NJ 08544, before Contractor's performance begins, and renewal certificates shall be provided annually until Contractor's performance has been completed and accepted.

Contractor shall be solely responsible for payment of premiums and deductibles for all of the aforesaid insurance. Should any of the aforesaid insurance policies be cancelled or materially changed, Contractor shall provide thirty (30) days prior written notice to University's Office of Risk Management. Contractor shall not change the levels of coverage or permit coverage to expire until all the Services have been completed and accepted. Contractor shall not enter upon University property to perform hereunder unless Contractor is and remains insured in accordance with the above requirements. Contractor shall indemnify University for any loss suffered by University for the failure of Contractor to be so insured.

18. **FEDERAL AND STATE FUNDS:** If the attached Purchase Order, if any, indicates that University is paying for the Services with funds received from the federal government or the New Jersey Educational Facilities Authority (NJEFA), Contractor hereby acknowledges that any such Services may be subject to a number of regulations. In the case of funds received from the federal government, such regulations include, but are not limited to, the Office of Management and Budget's Circular A-110; Federal Acquisition Regulations (FAR); Defense Federal Acquisition Regulations (DFAR); Public Law 95-507; and Princeton University's Terms and Conditions Which Are a Part of Purchase Orders Issued Under Government Contracts. In the case of funds received from the NJEFA, such regulations include, but are not limited to, the New Jersey Prevailing Wage Act, N.J.S.A. 34:11-56-25. These additional federal and state regulations are hereby incorporated by reference fully as if they were set forth at length herein. Contractor shall be solely responsible for obtaining and complying with any and all such requirements, copies of which may be obtained from the following website: <http://www.princeton.edu/puterms>.
19. **CONFLICTS AND ETHICAL STANDARDS OF CONDUCT:** Contractor affirms that, to the best of Contractor's knowledge, there exist no conflicts of interests between Contractor and University or its employees. In the event of change in Contractor's interests, Contractor shall inform University regarding any conflicts of interest that arise or are likely to arise as a result of such change. Contractor hereby represents that it has neither received nor given gifts or gratuities to any member of the Princeton University community, nor participated in any other unethical conduct in connection with this Agreement. If, at any time, University determines that Contractor is in violation of any representation under this Paragraph, University may cancel the Agreement upon written notice to Contractor, and University shall have no further obligation to Contractor.
20. **NONDISCRIMINATION:** University is an equal opportunity employer. Pursuant to Executive Orders 11246 and 11375, Section 503 of the Rehabilitation Act of 1973 and Section 402 of the Vietnam-Era Veterans Readjustment Act of 1974, University has developed Affirmative Action Plans that have been filed with and approved by the U.S. Department of Labor's Office of Federal Contract Compliance Programs, and are available for review upon request. Contractor warrants that it will not discriminate in the performance of this Agreement or employment against any person because of age, race, color, religion, national or ethnic origin, sex, sexual orientation, gender identity, marital status, veteran status, or disability. Contractor also warrants that it will comply with all applicable executive orders, and federal, state, and local laws, regulations, and rules, relating to nondiscrimination, equal employment opportunity, and affirmative action.
21. **COMPLIANCE WITH SARBANES-OXLEY:** To the extent that Contractor is a company regulated or covered by the Sarbanes-Oxley Act, as amended, compliance with Sarbanes-Oxley is a condition of doing business with University and failure to be in compliance shall be considered a material breach of the Agreement.
22. **TAX EXEMPTIONS:** As a non-profit educational institution, University is exempt from Federal Excise Tax under Public Law No. 85-859 (Exemption No. A110656) and from New Jersey Sales Taxes (Exemption No. EO-210-634-501), New Jersey Excise Taxes (Exemption No. A-11083) and certain other states' taxes as may be applicable, unless otherwise stated herein. Contractor shall take all steps necessary to ensure that these exemptions are utilized to the maximum benefit of University.
23. **ASSIGNMENTS AND SUBCONTRACTING:** Neither party shall assign or delegate its rights and obligations under this Agreement without the prior written consent of the non-assigning or non-delegating party.
24. **MISCELLANEOUS:** The effective date of this Agreement shall be the date of signature of University's authorized representative. Each notice, request or demand given or required to be given pursuant to this Agreement shall be in writing and shall be deemed sufficiently given if deposited in the United States mail, with first-class postage prepaid, and addressed to the intended recipient at the address set forth below or such other address as the parties may agree upon. Contractor warrants that it will comply with all federal, state and local laws applicable to its performance hereunder. The parties warrant that they have the authority to enter into this Agreement and that entering into this Agreement is not restricted or prohibited by any existing agreement to which they are parties.

25. **SURVIVAL:** This and Paragraphs 1, 5, 6, 9, 11, 12, 13, 14, 15, 16, 17, 18, 23 and 24 hereunder shall survive the termination of this Agreement for any reason.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed by their respective authorized representatives.

The Trustees of Princeton University

By: _____
Jeffrey Friedland

Date: _____
Director, Office of Research and Project Administration

Contractor

By: _____
Name:
Phone:
Address:

Date: _____
Title:
Email: