

Timing and Counting Precision in the Blowfly Visual System

Rob de Ruyter van Steveninck and William Bialek
 NEC Research Institute,
 4 Independence Way,
 Princeton NJ 08540,
 USA

Abstract

We measure the reliability of signals at three levels within the blowfly visual system, and present a theoretical framework for analyzing the experimental results, starting from the Poisson process. We find that blowfly photoreceptors, up to frequencies of 50-100 Hz and photon capture rates of up to about $3 \cdot 10^5/s$, operate well within an order of magnitude from ideal photon counters. Photoreceptors signals are transmitted to LMCs through an array of chemical synapses. We quantify a lower bound on LMC reliability, which in turn provides a lower bound on synaptic vesicle release rate, assuming Poisson statistics. This bound is much higher than what is found in published direct measurements of vesicle release rates in goldfish bipolar cells, suggesting that release statistics may be significantly sub-Poisson. Finally we study H1, a motion sensitive tangential cell in the fly's lobula plate, which transmits information about a continuous signal by sequences of action potentials. In an experiment with naturalistic motion stimuli performed on a sunny day outside in the field, H1 transmits information at about 50% coding efficiency down to millisecond spike timing precision. Comparing the measured reliability of H1's response to motion steps with the bounds on the accuracy of motion computation set by photoreceptor noise, we find that the fly's brain makes efficient use of the information available in the photoreceptor array.

1 Introduction

Sensory information processing plays a crucial role in the life of animals, including man, and perhaps because it is so important it seems to happen without much effort. In contrast to this, our subjective experience suggests that activities of much lower urgency, such as proving mathematical theorems or playing chess, require substantial conscious mental energy, and this seems to make them inherently difficult. This may deceive us into thinking that processing sensory information must be trivially easy. However, abstract tasks such as those mentioned are now routinely performed by computers, whereas the problems involved in making real-life perceptual judgments are still far from understood. Playing chess may seem much more difficult than discerning a tree in a landscape, but that may just mean that we are very efficient at identifying trees. It does not tell us anything about the "intrinsic" difficulty of either of the two tasks.

Thus, to find interesting examples of information processing by the brain we do not need to study animals capable of abstract thinking. It is sufficient that they are just good at processing sensory data. Partly for this reason, sensory information processing by insects has been an active field of study for many years. Undeniably, insects in general have simpler brains than vertebrates, but equally undeniably, they do a very good job with what they do have. Noting that insect brains are very small, Roeder (1998) remarks:

Yet insects must compete diversely for their survival against larger animals more copiously equipped. They must see, smell, taste, hear, and feel. They must fly, jump, swim,

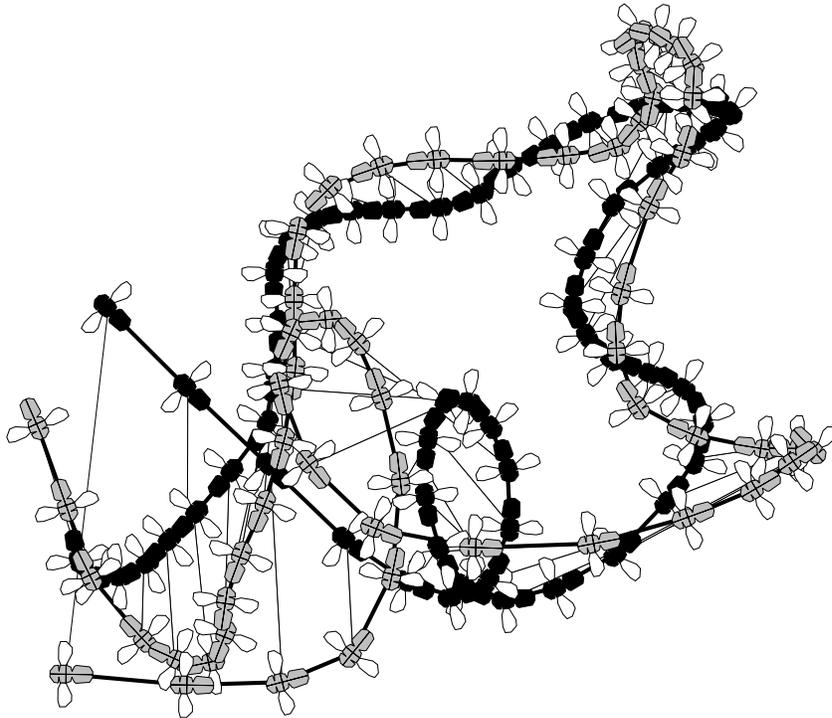


Figure 1: Two flies in a chase. The black fly is chased by the gray one for a duration of about a second. Flies (*Fannia canicularis*) were filmed from below, and their positions estimated every 20 ms. The chasing fly estimates the position of the leading one purely by means of visual input. Modified from Fig. 4 in Land and Collett (1974).

crawl, run, and walk. They must sense as acutely and act as rapidly as their predators and competitors, yet this must be done with only a fraction of their nerve cells.

Spectacular examples of insect behavior can be found in Tinbergen (1984) and Berenbaum (1995). Brodsky (1994) describes the acrobatics of fly flight: “The house fly can stop instantly in mid flight, hover, turn itself around its longitudinal body axis, fly with its legs up, loop the loop, turn a somersault, and sit down on the ceiling, all in a fraction of a second.” An example of some of this performance is shown in Fig. 1, and clearly the acrobatic behavior displayed there must be mediated by impressive feats of sensory information processing.

In this chapter we will look at the tip of this iceberg, and study some aspects of visual information processing in the blowfly. Insects lend themselves well for electrophysiological and behavioral studies, especially for quantitative analysis, and the emphasis will be on quantifying the *accuracy* of neural signals and neural computations, as advocated explicitly by Bullock (1970). This is a fundamentally probabilistic outlook, requiring a suitable statistical description of signal and noise. It also is the principal description from the point of view of representation and processing of information. For example, if you (or the brain) are asked to estimate the light intensity in the outside world based on the reading of a photoreceptor voltage, then of course you need to know the gain, i.e., the conversion factor from light intensity to photoreceptor voltage. To find the light intensity you just divide the voltage by this gain. The accuracy of your estimate depends on the magnitude of the cell’s voltage noise, and this translates into an uncertainty in light intensity through the same gain factor. But then the uncertainty in the estimate depends on the ratio of the signal voltage and the noise voltage, and not on the specific value of the gain. Note that the gain is a “biological” parameter—why does the cell produce millivolts and not hundreds of millivolts?—while

the accuracy of estimates is measured in the same units as the physical stimulus in the outside world. Further, we will see that there are often absolute limits to accuracy that are set by physical principles. Thus by studying the accuracy of estimates we move from a characterization of neurons that is specific to their biological context toward a characterization that is more absolute and universal.

The example of estimating light intensity by looking at the voltage in a photoreceptor admittedly is very simple. Nonetheless, it illustrates an important point, namely that we can quantify on an absolute scale the performance of neurons as encoders and processors of sensory information even without a complete understanding of the underlying physiological mechanisms. The challenge that we address in later sections of this chapter is to give a similarly quantitative description for neurons at higher stages of processing, where the interpretation of the neural signals is much more difficult.

We begin at the beginning of vision, namely light. Because light is absorbed as an irregular stream of photons, it is an inherently noisy physical signal. This is modeled mathematically by the Poisson process, of which we give some mathematical details which will be used later on. Then we will focus on the performance of the photoreceptor cells of the fly's retina which capture light and convert it into an electrical signal. These cells encode signals as graded, or analog, variations of their membrane potential. This representation is noisy in part due to the fluctuations in the photon flux, and in part due to limitations imposed by the cell itself, and we can tease apart these contributions. Then we will look at the accuracy of chemical synaptic transmission between the photoreceptor cell and the LMC (Large Monopolar Cell) by comparing signal and noise in the presynaptic and postsynaptic cells. A chemical synapse releases discrete vesicles, and therefore the Poisson process is a natural starting point for a mathematical description of signal transfer across the synapse.

Of course, having a representation of light intensities in the outside world does not in itself constitute vision. It is the task of the brain to *make sense* of the ever fluctuating signals in the photoreceptor array. As an example we consider the estimation of wide-field motion from signals in the photoreceptor array, which is a relatively simple neural computation. We will analyze the limits to the reliability of this computation, given the reliability of the photoreceptor signals, and we will compare this to the reliability of performance of H1, a spiking, wide field motion sensitive cell in the fly's brain.

By comparing the measured reliability of cells with the physical limits to reliability set by the noise in the input signal we get an idea of the statistical efficiency of nerve cells and of neural computation. This also makes it possible to quantify rates of information transfer, which, although by no means the whole story, nevertheless captures the performance of nerve cells in a useful single number.

2 Signal, Noise and Information Transmission in a Modulated Poisson Process

A Poisson process is a sequence of point events with the property that each event occurs independently of all the others. We will treat some of the mathematics associated with Poisson processes and shot noise, but our emphasis is on an intuitive, rather than a rigorous presentation. Many of the issues discussed here can be found in a treatment by Rice (1944, 1945), which also appears in an excellent collection of classic papers on noise and stochastic processes by Wax (1954). A more comprehensive mathematical treatment is given by Snyder and Miller (1991).

The Poisson process is a useful model first of all because it describes the statistics of photon capture very well. There are exotic light sources which deviate from this (Saleh and Teich 1991), but biological organisms deal with normal thermal light sources. The photoreceptor response can then be modeled to first approximation as shot noise, which is a linearly filtered Poisson process. The filtering process itself may be noisy, as is the case in phototransduction. Consequently, we also

treat the more general case in which the filter itself is a random waveform. The Poisson process is often used to model other point processes occurring in the nervous system, such as vesicle release at a chemical synapse, or trains of action potentials. We will present examples of both, and show that for vesicle release the Poisson process is probably not a good approximation, and for describing spikes in H1 it is certainly not good. But even then it is useful to understand the Poisson process, because it provides a simple example with which to ground our intuition.

2.1 Description of the Poisson process

Many of the notions treated in this section are illustrated in Fig. 2. Fig. 2B for example, shows a single realization of a point process on the time axis. This can be written as a series of events occurring at times t_1, t_2, \dots . A useful mathematical abstraction is to represent each event as a delta function, $\delta(t - t_k)$, so that the full series is a sum of these functions:

$$\rho(t) = \sum_k \delta(t - t_k). \quad (1)$$

Here the delta function has the dimension of inverse time, and an area equal to 1, so that the integral of $\rho(t)$ over a time window will be equal to the number of events in that window. What distinguishes a Poisson process from other sequences of events is that the occurrence of each event is random and completely independent of the occurrence of all the others. Eqn. 1 describes one single outcome, which is analogous to observing the number on a die in one single throw. Although the particular result may be important in a game of dice, it is not the particular realization that we are interested in here. Instead we wish to derive what we can expect under “typical” conditions. A construction that helps in such derivations is to think not of one single outcome of the process, but of a great number of independent realizations. This is similar to the concept in statistical mechanics of an ensemble of independent systems, each in its own state, and all obeying the same macroscopic conditions (see for example Schrödinger 1952). The advantage of this mental picture is that it provides a convenient starting point for reasoning about typical or average behavior.

For the die we usually take it for granted that the chance of getting any particular number from 1 to 6 is just 1/6. But we could also imagine an immense number of dice thrown at random, and if we were to count the ones that landed on 6, we would find their proportion to the total to be very close to 1/6. Obviously, using the ensemble concept for reasoning about dice is a bit overdone. Later on we will look at experiments where the same time dependent stimulus sequence is repeated a large number of times, while neural responses are recorded. In that case it will be useful to think about a large set of outcomes—our independent trials—and to distinguish ensemble averages over that set of trials from time averages. Ensemble averages will generally be indicated by $\langle \dots \rangle$, and time averages by $\overline{\dots}$. Here we use the term “ensemble” in a loose sense, and primarily to make the distinction with time averages. A synthesized example is shown in Fig. 2E, while Fig. 17B shows a set of spike timing data from a real experiment.

Suppose that Eqn. 1 represents a realization of a Poisson process that has a constant, time independent rate of λ , that is on average we expect to see λ pulses in a one second time window. How does this relate to the sequence described by Eqn. 1? In the ensemble picture we construct a large number, M , of independent realizations of $\rho(t)$, denoted $\rho_1(t), \rho_2(t), \dots, \rho_M(t)$, with total counts N_1, N_2, \dots, N_M . The ensemble average, $r(t)$ of $\rho(t)$ is then:

$$r(t) = \langle \rho(t) \rangle = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M \sum_{k_m=1}^{N_m} \delta(t - t_{k_m}) = \lambda. \quad (2)$$

Obviously λ has the same dimension, inverse time, as the delta function. But how do we derive this result in the first place? Let us introduce a rectangular pulse, $[\Pi(t/\Delta t)]/\Delta t$. Here $\Pi(t/\Delta t)$ is by definition equal to 1 for $|t| < \Delta t/2$ and zero otherwise (see Bracewell, 1978, and Rieke et al. 1997,

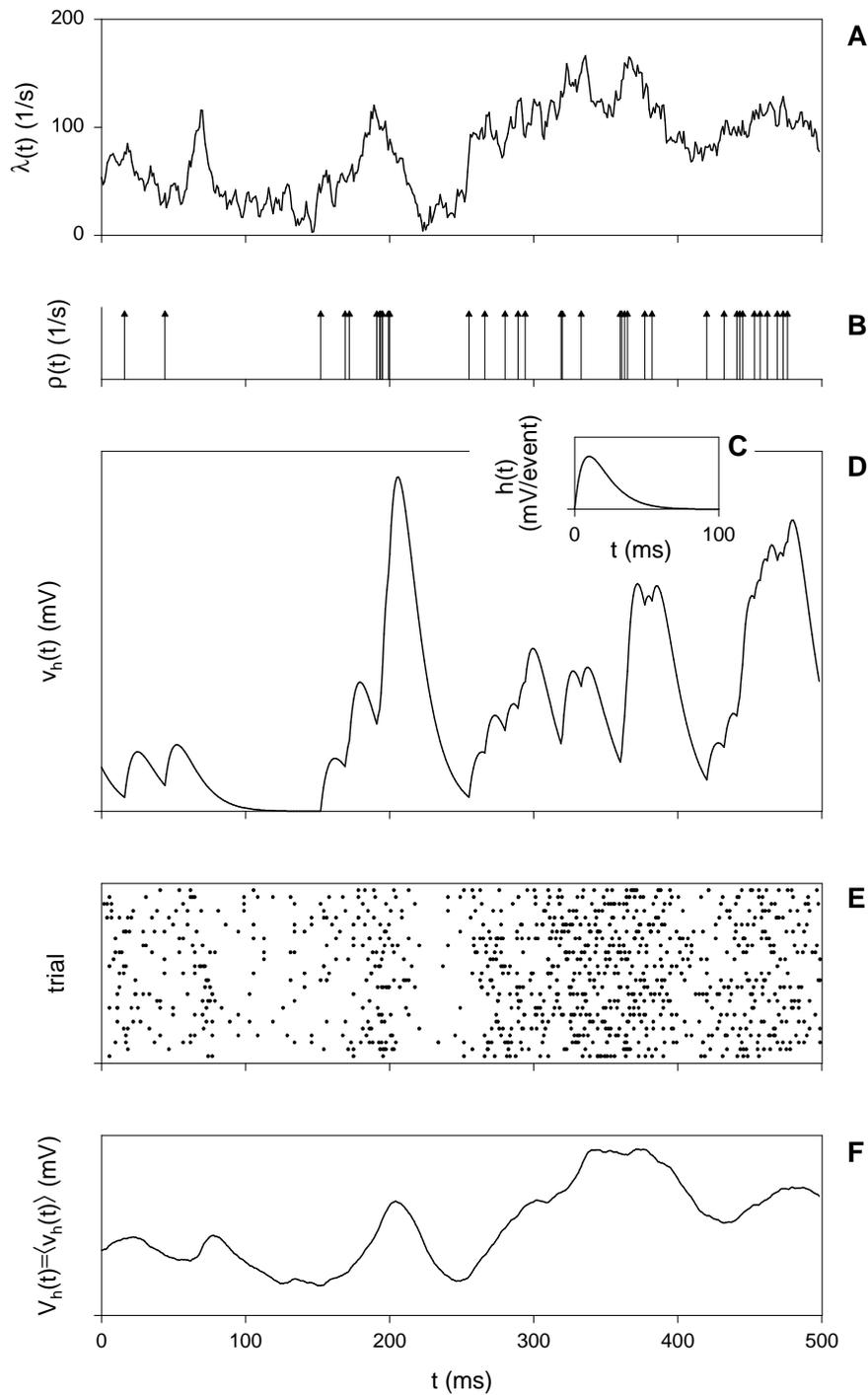


Figure 2: Illustration of some of the basic concepts used in the analysis. A: Time dependent rate, $\lambda(t)$, of a Poisson process. B: A single realization of statistically independent events on the time axis, generated from the rate function shown in A. The arrows represent delta functions. C: A temporal filter, $h(t)$, used to smooth the train of events in B to produce the example of a single shot noise trace, $v_h(t)$, shown in panel D. E: A raster representation of the outcome of 25 trials in which events are generated by the rate function $\lambda(t)$ shown in A. F: The average trace, $V_h(t) = \langle v_h(t) \rangle$, of a large number of trials filtered by $h(t)$.

A.1), so that $[\Pi(t/\Delta t)]/\Delta t$ is Δt wide, and $1/\Delta t$ high. This obviously has unit area, just like the “real” delta pulse, and it becomes more like a delta pulse if we let Δt shrink to zero. If $\Delta t \ll 1/\lambda$, then such pulses will (almost) never overlap. If we now also imagine time to be discretized in bins Δt , then it becomes a matter of bookkeeping to count what proportion of the realizations in the ensemble has a pulse in a given time bin. The answer is $P_1 = \Delta t \cdot \lambda$. To normalize to units of time we must divide this by the binwidth, Δt , so the rate will be λ .

Thus we expect to see about $\mu = \lambda \cdot T$ events occurring in a window of T seconds in a single realization, independent of where we put the window. Of course, μ is an average, and we do not expect to observe exactly μ events each time, if only because μ can be any nonnegative number, while each event count value must be an integer. It is interesting to know how the values in each trial are distributed. This is described by the Poisson distribution:

$$P(N) = \frac{(\lambda T)^N}{N!} \cdot e^{-\lambda T}. \quad (3)$$

It is easy to show that this distribution has mean value $\mu = \langle N \rangle = \lambda \cdot T$, and variance $\sigma^2 = \langle N^2 - \langle N \rangle^2 \rangle$. Further, $\langle N^2 - \langle N \rangle^2 \rangle = \langle N \rangle$, and this means that $\sigma^2 = \mu$, which is an important property of the Poisson distribution. A distribution which is more narrow than a Poisson distribution has $\sigma^2 < \mu$, and is often called sub-Poisson. The broader distribution, with $\sigma^2 > \mu$, is called super-Poisson.

2.2 The modulated Poisson process

If we want to study visual information transfer we should not confine ourselves to looking at steady light levels, as constant visual stimuli have a zero rate of information transmission. One reading of a signal value can of course carry information, but if it does not change over time the information rate, in bits/s, goes to zero. Perhaps for that reason the visual system does not seem to care very much for constant input signal levels. Later we will see the example of Large Monopolar Cells which filter out practically all of the standing signal in the fly’s photoreceptors. In real life, signals are changing all the time, and in real experiments we usually modulate signals and probe the response of nerve cells to these changes. To study the response of photoreceptors one may deliver flashes of light, sine waves of various frequencies, pseudorandom signals, and so on. All of these are really modulations of an underlying Poisson process, namely the rate at which photons are captured. We therefore must extend our description of the homogeneous Poisson process to an inhomogeneous, or modulated, version in which the rate is a function of time. So suppose that the rate is time dependent $\lambda = \lambda(t)$, as depicted in Fig. 2A. If we have a large number of outcomes of a Poisson process modulated with $\lambda(t)$, then in analogy to Eqn. 2 the ensemble average, $r(t)$, must equal $\lambda(t)$:

$$r(t) = \langle \rho(t) \rangle = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M \sum_{k_m=1}^{N_m} \delta(t - t_{k_m}) = \lambda(t). \quad (4)$$

2.3 Correlation functions and spectra

Because the pulses in a Poisson process occur independently of one another it is easy to compute correlation functions and power spectral densities. These correlation functions are natural objects from a physical point of view, and are used widely in the analysis of experimental data. There is a difficulty, however, in that correlation functions are averages, and we have two different notions of averaging: averaging over time, and averaging over an ensemble of responses to identical inputs. In many ways the situation is similar to that in the statistical mechanics of disordered systems, where we can average over thermal fluctuations, over different realizations of the quenched disorder, or over both. Our discussion here and in the next section is brief, summarizing results that are

largely well known. What we aim at ultimately is the derivation of Eqn. 23, connecting observable quantities to the underlying rates of Poisson events.

We recall that if we average the signal $\rho(t)$ over many trials in which the inputs are the same, then we obtain the Poisson rate $\lambda(t)$, $\langle \rho(t) \rangle = \lambda(t)$. Since different pulses occur independently of one another, once we know the rate $\lambda(t)$ there is no additional mechanism to carry correlations across time. On the other hand, since $\rho(t)$ is built out of delta functions at the moments of the point events, there will be singularities if we compute correlations at short times. It is straightforward to show that

$$\langle \rho(t)\rho(t') \rangle = \lambda(t)\lambda(t') + \lambda(t)\delta(t-t'). \quad (5)$$

Thus if we compute the “connected” correlation function—subtracting off the means of the terms taken separately—all that remains is the delta function term:

$$\begin{aligned} \langle \rho(t)\rho(t') \rangle_c &\equiv \langle \rho(t)\rho(t') \rangle - \langle \rho(t) \rangle \langle \rho(t') \rangle \\ &= \lambda(t)\delta(t-t'). \end{aligned} \quad (6)$$

It is interesting that if we now average over time, the answer is insensitive to the time dependence of the rate. More precisely, the time average of the connected correlation is

$$\overline{\langle \rho(t)\rho(t+\tau) \rangle_c} \equiv \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^\infty dt \langle \rho(t)\rho(t+\tau) \rangle = \bar{\lambda}\delta(\tau), \quad (7)$$

and this is true whether or not the Poisson process is modulated. In this sense the fluctuations in the Poisson stream are independent of the modulations, and this is an important way of testing for the validity of a Poisson description.

Instead of averaging over an ensemble of trials we can also average directly over time. Now we have no way of distinguishing between true correlations among successive events (which would indicate a departure from Poisson statistics) and correlations that are “carried” by the time dependence of the rate itself. The result is that

$$\overline{[\rho(t)\rho(t+\tau)]} = \overline{[\lambda(t)\lambda(t+\tau)]} + \bar{\lambda}\delta(\tau), \quad (8)$$

$$\overline{[\rho(t)\rho(t+\tau)]_c} = \overline{[\Delta\lambda(t)\Delta\lambda(t+\tau)]} + \bar{\lambda}\delta(\tau), \quad (9)$$

where the fluctuations in the rate are defined as $\Delta\lambda(t) = \lambda(t) - \bar{\lambda}$. Thus the (connected) autocorrelation of the pulse train, computed by averaging over time, has a contribution from the (connected) autocorrelation function of the rate and a delta function contribution.

We recall that the integral of $\rho(t)$ over a time window counts the number of events in that window. The variance of the count found in a window thus is equal to a double integral of the ρ — ρ correlation function (see, for example, Appendix 2 in Rieke et al. 1997). The delta function in the autocorrelation leads to a term in the count variance that grows linearly with the size of the window over which we count, much as a delta function in the velocity autocorrelation for a Brownian particle leads to diffusion; here we have a diffusion of the count. Since the mean count grows linearly with the size of the counting window, the variance and mean are growing in proportion to one another, and in fact the proportionality constant is one. This equality of variance and mean is one of the defining features of the Poisson process.

If we try to analyze the signal $\rho(t)$ in the frequency domain, then the first step is to subtract the time average and define $\delta\rho(t) = \rho(t) - \overline{\rho(t)}$. Note that $\overline{\rho(t)} = \bar{\lambda}$ if we average for a sufficiently long time. Then if we Fourier transform and compute the obvious correlation functions, we obtain:

$$\delta\hat{\rho}(f) = \int dt \exp(+2\pi i f t) \delta\rho(t), \quad (10)$$

$$\langle \delta\hat{\rho}(f) \delta\hat{\rho}(f') \rangle = \delta(f + f') S_{\delta\rho}(f), \quad (11)$$

$$S_{\delta\rho}(f) = S_{\Delta\lambda}(f) + \bar{\lambda}, \quad (12)$$

where we use $\hat{\cdot}$ to denote Fourier transforms. Thus the spectral density of fluctuations in ρ , $S_{\delta\rho}$, is related to the spectral density of rate fluctuations, $S_{\Delta\lambda}$, plus a “white noise” term with an amplitude equal to the mean rate. This structure parallels that for the correlation functions in Eqn. (9) because the spectra and correlation functions form a Fourier transform pair,

$$\overline{[\rho(t)\rho(t')]_c} = \int df \exp[-2\pi i f(t - t')] S_{\delta\rho}(\omega); \quad (13)$$

this is the Wiener–Khinchine theorem.

Notice that if we have an ensemble of many trials with the same input, then we can define a different “fluctuation” in the signal ρ by subtracting off the time dependent mean $\langle \rho(t) \rangle = \lambda(t)$. Thus if we write $\Delta\rho(t) = \rho(t) - \lambda(t)$, then the equations analogous to (11) and (12) above are as follows:

$$\langle \Delta\hat{\rho}(f) \Delta\hat{\rho}(f') \rangle = \delta(f + f') S_{\Delta\rho}(f) \quad (14)$$

$$S_{\Delta\rho}(f) = \bar{\lambda}. \quad (15)$$

Again we see that the connected fluctuations are independent of the modulation.

2.4 Shot noise

Idealized Poisson events have zero duration, or equivalently, infinite bandwidth. This is clearly unrealistic; real signals have finite rise and decay times. We can give the Poisson process some more meat by replacing every zero-duration event with a fixed waveform and having all the waveforms in the train be additive. Another way of saying this is that the train of events in Eqn. 1 is filtered by a linear filter $h(t)$ (see Fig. 2C), and this is often a fair first order model for the physics underlying shot noise, such as pulses of electrons from a photomultiplier tube. The output is found by convolving this filter with the sequence of delta functions:

$$v_h(t) = \sum_k \delta(t - t_k) \otimes h(t) = \sum_k h(t - t_k), \quad (16)$$

where we use v_h to denote the result, because in our applications the filtered process will always be a voltage. An example of a filtered process is given in Fig. 2D. If the width of $h(t)$ is very small compared to $1/\lambda$, then the shot noise still looks a lot like the original Poisson process in the sense that there are clearly separated events. If, however, $h(t)$ is much larger than the average separation between events then $v_h(t)$ will be a rather smooth signal, and its value will be distributed according to a Gaussian. This is easy to see: When at any one point in time, v_h is the sum of a large number of filtered events, spread randomly in time, the central limit theorem (Feller 1966) tells us that the probability distribution of that sum approaches a Gaussian, at least for reasonable forms of $h(t)$.

Convolution in the time domain is equivalent to multiplication of the Fourier transforms in the frequency domain, and so, using Eqn. 12, we can write down the power spectral density of the shot noise process as:

$$S_v(f) = [S_{\Delta\lambda}(f) + \bar{\lambda}] \cdot |\hat{h}(f)|^2, \quad (17)$$

where we use the subscript v in S_v to remind us that the noise power density will represent the power density spectrum of voltage fluctuations measured from a cell. Notice that the spectral density includes contributions from the “signal”—the time variation of λ —as well as from noise due to randomness in the event times. Below we will isolate the “true” noise component of the spectrum, which we will call $N_v(f)$.

Again these results are what we obtain by making a Fourier analysis, which is related to the correlation functions that are defined by averaging over time. On the other hand, if we can observe many responses to the same inputs, then we can compute an average over this ensemble of trials, to define the ensemble averaged output, $V_h(t)$:

$$\begin{aligned}
V_h(t) \equiv \langle v_h(t) \rangle &= \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M \sum_{k_m=1}^{N_m} [\delta(t - t_{k_m}) \otimes h(t)] \\
&= \left[\lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M \sum_{k_m=1}^{N_m} \delta(t - t_{k_m}) \right] \otimes h(t) \\
&= \lambda(t) \otimes h(t),
\end{aligned} \tag{18}$$

where the first step follows because convolution is a linear operation, so that the order of summation and convolution can be changed, and the second step is from Eqn. 4. The end result is illustrated in Fig. 2F. Equation 18 thus simply states that the ensemble averaged output of a filtered modulated Poisson process is equal to the filtered rate. This will be used in analyzing the transduction of contrast by photoreceptors and second order cells, which for moderate contrast fluctuations are reasonably close to linear. Thus from an experiment where we generate $\lambda(t)$ and measure $V_h(t)$ we can in principle derive $h(t)$.

It will be convenient to write $\lambda(t)$ as the product of a constant, λ_0 , and a contrast modulation function $c(t)$: $\lambda(t) = \lambda_0 \cdot [1 + c(t)]$, where $c(t)$ represents contrast as a function of time. This also conforms closely to the experiment, where we use $c(t)$ as a signal to modulate a light source with an average photon flux equal to λ_0 . In the experiment we will present the same stimulus waveform a large number of times to generate an ensemble of responses. Now, using the frequency representation of Eqn. 18 we get:

$$\begin{aligned}
\hat{V}_h(f) &= \hat{\lambda}(f) \cdot \hat{h}(f) \\
&= \lambda_0 \cdot \hat{c}(f) \cdot \hat{h}(f) \quad (f \neq 0).
\end{aligned} \tag{19}$$

Thus the Fourier transform of the ensemble averaged response equals the product of the Fourier transforms of the rate and the filter. In an experiment we set the stimulus, $\lambda(t)$ in the above equation, and we measure $V_h(t)$. Using these data and Eqn. 19 we can directly compute the transfer function $\hat{h}(f)$ that translates the stimulus into the average response. But we can also look at the fluctuations around this average,

$$\Delta v_h(t) = v_h(t) - V_h(t), \tag{20}$$

and now we want to compute the power spectral density of these fluctuations. The key is to realize that

$$\Delta v_h(t) = h(t) \otimes \Delta \rho(t), \tag{21}$$

which means that

$$\begin{aligned}
N_v(f) &= |\hat{h}(f)|^2 S_{\Delta r}(f) \\
&= \lambda_0 |\hat{h}(f)|^2,
\end{aligned}
\tag{22}$$

where we use the notation N_v because this truly is a spectral density of noise in the response v_h . Again the crucial result is that the noise spectral density is determined by the mean counting rate, independent of the modulation. There is even a generalization to the case where the filter $h(t)$ is itself random, as discussed in the next section.

These results give us a way of calculating the underlying average Poisson rate from the observed quantities in the experiment:

$$\lambda_0 = \frac{|\hat{V}_h(f)|^2}{N_v(f) \cdot |\hat{c}(f)|^2}.
\tag{23}$$

This of course is valid only for an ideal, noiseless, system, the only noise being that introduced by Poisson fluctuations. We could choose to apply Eqn. 23 to the quantities we measure, and then consider the result as a measurement of an *effective* Poisson rate (see also Dodge et al. 1968). This can then be compared to the rate we expect from an independent measurement of photon flux (which we can make—see the experimental sections) to assess how close the real system comes to being ideal. But we can make the description a bit more realistic by introducing a random filter in the chain of events leading to the cell’s response.

2.5 A Poisson process filtered by a random filter

The analysis presented above relies on the filter $h(t)$ having a prescribed, fixed shape. That assumption is not entirely realistic. More to the point, we are interested in characterizing the limitations of the system as a transmitter of information, and so it is precisely this deviation from strict determinacy that we wish to analyze. Phototransduction is a biochemical process, rooted in random collisions of molecules. Not surprisingly therefore, quantum bumps in photoreceptors are known to fluctuate (Fuortes and Yeandle 1964, Baylor et al. 1979, Wong et al. 1980, Laughlin and Lillywhite 1982), varying both in shape and in latency time. We would like to incorporate the effect of such fluctuations in our formulation, and we do that here for the simplest case. Suppose a Poisson event at time t_k is filtered by a filter $h_k(t)$, that the shape of this filter is drawn from a probability distribution of filter shapes, $\mathcal{P}[h(t)]$, and that these draws are independent for different times t_k . As before, the contributions of the filtered events are assumed to add linearly. We can picture this distribution of filter shapes again as an ensemble, and of course this ensemble has some average filter shape, $\langle h(t) \rangle$. Because everything is still linear, we can exchange orders of averaging, and so it is easy to see that we can replace the fixed shape $h(t)$ in Eqn. 19 by its ensemble average. In other words in the case of independently fluctuating filters we obtain:

$$\hat{V}_h(f) = \langle \hat{v}_h(f) \rangle = \hat{\lambda}(f) \cdot \langle \hat{h}(f) \rangle,
\tag{24}$$

and instead of being able to measure the fixed shape of a filter we have to settle for characterizing its average.

Finally we should compute the effect of variable filter shapes on the power density spectrum, that is we want the analogue of Eqn. 22. Here it is useful to remember that the power density spectrum is the Fourier transform of the autocorrelation function. If bumps of variable shapes are generated at random times, then each bump correlates with itself. The correlations with the others, because their shapes and arrival times are assumed independent, will lead to a constant. The autocorrelation of the bump train is then the ensemble average of the autocorrelation of all individual bumps. Likewise the power density spectrum is the ensemble average of the power spectra of the individual bumps. The end result is then that:

$$N_v(f) = \lambda_0 \cdot \langle |\hat{h}(f)|^2 \rangle \quad (25)$$

$$|\hat{V}_h(f)|^2 = \lambda_0^2 \cdot \langle \hat{h}(f) \rangle^2 \cdot |\hat{c}(f)|^2. \quad (26)$$

We can now define an effective Poisson rate that we compute from the experimental data:

$$\begin{aligned} \hat{\lambda}_{\text{eff}}(f) &= \frac{|\hat{V}_h(f)|^2}{N_v(f) \cdot |\hat{c}(f)|^2} \\ &= \lambda_0 \cdot \frac{|\langle \hat{h}(f) \rangle|^2}{\langle |\hat{h}(f)|^2 \rangle}, \end{aligned} \quad (27)$$

and this is in general a function of frequency, because when $h(t)$ fluctuates in shape, the behavior of $\langle |\hat{h}(f)|^2 \rangle$ is different from that of $|\langle \hat{h}(f) \rangle|^2$.

It is worthwhile fleshing out what effect fluctuations in different bump characteristics have on $\hat{\lambda}_{\text{eff}}(f)$. Here we consider variations in amplitude and in latency. There is good evidence that such variations in bump parameters occur almost independent of one another (Howard 1983, Keiper and Schnakenberg 1984). Variations in the amplitude of the bump can be modeled by assuming that individual bump shapes are described by $\beta \cdot h_0(t)$, where β is a random variable with mean $\langle \beta \rangle = 1$, and variance σ_β^2 , and where $h_0(t)$ has a fixed shape. It is easy to derive that in that case we have $\hat{\lambda}_{\text{eff}}(f) = \lambda_0 / (1 + \sigma_\beta^2)$. In other words, random variations in bump amplitude lead to a frequency independent decrease in the effective Poisson rate. Any form of noise that leads to a spectrally flat effective decrease in photon flux is therefore sometimes referred to as multiplicative (Lillywhite and Laughlin 1979). An important special case is that of a decrease in the photon flux. This can be described by taking β to be a random variable with value either 0 or $1/p_1$, with p_1 the probability of a photon being transduced (so that $\langle \beta \rangle = 1$, as required, and $\sigma_\beta^2 = 1/p_1 - 1$). This leads to $\hat{\lambda}_{\text{eff}}(f) = p_1 \cdot \lambda_0$, as expected.

Another important source of randomness is a fluctuating latency time from photon absorption to bump production. Suppose that we have a fixed shape, $h_0(t)$ as before, but that the time delay is distributed, independent for different bumps, according to $p(t_{\text{lat}})$. Displacing random events in a random way preserves the independence and the mean rate, so that there is no effect on the noise power density. The timing with respect to external modulations is compromised, however, and this mostly affects the reliability at high frequencies. Again, starting from Eqn. 27 it is easy to derive: $\hat{\lambda}_{\text{eff}}(f) = \lambda_0 \cdot |\hat{p}(f)|^2$ —that is, the frequency dependence of the effective Poisson rate is given by the Fourier power transform of the latency distribution (de Ruyter van Steveninck and Laughlin 1996b). Because $p(t_{\text{lat}})$ is a probability distribution, its Fourier transform must go to 1 for $f \rightarrow 0$, and will go to 0 for $f \rightarrow \infty$ if the distribution is smooth. Thus, if bumps have random latencies the effective Poisson rate will be frequency dependent. Low frequencies are not affected whereas the effective rate goes to zero in the limit of very high frequencies.

Fluctuations in the duration of bumps as well as external additive noise in general have frequency dependent effects on $\hat{\lambda}_{\text{eff}}(f)$. If the aim is to describe the phototransduction cascade and the other processes occurring in the cell, then it is interesting to try and tease all the contributions apart, and this may not be easy. Here, however, our goal is more modest, in that we want to quantify the reliability of photoreceptors and the LMCs onto which they project; compare the results to the limits imposed by the stochastic nature of light; and explore some of the consequences of photoreceptor signal quality for visual information processing.

2.6 Contrast transfer function and equivalent contrast noise

It is useful to define two other quantities. The first is the contrast transfer function, defined by:

$$\hat{H}(f) = \frac{\hat{V}_h(f)}{\hat{c}(f)} = \lambda_0 \cdot \langle \hat{h}(f) \rangle, \quad (28)$$

which expresses the cell's gain not as the translation from single photon to voltage, but from contrast to voltage. This is practical because photoreceptors and LMCs work mostly in a regime of light intensities where bumps are fused, and then it is natural to think of these cells as converting contrast into voltage. In addition to this transduced contrast there is voltage noise. It is conceptually useful to express these noise fluctuations as an effective noise η_c added to the contrast itself,

$$\hat{v}(f) = \hat{H}(f)[\hat{c}(f) + \hat{\eta}_c(f)]. \quad (29)$$

The spectral density of this effective noise source is then the equivalent contrast noise power density $N_c(f)$, and has units of (contrast)²/Hz. Since contrast itself is dimensionless this means that N_c has units of 1/Hz, and hence $1/N_c$ has the units of a rate; we will see below that for an ideal photon counter this rate is exactly the mean rate at which photons are being counted. To find $N_c(f)$ we inverse filter the measured voltage noise power density by the contrast power transfer function:

$$N_c(f) = \frac{N_v(f)}{|\hat{H}(f)|^2}, \quad (30)$$

and we can easily derive that $N_c(f) = 1/\lambda_{\text{eff}}(f)$. If we now have a cascade of elements, such as the photoreceptor and the LMC, and we measure the equivalent contrast noise power density at each stage, we would like to define the accuracy of the interposing element, in this case the array of synapses between photoreceptors and LMC. Using the equivalent contrast noise power density it is easy to do this: If we measure $N_{c1}(f)$ and $N_{c2}(f)$ for two elements in a cascade, then for all f we must have $N_{c2}(f) \geq N_{c1}(f)$, and the difference is the contribution of the element in between. In the particular case of photoreceptors and LMCs we have to be careful to include the effect of having six photoreceptors in parallel. This, assuming we may treat them as statistically independent but otherwise equal, is easy to do: When elements are combined in parallel we divide $N_c(f)$ for the individual one by the number of elements to get the equivalent contrast noise power density of the combination. The charm of working with the equivalent contrast noise power density is that it allows us to compute the result of combining elements in series and in parallel, in the same way that we calculate the net resistance of series and parallel combinations of resistors.

3 The Early Stages of Fly Vision

3.1 Anatomy of the blowfly visual system

A good proportion of the surface of a fly's head is taken up by its compound eyes, which is a direct indication that the eyes are very important to the fly. This is also clear from other considerations: The energy consumed by all the photoreceptors in the blowfly's retina in bright light is about 8% of the total energy consumption of a fly at rest (Laughlin et al. 1998). In the blowfly, each eye has about 5000 lenses, corresponding to 5000 pixels of visual input. These pixels are distributed over almost a hemisphere, so the two eyes provide the fly with almost complete surround vision. The male's eyes are somewhat larger than the female's, because the visual fields of the male's two eyes overlap in the dorsofrontal region of visual space. This region is used in detecting and chasing females.

3.2 Optics

The compound eye of insects forms an image onto an array of photoreceptors through a large number of tiny lenses (with diameters ranging typically from 10 to 30 μm ; see Fig. 3). Each

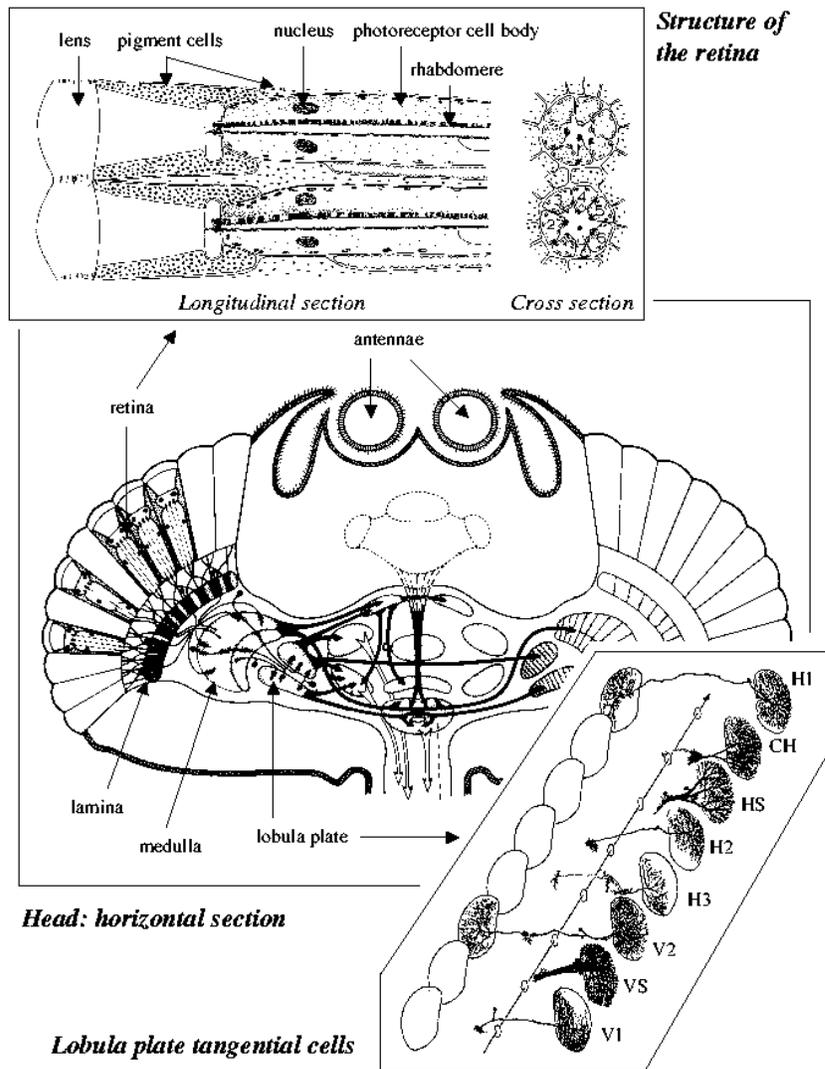


Figure 3: Top: Part of the retina, modified from Stavenga (1974), showing two ommatidia, each containing one lens, several pigment cells, and 8 photoreceptor cells. Light enters the lens and is focused on the tips of the rhabdomeres. It then travels in a bound mode along the rhabdomere, in which it can be absorbed by a membrane bound rhodopsin molecule (Stavenga 1995). Through a series of biochemical steps this then leads to a measureable electrical response across the cell membrane. Center: Schematic horizontal cross section through the head of a typical fly, modified from Kirschfeld (1979). The areas mainly relevant for visual information processing are the retina with its photoreceptors, the lamina, where photoreceptor signals are combined and filtered, and the medulla and lobula complex, where more complex information processing takes place. Bottom: Exploded view of giant tangential cells of the lobula plate, modified from Hausen (1981). The outlines of the two lobula plates and the esophagus are drawn in thin lines. The lobula plate is an output station of the visual system, where tangential cells collect motion information over large areas of the visual field. From here signals are sent to the thoracic ganglion where they are used in the control of flight. Cells drawn in this figure collect their information in the right lobula plate; H1 and V2 project to the contralateral plate. The tangential cells of the lobula plate encode information about wide field motion. All are direction selective, that is their firing rate increases for motion in their preferred direction, while spike activity is suppressed for motion in the opposite direction. The H cells code horizontal, and the V cells vertical motion. CH has a more complicated directional selectivity. The labels HS and VS refer to groups of cells. The tangential cells are unique and identifiable, so that they can be numbered. H1 in particular is a good cell to record from both because it is very easy to find on the contralateral side, and because it is inward sensitive, responding preferentially to motion from the side toward the midline of the animal. The combination of this directional selectivity with the contralateral projection is unique, so that H1 can be identified unambiguously from the electrophysiological recording.

lens belongs to an ommatidium, which typically contains eight photoreceptors, and a number of optical screening cells. Part of the photoreceptor cell membrane is densely folded, and forms a long ($\approx 100 - 200\mu\text{m}$), thin ($\approx 2\mu\text{m}$) cylinder, called the rhabdomere. The membrane consists mainly of phospholipids and proteins so that its refractive index is higher than that of the surrounding watery medium. Therefore, the rhabdomere acts as a waveguide, and light can travel along its long axis in a bound mode. The combination of a lens and the tip of an optical waveguide in its focal plane forms a spatial filter (Snyder 1979): Only light coming from a small angular region can enter the waveguide. The physical limit to the resolution of this system is set by diffraction: $\Delta\phi \approx \lambda/D$, and with $\lambda = 500\text{ nm}$ and $D = 25\ \mu\text{m}$, we have $\Delta\phi \approx 1/50\text{ rad} \approx 1^\circ$. See Exner (1891), Barlow (1952), and Feynman et al. (1963) for an analysis of the optics of compound eyes. The physics of the lens-photoreceptor system is well understood, and theory is in very good agreement with physiological findings (van Hateren 1984).

Flies do not have an iris pupil or eyelids, yet they need to protect their photoreceptors from excessively intense light. This is accomplished by an elegant mechanism depicted in the top box in Fig. 3. The top ommatidium shows a dark adapted photoreceptor, which has tiny pigment granules dispersed through its cell body. In the light adapted state shown in the bottom ommatidium these granules have migrated close to the photoreceptor rhabdomere. The granules absorb light, and because they are close to the light guiding rhabdomere, they act as a light absorbing cladding that captures up to 99% of the incoming photon flux. This then prevents the photoreceptor from saturating in bright daylight (Howard et al. 1987). The effectiveness of the pupil as a light absorber is regulated by feedback (Kirschfeld and Franceschini 1969, Franceschini and Kirschfeld 1976).

The photoreceptors in each ommatidium are numbered R1-R8, and arranged such that R7 lies on the optical axis of the lens. R8 lies behind R7, while the rhabdomeres of R1-R6 lie in a trapezoidal pattern around the center, as shown by the cross section in the top frame in Fig. 3. The optical axes of neighboring ommatidia point in slightly different directions and they differ by an amount that matches the angular difference among the photoreceptors in a single ommatidium. Therefore, eight photoreceptor cells in seven neighboring ommatidia share a common direction of view. Receptors R1-R6 in all ommatidia have the same spectral sensitivity (Hardie 1985), and those R1-R6 receptors in neighboring ommatidia that share the same direction of view combine their signals in the next layer, the lamina. This is known as neural superposition (Braitenberg 1967, Kirschfeld 1967, 1979). Receptors R7/R8 are special, having a spectral sensitivity different from R1-R6, and bypassing the lamina, projecting directly to the medulla.

3.3 Reliability and adaptation

Because single photon responses are more or less standardized it seems a good idea to model the photoreceptor voltage as a shot noise process, consisting of a stream of photon induced events often referred to as “quantum bumps” (Dodge et al. 1968, Wong et al. 1980, de Ruyter van Steveninck and Laughlin 1996a,b). However, we are dealing with a highly adaptive system. As discussed in the previous section, the variance of shot noise should be proportional to the rate of the underlying Poisson process. Panels B and C of Fig. 4 show that the variance at a mean bump rate of 300/s is much higher than at a rate of $3 \cdot 10^5/\text{s}$. So shot noise does not appear to be a good model. The solution to this dilemma is that the bumps change shape so that both their amplitude and their width decrease when the photoreceptor is exposed to higher light intensity (see also Fig. 9). This is the gist of the “adapting bump model” formulated by Wong et al. (1980). Clearly, when bumps adapt, the shot noise model loses some of its generality in terms of predicting the expected response amplitude to a certain stimulus or of predicting the noise power spectral density at different light intensities. However, one much more crucial aspect remains—the signal to noise ratio. Even if bump amplitudes adapt to the ambient light intensity, the frequency dependent signal to noise ratio depends only on the rate of the underlying Poisson process. One last caveat is in place here: In the standard shot noise model, the “bump” shape is taken to be fixed. Surely, in any

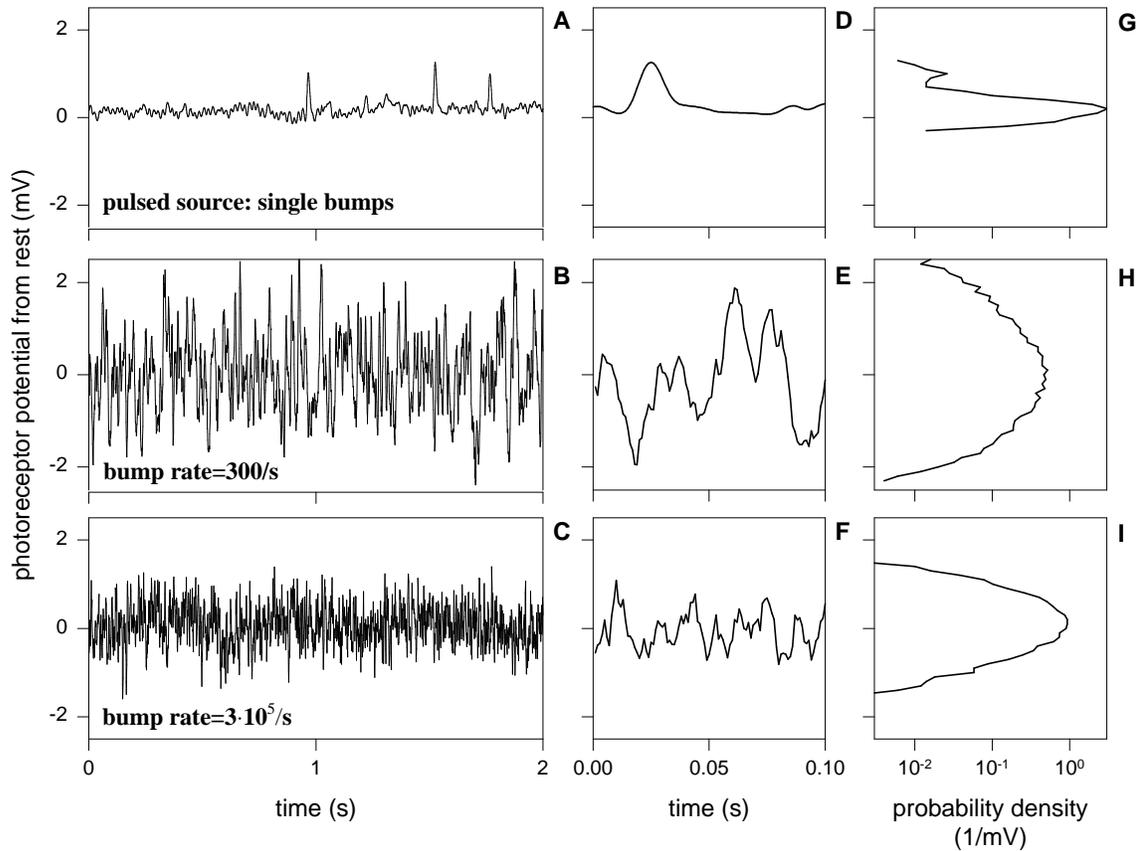


Figure 4: Intracellular photoreceptor recordings. A, B, C: samples of photoreceptor voltage, each 2 seconds long. A: Recording in the dark adapted state, with a light source that emitted brief flashes leading to about 0.5 photons captured per flash on average. B: The same cell, with a continuous light source, and a photon capture rate of 300 per second. C: As B, but now at a 100 times higher light intensity. Panels D, E, F show part of the traces in respectively A, B, C but at higher time resolution. G, H, I: Amplitude distributions of the signals in A, B, C. Note that these are drawn sideways, so that the vertical scales in all panels are the same. The probability densities are drawn on a logarithmic scale, which means that the curves should approximate parabolas for Gaussian amplitude distributions.

realistic system there is noise, and here one can distinguish two of its net effects: A variation in the amplitude of the bumps, which limits the reliability of the system at all frequencies, and a loss in timing precision, which affects the higher frequencies more than the lower frequencies, as treated in section 2.5. See Stieve and Bruns (1983) for more details on bump shape and de Ruyter van Steveninck and Laughlin (1996b) for its effects on the overall reliability of the photoreceptor.

The results we present below were obtained in experiments using a green light emitting diode (LED) as a light source, which was always modulated around the same mean light level. The mean photon flux into the fly’s photoreceptors was set by using filters of known density in the optical path between LED and fly. Light intensities were calibrated by counting the rate of quantum bumps at a low light intensity, as depicted in Fig. 4a. This was generally done with the LED delivering short (1 ms) flashes, using filters of optical density between 3 and 4, that transmit a fraction of 10^{-3} to 10^{-4} of the incident photons. From this low level, light intensities were increased in calibrated steps, and we can so define an extrapolated bump rate for each setting of light intensity in the experiments. Note that this procedure does not specify the absolute quantum efficiency of photon detection. At low light levels this efficiency, defined as the probability for an on axis photon of optimal wavelength (490 nm) to lead to a quantum bump, is about 50% (Dubs et al. 1981, de Ruyter van Steveninck 1986).

In most experiments the LED was modulated with a pseudorandom waveform of duration 2s, sampled at 1024 Hz with independent values at all sample times. This waveform was constructed in the frequency domain, by computing a series of 1024 random numbers on $[0, 2\pi)$, representing the phases of Fourier components. That list, concatenated with an appropriate list of negative frequency phase components, was inverse Fourier transformed, resulting in a sequence of real numbers representing a series of 2048 time samples that was used as the contrast signal $c(t)$. This procedure ensured that the amplitudes of all frequency components were equal. This is not necessary in principle, but it is very convenient in practice to have a signal that is free from spectral dropouts. The use of pseudorandom waveforms to study neural signal processing has a long history; for a review see Rieke et al. (1997). In the photoreceptors and LMCs of the fly, the first such experiments were done by French and Jährvilehto (1978).

Fig. 5 summarizes the measurements made in a typical experiment on a photoreceptor. The same contrast waveform (top) was presented repeatedly and the response to each presentation recorded. From a large number of repetitions we obtain an ensemble of responses, from which we compute the ensemble average shown in Fig. 6A. Clearly the traces in Fig. 5B share the same overall shape, but are not identical. Each trace differs from the ensemble average, and these fluctuations represent the noise in the system. An ensemble of noise traces is obtained simply by subtracting the ensemble averaged response from each individual trace.

We first characterize the cell’s linear response by computing the ratio of the Fourier transform of the ensemble averaged voltage waveform $V_h(t)$, and the Fourier transform of the contrast modulation waveform $c(t)$, as in Eqn. 28. The square of the absolute value of this ratio gives us the contrast power transfer function. The ensemble of noise traces is described by a power spectral density, which we find by averaging the Fourier power spectra of all the individual noise traces in the experimental ensemble, or equivalently by computing the variance of each Fourier coefficient across the ensemble of noise traces. Finally, the ratio of these two functions, as defined in Eqn. 27, is the effective Poisson rate. If the photoreceptor were an ideal photon counter, the ratio should not depend on frequency, and be numerically equal to the extrapolated bump rate. Fig. 7 shows that the ratio does depend on frequency, and that it goes down at the higher frequencies, notably so above 100 Hz. This is a consequence of the limited temporal resolution of the transduction cascade which after all consists of a sequence of chemical reactions, each of which depends on random collisions between molecules. However, at $3.8 \cdot 10^3$ incoming bumps per second the photoreceptor acts essentially as an ideal photon counter up to about 50 Hz. The deviation from this at low frequencies is due to excess low frequency noise in the noise power density (see Fig. 7B,E) which

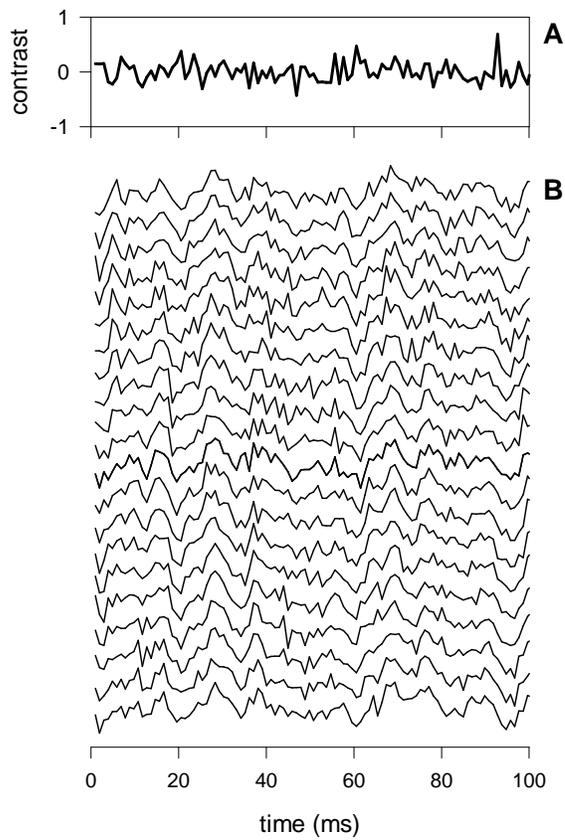


Figure 5: A: Modulation of the LED light source by a computer generated pseudorandom signal sampled at ≈ 1 ms intervals. This panel shows a small, 100 ms section of the total trace which was 2 s long. In the experiment the contrast waveform depicted here is presented repeatedly, and the response of the cell to all these repetitions is recorded. B: Twenty examples of individual traces recorded from a blowfly photoreceptor in response to the contrast waveform shown in A.

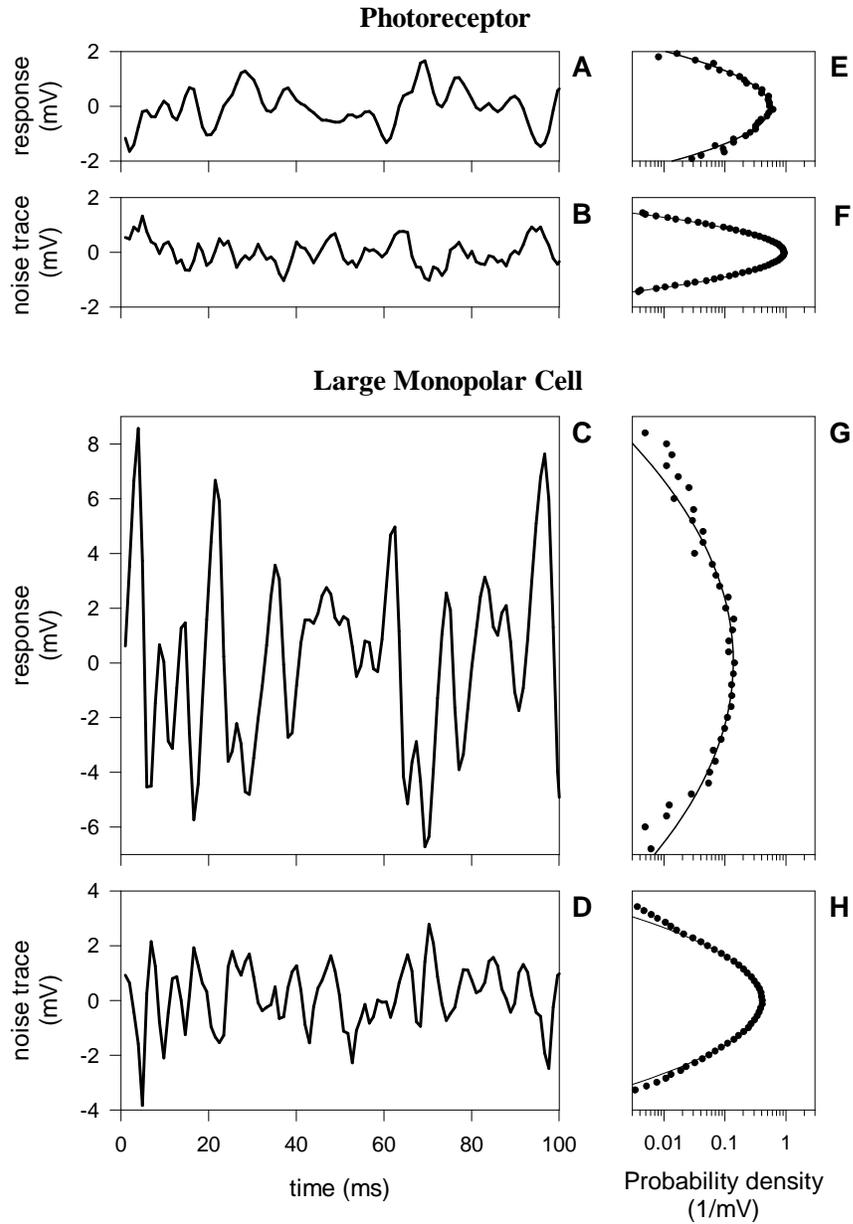


Figure 6: Sample traces of an experiment on a photoreceptor and an LMC, and amplitude distributions. A: A 100 ms segment of the ensemble averaged response of a photoreceptor to the modulation waveform shown in Fig. 5A. B: Example of a 100 ms noise trace, that is the difference between one trace in Fig. 5B and trace A in this figure. C, D As A, B above, but for an LMC. Note that the vertical scales in panels A-D are all the same: The LMC's signal is much larger than that of the photoreceptor. E: Amplitude distribution of the average voltage trace of the photoreceptor. Dots: measured values; line: Gaussian fit. F: As E, but now for the photoreceptor noise waveform. G, H: as E, F but for the LMC.

is almost certainly due to $1/f$ noise in the equipment. At the higher extrapolated bump rate the photoreceptor’s efficiency is constant up to almost 100 Hz, and is about a factor of 2 from ideal. The behavior of the LMC is a bit different in that it acts as a high pass filter, and transmits low frequencies less reliably than would an ideal detector. However, for intermediate frequencies the LMC stimulated at a bump rate of $7.5 \cdot 10^4$ per second comes close to ideal. At the highest bump rate, $7.5 \cdot 10^6$ per second, the LMC deviates from ideal by a factor of 8 at its best frequency (≈ 50 Hz). At this frequency the absolute performance of the LMC is quite impressive, being equivalent to an effective photon flux of about 10^6 events per second.

Fig. 8 presents an overview of the cell’s best performance, and compares it to the theoretical limit. Here we show the maximum of the effective Poisson rate for six photoreceptors and three LMCs, each at multiple light levels. These data are plotted as a function of the photoreceptor’s extrapolated bump rate, which for LMCs is the extrapolated LMC bump rate divided by six, because it receives input from six photoreceptors. For the photoreceptors, at low light levels, the measured maximum and the extrapolated bump rate are very close, and they are at most a factor of two apart at the highest light intensities measured here. This indicates that the photoreceptor is designed to take advantage of each transduced photon, up to fluxes of about $3 \cdot 10^5$ extrapolated bumps/s, and up to about 100 Hz. At bump rates around 10^5 /s and higher the efficiency of the photoreceptor begins to decline. This is caused primarily by the action of the pupil (Howard et al. 1987; see also section 3.2), which attenuates the photon flux propagated through the rhabdomere and thus increases the effective contrast noise as described in section 2.5.

One reasonably expects that if the fly’s brain is well designed, it would put the relatively high quality of the photoreceptor signals to good use. This would then imply that the accuracy of visual information processing is not too far from the photon shot noise limit at the light levels studied here. A first check is to see if neural superposition is efficient in this statistical sense. Comparing the measurements of maximal LMC effective photon flux with the ideal (open symbols and top dashed line in Fig. 8), we see that this is indeed the case up to photoreceptor bump rates around 10^4 – 10^5 per second. For higher light intensities the LMC, as the photoreceptor, becomes less efficient. There is a hint in the data that the LMC declines somewhat faster than the photoreceptor, perhaps due to limitations in the reliability of synaptic transmission, as was noticed by Laughlin et al. (1987).

Given that neurons have a dynamic range much smaller than typical sensory signals, the question arises of how the nervous system copes with the input it receives in order to transmit and process information efficiently. Ultimately this is a matter of optimal statistical estimation, and the result will therefore depend on the statistical and dynamical characteristics of the signals that the animal encounters in its environment. It is well known, and we have seen examples already, that photoreceptors adapt their gain to the ambient light intensity, which may vary over many orders of magnitude. The usefulness of this adaptation seems obvious: At higher light intensity the absolute gain should be brought down to keep the transduced contrast fluctuations within the cell’s voltage operating range. But implicit in this explanation is that the average light levels must change relatively slowly compared to the contrast fluctuations. If the sun flickered unpredictably on time scales of a second or so, and with an amplitude equal to that of the day night cycle, then it would be of no use at all to adapt the photoreceptor gain. Only because large changes tend to be slow does it make sense to design a system that tracks their mean, and changes its gain so as to encode the faster fluctuations more efficiently.

The effect of adaptation is easier to appreciate when the responses are plotted in the time domain. Fig. 9 shows impulse responses of both cell types, at four light levels spanning three orders of magnitude. All the curves are derived from inverse Fourier transforms of the contrast transfer function $\hat{H}(f)$ defined earlier. The top two panels show the absolute gain—that is the response normalized to a single photon capture. In the bottom panels the response is normalized to a 1 ms lightflash with an amplitude equal to the mean light level, so these are normalized to contrast. It is clear from the figures that there is a large range of gain control, as the shapes of the

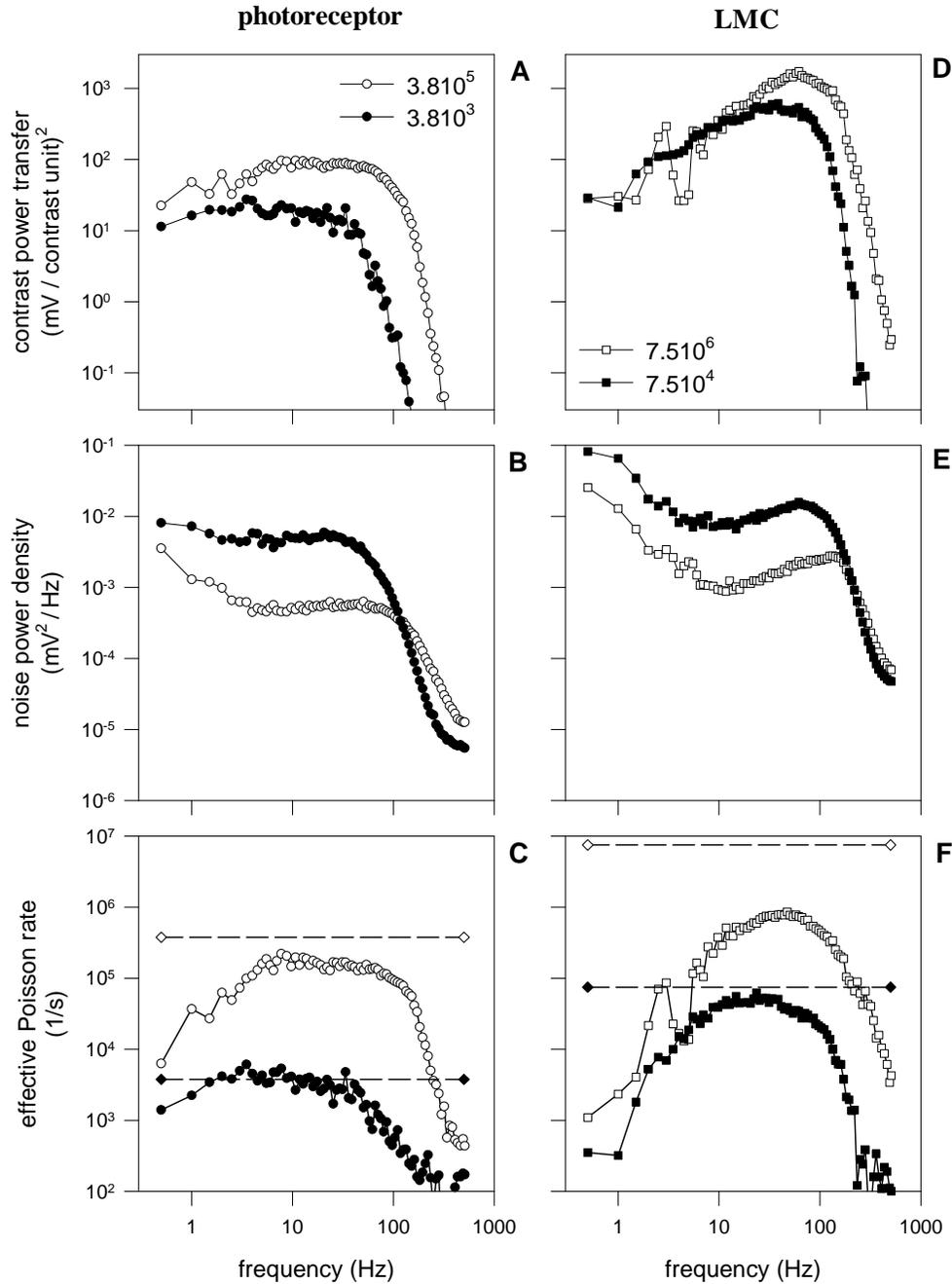


Figure 7: Characterization of a photoreceptor and an LMC in the frequency domain, each for two light intensities. The legends of A and B give these intensities, expressed as extrapolated bump count rates. A: Photoreceptor contrast power gain as a function of frequency. B: Power spectral densities of the ensemble of noise traces represented by Fig. 6B. C: The effective Poisson rate, calculated as the ratio of contrast power gain (panel A) and the power spectral density (panel B) at each frequency. If the photoreceptor were an ideal photon counter, not adding any noise itself, then the effective Poisson rate should be spectrally flat, at a level of the extrapolated bump rate given by the legend in panel A. This is depicted by the dashed lines in C. D, E, F: as A, B, C, but now for an LMC.

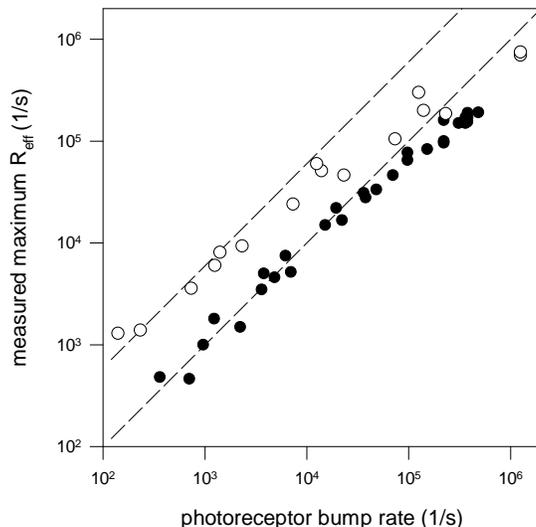


Figure 8: Comparison of the best measured statistical performance of photoreceptors and LMCs to the theoretical limit imposed by photon shot noise. The peak values of the effective Poisson rate curves, such as those in Fig. 7C,F are plotted as a function of the rate at which photons are absorbed by a photoreceptor. The rate of photon absorption is extrapolated from a dark adapted experiment, in which individual bumps are counted (see Fig. 4A). The dashed lines represent the behavior of an ideal photon counter for photoreceptors (lower line) and LMCs (upper line). Along the abscissa the bump count calibration values of the LMC are divided by 6 to get the bump rate of each of the presynaptic photoreceptors. Statistically efficient neural superposition requires that the LMC uses all photons from all six photoreceptors from which it receives input, which means that the LMC data points should then follow the upper dashed line.

responses normalized to single photons vary dramatically. Expressed as contrast gain, the responses become higher in amplitude and sharper in time as the light intensity increases.

The photoreceptor primarily seems to scale down its photon conversion gain, both in amplitude and in time course. The LMC filters out the photoreceptor’s DC level, except perhaps at the lowest light intensities, and it also scales the gain (Laughlin and Hardie 1978). The combined effect leads to a scaling of the LMC amplitude fluctuations. Remarkably, this scaling is such that when stimulated with naturalistic, and very non-Gaussian, sequences, the LMC produces a voltage output that follows a Gaussian quite closely (van Hateren 1997). There is evidence that adaptation of this system is set so as to optimize information transmission rates under different conditions (van Hateren 1992).

The data of Fig. 9 show the behavior of the cells while they are in their adapted states, as care was taken to let the system adapt before the measurement was done. It is also interesting to study the time course of adaptation, and here we will look in particular at adaptation of synaptic transmission.

3.4 Efficiency and adaptation of the photoreceptor-LMC synapse

The link between the photoreceptors and the LMC is a parallel array of chemical synapses. The detailed anatomy of this projection is well known (reviewed by Shaw 1981), and counts have been made of the number of active zones between photoreceptors and LMCs. The total number of synapses between one photoreceptor and an LMC is on average about 220 (Nicol and Meinertzhagen 1982, Meinertzhagen and Fröhlich 1983), so that the total number of active zones feeding into an LMC is close to 1320. Although this number was obtained from the housefly *Musca*, which is smaller than the blowfly, the total number is unlikely to be far off. The photoreceptor-LMC synapses are

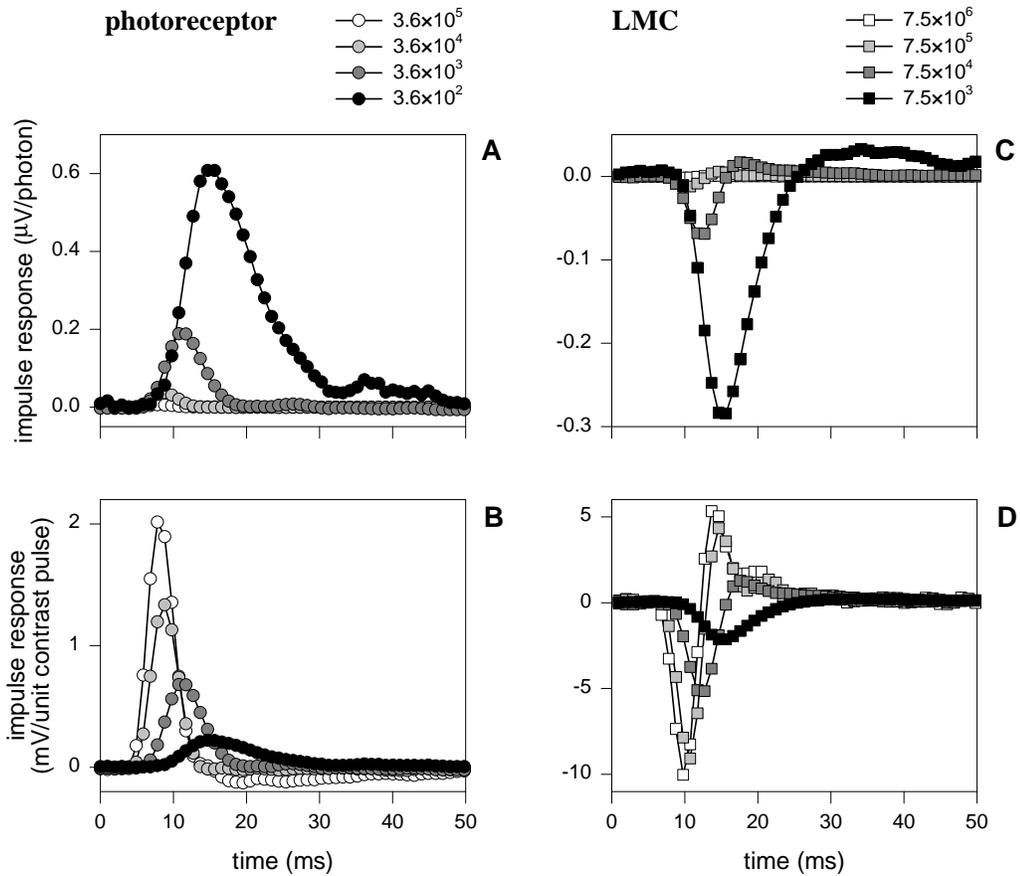


Figure 9: Impulse responses of blowfly photoreceptors and LMCs, measured in the adapted state at different light intensities. The average light intensity, expressed as the extrapolated rate of effective photon capture in bumps/s, is given in the legends above A and C. The responses are scaled in two different ways. A: Photoreceptor impulse response, scaled to represent the electrical response of a photoreceptor to the capture of a single photon. B: The same data as in A, but here expressed as the electrical response to a contrast pulse of 1 ms wide, with an amplitude equal to the mean light intensity. C, D: as A, B, but for an LMC.

tonically active, just like the synapses of vertebrate retinal bipolar cells. This means that even in the absence of contrast fluctuations, they release a stream of vesicles (Shaw 1981, Uusitalo et al. 1995, Lagnado et al. 1999). Also they pass on graded potentials, and it thus seems a reasonable first approximation to model them as units that release vesicles with a rate depending on the presynaptic potential. One interesting question is then whether vesicle release can be modeled as a modulated Poisson process. At first sight this would seem wasteful, in the sense that if the synapse would have better control over its vesicle release, it could emit vesicles in a much more deterministic way. One might imagine that the synapse functions somewhat as a voltage controlled oscillator, releasing vesicles in a regular stream at a rate determined by the presynaptic voltage. One way to get at this issue is to measure the reliability of the synapse, and estimate from this a lower bound on the release rate, assuming that release is Poisson. If, through other independent methods, one can make a good estimate of the average total release rate, then one can compare the two rates. If the rate estimated from the Poisson assumption were found to be much higher than the total average rate, one would have a strong indication for tight control of vesicle release.

As argued in section 2.6, one can find the equivalent contrast noise power of a cascaded system by adding the equivalent contrast noise power of its separate elements $N_c(f) = N_{c1}(f) + N_{c2}(f)$. What we would like to do here is to infer the equivalent contrast noise power for the synapse from measurements of the equivalent contrast noise of the photoreceptor and the LMC. We have the data, because the equivalent contrast noise power is just the inverse of the effective Poisson rate plotted in Fig. 7C,F: $N_c(f) = 1/\lambda_{\text{eff}}(f)$ (see section 2.6). The measurements of effective Poisson rates in photoreceptors and LMCs, together with the given number of active zones, allow us to make an estimate of the effective Poisson rate of a single synaptic contact. This cannot be done directly because it has not been possible in practice to make a simultaneous recording from a photoreceptor and its postsynaptic LMC *in vivo*, so we have to interpolate. From a large number of experiments on different cells at different light levels we compute $N_c(f)$, and we do this separately for photoreceptors and LMCs. We interpolate each set of curves to find a smooth surface describing the overall behavior as a function of both frequency and bump rate (see de Ruyter van Steveninck and Laughlin 1996a). We estimate the synaptic contribution, $N_{c_{\text{syn}}}(f)$ by subtracting the interpolated values obtained for photoreceptors, divided by 6 to account for the parallel projection of 6 photoreceptors, from those describing LMCs: $N_{c_{\text{syn}}}(f) = N_{c_{\text{LMC}}}(f) - N_{c_{\text{PR}}}(f)/6$. The differences are small, and not so easy to estimate, which already indicates that the synaptic array itself cannot be much less reliable than the photoreceptor. Here we only present data from the highest light levels used in the experiment, mainly because there all signals are most reliable, and the effect of internal noise sources is most conspicuous.

Note that $N_{c_{\text{syn}}}(f)$ describes the equivalent contrast noise of the full array of 1320 synapses in parallel. Each synapse is driven by the same photoreceptor voltage fluctuations, and each modulates its vesicle release rate accordingly. We assume that apart from this common driving force, all synapses release vesicles in a statistically independent way, and that all are equally effective and reliable. Then we can simply divide $1/N_{c_{\text{syn}}}$, as defined above, by 1320 to get the effective Poisson rate for the single synapse, as argued in Sect. 2.6. This then provides a lower bound on the reliability of a single synapse. If the assumptions mentioned here are invalid, then there must be at least one synapse in the array that does better than this average.

Fig. 10 shows the result of the calculation, expressed as $1/N_c(f)$, the equivalent Poisson rate for one single active zone. The curve has a maximum of about 540 events per second per synaptic zone. Unfortunately we cannot directly identify this number with the supposed vesicle release rate. This can be done for photon flux modulations because in that case we know the proportion, $c(t)$, by which we modulate the flux: $\lambda(t) = [1 + c(t)] \cdot \lambda_0$. For the photoreceptor-LMC synapse, one may describe the modulation of the release rate by a gain factor g (which may also be frequency dependent) that converts photoreceptor voltage into vesicle flux (Laughlin et al. 1987). If g is high, then the synapse encodes relatively reliably at a low mean vesicle rate. The price is that the

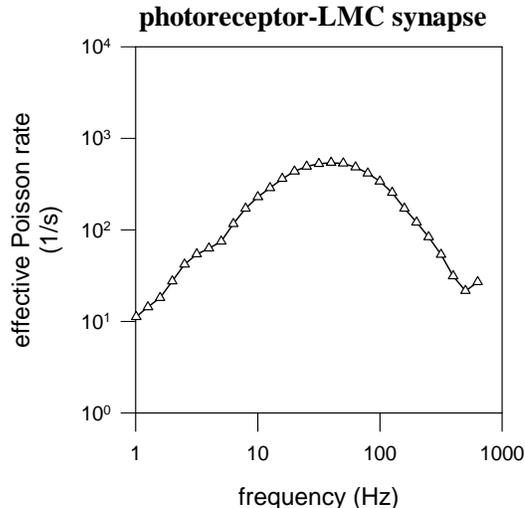


Figure 10: Effective Poisson rate for a single synaptic active zone as a function of frequency, computed as described in the text.

operating range will be small, as the rate cannot go below zero. If g is low, on the other hand, then the operating range is large, but the reliability of transmission is relatively poor. In other words, we measure an effective rate λ_{eff} , from which we wish to estimate a physical Poisson event rate. This means we must get an estimate of g , and we must understand how g affects our measurement. To begin with the latter, if we just apply Eqn. 23 to the case of a real rate λ_0 modulated by $g \cdot c$, then we would measure an effective rate λ_g depending on g :

$$\lambda_g = \lambda_0 \cdot |g|^2, \quad (31)$$

while release would shut down at contrast values below $c_{\text{min}} = -1/g$. To keep the argument simple we neglect the possible frequency dependence of g . That is justified here because we will not reach precise conclusions anyway, and the frequency dependence, being rather smooth, is not likely to affect the final result of the analysis too much. We need to find a way to estimate the operating range of the synapse, and we can try to get at that by estimating c_{min} . One hint is that LMCs are reasonably linear when the contrast fluctuations are not too large, perhaps of order 20% to 30%, but we would like to make this a bit more precise.

Fig. 11 presents data suggesting that we can see the synapse shutting down. The stimulus (Fig. 11A) is a 200 ms square wave of 95% contrast, repeated 360 times. Panel B shows the average photoreceptor response which follows that stimulus with a bit of sag. The LMC (panel C) responds phasically and with inverted sign. When the photoreceptor voltage makes its downward transition, the vesicle release rate decreases, and because the neurotransmitter (histamine, Hardie 1988) opens chloride channels the LMC depolarizes. In panel D we plot the fluctuations (8 samples) of the LMC potential around its average waveform. These show a rather dramatic effect just after the light to dark transition. It seems that synaptic transmission is completely shut down when the photoreceptor hyperpolarizes, and bounces back about 15 ms later, very similar to results reported by Uusitalo et al. (1995). This is confirmed by the standard deviation of the fluctuation waveforms shown in panel E. The fluctuations during constant light, say from 20-100 ms and from 130-200 ms, are due to a combination of photoreceptor noise amplified by the synapse and intrinsic noise of the vesicle release itself. We can also add a little probe signal to the large square wave stimulus, and we see a similar effect in the gain of the synapse: The photoreceptor fluctuations are not transmitted during the same 15 ms window, as can be seen in Fig. 12. The apparent shutdown coincides with

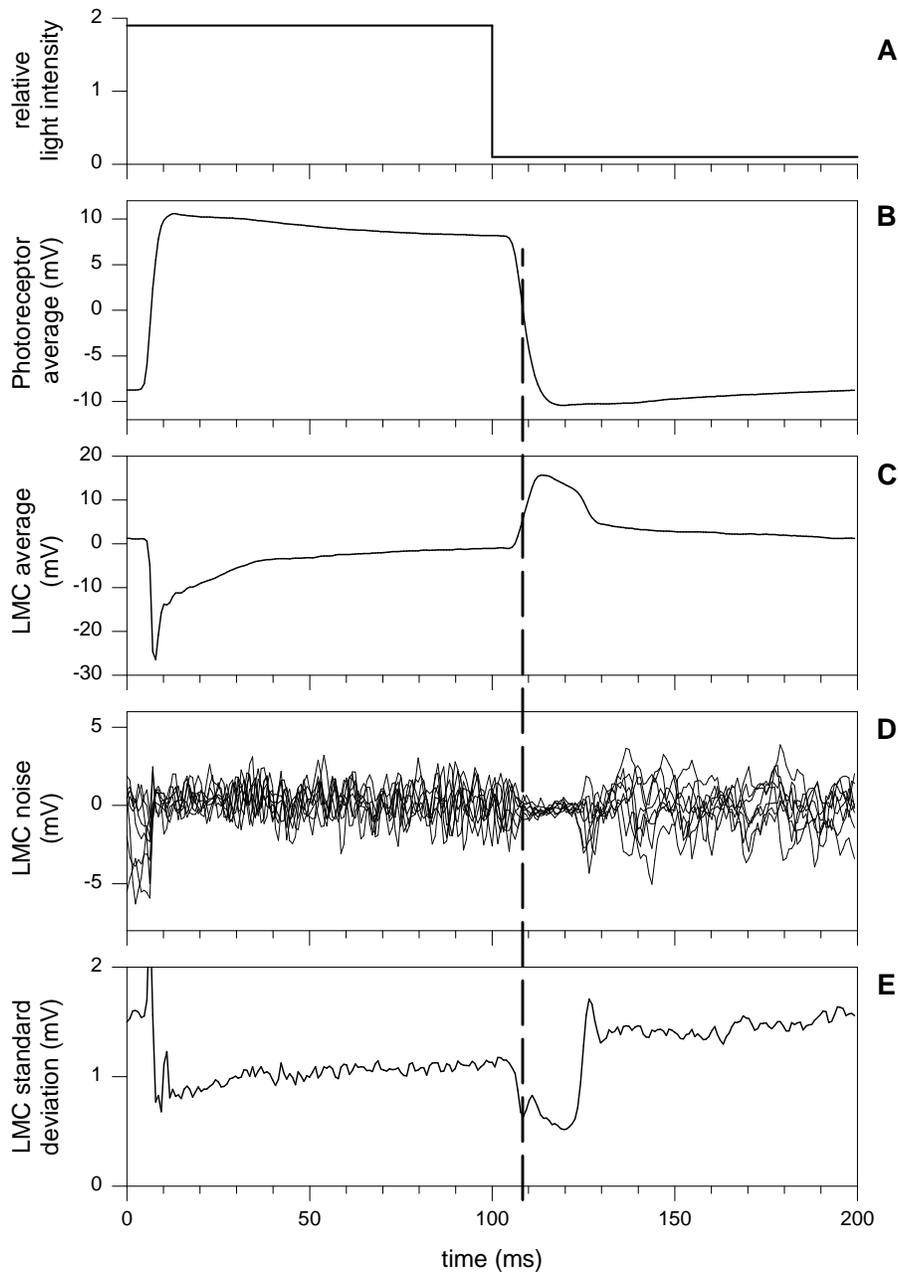


Figure 11: Averaged responses of a photoreceptor and an LMC, and LMC fluctuations in response to a large amplitude modulation. A: The stimulus contrast sequence is a square wave of amplitude 0.95, and duration 200 ms, sampled in 256 bins at 1280 Hz. This waveform is presented 380 times, while the response of a photoreceptor or an LMC is recorded. B: Ensemble averaged photoreceptor response. C: Ensemble averaged LMC response. D: Example of 8 traces showing fluctuations of the LMC response around its average waveform. E: Time dependent standard deviation of the LMC fluctuation traces. The standard deviation plotted here at each instant of time is the standard deviation across the ensemble of LMC voltage fluctuations, all taken at the same phase of the square wave stimulus.

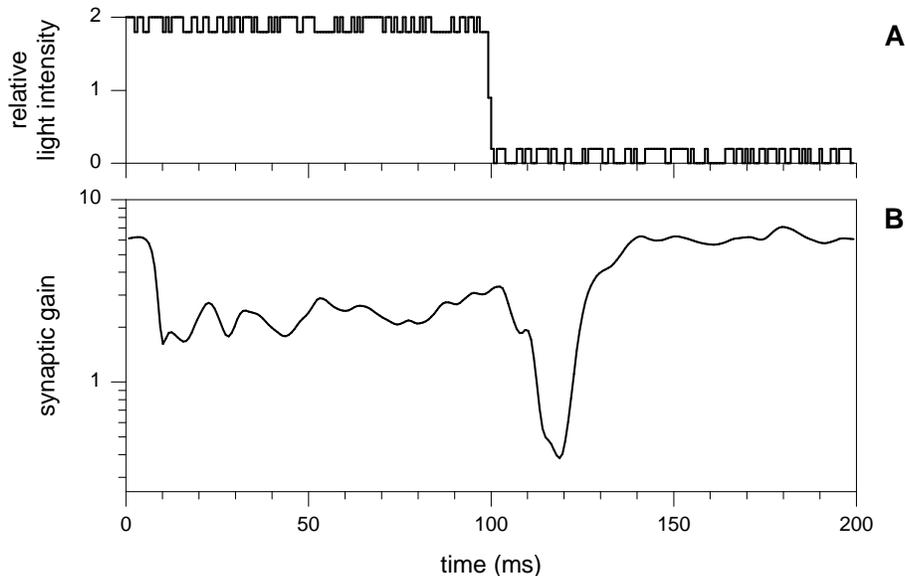


Figure 12: Characterization of the time dependent synaptic gain when the fly is stimulated with a 200 ms square wave pattern. A: Example of a combined waveform. To measure the gain of the photoreceptor and LMC along the 200 ms stimulus of Fig. 11, a small binary probe signal was added to the square wave. An example of the combined contrast waveform is shown here. B: Gain of synaptic transmission between photoreceptor and LMC, as the system cycles through the 200 ms period. See text for further explanation.

the photoreceptor voltage being halfway between the light and dark adapted value, which in turn is induced by an intensity drop of almost 100%. Shutdown thus seems to correspond to about 50% modulation, in other words, $g \approx 2$. Combined with our earlier estimate of an effective rate of 540/s, from Eqn. 31 we get a rate of $540/2^2 = 135$ vesicles per second, based on the measured reliability. This is lower than the rate of 240 per second reported by Laughlin et al. (1987), but in view of the errors the discrepancy is not too surprising. We would like to compare the more conservative estimate of this number with a more direct measurement of average release rate. Unfortunately there are no conclusive data on synaptic release rates of the photoreceptor-LMC synapse in the fly. There are experimental estimates of tonic release rates for goldfish retinal bipolar cells which, like the fly photoreceptor, transmit graded potentials across a chemical synapse. In a recent paper, Lagnado et al. (1999) report 23 vesicles/s in this system, a factor of 6 lower than what we estimate here.

The numbers we derive here are certainly not precise, and a comparison between very different species is always tenuous. Although no hard conclusions can be drawn, the comparison points to an interesting possibility. The discrepancy between the high Poisson release rate required to explain the reliability on the one hand, and the lower measured release rates in goldfish on the other, is large. The most interesting explanation for this, in our view, is that the Poisson assumption is not valid, and that the synapse would be capable of releasing vesicles with much higher precision than expected from that.

Of course Fig. 11 points out another interesting aspect of transmission by the synapse, namely that it is highly adaptive. The synapse seems to reset itself to follow the large swings in the DC component of the photoreceptor voltage, presumably to be able to encode fluctuations around this average more efficiently. It has been known for a long time that photoreceptor-LMC transfer is adaptive (Laughlin and Hardie 1978, Laughlin et al. 1987), and this has been interpreted as a resetting of parameters to optimize information transmission (van Hateren 1992, Laughlin 1989). Here we take a look at how fast this type of adaptation takes place.

The experiment consists of the same square wave contrast modulation as shown in Fig. 11, but now a small amplitude random binary probe is added to the large waveform (see Fig. 12). At each presentation of the 200 ms square wave the probe is a series of 256 binary random values, played out at a sampling rate of 1280 Hz, and its random sequence is different at each presentation. The responses of the cell are recorded, also at 1280 Hz sampling rate. After the experiments we correlate the probe stimulus with the voltage variations $\Delta v_m(t) = v_m(t) - V(t)$ it induces in the response, at consecutive points along the 200 ms square wave. That is, we compute:

$$\Phi_{p\Delta v}(t, t') = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M p_m(t) \times \Delta v_m(t') \quad (32)$$

where the m stands for the different presentations, and \times represents an outer product. Thus $\Phi_{p\Delta v}(t, t')$ is the crosscorrelation between probe stimulus $p(t)$ taken at time t , and voltage response to the probe, $\Delta v(t')$, taken at t' , which we find by computing the ensemble averaged outer product of the probe with the response. In practice we treat $p_m(t)$ and $\Delta v_m(t)$ as vectors of 256 elements each, spanning the 200 ms repeat period, and $\Phi_{p\Delta v}(t, t')$ is then a 256×256 crosscorrelation matrix. We make the same measurement in a photoreceptor and an LMC, and so get two crosscorrelation matrices. As before, we regard the photoreceptor and the LMC as a cascaded system, and, as long as things are linear, one should be able to describe the cascade as the following matrix multiplication:

$$\Phi_{p\Delta v_{\text{LMC}}} = \Phi_{p\Delta v_{\text{PR}}} \cdot \Phi_{p\Delta v_{\text{Syn}}} \quad (33)$$

Note that we do not suggest that the system is linear in the response to the large amplitude square wave. It definitely is not. But what we try to characterize here are the small fluctuations due to the probe around the large amplitude average waveform induced by the square wave. That can be reasonably assumed linear, but nonstationary as a result of the square wave. This nonstationarity then naturally leads to two time indices, and they are both represented in the matrix formulation. Ideally, from Eqn. 33 we should be able to derive the synaptic cross correlation matrix by inverting the photoreceptor matrix, and multiplying it with the LMC matrix. Unfortunately, this procedure is rather unstable, both because of experimental noise, and because of the strong high frequency components in the LMC signal. Therefore we will settle for something more modest here, and calculate the probe induced variance. The stimulus induced time dependent variance is computed as the diagonal of the probe-response crosscorrelation matrix multiplied by its transpose:

$$\sigma_{p\Delta v}^2(t) = \left[\Phi_{p\Delta v}(t, t') \cdot \Phi_{p\Delta v}(t, t')^T \right] \cdot \delta(t - t'), \quad (34)$$

and to compute the synaptic contribution we now take the ratio of the LMC and the photoreceptor diagonals, or if we want to express the linear gain we take the square root of this quantity:

$$\sigma_{p\Delta v_{\text{Syn}}}(t) = \frac{\sigma_{p\Delta v_{\text{LMC}}}(t)}{\sigma_{p\Delta v_{\text{PR}}}(t)}, \quad (35)$$

as shown in Fig. 12B. The figure again suggests a shutdown of synaptic transmission during the falling phase of the photoreceptor voltage. Further, the overall gain as defined here switches from about 2.5 during the bright phase to about 7 during the dim phase. The data indicate that this switch in gain is also accompanied by a change in shape of the synaptic impulse response, which seems to become sharper and more biphasic during the bright phase (see Juusola et al. 1995). To some extent these effects are also seen in the photoreceptor response, whose gain decreases and speeds up. In the photoreceptor that is presumably due in large part to the change in membrane conductance accompanying the change in membrane potential. In the case of the synapse it seems likely that the dynamics of vesicle release changes, and this interpretation is supported by the apparent shutdown in transmission.

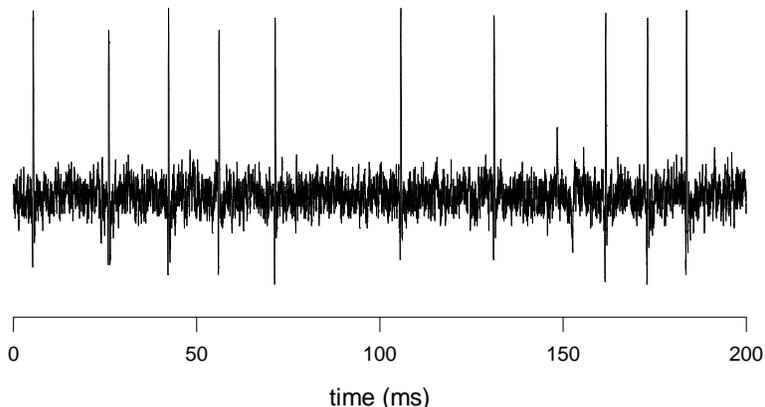


Figure 13: Example of a 200 ms segment of spike activity recorded extracellularly from H1. The spikes shown here are timed at $10\mu\text{s}$ precision and stored for off line analysis.

4 Coding in a Blowfly Motion Sensitive Neuron

Thus far we have considered the reliability and precision of phototransduction and synaptic transmission, two of the first steps in vision. Now we want to look “deeper” into the brain, to a point where some nontrivial computations have been done. There are two very different questions. First, we are interested in the precision of the computation itself: Is the brain making use of all the information available in the array of photoreceptor signals? Second, we want to understand the way in which the brain represents the results of its computations: What is the structure of the “neural code”? For an accessible example of these issues we turn to the visual motion sensitive neurons in the fly’s lobula plate.

The fly’s lobula plate contains a number of motion sensitive cells, shown in Fig. 3, that are direction selective and have wide visual fields. They are thought to achieve wide field sensitivity by adding the contributions of a large number of small field motion sensitive cells from the fly’s medulla (Single and Borst 1998, reviewed by Laughlin 1999). One important function of these lobula plate tangential cells is to provide input information for course control (Hausen and Wehrhahn 1983, Hausen and Egelhaaf 1989, Krapp et al. 1998). A distinct advantage of these cells in this preparation is that they allow long and stable recording. When care is taken to do minimal damage to the fly, and to feed it regularly, the same cell can be recorded from for several days on end. This is important in many of our studies of neural coding because there the general aim of the experiment is to characterize probability distributions of stimulus response relations, rather than only averages.

The data we present here are all obtained by extracellular recording with conventional techniques (see de Ruyter van Steveninck and Bialek 1995 for more experimental details). The nature of the signal is drastically different from what we saw before. We are now dealing with spikes, as depicted in Fig. 13, instead of analog voltages, and one of the important issues is how we must interpret their temporal sequences. Spikes are transmitted by the nervous system at rates of usually not more than a few hundred per second, and certainly not at rates typical for photons entering photoreceptors. In contrast to photons, spikes are placed on the time axis, one by one, by physiological processes. It is therefore not unnatural to think that their position likewise could be read out and interpreted by other physiological processes. Indeed, one long standing issue in understanding neural coding is whether this is the case: Does the timing of individual spikes matter, or can we afford to coarse grain time and average spike counts over large windows? Here we will address that question for the case of H1, a motion sensitive neuron in the fly’s brain. It is sensitive to horizontal inward motion (see also the legend to Fig. 3), and its visual field covers just about a full hemisphere.

In most of the experiments the fly watches an extended pattern generated on a display oscilloscope (Tektronix 608), written at a 500 Hz frame rate. One drawback of this setup is that the stimulated area of the visual field is only a fraction of the total field of the cell, and that the light levels are rather low, corresponding roughly to those at dusk. At the end of this chapter we will present data on a fly that was rotated around the vertical axis by a computer controlled stepper motor. Doing this experiment outside in a wooded environment the fly is stimulated with natural scenes of high light intensity, and by playing a rotational motion sequence derived from measured flight data, shown in Fig. 1, one expects to get closer to the ideal of presenting naturalistic stimuli.

4.1 Retinal limitations to motion estimation

As we have seen earlier, flies are quick and acrobatic, so it seems entirely reasonable to assume that the components of the flight control system are optimized to perform as accurately and quickly as possible. This should then obviously be true for the lobula plate tangential cells as well. Of course some general principles apply, and, like all sensory neurons they must work within the limits set by the reliability of their input signals.

It is instructive to make a rough estimate of what precision we can expect from a wide field cell that takes as input photoreceptor signals with realistic amounts of noise. Let us try to compute an estimate of the limits to timing precision of the response with respect to stimulus, as set by the photoreceptor signal quality. This is relatively easy to do, and it is relevant in a discussion of coding by spike timing. In many experiments we stimulate the fly with a large, high contrast bar pattern that moves randomly, jittering back and forth in the horizontal direction. The power density spectrum of the signal we, and presumably H1, are interested in is the velocity power density, given by $S_{\text{vel}}(f)$. This has dimensions $(^\circ/\text{s})^2/\text{Hz}$, because we are dealing with angular velocity, and this is customarily given in $^\circ/\text{s}$.

The photoreceptors in the fly’s retina have a profile of angular sensitivity that is determined by the optics of the lens and the waveguide behavior of the receptor cell itself; this profile is often approximated by a Gaussian. For the blowfly frontal visual field, its halfwidth is $\approx 1.4^\circ$ (Smakman et al. 1984), corresponding to a “standard deviation” $\sigma_{\text{PSF}} \approx 0.5^\circ$. Now suppose we have a contrast edge with intensity stepping from $I = I_0 \cdot (1 + c_0)$ to $I = I_0 \cdot (1 - c_0)$ aligned on the optical axis of this Gaussian point spread function. Then, if the edge moves by a small amount δx , the contrast step in the photoreceptor is:

$$\delta c = \frac{\delta I}{I_0} \approx \frac{1}{I_0} \cdot \frac{2 \cdot I_0 \cdot c_0 \cdot \delta x}{\sqrt{2\pi} \cdot \sigma} = \frac{c_0 \cdot \delta x}{\sqrt{\pi/2} \cdot \sigma}, \quad (36)$$

which converts a position change δx into a contrast change δc . This allows us to derive a contrast power spectrum, if we can convert the velocity power spectrum into the appropriate position power spectrum. Position is the integral of velocity, which means that we must divide the Fourier transform of velocity by frequency to get the Fourier transform of the position signal (see Bracewell 1978). Here the relevant quantities are power spectra, so we must use f^2 to make the correct conversion: $S_{\text{pos}}(f) = S_{\text{vel}}(f)/f^2$. The contrast power density spectrum is now:

$$S_c(f) = \left[\frac{\delta c}{\delta x} \right]^2 \cdot S_{\text{pos}}(f) = \frac{2 \cdot c_0^2 \cdot S_{\text{vel}}(f)}{\pi \cdot \sigma^2 \cdot f^2}. \quad (37)$$

In the experiment we stimulate a large number, M , of photoreceptors and when a wide field pattern moves rigidly then all photoreceptors are stimulated in a coherent way. That means that the total power of the signal available in the photoreceptor array scales as M^2 . Finally, in the experiment we control the velocity stimulus, and thus $S_{\text{vel}}(f)$. All this combined leads to a contrast signal power spectrum:

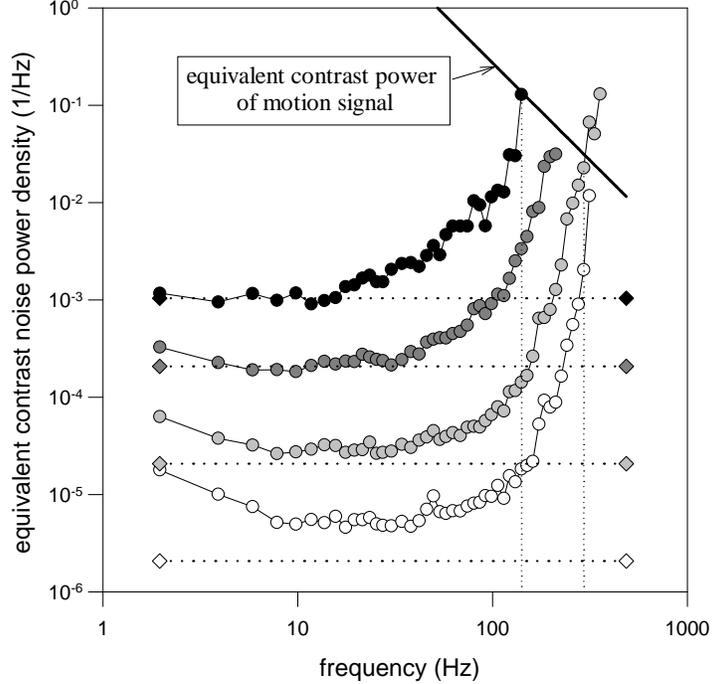


Figure 14: Circles: Equivalent contrast noise power spectral density of blowfly photoreceptors at different light intensities. The input light intensities, expressed in extrapolated bumps per second, are indicated by the diamonds connected by dotted lines. Solid thick line: Equivalent contrast noise power density of a motion signal calculated for a wide field pattern, under conditions typical for our experiments. See text for further explanation.

$$S_{c_M}(f) = \frac{2M^2 \cdot c_0^2 \cdot S_{\text{vel}}(f)}{\pi \cdot \sigma^2 \cdot f^2}, \quad (38)$$

for the set of M photoreceptors stimulated by coherent, that is, rigid, motion.

To derive a limit of timing precision, or equivalently a limiting frequency, we must compare this available signal spectrum to the relevant noise power spectrum. In section 2.6 we defined the equivalent contrast noise power of a single photoreceptor cell. In a pool of M photoreceptor cells, their independent noise powers add, and we have:

$$N_{c_M}(f) = M \cdot N_c(f). \quad (39)$$

To define a limit to time resolution we determine the frequency at which $S_{c_M}(f)/N_{c_M}(f)$, the signal to noise ratio, crosses one:

$$\frac{S_{c_M}(f)}{N_{c_M}(f)} = \frac{2M^2 \cdot c_0^2 \cdot S_{\text{vel}}(f)}{\pi \cdot \sigma^2 \cdot f^2} \cdot \frac{1}{M \cdot N_c(f)} = \frac{M \cdot S_c(f)}{N_c(f)}. \quad (40)$$

In Fig. 14 we therefore plot $N_c(f)$ at four light levels for a single photoreceptor, along with $M \cdot S_c(f)$, for conditions typical of our experiment: $c_0 = 0.3$, $M \approx 3800$, $S_{\text{vel}}(f) \approx 10(^{\circ}/\text{s})^2/\text{Hz}$, and a small (≈ 0.3) correction for the fact that the edge can not be expected to be exactly at the center (the correction factor averages over edge position). The crossover frequency, f_{cross} of signal to noise ratio can be read from the figure directly, and lies between approximately 150 to 400 Hz, depending on light intensity. That means that when we stimulate H1 with the typical stimuli described here, we may expect to observe timing precision $\delta t \approx 1/(2\pi \cdot f_{\text{cross}})$ in the order of one to several milliseconds

if the fly’s brain effectively uses all the motion information present in the photoreceptor array. We should note that the approximation we make here is for small δx in Eqn. 36.

In Fig. 15 we show raster plots of a 500 ms segment of responses of H1 to a repeated dynamical motion trace. The same experiment was done at different light intensities, ranging over 4 orders of magnitude, from an estimated 5 to $5 \cdot 10^4$ transduced photons per photoreceptor per second. It is clear by visual inspection that spikes tend to line up better when the light intensity is higher, up to the highest light intensity used in the experiment, suggesting that external noise may be the limiting factor (see also Fermi and Reichardt 1963). That impression is confirmed by the histograms of spike arrival times to the right of the rasters, which have standard deviations ranging from 1.4 to 7.1 ms. These histograms describe the probability distributions of the first spike that follows $t = 410$ ms. The highest light intensity in this experiment corresponds roughly to the second-highest (light gray dots) intensity in the photoreceptor data of Fig. 14. At five per second, the lowest bump rate shown here, bumps in a single photoreceptor typically are nonoverlapping, and we see that there is still a modulation of the response of H1. Dubs et al. (1981) showed that flies respond behaviorally to moving patterns at light levels where single photon absorptions are nonoverlapping, and from the classical work of Hecht et al. (1942) we know that humans can perceive light flashes under these conditions.

One can think of other parameters likely to affect the quality of the input signal for a wide field motion sensitive cell, for example contrast, field of view, and stimulus velocity amplitude. In experiments where these parameters are varied we see effects on spike jitter qualitatively similar to what is shown here. It thus seems that the precision of spike timing in H1 is close to being determined by the information available to it in the photoreceptor array, i.e., bump latency jitter sets the threshold under the photon capture rate conditions of the experiments in Fig. 15. From Fig. 14 we can also read that the photoreceptor equivalent contrast noise has a very steep frequency dependence at high frequencies. This means that once the conditions of the experiment are such that the output accuracy is in the millisecond regime, only relatively large changes in stimulus parameters will lead to appreciable improvements in timing precision.

We can ask a related question, namely, how reliably can the arrival time of a spike tell us something about the strength of the stimulus that preceded it, and how close does that get to the photoreceptor limits. If we know what message the neuron encodes, we can use a computational model that retrieves that message from the sensory periphery. The model relevant for H1 is the Reichardt correlator model (Reichardt 1961, Reichardt and Poggio 1976), which describes a specific functional computation for extracting motion information from an array of photoreceptors. Its basic interaction is a multiplication of filtered signals originating from neighboring directions of view. The Reichardt model was formulated heuristically, but it has been shown to be the optimal solution to a general problem of motion estimation in the presence of noise, in the limit where the signal to noise ratio is low (Potters and Bialek 1994).

The experiment to measure reliability is very simple (de Ruyter van Steveninck and Bialek 1995). We present the fly with a pattern that makes sudden motion steps of several sizes, and record the responses of H1. From a large number of presentations we obtain a histogram of arrival times of the first and the second spike following the stimulus. If we compare two such histograms in response to two different step sizes we can compute the discriminability of those two stimuli as a function of time. This is done by framing the question as a decision problem (Green and Swets 1966). A stimulus is presented once, and the cell generates a response which is observed by some hypothetical observer. The question then is: Given the cell’s response, what can the observer say about the identity of the stimulus, and how often is that assessment right? This is meaningful only if the distributions of responses to different stimuli are known to the observer, and are also different. In the analysis presented here, the hypothetical observer can judge the spike train in real time, starting at the moment of stimulation (or rather 15 ms after that, to minimize effects of spontaneous rate). The assumption that the time of stimulus presentation is known does of course

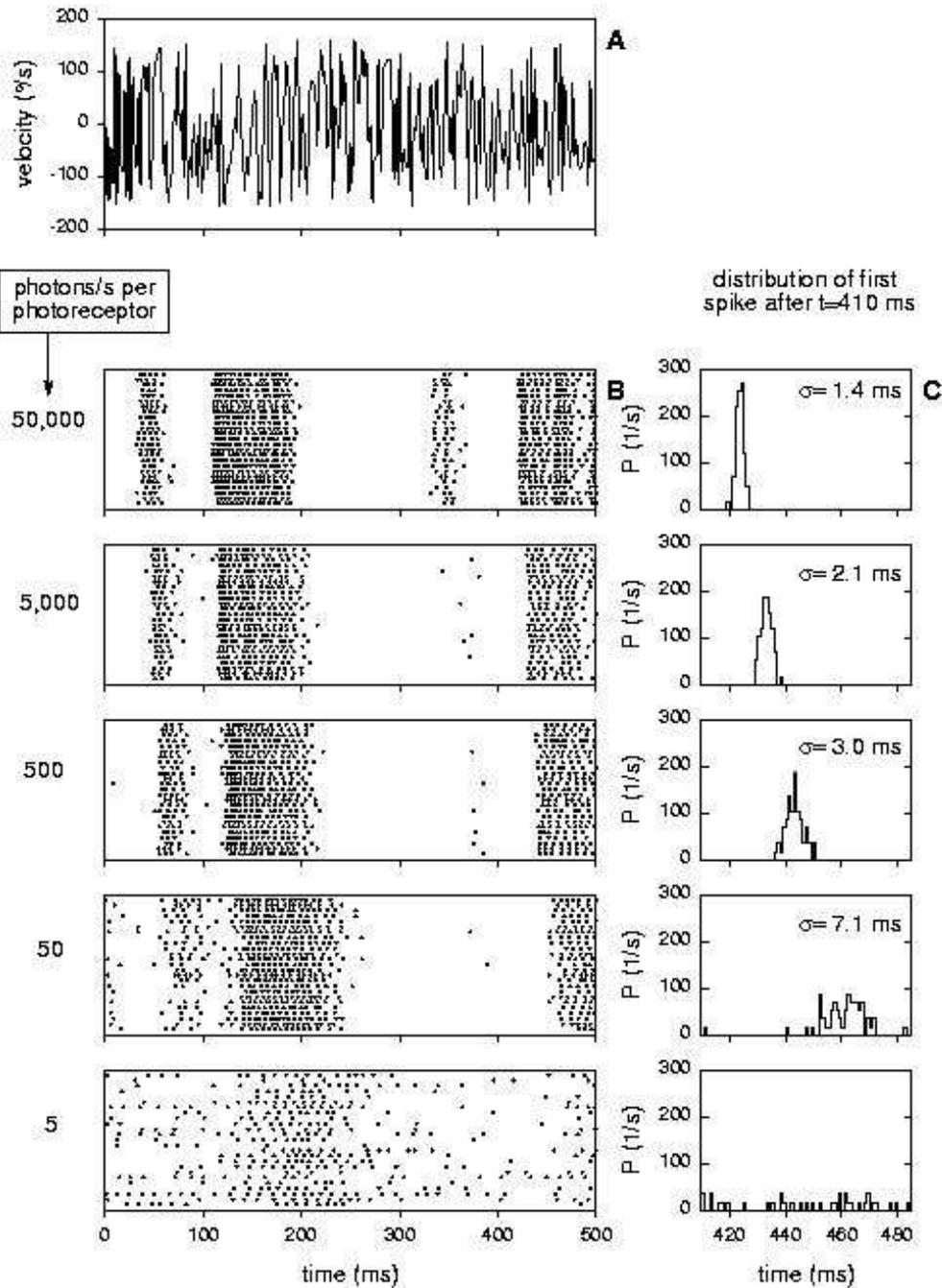


Figure 15: Responses of a blowfly H1 neuron to movement of a wide field pattern at different mean light levels. In this experiment the fly looked at a moving pattern through a round diaphragm with a diameter corresponding to 30 horizontal interommatidial angles. The velocity was random with a flat power spectral density. A: A 500 ms sample segment of the stimulus velocity. B: Raster plots of H1 spikes obtained at five different light intensities given by the estimated average photon flux for each single photoreceptor. C: Histograms of the timing of the first spike fired after $t = 410$ ms. With decreasing photon flux the response latency increases. Moreover, the peaks become wider (with σ the standard deviation of a fitted Gaussian), suggesting that timing precision may be limited by photoreceptor noise. At the lowest light level shown here there is still a visible modulation of H1's rate, but the timing of a single event is too spread out to produce a clear peak.

not correspond to any natural situation, as there the timing of stimuli must be inferred from the sensory input as well. It is, however, still a valid characterization of the precision with which the fly’s visual brain performs a computation.

Fig. 16a shows two conditional distributions, $p(\tau_0|S = 0.24^\circ)$ and $p(\tau_0|S = 0.36^\circ)$ for the first spike arrival after stimulus presentation, for two step stimuli of different size. For the large step, the first spike tends to come earlier than for the small step. This means that the observer should choose the large step when he or she sees a short interval, and the small step for a relatively large interval. The crossover for this case is at about 32 ms. How accurate will this judgement be on average? As can be read from the cumulative probability distributions in Fig. 16B, the probability for having a spike before 32 ms with the large step is 0.78. Thus if the large step was presented then the observer will make the right choice with a probability of 0.78. The cumulative distribution for the small step at 32 ms is 0.48, which means that if the small step was presented, the observer will choose correctly in a proportion of $1 - 0.48 = 0.52$. If each step has a prior chance of 0.5, then in an experiment where the two steps would be mixed at random, the proportion of correct decisions would be $P_C = 0.5 \cdot 0.78 + 0.5 \cdot 0.52 = 0.65$. It is convenient to translate P_C into a distance measure, and Green and Swets (1966) propose to use the distance between Gaussian distributions of unit standard deviation that would give rise to the same value of P_C . This measure is known as d' and is very widely used in the psychophysical literature. There is a simple 1:1 correspondence between d' and P_C , and for our case we find $d' = 0.68$. From the given spike timing distributions we can also construct a continuous function $d'(t_{\text{obs}})$ that describes the equivalent distance as it evolves over the observation time interval since stimulus presentation. To see that, we should simply divide the distribution in a part that is described by the measured distribution up to t_{obs} , assign the probability that is as yet “unused” to one total remaining probability, and then treat this constructed distribution in the same way as the previously defined spike timing distributions. The time dependent $d'(t)$ based on the first spike only is shown as the solid line in Fig. 16C. This line plateaus at $t = 32$ ms, as from that moment on the choice will always be fixed. Instead of considering only the first spike we can also look at the combination of the first and the second spike arrival time, that is $\{\tau_0, \tau_1\}$. The distributions for these are not shown, but the reasoning is entirely similar. The end result, d' as a function of time after presentation, is shown in the same panel as the dashed line. It is clear in this case that the second spike carries substantial extra information about stimulus identity.

The comparison of the measured data to the ideal motion detector model can now be made. We measure representative photoreceptor power spectral densities, and the number of photoreceptors stimulated in the H1 experiment, and then apply the Reichardt model to compute its average step response as well as its output power spectral density (de Ruyter van Steveninck and Bialek 1995). From these we compute a time dependent $d'_{\text{model}}(t)$, which is plotted as the dash-dot line in Fig. 16C. The crucial comparison is between the slope of the measured and the computed $d'(t)$. In the range of 23-28 ms, $d'_{\text{model}}(t)$ rises about twice as fast as the measured $d'(t)$. This shows that H1 approaches, within a factor of two, the performance of an optimal motion detector limited only by noise in the photoreceptor array. Given that the signal passes through at least four synapses to be computed, this precision is quite remarkable. In this case we measure how accurately H1 represents the amplitude of motion steps. The estimation takes place over a somewhat extended time interval, and is therefore not limited by the bump jitter that sets the timing resolution of the photoreceptor array, but rather by low frequency (roughly below 100 Hz) accuracy of the photoreceptor. As this latter is close to the photon shot noise limit (Figs. 7,14) we are reminded that the precision of neurons in a functioning brain is not just given by the physiology, but is determined in part, or in this case maybe even dominated, by the statistical properties of the stimulus (see also Bialek et al. 1991, de Ruyter van Steveninck and Bialek 1995, Rieke et al. 1997).

Motion discrimination using the spike train output of H1 thus provides us with an example in which the performance of the nervous system approaches basic physical limits set by the structure

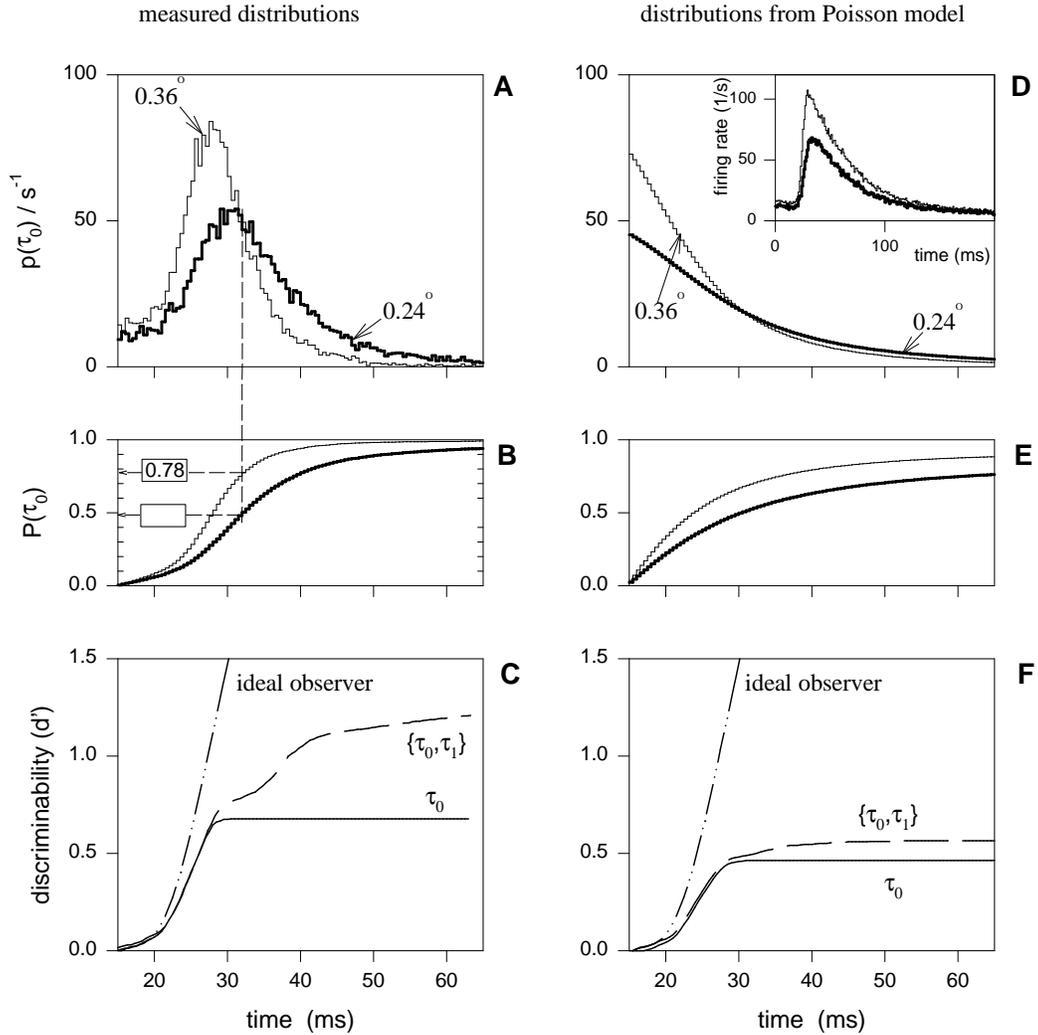


Figure 16: Statistics of H1's responses to small motion steps of a wide field pattern, and equivalent Poisson statistics. A: Histograms, normalized as probability densities of the first spike fired starting 15 ms after the stimulus step, for a 0.24° (thick line) and a 0.36° (thin line) step. B: Cumulative distributions for the same data as in panel A. C: Time dependent discriminability parameter, $d'(t)$, computed for the step size pair depicted in A, both for single spikes (τ_0), and for spike pairs ($\{\tau_0, \tau_1\}$). Also shown is the discriminability computed for an ideal observer using realistic photoreceptor signals as inputs. D: First spike histograms, as in A, but now for a modulated Poisson process. These data were computed using the measured PSTHs (inset in D) for the two step sizes as the rate of the underlying modulated Poisson process. E and F: As B and C, but now for the modulated Poisson case.

of the inputs in the retina. There are other examples of this near optimal performance, in systems ranging from human vision to bat echolocation to spider thermoreception (for discussion see Rieke et al. 1997). This level of performance requires the nervous system to meet two very different requirements. First, the system must be sufficiently reliable or “quiet” that it does not add significant excess noise. This is especially challenging as the signals propagate through more and more layers of neurons, since the synapses between cells are sometimes observed to be the noisiest components. Second, optimal performance requires that the system make use of very particular algorithms that provide maximal separation of the interesting feature (motion, in the case of H1) from the background of noise. In general these computations must be nonlinear and adaptive, and the theory of optimal signal processing (Potters and Bialek 1994) makes predictions about the nature of these nonlinearities and adaptation that can be tested in independent experiments.

In our broader discussion on the relevance of Poisson firing it is now interesting to quantify to what extent deviations from Poisson behavior help in encoding the stimulus in a spike train. To get an idea of this we do exactly the same analysis as described above, but then on synthetic spike trains that are generated by a modulated Poisson process with the same time dependent rate as the measured spike train. The inset in Fig. 16D shows the post stimulus time histogram for the two step sizes used before in the analysis. From these two, the spike arrival distributions in panel d are computed, and from these again we construct $d'(t)$. Figure 16F shows the end result: The discriminability based on timing of the first spike alone goes from $d' = 0.68$ to $d' = 0.46$, while in the Poisson case the second spike adds only 0.1 to the d' based on the first, so that at 50 ms after the step, the Poisson value for d' is less than half that measured from real spikes. Neural refractoriness was not incorporated in the synthetic train, and it seems likely that that the increased reliability of the real neuron can at least be partly attributed to that.

4.2 Taking the fly outside: Counting and timing precision in response to natural stimuli

There is a long tradition of using discrimination tasks, as in the step discrimination experiment of the previous section, to probe the reliability of perception in humans and also the reliability of neurons. But such simple tasks are far from the natural ones for which evolution selected these neurons. As a fly flies through the world, angular velocity varies continuously, and this variation has a complicated dynamics. In the past decade, a number of experiments has been done which attempt to approach these more natural conditions. Specifically, experiments with pseudorandom velocity waveforms presented on display oscilloscopes have been used to measure information transmission in H1 by reconstructing the stimulus from the spike train (de Ruyter van Steveninck and Bialek 1988, Bialek et al. 1991, Haag and Borst 1997), and by more direct methods (de Ruyter van Steveninck et al. 1997, Strong et al. 1998). The general conclusion of these studies is that the timing of spikes in the millisecond range does indeed carry significant information, in line with the photoreceptor limits discussed earlier. One would like to know to what extent such conclusions are also relevant to still more natural conditions encountered in fly flight (see for example Warzecha et al. 1998).

Ideally one would perform experiments in the natural habitat of the animal, while it is behaving as freely as possible. Good examples are the study of responses of auditory neurons in *Noctuid* moths to the cries of bats flying overhead (Roeder 1998), and the study of optic nerve responses of *Limulus* lateral eye while the animal is moving under water (Passaglia et al. 1997). Of course, in each specific case concessions are made to be able to record neural signals, and one must decide what is the best compromise between realistic conditions and getting interpretable data.

Here we present data from a setup that allows us to record from a fly while it is rotating on a stepper motor. The rotational motion is mechanically precise, and arbitrary rotation sequences can be programmed. In the case described here the fly was rotated with a time sequence corresponding to the rotations executed by the flies shown in Fig. 1 (based on Land and Collet 1974), except that

for stability reasons the amplitude of the entire trace was set to half the value measured from the real flies. The flight trajectories were repeated with their sign inverted, so that for each trajectory we stimulate H1 in complementary ways. It is thus as if we record from the two H1 cells at opposite sides of the head. The setup is portable and the experiment was done outside in the shade of some bushes on a sunny afternoon. Therefore, the light intensities, the stimulated area of the visual field, and the spatial characteristics of the scene are realistic samples of what the animal encounters in nature. The motion trace is somewhat natural, although the rotational velocities are smaller than those measured in free flight, and the measurement was done on a different species of fly.

Important for our analysis is that we can repeat the same motion trace a large number of times, which is of course not really a part of natural behavior. However, it allows us to make quantitative statements about information transmission in the measured spike trains that rely only on the degree of reproducibility of the response to repeated stimuli. Because of this, those statements are independent of any assumptions on how the stimulus is encoded in the spike train, so in that sense they are rather universal.

Fig. 17 presents data from such an experiment. The rotational velocity waveform is shown in panel A. Note that the velocity amplitudes are very large compared to those of the white noise stimuli shown in Fig. 15. The traces in panel B are labeled H1+ and H1-. In reality they were obtained from the same H1 cell, but with a switch of sign in the velocity trace, as described earlier. It is clear that H1+ and H1- alternate their activity quite precisely, and that repeatable patterns of firing occur, such as the pair of spikes in H1+ at about 1900 ms. The edges in spike activity are sharp. Panel C shows the position of the first spike occurring after 2085 ms in H1-. A histogram of arrival times of this spike is shown in Fig. 17E, together with a Gaussian fit with standard deviation 0.73 ms.

In addition to this precision of spike timing with reference to the stimulus, there can also be an internal reference, so that the relative timing of two or more spikes, either from one neuron or among different neurons, may carry information (MacKay and McCulloch, 1952). Panel D and F give an example, where H1 generates a 2 ms interspike interval upon a particularly strong stimulus with a standard deviation of 0.18 ms.

Consequently, interspike intervals may act as special symbols in the code, carrying much more information about the stimulus than what is conveyed by two single spikes in isolation. This was shown indeed to hold for H1 (de Ruyter van Steveninck and Bialek 1988, Brenner et al. 2000). Findings like these should make us cautious. For a complete description of the spike train, timing precision at different levels of resolution may be required, depending on what aspect of the spike train we are talking about. In particular, relative spike timing may have to be much better resolved than absolute timing to recover neural information (see specifically Brenner et al. 2000).

The data presented above show episodes in the stimulus that induce accurately timed events in the spike train, on the millisecond scale. One may worry that such events are very special, and that most spikes are not well defined on the time axis. In other words, we need a “bulk” measure of spike timing precision. The most general way of specifying that is to study the information transmitted by the spike train as a function of time resolution Δt . We do that here by estimating two measures of entropy, the total entropy and the noise entropy, directly from the spike train (cf. de Ruyter van Steveninck et al. 1997, Strong et al. 1998). Loosely speaking, the total entropy measures the size of the neuron’s “vocabulary.” We calculate it from the distribution, $P(W)$, of neural firing patterns, or words W : $S_{\text{total}} = -\sum_W P(W) \log_2[P(W)]$. Here W is a vector of n_W entries, and each entry gives a spike count in a bin of size Δt . All n_W bins taken together form a string of length T . Typical values for Δt are one to a few ms, while T is of order 5-30 ms. $P(W)$ is approximated by the histogram of all firing patterns that the neuron generates in the experiment. The noise entropy characterizes how much the neuron deviates from repeating the same firing pattern at the same phase of a repeated stimulus. If the stimulus is periodic in time with period T_{stim} , then from an experiment with a large number of repetitions we can form histograms of firing patterns $W(t)$ at

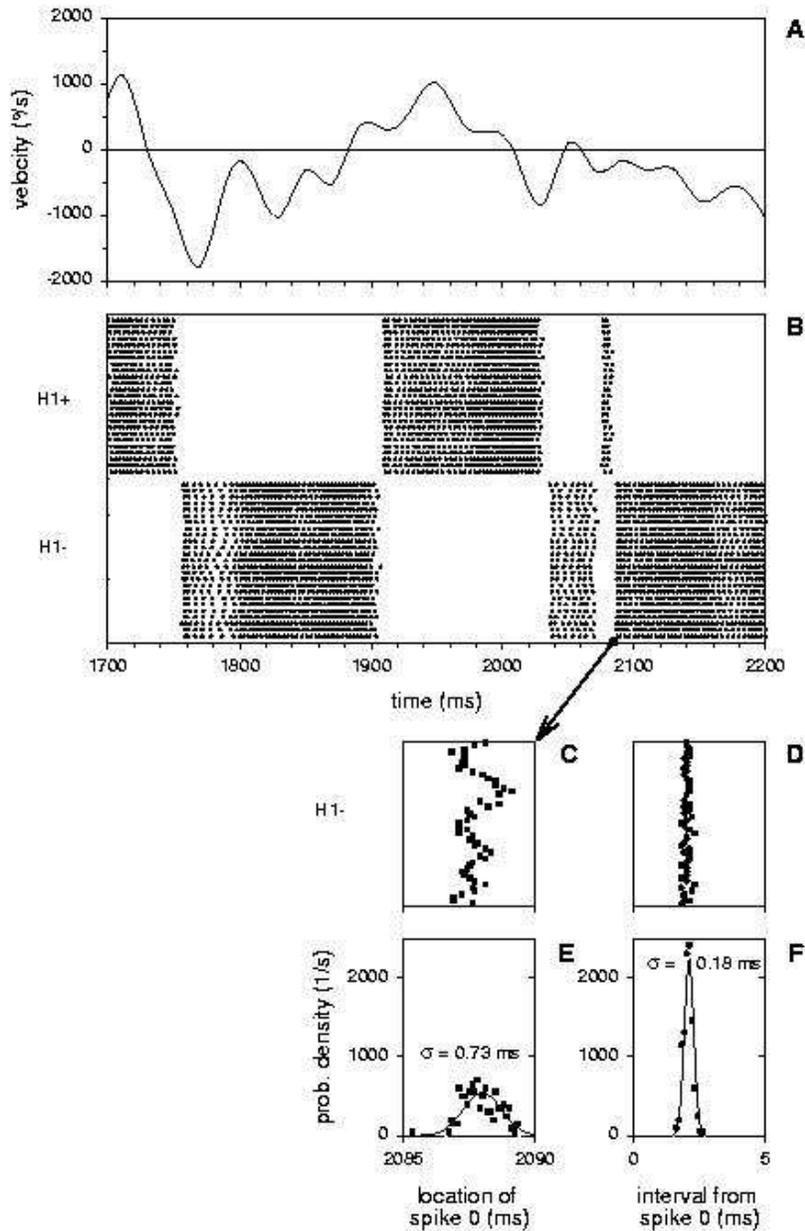


Figure 17: Direct observations of H1 spike timing statistics in response to rotational motion derived from Land and Collett (1974) free flight data (see Fig. 1). The fly was immobilized in a specially designed miniature recording setup, which was fixed to a computer controlled stepper motor. This setup was used in a wooded outdoor environment, in which the fly was rotated repeatedly (200 times in total) along the same motion trajectory. For technical reasons the rotational velocity used in this experiment was scaled down to half of the free flight value. A: A 500 ms segment of the motion trace used in the experiment. B: Top: raster of 25 trials showing occurrences measured from H1. Bottom: 25 trials with spike occurrences from the same cell, but in response to a velocity trace that was the negative of the one shown in A. For ease of reference we call these conditions H1+ and H1- respectively. C: 25 samples of the occurrence time of the first spike fired by H1- following $t=2085$ ms (indicated by the arrow connecting the axis of panel B to panel C). D: Time interval from the spike shown in C to the spike immediately following it. E: Probability density for the timing of the spike shown in C. The spread is characterized by $\sigma = 0.73$ ms, which is defined here as half the width of the peak containing the central 68.3% of the total probability. If the distribution were Gaussian, then this would be equivalent to the standard deviation. Here we prefer this definition instead of one based on computing second moments. The motivation is that there can be an occasional extra spike, or a skipped spike, giving a large outlier which has a disproportionate effect on the width. ³⁷ it is calculated from the second moment. Filled squares

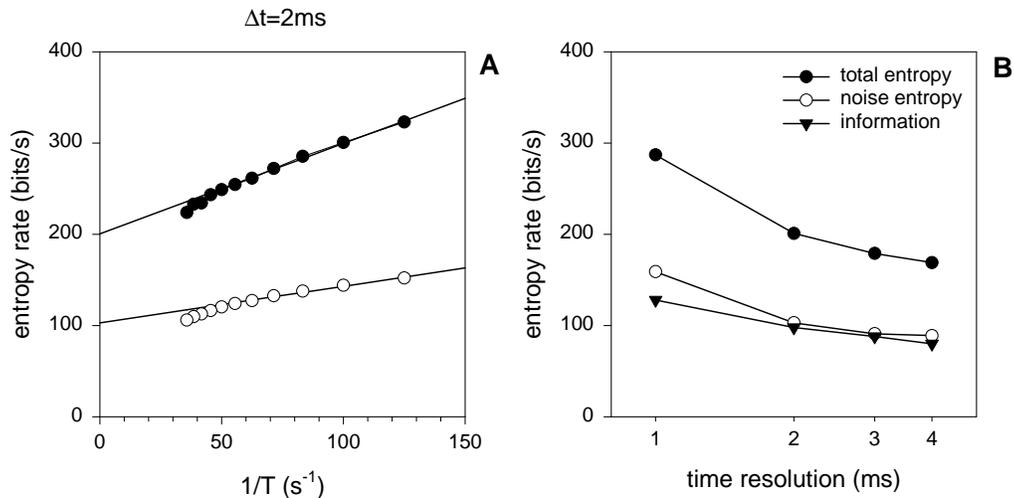


Figure 18: Information in firing patterns obtained from an experiment with naturalistic motion stimuli (see legends for Figs. 1, 17). A: Rate of total entropy and noise entropy as a function of $1/T$, for time resolution $\Delta t = 2$ ms. The figure shows the corresponding entropy rates, that is the values of total and noise entropy as defined above, divided by T . The fits (solid lines) to the two data sets are extrapolated to zero value of the abscissa, corresponding to $T \rightarrow \infty$. The difference of the extrapolated rate for the total entropy and the noise entropy respectively, is the estimate of the information rate at the given time resolution. See Strong et al. (1998) for a more detailed explanation. B: Rates of total entropy, noise entropy and information transmission were computed as explained in the text, and plotted here for different values of time resolution. It is clear that even in the millisecond range the information transmission increases when time resolution becomes finer.

each time t , $0 \leq t \leq T_{\text{stim}}$. If the neuron were an ideal noiseless encoder then the response would be the same every time, and for each presentation we would find the same firing pattern $W(t)$ at time t . Then $P(W|t)$ would equal one for $W = W(t)$, and zero otherwise, so that the noise entropy would be zero. In practice, of course, the noise entropy differs from zero, and it will also vary with time t . The time average of the noise entropy is $S_{\text{noise}} = \overline{S_{\text{noise}}(t)} = -\sum_W P(W|t) \log_2[P(W|t)]$. The information transmitted by the neuron is the difference of the total and noise entropies, and therefore depends on both T and Δt : $I(T, \Delta t) = S_{\text{total}} - \overline{S_{\text{noise}}}$ (Strong et al. 1998). With enough data we can extrapolate to the limit $T \rightarrow \infty$ to get an estimate of the information rate. If we quantify that limiting information rate as a function of the time resolution Δt , we finally arrive at a reasonable bulk measure of the time resolution at which information can be read out from the neuron.

The results are shown in Fig. 18B, which plots the total entropy rate, the noise entropy rate, and the information rate, all as a function of time resolution. The information rate still increases going from $\Delta t = 2$ ms to $\Delta t = 1$ ms, to reach 120 bits per second. The efficiency of encoding, that is the proportion of the total entropy used for transmitting information, is about 50% for $\Delta t \geq 2$ ms, and slightly lower than that for $\Delta t = 1$ ms. Because the information transmission rate must be finite, and the total entropy grows without bound as Δt becomes smaller, the efficiency must go to zero asymptotically as Δt goes to zero. Due to the limited size of the dataset it is not possible to make hard statements about the information transmitted, and thus the efficiency, at time resolutions better than 1 ms. It is clear, however, that spike timing information in the millisecond range, also under natural stimulus conditions, is present in the spike train, and that it could be highly relevant to the fly for getting around.

In the spirit of the discussion in section 4.1 we can also ask whether under these more natural conditions, spikes are generated according to a modulated Poisson process. As mentioned in section 2.1, the variance of a Poisson distribution is equal to its mean. Furthermore, if spikes are

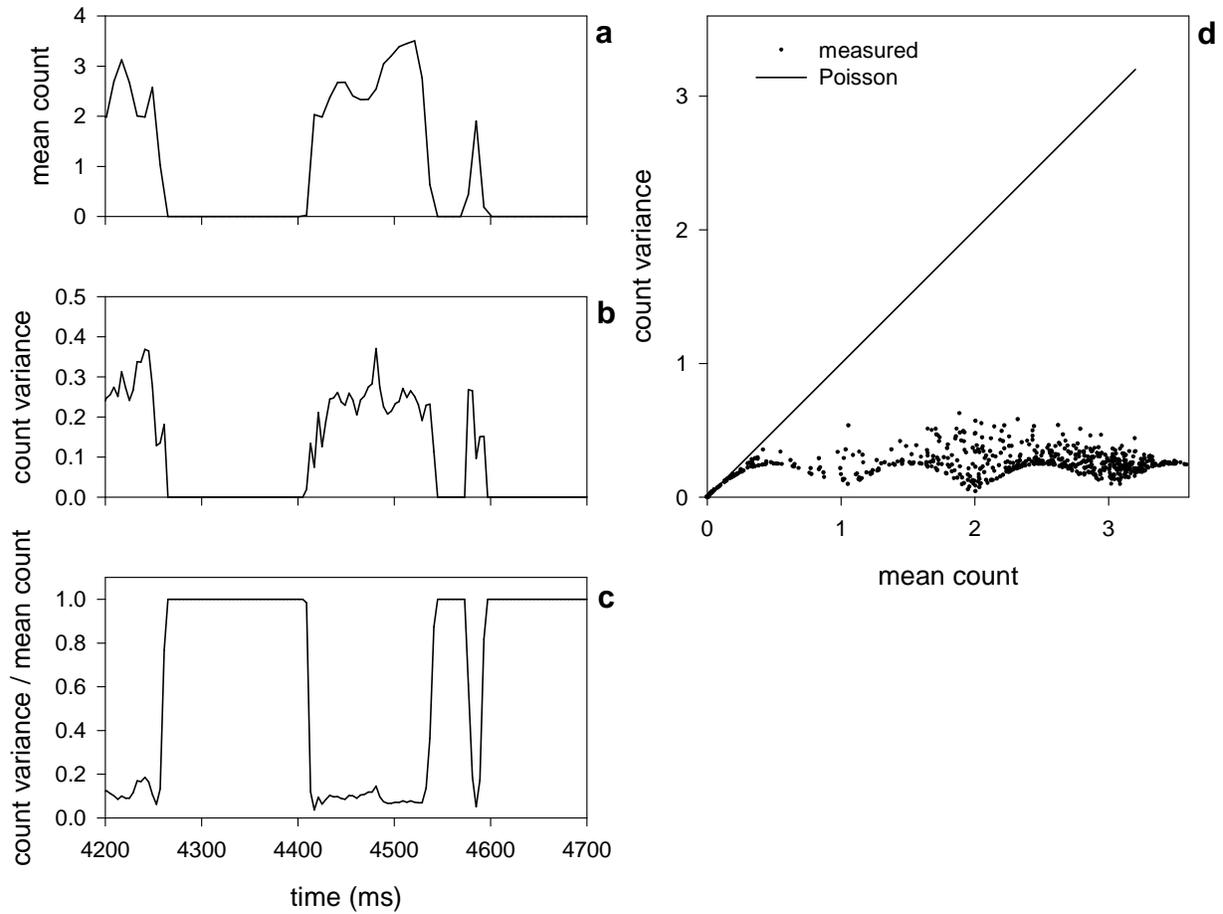


Figure 19: Example of counting statistics in response to a natural motion stimulus. The data are for the segment represented as H1+ in Fig. 17B. A: Ensemble average count in a 10 ms wide sliding windows. B: Ensemble variance of the count in the same sliding window as in A. C: Ratio of ensemble variance to ensemble average. Where both the variance and the mean are zero the value of the ratio is set to 1. For a Poisson process, all datapoints in this plot should have a value of 1. D: Dots: Scatter plot of simultaneous pairs of the ensemble variance and the ensemble average count. Straight line: Statistics of a Poisson process.

generated according to a modulated Poisson process, the spike count in a certain window should spread according to a Poisson distribution. Thus, if we compare segments of the responses to repeated identical stimuli, and we compare the variance of the count in a large number of such segments, we can see whether the spike statistics deviate from Poisson (for more details see de Ruyter van Steveninck et al. 1997). In Fig. 19 we show the response segment labeled H1+ in Fig. 17B, and we compute both the mean count and the variance across trials, for a 10 ms wide sliding window. When we plot the ratio of variance to mean we see that, as soon as there is spike activity, the ratio drops to values between 0.1 and 0.2. The comparison of the scatter plot to the Poisson behavior in Fig. 19D makes it clear that there is no strong overall trend for the variance to scale with the mean for these conditions (this, however, may be different for much longer time windows, see Teich and Khanna 1985). Similar results were reported for other systems (Berry et al. 1997, Meister and Berry 1999) and for fly H1 in laboratory conditions (de Ruyter van Steveninck et al. 1997).

These results suggest that when stimuli are dynamic enough, spiking sensory neurons may operate in a regime far removed from the Poissonlike behavior they are often assumed to have. This sub-Poisson behavior can be attributed, at least partly, to the relative refractoriness of spiking cells. Refractoriness tends to regularize spike trains (Hagiwara 1954), and to increase the information carried by short intervals (de Ruyter van Steveninck and Bialek 1988, Brenner et al. 2000). Therefore, when operating at the same time dependent rate, the real H1 neuron carries much more information about the stimulus than a modulated Poisson train (de Ruyter van Steveninck et al. 1997).

5 Discussion and Conclusions

As the fly moves through its environment, its array of photoreceptors contains an implicit representation of motion, and this representation is corrupted by noise. From this the visual brain must extract a time dependent estimate of motion in real time. In some limiting cases, such as stepwise motion and the high frequency limit of white noise motion (cf. section 4.1), we can estimate the limits to the precision with which such a running estimate can be made. In those cases we find that the computation performed by the fly's brain up to H1 is efficient in the sense that that H1 retrieves a substantial fraction of the motion information implicitly present in the photoreceptor array. To support those conclusions we have presented data on the statistical efficiency of signals at several levels within the blowfly's visual system.

Photoreceptors are stimulated by a Poisson stream of photons and, as long as the modulation is not too strong, they respond linearly to contrast. At low frequencies (up to 50-100 Hz, depending on illumination) the signal to noise ratio with which they encode contrast is close to the limits imposed by the Poisson nature of photon capture. Thus, blowfly photoreceptors are efficient at frequencies up to 50-100 Hz in the sense that they use almost all the information present in the photon stream. At higher frequencies the photoreceptor loses efficiency as latency jitter becomes the dominating noise source.

The LMCs, directly postsynaptic to the photoreceptors, are also efficient (Laughlin et al. 1987; this chapter, section 3.4). This means that neural superposition indeed works as was suggested long ago by Braitenberg (1967) and Kirschfeld (1967). As the LMCs receive their signals through an array of chemical synapses, the measured signal to noise ratio of the LMC sets a lower bound to the precision with which these synapses operate. If we hypothesize that vesicle release can be thought of as a modulated Poisson process, then from the measurements we estimate that each synaptic active zone should emit vesicles at a tonic rate of well over a hundred vesicles per second. Based on similar considerations Laughlin et al. (1987) arrive at an even higher number. Direct measurements of vesicle release in this system are unavailable, but recent measurements in goldfish bipolar cells (Lagnado et al. 1999) give a value six times lower than our estimates of tonic rates. This suggests

that the hypothesis of Poisson release could be wrong, and that vesicle release is much more tightly regulated. Perhaps the large number of different proteins involved in vesicle docking and release (Kuno 1995), and the delicate anatomical ultrastructure of the synapse (Nicol and Meinertzhagen 1982, Meinertzhagen and Frölich 1983) have a role to play in this type of regulation.

We find that H1 can generate spikes with strongly subPoisson statistics, if it is driven by stimuli representative for at least some of the natural behavior of the fly. Chasing behavior in flies plays an important role in reproduction and territorial defense (Land and Collett 1974) and it presumably taxes the fly’s sensory and motor systems to the fullest. It is therefore an interesting limit in which to study the performance of the fly’s visual information processing capabilities. However, flies do not often engage in this behavior, and the chases typically last not much longer than a second. One may therefore ask how long the visual system can keep up with these strong dynamic stimuli. As a casual observation, it seems that H1 keeps on reporting about the fly’s motion, and we see no signs of habituation when the fly spins around in simulated flight patterns for up to 20 minutes.

A fly, its name notwithstanding, spends most of its time sitting still (Dethier 1976, on page 13 gives a revealing list of how flies spend their time). If the fly’s environment does not move, or if movement is steady and slow enough, H1 generates spikes approximately as a Poisson process. But at the same mean firing rate, when stimulated with strong dynamic signals, H1 can be firing far from Poisson, and this makes the encoding more efficient in the sense that the information per spike increases substantially (de Ruyter van Steveninck et al. 1997). Over the years the Poisson process has been the model of choice for describing neural firing statistics, at least to first approximation (see for example Tolhurst et al. 1983, Britten et al. 1993). As H1 can be either close to that limit or far from it, depending on conditions, it is a matter of debate which condition is more relevant. Reference to natural behavior is not conclusive here, because both sitting still and chasing other flies are natural behaviors. We feel that studying neural responses to dynamic stimuli is more interesting and rewarding, both because there is already a long history of characterizing responses to static stimuli, and because one can reasonably assume that well designed dynamic stimuli test the information processing capabilities of the nervous system to the fullest.

From the experiment it has also become clear that H1 can generate spikes that are locked to certain stimulus events with millisecond timing precision. Moreover, interspike intervals can be defined even better, and we saw an example in which an interval was generated with ≈ 0.18 ms accuracy. Overall, the spike train carries information at $\approx 50\%$ efficiency at least down to the millisecond time scale.

At the very least, the combination of these observations should make one cautious in interpreting or modeling neural signals as modulated Poisson processes. As we saw, under some conditions this may be a fair approximation but in others, specifically those that approach conditions of natural flight, it definitely is not. One may wonder whether this latter observation is more generally valid. Here the report by Gur et al. (1997) offers an important clue: The authors studied cells in monkey primary visual cortex, and noticed that these showed marked sub-Poisson statistics when care was taken to exclude episodes with eye movements from the analysis. Thus, from the point of view of specifying neural reproducibility, eye movements add variability. But that of course is by no means necessarily true for the monkey if it knows when it holds its eyes still. It is thus quite possible that sub-Poisson firing is a more general phenomenon, and relevant to natural stimulus conditions; this could be revealed in experiments designed to control carefully for variability in the neural response that is knowable by the animal.

We have encountered an example of this in the H1 data presented in section 4.2. Fig. 17C shows the arrival times of spikes with reference to the stimulus, and these jitter by 0.73 ms. Fig. 17D shows the jitter in the interspike interval beginning with the spike depicted in panel C, which at 0.18 ms is much tighter. This implies that the fluctuations in the absolute timing of the two spikes are strongly correlated. If the interval length plays a role in the interpretation of H1 somewhere downstream, then in a sense the fly corrects for the fluctuations by knowing that they are correlated.

It would even be more interesting if fluctuations correlated among different neurons, as that would mean that the relative timing between spikes from different cells may carry extra information. Preliminary data from double recording experiments indicate that this is indeed the case for the two H1 neurons in the left and right lobula plates, again under approximately natural stimulus conditions.

Acknowledgments

The work presented here grew out of collaborations and discussions with many people, and it is our great pleasure to thank Naama Brenner, Simon Laughlin, Geoff Lewen, Roland Köberle, Al Schweitzer, and Steve Strong for sharing their insights and helping us out. We thank Simon Laughlin in particular for his thoughtful comments.

References

- [1] Barlow HB (1952): The size of ommatidia in apposition eyes. *J Exp Biol* 29, 667-674.
- [2] Baylor DA, Lamb TD, Yau KW (1979): Responses of retinal rods to single photons, *J Physiol* (Lond) 288, 613-634.
- [3] Berenbaum MR (1995): *Bugs in the System: Insects and Their Impact on Human Affairs*. Addison-Wesley Publishing Co., Reading MA.
- [4] Berry M, Warland DK, Meister M (1997): The structure and precision of retinal spike trains. *Proc Natl Acad Sci USA* 94-10, 5411-5416.
- [5] Bialek W, Rieke F, de Ruyter van Steveninck RR, Warland D (1991): Reading a neural code. *Science* 252, 1854-1857.
- [6] Bracewell RN (1978): *The Fourier Transform and its Applications*, 2nd ed. McGraw-Hill, New York NY.
- [7] Braitenberg V (1967) Patterns of projection in the visual system of the fly. I. Retina-lamina projections. *Exp Brain Res* 3, 271-298.
- [8] Brenner N, Strong SP, Koberle R, Bialek W, de Ruyter van Steveninck RR (2000) Synergy in a neural code. *Neural Comp* 12, 1531-1552.
- [9] Britten KH, Shadlen MH, Newsome WT, Movshon JA (1993) The responses of MT neurons to variable strength stochastic motion stimuli. *Visual Neurosci* 10, 1157-1169.
- [10] Bullock TH (1970): The reliability of neurons. *J Gen Physiol* 55, 565-584.
- [11] Dethier VG (1976): *The Hungry Fly: A Physiological Study of the Behavior Associated with Feeding*. Harvard University Press, Cambridge MA.
- [12] Dodge FA, Knight BW, Toyoda J (1968): Voltage noise in *Limulus* visual cells. *Science* 160, 88-90.
- [13] Dubs A, Laughlin SB, Srinivasan MV (1981): Single photon signals in fly photoreceptors and first order interneurons at behavioural threshold. *J Physiol* 317, 317-334.
- [14] Exner S (1891): Die Physiologie der facettirten Augen von Krebsen und Insekten. Verlag Franz Deuticke, Vienna. Reprinted as: *The Physiology of the Compound Eyes of Insects and Crustaceans*. Springer Verlag, Heidelberg (1989).
- [15] Feller W (1966): *An Introduction to Probability Theory and its Applications*, vol I. John Wiley, New York NY.
- [16] Fermi G, Reichardt W (1963): Optomotorische Reaktionen de Fliege *Musca Domestica*. Abhängigkeit der Reaktion von der Wellenlänge, der Geschwindigkeit, dem Kontrast, und der mittleren Leuchtdichte bewegter periodischer Muster. *Kybernetik* 2, 15-28.
- [17] Feynman RP, Leighton R, Sands M (1963): *The Feynman Lectures in Physics*. Addison-Wesley, Reading MA.
- [18] Franceschini N, Kirschfeld K (1976): Le contrôle automatique du flux lumineux dans l'oeil composé des Diptères. Propriétés spectrales, statiques et dynamiques du mécanisme. *Biol Cybern* 31, 181-203.

- [19] French AS, Järvillehto M (1978): The transmission of information by first and second order neurons in the fly visual system. *J Comp Physiol* 126, 87-96.
- [20] Fuortes MGF, Yeandle S (1964): Probability of occurrence of discrete potential waves in the eye of *Limulus*. *J Gen Physiol* 47, 443-463.
- [21] Green DM, Swets JA (1966): *Signal Detection Theory and Psychophysics*. John Wiley, New York NY.
- [22] Gur M, Beylin A, Snodderly DM (1997): Response variability of neurons in primary visual cortex (V1) of alert monkeys. *J Neurosci* 17, 2914-2920.
- [23] Haag J, Borst A (1997): Encoding of visual motion information and reliability in spiking and graded potential neurons. *J Neurosci* 17, 4809-4819.
- [24] Hagiwara S (1954): Analysis of interval fluctuations of the sensory nerve impulse. *Jpn J Physiol* 4, 234-240.
- [25] Hardie RC (1985): Functional organization of the fly retina. In: Ottoson D (ed) *Progress in Sensory Physiology* 5. Springer, Berlin, Heidelberg, New York, pp 2-79.
- [26] Hardie RC (1988): Effects of antagonists on putative histamine receptors in the first visual neuropile of the housefly *Musca domestica*. *J Exp Biol* 138, 221-241.
- [27] van Hateren JH (1984): Waveguide theory applied to optically measured angular sensitivities of fly photoreceptors. *J Comp Physiol A* 154, 761-771.
- [28] van Hateren JH (1992): Real and optimal neural images in early vision. *Nature* 360, 68-70.
- [29] van Hateren JH (1997): Processing of natural time-series of intensities by the visual system of the blowfly. *Vision Res* 37, 3407-3416.
- [30] Hausen K (1981): Monocular and binocular computation of motion in the lobula plate of the fly, *Verh Dtsch Zool Ges* 49-70.
- [31] Hausen K, Wehrhahn C (1983): Microsurgical lesion of horizontal cells changes optomotor yaw responses in the blowfly *Calliphora erythrocephala*. *Proc R Soc Lond B* 219, 211-216.
- [32] Hausen K, Egelhaaf M (1989): Neural mechanisms of visual course control in insects. In: Stavenga DG, Hardie RC (eds) *Facets of Vision*. Springer, New York, pp 391-424.
- [33] Hecht S, Schlaer S, Pirenne MH (1942): Energy, quanta, and vision. *J Gen Physiol* 25, 819-840.
- [34] Howard J (1983) Variations in the voltage response to single quanta of light in the photoreceptors of *Locusta migratoria*. *Biophys Struct Mech* 9, 341-348.
- [35] Howard J, Blakeslee B, Laughlin SB (1987): The intracellular pupil mechanism and photoreceptor signal: noise ratios in the fly *Lucilia cuprina*. *Proc R Soc Lond B* 231, 415-435.
- [36] Juusola M, Uusitalo RO, Weckström M (1995): Transfer of graded potentials at the photoreceptor-interneuron synapse. *J Gen Physiol* 105, 117-148.
- [37] Keiper W, Schnakenberg J (1984) Statistical analysis of quantum bump parameters in *Limulus* ventral photoreceptors. *Z Naturforsch* 39c, 781-790.
- [38] Kirschfeld K (1967): Die Projektion der optischen Umwelt auf das Raster der Rhabdomere im Komplexauge von *Musca*. *Exp Brain Res* 3, 248-270.

- [39] Kirschfeld K (1979): The visual system of the fly: physiological optics and functional anatomy as related to behavior. In: Schmitt FO, Worden FG (eds) *Neurosciences: Fourth Study Program*. MIT Press, Cambridge, MA, pp 297-310.
- [40] Kirschfeld K, Franceschini N (1969): Ein Mechanismus zur Steuerung des Lichtflusses in den Rhabdomeren des Komplexauges von Musca. *Kybernetik* 6, 13-22.
- [41] Krapp HG, Hengstenberg B, Hengstenberg R (1998): Dendritic structure and receptive-field organization of optic flow processing interneurons in the fly. *J Neurophysiol* 79, 1902-1917.
- [42] Kuno M (1995) *The Synapse: Function, Plasticity and Neurotrophism*. Oxford University Press, Oxford.
- [43] Lagnado L, Gomis A, Job C (1999): Continuous vesicle cycling in the synaptic terminal of retinal bipolar cells. *Neuron* 17, 957-967.
- [44] Land MF, Collett TS (1974): Chasing behavior of houseflies (*Fannia canicularis*): A description and analysis. *J Comp Physiol* 89, 331-357.
- [45] Laughlin SB (1989): Coding efficiency and design in visual processing. In: Stavenga DG, Hardie RC (eds) *Facets of Vision*. Springer, New York, pp 213-234.
- [46] Laughlin SB (1999): Visual motion: Dendritic integration makes sense of the world. *Current Biol* 9, R15-R17.
- [47] Laughlin SB, Hardie R (1978): Common strategies for light adaptation in the peripheral visual systems of fly and dragonfly. *J Comp Physiol* 128, 319-340.
- [48] Laughlin SB and Lillywhite PG (1982): Intrinsic noise in locust photoreceptors. *J Physiol* 332, 25-45.
- [49] Laughlin SB, Howard J, Blakeslee B (1987): Synaptic limitations to contrast coding in the retina of the blowfly *Calliphora*. *Proc R Soc Lond B* 231, 437-467.
- [50] Laughlin SB, de Ruyter van Steveninck RR, Anderson J (1998): The metabolic cost of neural information. *Nature Neuroscience* 1, 36-41.
- [51] Lillywhite PG, Laughlin SB (1979) Transducer noise in a photoreceptor. *Nature* 277, 569-572.
- [52] MacKay D, McCulloch WS (1952): The limiting information capacity of a neuronal link. *Bull Math Biophys* 14, 127-135.
- [53] Meinertzhagen IA, Fröhlich A (1983): The regulation of synapse formation in the fly's visual system. *Trends in Neurosci* 7, 223-228.
- [54] Meister M, Berry MJ (1999) *The neural code of the retina*. Neuron 22, 435-450.
- [55] Nicol D, Meinertzhagen IA (1982): An analysis of the number and composition of the synaptic populations formed by photoreceptors of the fly. *J Comp Neurol* 207, 29-44.
- [56] Passaglia C, Dodge F, Herzog E, Jackson S, Barlow R (1997): Deciphering a neural code for vision. *Proc Natl Acad Sci USA* 94, 12649-12654.
- [57] Potters M, Bialek W (1994). Statistical mechanics and visual signal processing. *J Phys I France* 4, 1755-1775.

- [58] Reichardt W (1961): Autocorrelation, a principle for the evaluation of sensory information by the central nervous system. In: Rosenblith WA (ed) *Principles of Sensory Communication*. John Wiley, New York, NY, pp. 303-317.
- [59] Reichardt W, Poggio T (1976): Visual control of orientation behavior in the fly. Part I: A quantitative analysis. *Q Rev Biophys* 9, 311-375.
- [60] Rice SO (1944-45): Mathematical analysis of random noise. *Bell System Technical Journal*, 23, 24. Reprinted in *Wax* (1954), pp. 133-294.
- [61] Rieke F, Warland D, de Ruyter van Steveninck R, Bialek W (1997): *Spikes: Exploring the Neural Code*. MIT Press, Cambridge MA.
- [62] Roeder KD (1998): *Nerve Cells and Insect Behavior*. Harvard University Press, Cambridge MA.
- [63] de Ruyter van Steveninck RR (1986): Real-time performance of a movement-sensitive neuron in the blowfly visual system. Thesis, University of Groningen, The Netherlands.
- [64] de Ruyter van Steveninck R, Bialek W (1988) Real-time performance of a movement-sensitive neuron in the blowfly visual system: coding and information transfer in short spike sequences. *Proc R Soc Lond B* 234, 379-414.
- [65] de Ruyter van Steveninck R, Bialek W (1995): Reliability and statistical efficiency of a blowfly movement-sensitive neuron. *Phil Trans R Soc Lond B* 348, 321-340.
- [66] de Ruyter van Steveninck RR, Laughlin SB (1996a): The rate of information transfer at graded-potential synapses. *Nature* 379, 642-645.
- [67] de Ruyter van Steveninck RR, Laughlin SB (1996b): Light adaptation and reliability in blowfly photoreceptors. *Int J Neural Syst* 7, 437-444.
- [68] de Ruyter van Steveninck RR, Lewen GD, Strong SP, Koberle R, Bialek W (1997): Reproducibility and variability in neural spike trains. *Science* 275, 1805-1808.
- [69] Saleh BEA, Teich MC (1991): *Fundamentals of Photonics* Wiley, New York.
- [70] Schrödinger E (1952): *Statistical Thermodynamics*. Cambridge University Press, Cambridge, UK.
- [71] Shaw SR (1981): Anatomy and physiology of identified non-spiking cells in the photoreceptor-lamina complex of the compound eye of insects, especially Diptera. In: Roberts A, Bush BMH (eds) *Neurons Without Impulses*. Cambridge Univ Press, Cambridge UK.
- [72] Single S, Borst A (1998): Dendritic integration and its role in computing image velocity. *Science* 281, 1848-1850.
- [73] Smakman JGJ, van Hateren JH, Stavenga DG (1984): Angular sensitivity of blowfly photoreceptors: Intracellular measurements and wave-optical predictions. *J Comp Physiol* A155, 239-247.
- [74] Snyder AW (1979): The physics of vision in compound eyes. In: Autrum H (ed) *Handbook of Sensory Physiology* VII/6A: Comparative physiology and evolution of vision in invertebrates. A: Invertebrate photoreceptors. Springer, Heidelberg, pp 225-314.
- [75] Snyder DL, Miller MI (1991): *Random Point Processes in Time and Space* (second edition). Springer, Heidelberg.

- [76] Stavenga DG (1974): Visual receptor optics, rhodopsin and pupil in fly retinula cells. Thesis, University of Groningen, Groningen, The Netherlands.
- [77] Stavenga DG (1995): Insect retinal pigments. Spectral characteristics and physiological functions. *Progr Ret Eye Res* 15, 231-259.
- [78] Stieve H, Bruns M (1983): Bump latency distribution and bump adaptation of *Limulus* ventral nerve photoreceptor in varied extracellular calcium concentrations. *Biophys Struct Mech* 9, 329-339.
- [79] Strong SP, Koberle R, de Ruyter van Steveninck RR, Bialek W (1998): Entropy and information in neural spike trains. *Phys Rev Lett* 80, 197-200.
- [80] Teich MC, Khanna SM (1985) Pulse number distribution for the spike train in the cat's auditory nerve. *J Acoust Soc Am* 77, 1110-1128.
- [81] Tinbergen N (1984): *Curious Naturalist* (revised edition). Univ. of Massachusetts Press, Amherst MA.
- [82] Tolhurst DJ, Movshon JA, Dean AF (1983) The statistical reliability of signals in single neurons in cat and monkey visual cortex. *Vision Res* 23, 775-785.
- [83] Uusitalo RO, Juusola M, Kouvalainen E, Weckström M (1995): Tonic transmitter release in a graded potential synapse. *J Neurophysiol* 74, 470-473.
- [84] Warzecha A-K, Kretzberg J, Egelhaaf M (1998): Temporal precision of the encoding of motion information by visual interneurons. *Curr Biol* 8, 359-368.
- [85] Wax N (1954): *Noise and Stochastic Processes*. Dover, New York NY.
- [86] Wong F, Knight BW, Dodge FA (1980): Dispersion of latencies in photoreceptors of *Limulus* and the adapting bump model. *J Gen Physiol* 71, 249-268.