

SOCIOLOGY 308
CHINA AND RUSSIA: COMPARISONS AND RELATIONS

SPRING 1999

Tuesday and Thursday, 1:30

Instructor: Gilbert Rozman

LECTURES

Overview.

- 1. February 2. Introduction: The Significance of Comparing China and Russia
The Significance of Sino-Russian Relations
- 2. February 4. Contemporary Social Problems: A Sociological Perspective
- 3. February 9. The Strategic Partnership: Historical and Global Context

Historical Comparisons.

- 4. February 11. China and Russia on the Eve of Modernization
- 5. February 16. The Russian and Chinese Revolutions
- 6. February 18. The Stages of Socialism in Russia and China
- 7. February 23. Reforming and Dismantling Socialism
- 8. February 25. Starting on the Path of a Market-Oriented Society

Social Analysis.

- 9. March 2. Popular Culture and Reform of Socialism
- 10. March 4. Decentralization and Rebuilding Political Networks and Control
- 11. March 9. Market Transformation
- 12. March 11. Entrepreneurship and Types of Enterprise

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- 13.March 23. The Countryside
- 14.March 25. Rural-Urban Relations.
- 15.March 30. Workers
- 16.April 1. Crime and Corruption
- 17.April 6. Gender and Inequality

Identities and Relations.

- 18.April 8. National Identity under Socialism
- 19.April 13. Great Power Identities in the 1990s
- 20.April 15. Sino-Russian Relations: From Premodern Times to the Sino-Soviet Alliance
- 21.April 20. The Sino-Soviet Conflict
- 22.April 22. Sino-Soviet Normalization and Sino-Russian Partnership.
- 23.April 27. Regionalism in Northeast Asia and the Total Security Environment

Conclusions.

- 24.April 29. Conclusions: Prospects for Each Country in Comparative Perspective

Soc. 308 READINGS

Feb. 2

Paul R. Gregory, "Sketches of New Russians: The Mafia Monument, Commercial Structures, and the Unanswered Telephone," *Problems of Post-Communism*, September-October 1996, pp. 51-61 (package).

Cheng Li, *Rediscovering China: Dynamics and Dilemmas of Reform* (London: Rowman & Littlefield, 1997; for purchase).

Ch. 2, "The Color of Money: Shanghai Surprises," pp. 17-34.

Wenfang Tang and William L. Parish, "Social Reaction to Reform in Urban China," *Problems of Post-Communism*, November-December 1996, pp. 35-47 (package).

James R. Millar and Sharon L. Wolchuk, *The Social Legacy of Communism* (Washington, DC: Woodrow Wilson Press, Cambridge University Press, 1994) (reserve).

Ch.3, Marjorie Mandelstam-Balzer, "From Ethnicity to Nationalism: Turmoil in the Russian Mini-Empire," pp. 56-88.

Feb. 4

Sherman Garnett, "Limited Partnership: Russia-China Relations in a Changing Asia," (Washington, D.C.: Carnegie Endowment for International Peace, 1998), pp. 6-47 (reserve).

Feb. 9

Mark Lupher, *Power Restructuring in China and Russia* (1996) (reserve).

Ch. 2, *Power Restructuring in Late Imperial, Republican, and Revolutionary China*, pp. 19-53;

Ch. 3, *Power Restructuring in Muscovite, Imperial, and Revolutionary Russia*, pp. 54-92.

Feb. 11

Geoffrey Hosking, *The First Socialist Society: A History of the Soviet Union from Within*

(Cambridge: Harvard University Press, 1985; for purchase).

Ch. 2, *The October Revolution*, pp. 35-56;

Ch. 8, *Stalinist Society*, pp. 205-26.

Maurice Meisner, *The Deng Xiaoping Era: An Inquiry into the Fate of Chinese Socialism, 1978-1994* (New York: Hill & Wang, 1996; for purchase).

Ch. 1, *The Chinese Revolution and the Question of Capitalism*, pp. 3-24

Ch. 2, *The Permanence of Revolution: The Mao Era, 1949-76*, pp. 25-58;

Feb. 16 and 18

Geoffrey Hosking, *The First Socialist Society*.

Ch. 12, *Khrushchev and de-Stalinization*, pp. 326-62;

Ch. 13, *Soviet Society under Developed Socialism*, pp. 363-402.

Maurice Meisner, *The Deng Xiaoping Era*

Ch. 3, *The Transition to the Post-Mao Era*, pp. 61-80

Ch. 4, *The Triumph of Deng Xiaoping*, pp. 81-103;

Ch. 6, *The Place of Mao Zedong in Post-Mao China*, pp. 137-61;

Ch. 7, *Leninism, Bureaucracy, and Political Reform*, pp. 162-83.

Feb. 23

Yan Sun, *The Chinese Reassessment of Socialism: 1976-1992* (Princeton: Princeton University Press, 1995) (package).

Ch. 9, *The Chinese and Soviet Reassessment of Socialism: A Comparison*, 237-57.

Gilbert Rozman, ed., *Dismantling Communism: Common Causes and Regional Variations* (Baltimore: Johns Hopkins University Press, 1992) (package).

Ch. 1, *Stages in the Reform and Dismantling of Communism in China and the Soviet Union*, 15-58.

Feb. 25

Minxin Pei, *From Reform to Revolution: The Demise of Communism in China and the Soviet Union* (Cambridge, MA: Harvard University Press, 1994) (reserve).

Ch. 3, *China's Capitalist Revolution*, 85-117;

Ch. 4, *The Private Sector under Perestroika*, 118-49;

Grigory Yavlinsky, *Russia's Phony Capitalism*, *Foreign Affairs*, May/June 1998, pp. 67-79 (package).

Clifford Gaddy and Barry Ickes, *Russia's Virtual Economy*, *Foreign Affairs*, September/October 1998, pp. 53-67 (package).

March 2

Richard Stites, *Russian Popular Culture: Entertainment and Society since 1900* (Cambridge: Cambridge University Press, 1992) (package).

Ch. 6, 'The Brezhnev Culture Wars, 1964-1984,' pp. 148-77.

Stephen White, *Russia Goes Dry: Alcohol, State and Society* (Cambridge: Cambridge University Press, 1996) (reserve).

Ch. 2, 'A Drunken Society,' pp. 29-56;

Ch. 3, 'The Campaign Advances,' pp. 100-107 (part)=

Ch. 6, 'The Impact of the Campaign,' pp. 140-59.

Jianying Zha, 'China's Popular Culture in the 1990s,' in William A. Joseph, ed., *China Briefing: The Contradictions of Change* (Armonk, NY: M.E. Sharpe, 1997), pp.109-50 (reserve).

March 4

Dali Yang, 'Reform and the Restructuring of Central-Local Relations,' in David S. G. Goodman and Gerald Segal, eds., *China Deconstructs: Politics, Trade and Regionalism* (London: Routledge, 1994), pp. 59-98 (package).

Edward W. Walker, 'Federalism--Russian Style: The Federation Provisions in Russia's New Constitution,' *Problems of Post-Communism*, July-August 1995, pp. 3-12 (package).

Steven L. Solnick, 'The Political Economy of Russian Federalism: A Framework for Analysis,' *Problems of Post-Communism*, November-December 1996, pp. 13-25 (package).

Marc Zlotnik, 'Russia's Governors: All the President's Men?' *Problems of Post-Communism*, November-December 1996, pp. 26-34 (package).

March 9

Cheng Li, *Rediscovering China*

Ch. 3, A Daxing: Shanghai Panorama, @35-49;

Ch. 4, A Dynamism of Market Economy, @53-74.

David Remnick, *Resurrection: The Struggle for a New Russia* (New York: Vintage Books, Random House, 1997; for purchase).

Ch. 4, A Moscow, Open City, @158-83;

Ch. 5, A The Banker, the President, and the President's Guard, @184-214.

Richard E. Ericson, A The Future of Market Transformation and the Yeltsin Succession, @ *Problems of Post-Communism*, November-December 1997, pp. 23-28 (package).

March 11

Cheng Li, *Rediscovering China*

Ch. 5, A Sunan's Miracle: Rural Industrial Revolution Changes China's Landscape, @75-92;

Ch. 13, A Unresolved Issues of State-Owned Enterprises, @pp. 227-39.

Ting Gong, A Jumping into the Sea: Cadre Entrepreneurs in China, @ *Problems of Post-Communism*, July-August 1996, pp. 26-34 (package).

Leonid Khotin, A Old and New Entrepreneurs in Today's Russia, @ *Problems of Post-Communism*, January-February 1996, pp. 49-57 (package).

Peter Rutland, A Russia's Flawed Market Transition, @ *Problems of Post-Communism*, November-December 1997, pp. 29-33 (package).

March 23

Barrett L. McCormick and Jonathan Unger, eds., *China after Socialism* (Armonk, NY: M.E. Sharpe, 1996) (package)

Ch. 2, Mark Selden, 'Post-Collective Agrarian Alternatives in Russia and China,' 7-28.

Maurice Meisner, *The Deng Xiaoping Era*.

Ch. 9, 'The Countryside: The Social Consequences of Decollectivization,' pp. 220-54.

Cheng Li, *Rediscovering China*

Ch. 6, 'Who Created China's Economic Miracle? Meet Chen Jinhai, Peasant-Turned-Industrialist,' 93-108;

Ch. 7, '200 Million Mouths Too Many: China's Surplus Rural Laborers,' 111-26;

Jerry W. Leach, 'The Emergence of Private Farming in Russia,' *Problems of Post-Communism*, July-August 1995, pp. 47-52 (package).

Zvi Lerman and Karen Brooks, 'Russia's Legal Framework for Land Reform and Farm Restructuring,' *Problems of Post-Communism*, November-December 1996, pp. 48-58 (package).

March 25

Cheng Li, *Rediscovering China*

Ch. 8, '94ers Eastward Ho!: China's Internal Migration,' 127-48;

Ch. 9, 'Rome Was Not Built in a Day, but Zhangjiagang Was: A Model of Urbanization,' 149-64;

Ch. 14, 'Is a Rich Man Happier than a Free Man? Huaxi Village, China's "Mini Singapore,"' 243-61.

Martin Whyte, 'City Versus Countryside in China's Development,' *Problems of Post-*

Communism, January-February 1996, pp. 9-22 (package).

Maurice Meisner, *The Deng Xiaoping Era*.

Ch. 10, *The Cities: State, Market, and the Urban Working Class*, pp. 255-99.

Bertram Silverman and Murray Yanowitch, *New Rich, New Poor, New Russia: Winners and Losers on the Russian Road to Capitalism* (1997) (package).

Ch. 5, *Wage-Earners: Winners and Losers*, pp. 80-102;

March 30

Thomas B. Gold, *Youth and the State*, in Brian Hook, ed., *The Individual and the State in China* (Oxford: Oxford University Press, 1996), Ch. 7, pp. 175-96 (package).

Alex Inkeles, C. Montgomery Broderick, and Zhongde Cao, *Causes and Consequences of Individual Modernity in China*, *The China Journal*, Vol. 37 (January 1997), 31-59 (package).

James R. Millar and Sharon L. Wolchuk, *The Social Legacy of Communism* (reserve).

Ch. 10, Richard Dobson, *Communism's Legacy and Russian Youth*, pp. 130-48;

Ch. 12, David Powell, *The Religious Renaissance*, pp. 271-305;

April 1

Maurice Meisner, *The Deng Xiaoping Era*.

Ch. 11, *Bureaucratic Capitalism*, pp. 300-345;

Scott Kennedy, *Comrades' Dilemma: Corruption and Growth in Transition Economies*, *Problems of Post-Communism*, March-April 1997, pp. 28-36 (package).

Feng Chen, *Rebuilding the Party's Normative Authority: China's Socialist Spiritual Civilization*

Campaign,@*Problems of Post-Communism*, November-December 1998, pp. 33-41 (package).

Louise Shelley, AOrganized Crime in the Former Soviet Union,@*Problems of Post Communism*, January-February 1995, pp. 56-60 (package).

Sergei Karaganov, et. al., ARussia: Eliminating Crime,@*Strengthening Democratic Institutions Project* (Cambridge: Harvard University, John F. Kennedy School of Government, 1998), pp. 4-28 (reserve).

David Remnick, *Resurrection: The Struggle for a New Russia*

Ch. 7, AResurrections Everywhere,@215-40;

Ch. 8, AThe Black Box,@241-60;

Ch. 11, AThe War for the Kremlin, 317-54.

April 6 and 8

Tim McDaniel, *The Agony of the Russian Idea* (Princeton: Princeton University Press, 1996) (reserve).

Ch. 3, AThe Logic of Soviet Communism,@86-117.

John B. Dunlop, AThe Party of War= and Russian Imperial Nationalism,@*Problems of Post-Communism*, March-April 1996, pp. 29-34 (package).

James R. Millar, AEmpire Envy and Other Obstacles to Economic Reform in Russia,@*Problems of Post-Communism*, May-June 1998, pp. 58-64 (package).

James P. Scanlan, AThe Russian Idea from Dostoevskii to Ziuganov,@*Problems of Post-Communism*, July-August 1996, pp. 35-42 (package).

Lucian W. Pye, AChina: Not Your Typical Superpower,@*Problems of Post-Communism*, July-

August 1996, pp. 3-15 (package).

Yong Deng, AThe Chinese Conception of National Interests in International Relations,@*The China Quarterly*, June 1998, pp. 308-29 (package).

Richard J. Smith, AFlies and Fresh Air: Culture and Consumerism in Contemporary China,;
Problems of Post-Communism, March-April 1997, pp. 3-13 (package).

April 13

S. C. M. Paine, *Imperial Rivals: China, Russia, and Their Disputed Frontier* (Armonk, NY: M.E. Sharpe, 1996) (reserve)

Ch. 1, ABackground: Revival of Russian Interest in the Far East,@28-43;

Ch. 2, ATraditional Chinese Diplomacy in Retreat: The Treaty of Aigun,@49-71;

Ch. 7, AThe Apogee of Tsarist Imperialism: The Chinese Eastern Railway,@178-97;

Ch. 8, AOver-Extension: The Boxer Uprising and the Russian Invasion,@209-25.

April 15

Lowell Dittmer, *Sino-Soviet Normalization and Its International Implications, 1945-1990*
(Seattle: University of Washington Press, 1992) (reserve)

Ch. 10, ASino-Soviet Marriage, 1950-60,@166-81;

Ch. 11, AUnit-Veto Triangle, 1959-69,@182-194;

Ch. 12, ARomantic Triangle, 1969-1976,@196-206;

Ch. 13, ASino-American Marriage, 1976-1981,@207-216.

April 20

Lowell Dittmer, *Sino-Soviet Normalization and Its International Implications, 1945-1990*

Ch. 14, A Sinocentric Romantic Triangle, 1981-1985, @217-30;

Ch. 15, A Menage a trois, 1986-1990, @231-47.

Gilbert Rozman, A Sino-Russian Relations: A Balance Sheet, @ *Post-Soviet Affairs, Vol. 14, April-June 1998*, pp. 93-113 (package).

April 22

Sherman Garnett, ed., (reserve)

Gilbert Rozman, A Sino-Russian Cross-Border Relations: Turning Fortresses into Free Trade Zones, @

Conclusions,

April 27

Gilbert Rozman, A The Crisis of the Russian Far East: Who Is to Blame? @ *Problems of Post-Communism* (September/October 1997), 3-12 (package).

Gilbert Rozman, A Northeast China: Waiting for Regionalism, @ *Problems of Post-Communism*, July-August 1998, pp.3-13 (package)

Gilbert Rozman, A Flawed Regionalism: Reconceptualizing Northeast Asia in the 1990s, = *Pacific Review*, Vol. 11, No. 1, 1998, pp. 1-27 (package).

April 29

Michael Nossov, Gilbert Rozman, Koji Watanabe, eds., *Russia's Total Security Environment*,
Vol. 3 (Armonk, NY: M.E. Sharpe, 1999) (reserve)

Introduction,

Conclusions,

Requirements

1 QUIZ: 25% MARCH 11

1 SHORT PAPER (SOCIAL ANALYSIS WITH HISTORICAL BACKGROUND): 10%

APRIL 1

1 SHORT PAPER (BILATERAL RELATIONS INCLUDING HISTORY OR
IDENTITY AS THEMES): 10% April 22

1 RESEARCH PAPER: 40% DEAN'S DATE

PRECEPT PARTICIPATION AND PRESENTATIONS: 15%

C: rural

VTEs 130 million workers absorbed, 23 million firms; changes in thinking, reducing rural-urban gap; but lack capital, knowhow, talent, 98-99 see limits, in export, in domestic demand reducing; layoffs in SOES but few VTE jobs, need change the model

