

## Appendix F.—Description of Other Volumes of the Assessment

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In addition to the present report, several background papers were prepared in conjunction with OTA'S assessment *The Implications of Cost-Effectiveness Analysis of Medical Technology*, and these are available separately. The findings and options of the assessment are in large part derived from the information generated by the background efforts.

Background Paper #1: *Methodological Issues and Literature Review*, includes an in-depth examination of the decisionmaking context and methodology discussions presented in this report. A critique of the literature, a bibliography of over 600 items, and abstracts of over 70 studies and other articles are also included.

In order to investigate the applicability of techniques to assess the costs and benefits of medical technology, 19 case studies were prepared. All 19 are available individually. In addition, 17 of the cases are available in a collected volume, *Background Paper #2: Case Studies of Medical Technologies*. Some of the cases represent formal cost-effectiveness analysis (e.g., the case on bone marrow transplants), while others represent net cost or "least cost" analysis (e.g., the case on certain respiratory therapies). Other cases illustrate various issues such as the difficulty of conducting cost-effectiveness analysis in the absence of adequate efficacy and safety information (e.g., the case on breast cancer surgery), or the role and impact of formal analysis on policymaking (e.g., the case on end-stage renal disease interventions). The 17 case studies in *Background Paper #2* and their authors are:

### Artificial Heart

Deborah P. Lubeck  
John P. Bunker

### Automated Multichannel Chemistry Analyzers

Milton C. Weinstein  
Laurie A. Pearlman

### Bone Marrow Transplants

Stuart O. Schweitzer  
C. C. Scalzi

### Breast Cancer Surgery

Karen Schachter  
Duncan Neuhauser

### Cardiac Radionuclide Imaging

William B. Stason  
Eric Fortess

### Cervical Cancer Screening

Bryan R. Luce

### Cimetidine and Peptic Ulcer Disease

Harvey V. Fineberg  
Laurie A. Pearlman

### Colon Cancer Screening

David M. Eddy

### CT Scanning

Judith L. Wagner

### Elective Hysterectomy

Carol Korenbrot  
Ann B. Flood  
Michael Higgins  
Noralou Roos  
John P. Bunker

### End-Stage Renal Disease Interventions

Richard A. Rettig

### Gastrointestinal Endoscopy

Jonathan A. Showstack  
Steven A. Schroeder

### Neonatal Intensive Care

Peter Budetti  
Peggy McManus  
Nancy Barrand  
Lu Ann Heinen

### Nurse Practitioners

Lauren LeRoy  
Sharon Solkowitz

### Orthopedic Joint Prosthetic Implants

Judith D. Bentkover  
Philip G. Drew

### Periodontal Disease Interventions

Richard M. Scheffler  
Sheldon Rovin

### Selected Respiratory Therapies

Richard M. Scheffler  
Morgan Delaney

The 18th case study is published separately as *Background Paper #3: The Efficacy and Cost-Effectiveness of Psychotherapy*. That study assesses methodological and substantive issues relating to the scope of psychotherapy, the evaluation of psychotherapeutic efficacy, and the applicability of cost-effectiveness and cost-benefit analysis in assessing psychotherapy. It was prepared by Leonard Saxe on the basis of a report prepared for OTA by Brian Yates and Frederick Newman. The 19th case study was prepared for OTA by Judith Wagner and is published separately as *Background Paper #5: Assessment of Four Common X-Ray Procedures*.

*Background Paper #4: The Management of Health Care Technology in Ten Countries* is an analysis of

the policies, programs, and methods, including cost-effectiveness and cost-benefit techniques, that nine industrialized nations other than the United States use to manage the effects of medical technology. The experience of these nine countries in managing medical technology is compared to that of the United States. The paper on the United States and the comparative analysis were prepared by OTA staff, assisted by Louise Russell. The authors of the papers on the nine foreign countries are:

United Kingdom

Barbara Stocking

Canada

Jack Needleman

Australia

Sydney Sax

Japan

Joel Broida

France

Rebecca Fuhrer

Germany

Karin A. Dumbaugh

Netherlands

L. M. J. Groot

Iceland

David Gunnarson

Duncan vB. Neuhauser

Sweden

Erik H. G. Gaensler

Egon Jonsson

Duncan vB. Neuhauser

A related report prepared by OTA and reviewed by the Advisory Panel to the overall assessment is *A Review of Selected Federal Vaccine and Immunization Policies*. That study, published in September of 1979, examined vaccine research, development, and production; vaccine efficacy, safety, and cost-effectiveness; liability issues; and factors affecting the use of vaccines. Pneumococcal vaccine was used as a case study, and a cost-effectiveness analysis was performed.