

*Annual Report to the Congress: January 1  
to September 30, 1983*

March 1984

**annual  
report**

**To the Congress**

**January 1  
to  
September 30  
1983**

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# Section I.-Statements by the Chairman and Vice Chairman of the Board, TAAC Chairman, and the Director of OTA

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## CHAIRMAN'S STATEMENT- CONGRESSMAN MORRIS K. UDALL

The year 1983 was very productive for the Office of Technology Assessment. OTA made substantive contributions to about 60 different committees and subcommittees. They ranged from major, comprehensive reports to testimony and special analyses. Considering the complex and controversial nature of the issues OTA must deal with, it is commendable that its work continues to be given uniformly high marks for quality, fairness, and usefulness.

During 1983, OTA was active in such diverse areas as hazardous and nuclear waste management, acid rain analyses, cost containment of health care, technology and trade policy, Love Canal, wood use, and polygraphs. The evidence of testimony, briefings, other requests for assistance, as well as reception of OTA's products by committees emphasizes the contribution made by OTA to the legislative process.

## VICE CHAIRMAN'S STATEMENT- SENATOR TED STEVENS

The lives of our citizens and the issues of government have increasingly been influenced by science and technology. Congressional committees and Members are drawn into the complexity of science and the controversies involving technology as they face the necessary decisions of government. OTA serves as a shared resource of technical and analytical expertise for all committees. OTA's organization and procedures enable it to draw on diverse outside sources of information and advice. This enables OTA to bring to committees a synthesis of national wisdom about key issues, and alternative options for Congress to consider.

The problems faced by Congress are getting increasingly complicated and technical. Over its first decade of existence, OTA has developed and tested a way of providing information that now makes it an essential tool of Congress.

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## TAAC CHAIRMAN'S STATEMENT- CHARLES N. KIMBALL

During 1983, the Technology Assessment Advisory Council (TAAC) examined several current and recently completed assessments, reconfirming that OTA effectively uses information and advice from across the Nation in carrying out its analyses and critically reviewing its work before publication. TAAC also reviewed the various activities associated with "delivery" of OTA's work, mainly to Congress.

In this regard it is important to note that, from the outset, effective communication with interested committees needs to be maintained. Delivery is far more than transmittal of a document; it is a process that begins with scoping of the work plan, continues in the form of briefings, testimony, and some interim documents, is formalized in publication of the formal report, and then is further employed (sometimes for years) in the form of selected deliveries and follow-on analyses.

Thus OTA's relatively small staff plays several key roles: formulation of studies, organization of work, analysis and synthesis of results, and delivery to Congress. We believe that no other Federal organization is comparably organized in this way, and that the agency is thus uniquely able to serve Congress in wrestling with complex sociotechnical issues.

TAAC has given some thought to the kinds of issues that continue to merit OTA's attention. These include:

1. long-term implications of advances in life sciences and their application to health care and biotechnology;
2. the general condition of science and technology in the United States, e.g., as it affects long-term economic competitiveness;
3. physical infrastructure issues, including transportation and utilities; and
4. national security questions, especially the impacts of technology.

During 1984, in response to TAB's invitation, TAAC will examine in more detail the kinds of issues we from outside Congress believe merit OTA's attention. At this point it is our impression that the present agenda of work is extraordinarily broad, clearly relevant to public policy questions, and of unusually high quality.

## DIRECTOR'S STATEMENT-JOHN H. GIBBONS

“A sense of the future is behind all good politics. Unless we have it, we can give nothing—either wise or decent—to the world.”

C. P. Snow

By the time this report is printed we'll be well within Orwell's year. Of course Orwell picked 1984 rather arbitrarily—his famous novel was written in 1948 so he simply reversed the last two digits. But it serves to remind us of an enigma—the importance of thinking ahead, yet the impossibility to predict the long-term future of the human enterprise with any precision.

OTA was not created to predict the future, but rather to provide a perspective of implications for the future of alternative present actions, and to maintain for Congress a *sense* of the future and implications of emerging developments in science and technology.

The rapidly unfolding saga of science and technology was never more apparent than in 1983, and no abatement appears on the horizon. As usual, there is bad news along with the good. The microscopic world of cells, molecules, and solids of various kinds, combined with human scholarship and inventiveness, is yielding improved ways to communicate, save energy and other resources, diagnose and treat disease, better our crops, and entertain ourselves. But it also makes warfare all that more terrifying, undermines privacy, and revolutionizes our workplace in troublesome ways.

Since Orwell wrote “1984,” the molecules of heredity have been discovered. The understanding of the splendid and spectacular mysteries of living things is growing at a blistering pace. We now know the complete chemical structure of some viruses, and are within striking distance of determining the total genetic specification of bacteria. The implications of the extraordinary advance in knowledge are a continuing activity at OTA.

While new knowledge merits a lot of investment and attention, existing resources and institutions are also keys to our survival, growth, and quality of life. Therefore OTA devotes considerable effort to analyzing the state and health of such resources as air and water quality, land productivity, materials, energy, international competitiveness of U.S. industry, the quality and cost effectiveness of health care, and critical areas of national defense.

It is neither possible nor desired that OTA be the fount of wisdom on such a broad array of topics. Therefore, by design, OTA is organized to catalyze and synthesize information on controversial technical issues and to present the facts and alternative options to Congress. Since these issues are of interest to many different congressional committees, OTA acts as a shared, nonpartisan resource for Congress and, through Congress, for the American people.

# Section II.-Year in Review

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The assessments carried out by OTA cover a wide spectrum of major issues that Congress and the country are facing. A brief summary of each report, published by the Office during the year\* is presented in this section. The reader is cautioned that these are synopses of reports. They do not cover the full range of options considered or all of the findings presented in any individual report.

## Wood Use: U.S. Competitiveness and Technology

The United States could greatly expand its role in world forest products trade over the next decade and become a net exporter of solid wood and paper products before 1990. For the past 30 years, the United States typically has imported more forest products than it has exported. However, because exports have grown faster than imports, the trade deficit has narrowed. This trend is likely to continue.



Wood Use  
U.S. Competitiveness  
and Technology



Global demand for a wide range of forest products is growing rapidly, and the best trade opportunities for U.S. producers appear to be in the paper markets of other industrialized nations, particularly Western Europe and Japan. In contrast to many basic U.S. industries, the forest products industry has distinct advantages over its foreign competitors. It is the most productive and among the most efficient in the world, benefiting from a vast and highly productive domestic forest resource.

To capitalize on international trade opportunities, the forest products industry and the Federal Government probably will have to make concerted efforts to promote exports. Although responsibility for developing foreign markets rests primarily with the private sector, Government action could assist in overcoming trade barriers which currently inhibit the competitiveness of U.S. wood products in foreign markets.

Past Government and private sector concerns regarding a possible domestic timber shortfall no longer seem justified. Future timber needs, especially for housing but also for other products, probably have been overestimated. The effects of intensive timber management and the ability of wood utilization technology to stretch the wood resource, have probably been underestimated.

If current trends toward more intensive forest management continue, domestic needs for wood probably can be met without dramatic price

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\*This OTA Annual Report represents a transition from calendar year reporting to fiscal year reporting. It therefore covers the period January 1 through September 30, 1983.

increases. To achieve the full economic potential of U.S. forestlands, however, some changes in policy would be needed, as would an estimated investment of \$10 billion to \$15 billion in intensive timber management over the next 35 to 50 years,

Although both the Government and private sectors are now investing in intensive timber management, it is unlikely that current trends will lead to full utilization of U.S. forests. Although the Federal Government does provide financial and technical assistance to nonindustrial private landowners, who own nearly 60 percent of the Nation's commercial timberland, this assistance is often limited by budget constraints and is not necessarily targeted to lands most capable of providing increased timber supplies. Greater emphasis on small-scale forestry research, technical assistance, education, and information programs, combined with more accurate channeling of such assistance to the most suitable recipients, could stimulate private forest productivity.

Under the guidance of the National Forest Management Act of 1976, the U.S. Forest Service periodically prepares programs for and assessments of the Nation's renewable resources. These programs, however, provide little analysis of policies and programs not administered specifically by the U.S. Forest Service, although there are many Federal, State, and local agencies which influence timber supply from public and private lands. The need for increased investments in forest productivity and research and development will be easier to establish with national timber production goals to serve as a guide.

Formulation of forest policy requires up-to-date information about forest acreage, inventories, and growth trends, and realistic assumptions about future demands for forest products. Improvements in the current system for estimating prospective timber supplies and demands are needed if decisionmakers are to have adequate information for design and funding of timber management programs, private landowner assistance, and research needs.

Existing and emerging technologies enable a broad range of wood products to be manufactured from currently underutilized hardwood species and from waste wood material. Expanded research in basic wood chemistry and engineering properties, and research on utilization of hardwoods and waste wood, could increase wood's long-term competitive position relative to other materials, as well as the competitiveness of the U.S. forest products industry. Increased research on hardwood and waste wood utilization could also extend U.S. wood supplies,

Commercial timber production is only one of the many uses for U.S. forestland. Broad-scale intensive forest management may result in increased soil loss, altered wildlife habitat, reduced water quality, and lower soil productivity. The environmental impacts of intensive forestry are not well understood, and further research on its effects will be needed if the practice becomes more widespread.

Significant changes in Federal programs and policies probably are not required to ensure that future domestic forest products needs are met. However, OTA has identified four general policy options which Congress could consider to increase the domestic and international competitiveness of the forest products industry:

1. Encourage research and development of forestry-related and wood utilization technologies, particularly small-scale forestry research suited to the needs of nonindustrial private landowners, basic wood chemistry and physical properties research, hardwood and waste wood utilization, and research on the environmental effects of intensive timber management.
2. Assist exporters through negotiated reduction in barriers to trade, including tariffs, quotas, and nontariff barriers.
3. Promote the use of U.S. wood products and building techniques overseas, using the Foreign Agriculture Service's experience in agricultural export promotion as a model.
4. Improve the quality of information needed for forest policy formulation. The greatest information needs are for up-to-date timber growth and inventory trends and improved forecasting methods which provide decisionmakers with realistic ranges of possible future timber supply and demand.

### Industrial Energy Use

For many years to come, energy need not constrain economic growth in the United States. OTA projects that over the next two decades, investments in new manufacturing processes,



a shift to less energy-intensive products, and technical innovation will lead to substantially increased energy efficiency. At the same time, these improvements will increase industrial profitability and competitiveness. As a result, OTA projects that the rate of industrial production can grow considerably faster than the rate of energy use needed for that production.

Corporate investment decisionmaking appears to recognize this link between productivity and energy efficiency. All corporate projects are evaluated in terms of product demand, competition, cost of capital, cost of labor, energy and materials, and Government policy. Energy-related projects are only part of an overall strategy to improve profitability and enhance a corporation's competitive position. OTA has found that corporate capital projects directed solely at improving energy efficiency are not given special status, although energy cost is an important consideration in investment decisions.

OTA examined the four most energy-intensive industries in the U.S. manufacturing sector: paper, petroleum refining, chemicals, and steel. Historical energy use was analyzed, new technologies identified that could improve energy efficiency, and future energy demand projected. In the paper industry, energy use has risen slightly since 1972, but the industry is now more energy self-sufficient. In 1981, the pulp and paper industry generated half of its energy needs from wood residues.

From now through 2000, projections for the petroleum refining industry show a decline in product output, but continued, if only slight, improvement in energy efficiency. Efficiency gains will be offset by a shift to high-sulfur, heavier crude oil feedstock, and a need for additional processing of raw materials to meet market demand for high-octane, unleaded gasoline.

Projections for the chemicals industry indicate an increase in energy efficiency through a combination of technological improvements to existing process equipment, technical innovation in developing new processes, and a shift from commodity chemicals, such as chlorine, to less energy-intensive specialty chemicals, such as pharmaceuticals.

As the steel industry rebuilds to meet foreign competition, production will grow slowly, and will show a large reduction in energy intensity due to greater use of two new processes: the replacement of ingot casting by continuous casting, and the substitution of electric arc furnaces for the blast furnace/basic oxygen furnace combination of traditional steelmaking.

OTA examined four policy options for their effects on industrial energy use. Two options were directed specifically at energy conservation investments, while the remaining two were aimed at stimulating all investment.

OTA's findings suggest that the most effective Government policies to promote the efficient use of energy are not those specifically targeted to energy use, but those that improve the economic outlook and investment climate by lowering interest rates and expanding demand for goods and services. Specifically, OTA concludes that:

- Reduction in capital costs would be the most effective means of stimulating investments that increase energy efficiency. It would also enhance the effect of the recently enacted accelerated cost recovery system (ACRS).
- ACRS depreciation is a positive stimulus to investment, and thus to energy conservation. But, this effect is only significant when industry is profitable and growing.
- Energy investment tax credits at a 10-percent level have little direct influence on capital allocation decisions in large American firms, and thus have little or no effect on energy conservation. However, energy investment tax credits aimed at third-party financing of energy production, such as cogeneration of steam and electricity, would be effective,

- A tax on premium fuels would stimulate investment in energy-efficient processes and products but would also have negative effects. For example, a premium fuels tax would increase the chemicals industry's vulnerability to foreign competition and adversely affect product sales of the petroleum refining industry.

### Technology and East-West Trade: An Update

The recent controversies over trade sanctions and export controls have focused attention on the Export Administration Act, whose renewal is now before Congress. *Technology and East-West Trade: An Update*, discusses a range of legislative proposals in terms of four key policy perspectives:

Technology  
and East-West Trade  
An Update



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- national security: making Soviet acquisition of militarily relevant Western technology as difficult and costly as possible;
- foreign policy: safeguarding the President's flexibility in using export controls to advance U.S. foreign policy interests;
- efficiency: making the licensing system more predictable, consistent, and efficient to enable U.S. exporters to plan ahead and to increase compliance; and
- trade promotion: reducing trade restrictions, especially foreign policy controls.

Some of these views are mutually compatible. For example, it is perfectly possible to strengthen national security controls while promoting flexibility in foreign policy controls. Some combinations, however, are inherently in conflict. The conflict between national security and export promotion is obvious, but there are others. For example, the very existence of foreign policy controls over exports introduces an element of unpredictability into export licensing, which works against both efficiency and trade promotion.

The perceived importance of national security controls has risen, as evidence has accumulated that the Soviets have a coordinated and effective program to obtain and exploit Western technology for military purposes. Soviet efforts include both legal and illegal transfers. More effective administration and enforcement of existing controls may be more productive than controlling additional items or categories.

While U.S. trade with the U.S.S.R. is small and likely to remain so, it is important for particular sectors (e. g., grain) and firms (e. g., Caterpillar). Retroactive and extraterritorial controls may have an adverse impact on West-West trade, which far exceeds East-West trade in importance to the United States.

The embargoes on grain and oil and gas technology dramatically illustrate the difficulties of a policy of trade leverage against the Soviet

Union. The sanctions did hurt vulnerable sectors of the Soviet economy, but probably not enough to make a real economic difference. In fact, although such calculations are highly uncertain, the sanctions may have done more damage to the U.S. economy than the Soviet economy. Nor did they change Soviet behavior. The Soviet Union may even have benefited from the public display of Western disunity following the imposition of the pipeline sanctions, which were applied to preexisting contracts of U.S. subsidiaries and licensees based overseas.

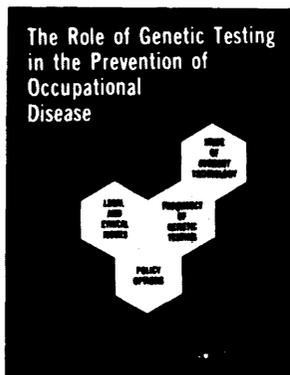
Moreover, tight U.S. export controls require the cooperation of our Allies to have a real effect on the U.S.S.R. Allied cooperation works reasonably well only where there is agreement on what should be controlled. Despite their agreement to conduct policy studies on East-West trade, there is little evidence that the West European countries and Japan will endorse the Reagan administration's position. Their future trade relations with the U.S.S.R. will be shaped more by their own domestic imperatives and worldwide economic forces than by U.S. concerns.

Although the principal issues remain much the same, the stakes in East-West trade have escalated since 1979, when Congress passed the Export Administration Act. Congress was unwilling then to make consistent choices between the goals of national security and export promotion. The result was ambiguous legislation, which has allowed Presidents Carter and Reagan to pursue their own policies, in each case giving foreign policy considerations priority over U.S. export trade.

This report is an update of a more comprehensive OTA report published in 1979.

## Role of Genetic Testing in the Prevention of Occupational Disease

Genetic testing in the workplace is an emerging technology that could help reduce occupational disease, but there is concern about its potential misuse. Although none of the genetic tests



evaluated by OTA meets established scientific criteria for routine use, existing evidence suggests the value of further research. Routine use of genetic testing, however, would raise significant legal, ethical, and policy questions.

Occupational disease has a serious and far-reaching impact both on society as a whole and on individuals. Genetic testing may be helpful in reducing the incidence of disease resulting from exposure to chemicals and ionizing radiation (e.g., X-rays). The testing encompasses two types of techniques. Genetic screening involves examining an individual

for certain inherited genetic traits on the assumption that the traits may predispose the person to disease when he or she is exposed to potentially hazardous chemicals. Genetic monitoring involves examining a group of workers for environmentally induced changes in the genetic material of certain cells in their bodies. The underlying assumption is that the changes indicate exposure to hazardous agents (chemicals or radiation) and that the group may be at an increased risk for disease. The information that might be provided by genetic testing would allow employers or employees to take preventive actions, but some people fear that it could result in employees being unfairly excluded from jobs.

Because of conflicting accounts about the extent of testing in the workplace and the use of the results, OTA surveyed the Fortune 500 industrial companies, the 50 largest private utilities, and 11 major unions representing the largest number of employees in these companies. Of the 366 organizations responding, 6 currently were using one or more tests. 17 used some of the tests in the past 12 years, 4 anticipated testing in the next 5 years, and 55 stated they possibly would test in the next 5 years. Actions taken as a result of testing ranged from informing an employee of potential problems to changing or discontinuing a product. In view of the small number of organizations testing and inherent methodological limitations in the survey, generalization of the results to the entire survey population or US. industry as a whole is not warranted.

Although the law has generally not dealt with genetic testing, many existing legal principles are directly applicable to the issues raised by this technology. An employer is responsible for workplace safety, but would not be required to use genetic testing. Under the Occupational

Safety and Health Act of 1970, the Secretary of Labor could require genetic testing, if the techniques were shown to be reliable and reasonably predictive of future illness, or could regulate testing, but only in relation to employee health. The act grants no direct authority to protect employees or job applicants from employment discrimination.

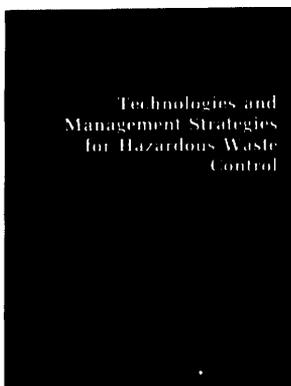
Job applicants or employees who were victims of adverse job actions because of their genetic makeup may have some rights under Federal and State antidiscrimination statutes, and, if genetic makeup were considered a handicap, under the Rehabilitation Act of 1973.

Ethical principles provide some guidance for the appropriate uses of genetic testing. Because of the low correlation between genetic traits or genetic damage from exposure and disease, it would be unethical, for instance, for an employer to deny an applicant a job because of test results.

Congress could take a number of specific actions to promote or control genetic testing. The options include funding additional research for the development of more reliable and predictive tests and constraining employment actions that may be taken on the basis of genetic testing.

### Technologies and Management Strategies for Hazardous Waste Control

The Environmental Protection Agency's (EPA) regulations do not assure consistent nationwide levels of protection for human health from the potential effects of massive annual accumulations of hazardous waste.



These regulations for hazardous waste management do not effectively detect, prevent, or control the release of toxic substances into the environment, particularly over the longer term. Yet every year 1 metric ton (tonne) of hazardous waste is added to the environment for every individual in the Nation. Moreover, financial restraints and lack of technical resources will make it difficult for States to fulfill their increased responsibility for waste management policy.

Industry and government are spending \$4 billion to \$5 billion annually to manage the approximately 250 million tonnes of regulated hazardous waste generated each year. The annual costs are expected to rise to more than \$12 billion (in 1981 dollars) in 1990. Some States have stricter definitions for hazardous waste than the Federal program, which regulates about 40 million tonnes annually.

As their responsibilities mount, States fear reductions in Federal support and seek a stronger policy role. States sometimes cannot raise even the required minimum 10 percent of initial Superfund cleanup costs—and they must assume all future operation and maintenance costs.

Because there are no specific Federal technical standards for determining the extent of Superfund cleanup, and because there is an incentive under EPA rules to minimize initial costs, remedial actions may be taken that will prove ineffective in the long term. Much of the \$10 billion to \$40 billion which will be needed for cleaning up the 15,000 uncontrolled sites of previous disposals so far identified maybe wasted. When Superfund expires in 1985, many uncontrolled sites still will require attention. It is estimated that only \$1,6 billion will be collected under Superfund by 1985 for cleanup of these sites.

Inappropriate disposal of hazardous waste on land creates the risk of contaminating the environment, including ground water, which could cause adverse health effects and for which cleanup actions are costly and difficult. As much as 80 percent of regulated hazardous waste—some of which may remain hazardous for years or centuries—is disposed of in or on the land.

In addition, millions of tonnes of federally unregulated or exempted hazardous wastes are disposed of in sanitary landfills (meant for ordinary solid wastes) and pose substantial risks. Such exemptions cover all types of hazardous wastes from generators producing less than 1 tonne a month, and other types of waste, such as infectious waste.

Current policies are likely to lead to the creation of still more uncontrolled sites which will require Superfund attention. The unregulated burning of wastes as fuel supplements in home and industrial boilers may result in toxic air pollutants.

Greater use of alternatives to land disposal could increase industry's near-term costs significantly. However, years or decades from now, cleaning up a site and compensating victims might cost 10 to 100 times today's costs of preventing releases of hazardous wastes.

Federal policies may reduce industry's costs of land disposal by shifting some long-term cleanup and monitoring costs to government or to society as a whole. The effect may be to retard the adoption by industry of alternatives such as waste reduction and waste treatment.

A key policy issue is: Can unnecessary risks and future cleanup costs be eliminated by limiting the use of land disposal, and by making alternatives to it more attractive?

The Federal regulatory program for hazardous waste management was established by the 1976 Resource Conservation and Recovery Act (RCRA), primarily concerned with the proper management and permitting of present and future wastes; and the Comprehensive Environmental, Response, Compensation, and Liability Act of 1980 (CERCLA), or Superfund, enacted to deal with the many substantiated and potential hazards posed by old and often abandoned uncontrolled hazardous waste sites. The OTA study supports the need for greater integration by EPA of these two programs.

## Policy Options

OTA has identified four policy options—beyond maintaining the current Federal program—which could form the basis for an immediate and comprehensive approach to protecting human health and the environment from the dangers posed by mismanagement of hazardous waste:

1. Extend Federal controls to more hazardous wastes, and establish national regulatory standards based on specific technical criteria. Also restrict disposal of high-hazard wastes on land and improve procedures for permitting facilities and deregulating wastes.
2. Establish Federal fees on waste generators to support Superfund and to provide an economic incentive to reduce the generation of waste and discourage land disposal of wastes; impose higher fees on generators of high-hazard wastes that are land-disposed; provide assistance for capital investments and research and development for new waste reduction and treatment efforts.
3. Study the costs and advantages of classifying wastes and waste management facilities by degree of hazard to match hazards and risks with levels of regulatory control.
4. Examine the need for greater integration of Federal environmental programs to remove gaps, overlaps, and inconsistencies in the regulation of hazardous waste, and to make better use of technical data and personnel.

## Key Issues and Findings

- Current monitoring practices and EPA requirements under RCRA—especially for land disposal sites—do not lead to a high level of confidence that hazardous releases will be detected and responsive action quickly taken.
- There are numerous technically feasible management options for hazardous wastes, but they are not being used to their full potential. On the whole, Federal programs indirectly provide more incentive for land disposal than for treatment alternatives that permanently remove risks, or for waste reduction—although technologies are available to reduce waste,
- States are being given increasing responsibilities by EPA without matching technical and financial resources. A lack of State funds often prevents Superfund cleanups. A Federal fee system on waste generators could also be used to support State programs. EPA should make better use of State data and expertise.
- Actions that enhance public confidence in the equity, effectiveness, and vigorous enforcement of government programs may reduce public opposition to siting hazardous waste facilities. Opposition may also be reduced by improvement in the dissemination of accurate technical information on issues such as waste treatment alternatives to land disposal,

- EPA's risk assessment procedures for selecting Superfund sites and for developing RCRA regulations have serious technical inadequacies that weaken protection of the public.
- Data inadequacies conceal the scope and complexity of the Nation's hazardous waste problems and impede effective control. There is a need for a long-term, systematic EPA plan for obtaining more complete, reliable data on hazardous waste, facilities, sites, and exposure to and effects from releases of harmful substances.
- Wastes can be classified into at least three categories of hazard and, combined with facility classes, might form a technical base for Federal regulatory policies.

### Industrial and Commercial Cogeneration

Cogeneration—the combined production of electricity and useful thermal energy—could contribute significantly to reduced costs and greater planning flexibility for electric utilities, and to increased energy efficiency in industrial facilities, commercial buildings, and rural/agricultural areas. But cogeneration's potentially large market will be limited by technical, economic, and institutional constraints. These include the difficulties in using lower cost solid fuels; competition with conservation measures; mismatches between the ratio of need for electric and thermal energy and the ratios typically produced by a cogenerating unit. The high cost of investment capital will limit opportunities further.



To achieve potential long-term benefits for electric utilities, cogeneration systems must use abundant solid fuels and produce high ratios of electricity to steam (E/S). But the available high E/S systems can use only oil or natural gas. Therefore, research and development efforts should concentrate on developing high E/S cogenerators that can burn solid fuels cleanly, and on advanced combustion and conversion systems such as fluidized beds and gasifiers.

Utility ownership could increase the amount of production as well as the reliability of cogenerated electricity. However, such ownership is at a competitive disadvantage because the Public Utility Regulatory Policies Act of 1978 (PURPA) limits qualifying projects to those in which a utility owns less than 50 percent equity. If the PURPA limitation were removed, concerns about the possible anticompetitive effects of utility ownership could be alleviated through careful State review of utility ownership schemes.

For the near term, natural gas will be the preferred cogeneration fuel where the marginal or avoided cost rates for utility purchases of cogenerated electricity are based on the price of oil, and where natural

gas is available. In the long term, however, natural gas is likely to be too costly for natural-gas-fired cogeneration to compete economically with electricity generated at central station coal, nuclear, or hydroelectric powerplants.

Cogeneration also must compete for investment capital with conservation, which reduces steam loads—and therefore cogeneration's technical potential—and which often has lower unit capital costs and shorter payback periods than cogeneration.

**Costs.**—The mean capital costs for commercially available cogenerators tend to be 20 to 40 percent lower per kilowatt than central station generating capacity. Also, the relatively small unit size and the shorter construction leadtimes of cogeneration systems mean substantial interest cost savings during construction, and greater flexibility for utilities in adjusting to unexpected changes in electricity demand than the overbuilding of central station capacity.

**Electricity Prices.**—Cogenerators have potentially lower unit costs for generating electricity than central station powerplants. However, these savings will not necessarily mean lower electricity rates if the price paid to the cogenerator—based on avoided costs—is higher than the utility's retail rates. A price that is less than the utility's full avoided cost, with the difference going toward rate reduction, would share any cost savings from cogeneration with the utility's other ratepayers, but would not provide the maximum possible economic incentive to potential cogenerators.

**Interconnection.**—The primary issues are the utilities' legal obligation to connect generators with the grid, the cost of the equipment, the lack of uniform guidelines, and the uncertain potential for utility system stability problems. Most of the technical aspects of interconnection are well understood, but additional research is needed to determine whether many cogenerators not centrally dispatched will cause utility system stability problems. If PURPA is not amended to require interconnection, and if utilities do not interconnect voluntarily, then the cost of obtaining an interconnection order from the Federal Energy Regulatory Commission could be prohibitive for many potential cogenerators.

**Air Quality Impacts.**—Cogeneration will not automatically offer air quality improvement or degradation compared to the separate conversion technologies it will replace. Rather, its impact will vary considerably from case to case. Adverse local air quality impacts from cogeneration are most likely to occur in urban areas,

## **TECHNICAL MEMORANDA**

### U.S. Natural Gas Availability: Conventional Gas Supply Through the Year 2000

Describes and evaluates alternative estimates of the conventional natural gas resource base of the lower 48 States; describes and interprets past and current trends in discovery and production of this gas resource; and projects a credible range of potential (conventional) gas production for the next 15 to 20 years.

### Quality and Relevance of Research and Related Activities at the Gorgas Memorial Laboratory

Examines the Gorgas Memorial Institute of Tropical and Preventive Medicine, Inc., and its research arm, the Gorgas Memorial Laboratory (GML). It focused on: the quality of research and related activities at GML, and the relevance of GML's work to Panama, tropical America, the United States, and the advancement of tropical medicine knowledge.

### Diagnosis Related Groups (DRGs) and the Medicare Program: Implications for Medical Technology

Examines diagnosis related groups, their use in the Medicare payment system, and the potential impact on medical technology use and adoption and on technological change. Also examines the construction of DRGs, and discusses issues in implementation of the system.

### Habitability Issues Related to Love Canal

Based on a report published by the U.S. Environmental Protection Agency (EPA) in May 1982, which was reviewed by a multidisciplinary team of consultants for several Federal agencies, the U.S. Department of Health and Human Services judged the Love Canal, N. Y., to be "as habitable as the control areas with which it was compared." OTA critically reviewed EPA's habitability decision.

### Agricultural Postharvest\* Technology and Marketing Economics Research

Examines the role of the public sector in postharvest technology and marketing economics research. It describes the development of the public sector research effort; measures the cost, benefits, burdens, and quality of the research; presents guidelines for the public and private research participants; and evaluates the public sector management and policy programs.

### Unispace '82: A Context for International Cooperation and Competition

Discusses the issues that arose at this international conference, the positions taken by the United States, and the lessons that can be applied to future international cooperation and future civilian activities in space.

### Automation and the Workplace: Selected Labor, Education, and Training Issues

Discusses concepts for evaluating the impacts of manufacturing automation, and describes the conduct of education, training, and retraining for persons seeking or holding jobs in manufacturing industries.

## BACKGROUND PAPERS

### The Impact of Randomized Clinical Trials on Health Policy and Medical Practice

Provides materials about the history and conduct of randomized clinical trials (RCTS), a family of experiments designed to evaluate the efficacy and safety of medical technologies. It examines the levels of funding over time and the actual and potential use of RCTS in forming health policy. The paper also reviews the ways in which RCTS have affected different areas of medical practice. Finally, it draws together suggestions from the literature and from people knowledgeable in the field for more effective use of RCTS in policymaking and in improving the practice of medicine.

### Water-Related Technologies for Sustainable Agriculture in U.S. Arid/Semiarid Lands: Selected Foreign Experience

Highlights examples of water-related technologies that have been successfully applied in arid and semiarid foreign countries in a manner not being applied in the United States—integrated irrigation management in Pakistan, intensive water use planning in Israel, cooperative plant breeding in Senegal, native game ranching in Kenya, and guayule production in Australia.

### Sustaining Tropical Forest Resources: U.S. and International Institutions

Describes Government, academic, and private sector institutions in the United States that are developing or implementing technologies to sustain tropical forest resources.

### Sustaining Tropical Forest Resources Reforestation of Degraded Lands

Describes the state of the art in use of forestry technologies to restore the productivity of tropical lands that have been degraded because of human activity.

### Technology, Innovation, and Regional Economic Development: Census of State Government Initiatives for High-Technology Industrial Development

Identifies dedicated State government programs for high-technology firms.

### The Information Content of Premanufacture Notices

The study assesses the extent to which current premanufacturing notice submissions either fulfill or compromise efforts to perform the preventive health and environmental protection mandate of the Toxic Substances Control Act.

### Technology and Handicapped People, Background Paper #2: Selected Telecommunication Devices for Hearing-impaired Persons

Examines specific factors that affect the research and development, evaluation, diffusion and marketing, delivery, use, and financing of technologies directly related to disabled persons.

## **CASE STUDIES**

### Medical Technology and Costs of the Medicare Program: Variation in Hospital Length of Stay: Their Relationship to Health Outcomes

Examines evidence on how variations in length of hospital stay affect patient outcomes and the implications of changes in length of stay for quality of care, access, and Medicare program costs.

### Medical Technology and Costs of the Medicare Program Efficacy and Cost Effectiveness of Therapeutic Apheresis

Examines the scientific literature on the safety, efficacy, and costs of the therapeutic apheresis (a costly procedure used to treat an increasing number of medical conditions) with particular emphasis on implications for the Medicare program.

## Medical Technology and Costs of the Medicare Program: The Effectiveness and Costs of Alcoholism Treatment

Examines the evidence of alcoholism treatment in a variety of settings: inpatient care, outpatient hospital care, community-based treatment centers, etc., as well as the effectiveness of various methods of treatment (chemical aversion therapy, group therapy, and Alcoholics Anonymous).

### **WORKSHOP PROCEEDINGS**

#### Plants: The Potentials for Extracting Protein, Medicines, and Other Useful Chemicals

OTA conducted a workshop designed to identify technological opportunities and constraints for commercially developing protein, pharmaceuticals, chemicals, and other associated extracts from plants generally and tobacco specifically. OTA examined the potential impacts that such technologies might have on improving nutrition and food quality by increasing the availability of high-quality protein. Issues addressed include: quality of current data bases on chemistry of plant extracts; status of bioassay technologies; and social, economic, environmental, and political impacts that such new technologies might generate.

# Section III. -Work in Progress

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More than 25 projects were in progress during the period January 1–September 30, 1983, including 11 new studies.

This section lists the titles of projects, as of September 30, 1983, by OTA's three divisions. For a fuller description of these projects, please refer to the current "Assessment Activities," OTA-PC-105. This booklet may be obtained from OTA by calling OTA's Publishing Office (202) 224-8996.

Energy, Materials, and International Security Division  
Effects of technology on the American economic transition

***Energy and Materials Program***

Strategic responses to an extended oil disruption  
Potential U.S. natural gas availability  
Nuclear power in an age of uncertainty

***Industry, Technology, and Employment Program***

Wood in the U.S. economy, Vol. II—Technical Report  
Technologies to reduce U.S. materials import vulnerability  
Technology and structural unemployment: retraining adult displaced workers  
Cleanup of uncontrolled hazardous waste sites under Superfund

***International Security and Commerce Program***

International competitiveness in electronics  
Strategic command, control, communications, and intelligence systems  
International cooperation and competitiveness in civilian space activities  
Commercialization of land remote sensing (tech. memo)  
Technology transfer to the Middle East

**Health and Life Sciences Division**

***Food and Renewable Resources Program***

Water-related technologies for sustainable agriculture in  
U.S. arid/semiarid lands  
Technologies to sustain tropical forest resources  
Technology, public policy, and the changing structure of American agriculture

***Health Program***

Evaluation of Agent Orange protocol (mandated study)  
Health and safety control technologies in the workplace  
Medical technology and costs of the Medicare program  
Federal policies and the medical devices industry  
Status of biomedical research and related technology for tropical diseases  
Blood policy and technology

***Biological Applications Program***

Commercial biotechnology: an international analysis  
Technology and aging in America  
Alternatives to animal use in testing and experimentation

**Science, Information, and Natural Resources Division**

Communication and Information Technologies Program

Patents and the commercialization of new technology

Computerized manufacturing automation: employment, education,  
and the workplace

Effects of information technology on financial services systems

Information technology research and development

Information and communication technologies and the office

*Oceans and Environment Program*

Managing commercial high-level radioactive waste

Acid rain and transported air pollutants: implications for public policy

Wetlands: their use and regulation

Technologies to measure, monitor, and mitigate ground water contamination

**Science, Transportation, and Innovation Program**

Airport system development

Civilian space stations

Technology, innovation, and regional economic development

U.S. passenger rail technologies

## Section IV.-Organization and Operations

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Created by the Technology Assessment Act of 1972 (86 Stat. 797), OTA is a part of and is responsible to the legislative branch of the Federal Government. OTA received funding in November 1973 and began operations as the second session of the 93d Congress convened in January 1974.

The act provides for a bipartisan Congressional Board, a Director, and such other employees and consultants as may be necessary to conduct the Office's work.

The Congressional Board is made up of six Senators, appointed by the President pro tempore of the Senate, and six Representatives, appointed by the Speaker of the House, evenly divided by party. In 1983, Cong. Morris Udall (D-Arizona) and Sen. Ted Stevens (R-Alaska) served as the Chairman and Vice Chairman, respectively, of the Board. The two posts alternate between the Senate and House with each Congress. The Board members from each House select their respective officer.

The Congressional Board sets the policies of the Office and is the sole and exclusive body governing OTA. The Board appoints the Director, who is OTA's chief executive officer and a nonvoting member of the Board.

The act also calls for a Technology Assessment Advisory Council comprised of 10 public members eminent in scientific, technological, and educational fields, the Comptroller General of the United States, and the Director of the Congressional Research Service of the Library of Congress. The Advisory Council advises the Board and the Director on such matters as the balance, comprehensiveness, and quality of OTA's work, and OTA's nongovernmental resources.

In providing assistance to Congress, OTA is to: identify existing or probable impacts of technology or technological programs; where possible, ascertain cause-and-effect relationships of the applications of technology; identify alternative technological methods of implementing specific actions; identify alternative programs for achieving requisite goals; estimate and compare the impacts of alternative methods and programs; present findings of completed analyses to the appropriate legislative authorities; identify areas where additional research or data collection is required to provide support for assessments; and undertake such additional associated activities as may be necessary.

## INITIATION, PROCESSING, AND FLOW OF ASSESSMENTS

OTA's primary function is to provide congressional committees with assessments or studies that identify the range of probable consequences, social as well as physical, of policy alternatives affecting the uses of technology. Requests for OTA assessments may be initiated by:

- . the chairman of any standing, special, select, or joint committee of Congress, acting alone, at the request of the ranking minority member, or at the request of a majority of the committee members;
- the OTA Board; or
- the OTA Director, in consultation with the Board.

The authorization of specific assessment projects and the allocation of funds for their performance is the responsibility of the OTA Board.

## ORGANIZATIONAL STRUCTURE

The Office is organized into three operating divisions, each headed by an assistant director. The three divisions are Energy, Materials, and International Security; Health and Life Sciences; and Science, Information, and Natural Resources. They encompass assessments grouped in the areas of energy and materials; international security and commerce; industry, technology, and employment; biological applications; food and renewable resources; health; communication and information technologies; oceans and environment; and science, transportation, and innovation. See chart detailing OTA's organizational structure.

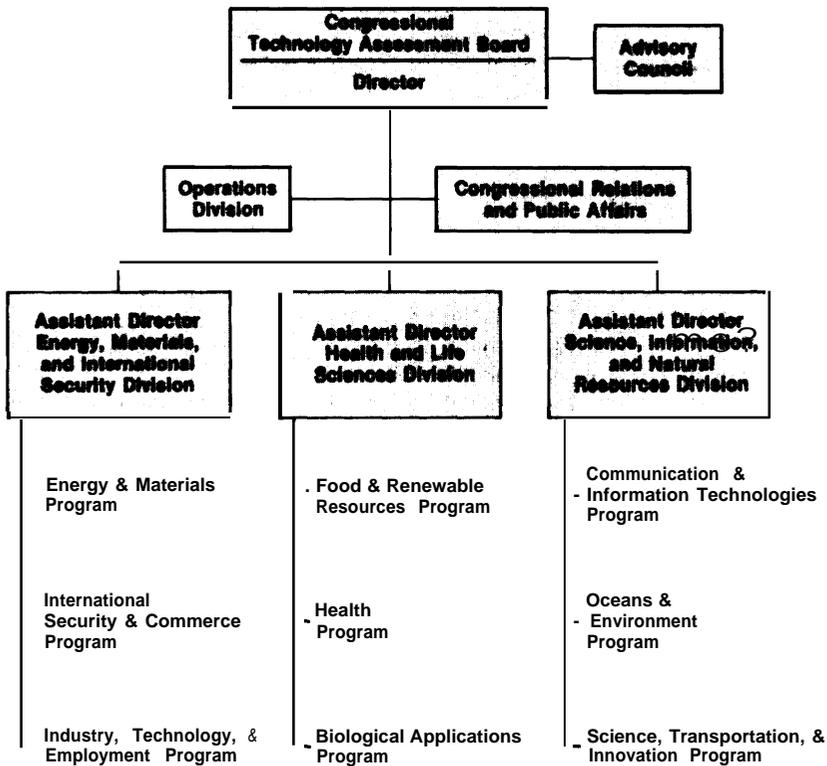
Staff professionals represent a wide range of disciplines and backgrounds, including the physical, biological, and environmental sciences, engineering, social sciences, law, and public administration. Professionals from executive branch agencies, detailed to OTA on a temporary basis, and participants in several congressional fellowship programs also contribute to the work of the Office.

### **Public Involvement**

The private sector is heavily involved in OTA studies as a source of expertise and perspectives while an assessment is in progress. Contractors and consultants are drawn from industry, universities, private research organizations, and public interest groups.

OTA works to ensure that the views of the public are fairly reflected in its assessments. OTA involves the public in many ways—through advisory panels, workshops, surveys, and formal and informal public meetings. These interactions provide citizens with access to information and help OTA identify contrasts between the perspectives of technically trained and lay citizens.

## OTA ORGANIZATION CHART



## OPERATIONS

### Publishing Activities

During the period January 1 through September 30, 1983, OTA delivered 36 published documents to Congress. These included: 11 assessment reports, 7 technical memoranda, 7 background papers, 3 health technology case studies, 1 workshop proceeding, and 7 administrative reports.

### **Requests for OTA Publications**

During the period January 1 through September 30, 1983, OTA's Publishing Office received an average of 120 telephone and mail requests

per day. Additional requests were processed by OTA program offices and the OTA Congressional Relations and Public Affairs Office and are not included in the above statistics.

### **Private Sector Reprinting of OTA Publications**

To date, 41 OTA publications have been reprinted (in whole or in part) by commercial publishers or private organizations. Among the reports reprinted during the 9-month period were the following:

- The International Council for Computers in Education, a nonprofit organization based in Eugene, Oreg., requested permission to reprint the *Summary: Information Technology and Its Impact on American Education* to be used in their international magazine THE COMPUTING TEACHER.
- Springer Publishing Co. (New York) reprinted the publication *Technology and Handicapped People*.
- The University of Phoenix requested permission to reprint the *Summary: The Implications of Cost-Effectiveness Analysis of a Medical Technology*. The document was used as learning material for a course on professional communications at the university.
- Harwood Academic Publishers (New York) requested permission to reprint the *Summary: Managing Commercial High-Level Radioactive Waste*.
- Pergamon International Information Corp. (Virginia) requested permission to reprint *MX Missile Basing* and *The Role of Genetic Testing in the Prevention of Occupational Disease*.
- Educational Research Service, Inc. (Virginia) reprinted the *Summary: Information Technology and Its Impact on American Education* in their periodical SCHOOL RESEARCH FORUM.
- ERIC Clearinghouse on Information Resources (funded by the National Institute of Education) requested permission to reprint the *Summary: Information Technology and Its Impact on American Education*.
- Nikkan Kogyo Shinbun-sha, a Japanese-based publishing company, requested permission to reprint in a Japanese version extractions of OTA's publication *Computer-Based National Information Systems*.

**Private Sector Sales**

The following is a partial listing of copies sold of reprinted OTA publications during calendar year 1983,

	Number of copies sold
<b>Westview Press</b>	
• Genetic Technologies: A New Frontier . . . . .	3,905
• Technology and Soviet Energy Availability. . . . .	395
c Cancer Risks: Assessing and Reducing the Dangers in Our Society . . . . .	887
• Energy From Biological Processes: Technical and Policy Options . . . . .	304
<b>McGraw Hill Publishing Co.</b>	
c World Petroleum Availability: 1980-2000. . . . .	163
• Enhanced Oil Recovery Potential in the U.S. . . . .	75
• Energy From Biological Processes: Technical and Environmental Analyses. . . . .	50
• An Assessment of Oil Shale Technologies . . . . .	191
<b>Allanheld, Osmun &amp; Co.</b>	
• Technology and East-West Trade . . . . .	159
• Residential Energy Conservation . . . . .	115
Q The Effects of Nuclear War. . . . .	7,126
<b>Cheshire Books</b>	
c The Day After Midnight: The Effects of Nuclear War . .	12,000
	25,270

**Sales of Publications**

Government Printing Office.—Sales of OTA publications by the Superintendent of Documents continue to increase. In fiscal year 1983 the number of titles put on sale was 132 and GPO sold 33,125 copies.

National Technical Information Service. -NTIS sells scientific reports and papers that are, generally, not in great demand but are useful for scientific researchers. NTIS is the outlet for OTA's assessment working papers and contractor reports, plus those reports that are out of print by GPO. NTIS has sold 30,218 copies of OTA reports through September 1983.

Organizational Roster of OTA Staff as of September 1 9 8 3

**OFFICE OF THE DIRECTOR**

John H. Gibbons, *Director*  
Sue Bachtel, Executive *Assistant*  
Holly Gwin, *Secretary*  
Barbara O'Bryan, *Secretary*

**Congressional Relations and  
Public Affairs Office**

Edwin K. Hall, *Director of CRPA*  
Linda Long, *Secretary*  
Jean McDonald, *Press Officer*  
Annette Taylor, *Assistant to the  
Press Officer*  
Eugenia Ufholz, *TAB/TAAC  
Relations*

**Medical Services**

Rose McNair, *Resident Nurse*

**ENERGY, MATERIALS, AND  
INTERNATIONAL SECURITY  
DIVISION**

Lionel S. Johns, *Assistant Director*  
Beth Alexiou, *Division Assistant*

**Technology and Economic  
Transition**

Henry Kelly, *Project Director*  
Debra Harris, *Administrative  
Assistant*

**Energy and Materials Program**

Richard Rowberg, *Program Manager*  
Thomas Bull, *Senior Analyst*  
Alan Crane, *Project Director*  
Nancy Naismith, *Project Director*  
Steve Plotkin, *Project Director*  
Mary Procter, *Senior Analyst*  
Pidge Quigg, *Administrative  
Assistant*  
Jenifer Robison, *Project Director*  
James Ryan, *Senior Analyst*  
Edna Saunders, *Secretary*  
Joanne Seder, *Analyst*  
Richard Thoreson, *Senior Analyst*

**International Security and  
Commerce Program**

Peter Sharfman, *Program Manager*  
Douglas Adkins, *Senior Analyst*  
John Alic, *Project Director*  
Eric Bazques, *Analyst*  
Bruce Blair, *Project Director*  
Richard Dalbello, *Analyst*  
Martha Harris, *Project Director*  
Gordon Law, *Senior Analyst*  
Nancy Lubin, *Analyst*  
Dorothy Richroath, *Editorial  
Assistant*  
Jacqueline Robinson, *Administrative  
Assistant*  
Ray Williamson, *Project Director*

**Industry, Technology, and  
Employment Program**

Audrey Buyn, *Program Manager*  
Lance Antrim, *Project Director*  
Patricia Canavan, *Secretary*  
Carol Drohan, *Administrative  
Assistant*  
Wendell Fletcher, *Senior Analyst*  
Julie Gorte, *Project Director*  
Joel Hirschhorn, *Senior Associate*  
Karen Larsen, *Senior Analyst*  
Suellen Pirages, *Senior Analyst*

**HEALTH AND LIFE SCIENCES  
DIVISION**

H. David Banta, *Assistant Director*  
Ogechee Koffler, *Division Assistant*

**Biological Applications Program**

Gretchen Kolsrud, *Program Manager*  
Susan Clymer, *Research Analyst*  
Robert Cook-Deegan, *Analyst*  
David McCallum, *Senior Analyst*  
Nanette Newell, *Project Director*  
Elma Rubright, *Administrative  
Assistant*  
Louise Williams, *Senior Analyst*

### Food and Renewable Resources Program

Walter E. Parham, *Program Manager*  
 Phyllis Balan, *Administrative Assistant*  
 Nellie Hammond, *Secretary*  
 Alison Hess, *Research Analyst*  
 Barbara Lausche, *Project Director*  
 Michael Phillips, *Project Director*  
 Bruce Ross, *Project Director*  
 Carolyn Swarm, *Secretary*  
 Phyllis Windle, *Analyst*

### Health Program

Clyde Behney, *Program Manager*  
 Anne Kesselman Burns, *Project Director*  
 Virginia Cwalina, *Administrative Assistant*  
 Hellen Gelband, *Project Director*  
 Michael Gough, *Senior Associate*  
 Jack Langenbrunner, *Analyst*  
 Brenda Miller, *Word Processor/P.C. Specialist*  
 Jennifer Nelson, *Secretary*  
 Gloria Ruby, *Analyst*  
 Jane Sisk, *Project Director*

### SCIENCE, INFORMATION, AND NATURAL RESOURCES DIVISION

John Andelin, *Assistant Director*  
 Doris Smith, *Division Assistant*

### Communication and Information Technologies Program

Rick Weingarten, *Program Manager*  
 Lauren Ackerman, *Research Assistant*  
 Prudence Adler, *Analyst*  
 Marjory Blumenthal, *Project Director*  
 Beth Brown, *Project Director*  
 Elizabeth Emanuel, *Administrative Assistant*  
 Linda Garcia, *Analyst*  
 Shirley Gayheart, *Secretary*  
 Zalman Shaven, *Project Director*  
 Jean Smith, *Analyst*

Donna Valtri, *Project Director*  
 Marsha Williams, *Secretary*  
 Fred Wood, *Project Director*

### Oceans and Environment Program

Robert Niblock, *Program Manager*  
 Chris Ansell, *Research Analyst*  
 William Barnard, *Project Director*  
 Kathleen Beil, *Administrative Assistant*  
 Thomas Cotton, *Project Director*  
 James Curlin, *Senior Associate*  
 Robert Friedman, *Project Director*  
 Joan Ham, *Analyst*  
 Peter Johnson, *Project Director*  
 Daniel Kevin, *Analyst*  
 Jacqueline Mulder, *Secretary*  
 Kay Senn, *Secretary*  
 Paula Stone, *Senior Analyst*

### Science, Transportation, and Innovation Program

William Mills, *Program Manager*  
 Phil Chandler, *Analyst*  
 Marsha Fenn, *Administrative Assistant*  
 Karen Gamble, *Analyst*  
 Bryan Harrison, *Word Processor Specialist*  
 Larry L. Jenney, *Project Director*  
 Paul Phelps, *Project Director*  
 Paula Walden, *Research Analyst*

### OPERATIONS DIVISION

Bart McGarry, *Operations Manager*  
 Ann Woodbridge, *Management Analyst*

### Administrative Services

Thomas P. McGurn, *Administrative Officer*  
 Susan Carhart, *Director of Contracts and General Counsel*  
 Alexandra Ferguson, *Contract Specialist*  
 Edith Franzen, *Conference Center Coordinator*  
 Lisa Raines, *Contract Specialist/Attorney*

**Budget and Financial Operations**

Jane Easton, Budget and **Finance Officer**  
Joan Camino, Budget **and Finance Assistant**  
Carolyn Harris, Budget **Specialist and Clerical Assistant**  
Loretta O'Brien, **Data Base Administrator**

**Information Center**

Martha Dexter, **Manager, Information Services**  
Suzanne Boisclair, **Information Technician**  
Vermille Davis, **Information Technician**  
Diane Rafferty, **Asst. Manager, Information Services**

**Personnel Office**

William Norris, **Personnel Officer**  
Lola Crow, **Personnel Specialist**  
Denise DeSanctis, **Personnel Assistant**

**Publishing Office**

John C. Holmes, **Publishing Officer**  
John Bergling, **Graphic Designer/ Illustrator**  
Kathie S. Boss, **Technical Specialist**  
Debra Datcher, **Administrative Assistant**  
Joe Henson, **Deputy Publishing Officer**

# Appendixes

# Appendix A

## List of Advisors and Panel Members

### ENERGY, MATERIALS, AND INTERNATIONAL SECURITY DIVISION

#### Energy and Materials Program

##### **Industrial and Commercial Cogeneration Advisory Panel**

James J. Stukel, **Chairman**  
Director  
Public Policy Program  
College of Engineering  
University of Illinois  
Roger Blobaum  
Roger Blobaum & Associates  
William H. Corkran  
General Manager  
The Easton Utilities Commission  
Claire T. Dedrick\*  
Air Resources Board  
State of California  
Steven Ferrey  
Energy Counsel  
National Consumer Law Center, Inc.  
Todd La Porte  
Institute of Government Studies  
University of California  
Evelyn Murphy  
c/o Evelyn Murphy Committee  
Theodore J. Nagel  
Senior Executive Vice President  
American Electric Power Service Corp.  
Thomas W. Reddoch  
Associate Professor of Electrical  
Engineering  
University of Tennessee  
Bertram Schwartz  
Senior Vice President  
Consolidated Edison Co. of New York  
Harry M. Trebing  
Director, Institute of Public Utilities  
Michigan State University  
Thomas F. Widmer  
Vice President, Engineering  
Thermo Electron Corp.  
Robert H. Williams  
Center for Environmental Studies  
Princeton University

##### **Industrial Energy Use Advisory Panel**

Herbert Fufeld, **Chairman**  
Director  
Center for Science and Technology Policy  
New York University  
E. Milton Bevington  
President  
Servidyne, Inc.  
Harold Bogart  
Consultant  
Carlton Burt  
Equitable Life Assurance Society  
William U. Chandler  
Senior Associate  
Worldwatch Institute  
William Cunningham  
Research Department  
AFL-CIO  
Gordon Geiger  
Director of Technology  
North Star Steel  
J. M. Leathers  
Vice President  
Dow Chemical Co.  
Harvey N. Morris  
President  
Harvey Morris Associates  
John Myers  
Professor  
Department of Economics  
Southern Illinois University  
Henry Page  
Manager  
Federal Government Relations  
Sun Refining & Marketing Co.  
Rudolph G. Penner  
Resident Scholar  
American Enterprise Institute  
Richard Pool  
Associate Director of Energy  
Kaiser Aluminum & Chemicals Corp.

\*Ex-officio member from the OTA Technology Assessment Advisory Council

Rosalie Wolf  
Treasurer  
International Paper Co.

**Nuclear Power in an Age of Uncertainty  
Advisory Panel**

George Rathjens, **Chairman**  
Professor  
Center for International Studies  
Harvard University  
James K. Asseltine  
Commissioner  
U.S. Nuclear Regulatory Commission  
Jan Beyea  
Senior Scientist  
National Audubon Society  
Richard Dean  
Vice President  
General Atomic Corp.  
Thomas Dillon  
Principal Deputy Assistant Secretary  
for Nuclear Energy  
U.S. Department of Energy  
George Dilworth  
Assistant General Manager  
Tennessee Valley Authority  
Linn Draper  
Vice President  
Gulf States Utilities  
Victor Gilinsky  
Commissioner  
U.S. Nuclear Regulatory Commission  
Fritz Heimann, Esq.  
Counsel  
General Electric Co.  
Leonard Hyman  
Vice President  
Merrill Lynch, Pierce, Fenner & Smith  
Robert Koger  
Chairman  
North Carolina Utilities Commission  
Myron Kratzer  
Vice President  
International Energy Associates, Ltd.  
Byron Lee  
Senior Vice President  
Commonwealth Edison  
Jessica Tuchman Mathews  
Vice President  
World Resources Institute  
Arthur Porter

David Rose  
Professor of Nuclear Physics  
Massachusetts Institute of Technology  
Lee Schipper  
Staff Scientist  
Lawrence Berkeley Labs  
James Sweeney  
Director  
Energy Modeling Forum  
Stanford University  
Eric Van Loon  
Executive Director  
Union of Concerned Scientists

**Potential U.S. Natural Gas  
Availability Advisory Panel**

William Vogely, Chairman  
Department of Mineral Economics  
Pennsylvania State University  
Marc Cooper  
Research Consultant  
Consumer Energy Council of America  
Lloyd Elkins  
Petroleum Consultant  
Ed Erickson  
Professor of Economics and Business  
Department of Economics and Business  
North Carolina State University  
Daniel Grubb  
Vice President, Gas Supply  
Natural Gas Pipeline Co.  
John Haun  
Professor of Geology  
Colorado School of Mines  
Donald Kash  
Director  
Science and Public Policy Program  
University of Oklahoma  
Harry C. Kent  
Director  
Potential Gas Agency  
Colorado School of Mines  
Lawrence Moss  
Independent Consultant  
Roy E. Roadifer  
Chief Geologist  
Mobil Oil Corp.  
Benjamin Schlesinger  
Principal  
Energy and Environment Division  
Booz, Allen & Hamilton, Inc.

John C. Sharer  
 Assistant Director  
 Unconventional Natural Gas  
 Gas Research Institute  
 John Weyant  
 Deputy Director  
 Energy Modeling Forum  
 Stanford University  
*Ex. Officio:*  
 John Schanz  
 Senior Specialist in Energy Research  
 Policy  
 Congressional Research Service  
 Library of Congress

**Strategic Responses to an Extended Oil  
 Disruption Advisory Panel**

Rodney W. Nichols, *Chairman*  
 Executive Vice President  
 The Rockefeller University  
 Al Alm  
 Deputy Director  
 U.S. Environmental Protection Agency  
 Richard E. Archer  
 Assistant Professor  
 Design Program  
 Southern Illinois University  
 Jan Brinch  
 Independent Consultant  
 Energy Analysis and Planning  
 Mueller Associates  
 Nazli Choucri  
 Professor  
 Department of Political Science  
 Massachusetts Institute of Technology  
 Ernest L. Daman  
 Senior Vice President  
 Foster Wheeler Corp.  
 Michael Del Grande  
 Manager, Energy and Environment  
 American Telephone & Telegraph Co.  
 Bob Hemphill, Jr.  
 Associate Director  
 Applied Energy Services, Inc.

Brad Holloman  
 New York State Energy Research  
 Development Authority  
 Robert L. Judd  
 Director  
 Governor's Office of Appropriate  
 Technology  
 State of California  
 Terry Lash  
 Deputy Director  
 Department of Nuclear Safety  
 State of Illinois  
 Ray Maliszewski  
 Assistant Vice President  
 Bulk Transmission Planning  
 American Electric Power Service Corp.  
 Hal Miller, Jr.  
 Vice President for Planning and Rates  
 Transco Energy Co.  
 Roberta Nichols  
 Vice President  
 Ford Motor Co.  
 Christopher Palmer  
 Director, Energy and Environment  
 National Audubon Society  
 Richard A. Rettig  
 Professor  
 Department of Social Sciences  
 Illinois Institute of Technology  
 Walter S. Salant  
 Senior Economist (retired)  
 The Brookings Institution  
 Joanna Underwood  
 Executive Director  
 INFORM  
 Fred Wilson, P.E.  
 Assistant to the Senior Vice President  
 Texaco, Inc.  
 Herb H. Woodson  
 Director, Center for Energy Studies  
 University of Texas

## International Security and Common. Program

**International Competitiveness in  
Electronics Advisory Panel**

Katherine D. Seelman, **Chairperson**  
Consultant  
New York, N.Y.

Jack C. Acton  
Executive Vice President  
Kennemetal Inc.

Steve Beckman  
Research Analyst  
Industrial Union Department  
AFL-CIO

A. Terry Brix  
President  
Temar Ltd.  
Seattle, Wash.

Richard P. Case  
Lab Director  
IBM Corp.

Ruth Schwartz Cowan  
Associate Professor of History  
SUNY-Stony Brook

William Kay Dairies  
Executive Vice President  
American Retail Federation

Leonard Dietch  
Vice President, Product Development  
Zenith Radio Corp.

Isaiah Frank  
William Clayton Professor of  
International Economics  
The Johns Hopkins University

F. Willard Griffith, II  
President and Chief Executive Officer  
GC International

Robert R. Johnson  
Senior Vice President  
Engineering and Information Systems  
Energy Conversion Devices, Inc.

Richard A. Kraft  
President  
Matsushita Industrial Co.

E. Floyd Kvamme  
Vice President and General Manager  
National Advanced Systems

Geraldine McArdle  
McArdle Associates  
Reston, Va.

Charles Phipps  
Vice President  
Corporate Development  
Texas Instruments, Inc.

K. M. Poole  
Head, Integrated Circuit Planning  
Department  
Bell Telephone Laboratories  
Benjamin M. Rosen  
Partner  
Sevin Rosen Management Co.

Kate Wilhelm  
Author

Robert B. Wood  
Director of Research  
International Brotherhood of  
Electrical Workers

Michael Y. Yoshino  
Professor of Business Administration  
Harvard Business School

**Command, Control, Communications,  
and Intelligence Systems (C<sup>2</sup>I)  
Advisory Panel**

John S. Toll, **Chairman**  
President  
University of Maryland

Lew Allen, Jr.  
General, USAF (Retired)  
Director  
Jet Propulsion Laboratory

Al Babbitt  
Vice President and General Manager  
Command Systems  
IBM Corp.

Neil Birch  
President  
Birch Associates, Inc.

Gerald Dinneen  
Vice President  
Science and Technology  
Honeywell

Robert R. Everett  
President  
The Mitre Corp.

Edward Goldstein  
Assistant Vice President  
Financial Management  
AT&T Co.

Arnold Horelick  
The Rand Corp.

William Kaufman  
Professor of Political Science  
Massachusetts Institute of Technology

Glenn Kent  
Lt. General, USAF (Retired)  
The Rand Corp.  
Isaac C. Kidd, Jr.  
Admiral, USN (Retired)  
Falls Church, Va.  
Kostas J. Liopiros  
Consultant  
Annandale, Va.  
William Perry  
Managing Partner  
Hambrecht & Quist  
Jack Ruina  
Professor of Electrical Engineering  
Massachusetts Institute of Technology  
Brent Scowcroft  
Lt. General, USAF (Retired)  
Bethesda, Md.  
Walter Slocombe, Esq.  
Kaplan & Drysdale  
Leon Sloss  
President  
Leon Sloss Associates  
John D. Steinbruner  
Director  
Foreign Policy Studies Program  
The Brookings Institution  
John Stenbit  
Vice President  
Requirements & Group Development  
TRW Defense Systems Group  
Jerome B. Wiesner  
President Emeritus  
Massachusetts Institute of Technology

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Competition in Space Advisory Panel**

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Center for Science and  
International Affairs  
Harvard University  
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Vice President  
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Vice President, Research  
General Motors Research Laboratories  
Eilene Galloway  
Consultant  
Washington, D.C.

Ivan Getting  
Consultant  
Los Angeles, Calif.  
Mireille Gerard  
Administrator, Corporate and  
Public Programs  
American Institute of Aeronautics and  
Astronautics  
Benjamin Huberman  
Vice President  
Consultants International Group Inc.  
Walter McDougall  
Associate Professor of History  
Woodrow Wilson Space and  
Science Division  
National Air and Space Museum  
Smithsonian Institution  
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Vice President  
Bell Laboratories  
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COMSAT World Systems Division  
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Brigadier General (Retired)  
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University of Michigan  
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Vice President  
CitiCorp Industrial Credit, Inc.  
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President  
Fairchild Space & Electronics Co.  
Laurel Wilkening  
Director  
Lunar and Planetary Laboratory  
University of Arizona  
Elizabeth Young  
President  
Public Service Satellite Consortium

**Workshop: International Trading  
Regime for Space-Related Equipment  
and Services**

Edwin Barber  
International Affairs Officer  
Office of Trade Finance  
U.S. Department of the Treasury  
Willard Demory  
Assistant Bureau Chief  
International Common Carrier Bureau  
U.S. Federal Communications  
Commission  
Ava Feiner  
Director of Trade Policy  
International Division  
Chamber of Commerce of the USA  
Sanford Rederer  
Consultant  
Kurth & Co.  
Richard Self  
Deputy Assistant  
U.S. Trade Representative for Services  
John Suomela  
Director, Office of Economics  
U.S. International Trade Commission

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Advisory Panel**

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Fouad Ajami  
Professor  
School of Advanced International Studies  
The Johns Hopkins University  
J. S. Dana  
Consultant and Former President  
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Farouk El-Baz  
Vice President  
International Development  
ITEK Optical Systems  
Ragaei El-Mallakh  
Professor  
International Research Center for Energy  
and Development  
University of Colorado  
James A. Finneran  
Vice President  
Worldwide Process Operations  
M. W. Kellogg Co.  
Eric Glasscott  
Director of Marketing  
Continental Page Consultants

Carl N. Hodges\*  
Director  
Environmental Research Laboratory  
University of Arizona  
Gary Hufbauer  
Consultant  
Institute for International Economics  
J. C. Hurewitz  
Professor  
Director of Middle East Institute  
Columbia University  
Charles Issawi  
Professor  
Near East Studies  
Princeton University  
T. R. McLinden  
Manager, Special Projects  
Transworld Airlines  
Joseph Nye  
Professor  
Kennedy School of Government  
Harvard University  
Anthony Pascal  
Consultant  
The Rand Corp.  
William H. Pickering  
President  
Pickering Associates Corp.  
William B. Quandt  
Senior Feller  
The Brookings Institution  
Joseph J. Sisco  
Consultant  
Sisco Associates  
Joseph S. Szyliowicz  
Professor  
Graduate School of International Studies  
University of Denver  
Ted Taylor  
Consultant  
Appropriate Solar Technology Institute  
William L. Weirich  
Medical Advisor  
Hospital Corp. of America  
Sam Wells  
Director  
International Security Studies Program  
The Wilson Center  
Smithsonian Institution

\* Ex-officio member from the OTA Technology Assessment Advisory Council.

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Strategies for Hazardous Waste Control  
Advisory Panel**

Sam Gusman, Chairman  
Senior Associate  
Conservation Foundation

David Boltz  
Director, Solid Waste Control  
Environmental Control Division  
Bethlehem Steel Corp.

Frank Collins\*  
Physical Chemist and Consultant  
Oil, Chemical & Atomic Workers  
International Union

Stacy Daniels  
Research Specialist  
Environmental Sciences Research  
Laboratory  
Dow Chemical, U.S.A.

Jeffrey Diver  
Senior Environmental Counsel  
Waste Management, Inc.

Philippa Foot  
Professor  
Department of Philosophy  
University of California, Los Angeles

Thomas H. Goodgame  
Director of Corporate Environmental  
Control  
Research and Engineering Center  
Whirlpool Corp.

Diane Graves  
Conservation Chairman  
N.J. Chapter of the Sierra Club

Rolf Hartung  
Professor of Toxicology  
School of Public Health  
University of Michigan

Robert L. Judd  
Director  
Office of Appropriate Technology  
State of California

Kenneth S. Kamlet  
Director, Pollution and Toxic  
Substances Division  
National Wildlife Federation

Terry Lash  
Deputy Director  
Illinois Department of Nuclear Safety

David Lennett  
Attorney  
Environmental Defense Fund

Joe J. Mayhew  
Manager of Solid Waste Programs  
Chemical Manufacturers Association

Randy Mott  
Attorney  
Hazardous Waste Treatment Council

John M. Mulvey  
Director of Engineering Management  
Systems  
Princeton University  
School of Engineering/Applied Science

Delbert Rector  
Chief, Environmental Services Division  
Michigan Department of Natural  
Resources

Gerard Addison Rohlich  
LBJ School of Public Affairs  
University of Texas at Austin

Reva Rubenstein  
Manager of the Institute of Chemical  
Waste Management  
National Solid Wastes Management  
Association

Bernard Simonsen  
Vice President  
IT Corp.

George M. Woodwell  
Director of the Ecosystems Center  
Marine Biological Laboratory  
Woods Hole, Mass.

**Wood Use: U.S. Competitiveness and  
Technology Advisory Panel**

Larry Tombaugh, *Chairman*  
Dean  
Department of Forestry  
Michigan State University

Darius Adams  
Department of Forest Management  
Oregon State University

Clark Binkley  
Assistant Professor  
School of Forestry and Environmental  
Studies  
Yale University

Carroll Brock  
Vice President  
M. J. Brock & Sons  
Sacramento, Calif.

M. Rupert Cutler  
Senior Vice President  
The Audubon Society

\* Resigned Sept. 30, 1982

Judge Ormond S. Danford  
Private Forest Land Owner

Robert D. Day  
Executive Director  
Renewable Natural Resources Foundation

Brock Evans  
Vice President for National Issues  
The Audubon Society

Kirk Ewart  
Director  
Governmental and Environmental Affairs  
Department  
Boise Cascade Corp.

R. Rodney Foil  
Director  
Mississippi Agricultural and Forestry  
Experiment Station

Carter Kiethley  
Executive Director  
Wood Heating Alliance

Peter Kirby, Esq.  
Counsel  
The Wilderness Society

Dudley Kircher  
Vice President, Corporate  
Communications  
Mead Corp.

Bruce Lippke  
Manager of Marketing and Economic  
Research  
Weyerhaeuser Corp.

Norma Pace  
Senior Vice President  
American Paper Institute

Carl Reidel  
Director  
The Environmental Program  
University of Vermont

John Ward  
Director, International Trade  
National Forest Products Association

Henry Webster  
Director  
Forest Management Division  
Michigan Department of Natural  
Resources

John Zivnuska  
Department of Forestry and Resource  
Management  
University of California

**Technologies to Reduce U.S. Materials  
Import Vulnerability Advisory Panel**

Arden Bement  
Vice President, Technical Resources  
TRW, Inc.

Edwin Clark  
Senior Associate  
Conservation Foundation

Tom Clough ,  
Director of Technology  
Atlantic Richfield Co.

Robert G. Dunn  
Senior Vice President  
AMAX Metals Group

Robert Ellsworth  
President  
Robert Ellsworth & Co.

Michael E. Fisher  
Professor of Chemistry, Physics  
and Mathematics  
Cornell University

Herbert H. Kellogg  
Professor of Extractive Metallurgy  
Columbia University

Hans Landsberg  
Senior Fellow  
Resources for the Future

Jessica Mathews  
Vice President  
World Resources Institute

William A. Owczarski  
Manager, Technical Planning  
Pratt & Whitney Aircraft Group

Walter S. Owen  
Professor of Materials Science  
Massachusetts Institute of Technology

R. Byron Pipes  
Director, Center for Composite Materials  
University of Delaware

R. K. Pitler  
Senior Vice President and  
Technical Director  
Allegheny-Ludlum Research Center

W. Readey  
Head, Department of Ceramic  
Engineering  
The Ohio State University

James K. Sebenius  
 Assistant Professor  
 John F. Kennedy School of Government  
 Harvard University

Albert Sobey  
 Director, Energy Economics  
 General Motors Corp.

Alex Zucker  
 Associate Director  
 Oak Ridge National Laboratory

**Cleanup of Uncontrolled Hazardous  
 Waste Sites Under Superfund  
 Advisory Panel**

Martin Alexander  
 Department of Agronomy  
 Cornell University

K. W. Brown  
 Professor of Soil and Crop Sciences  
 Texas A&M University

Morton Corn  
 Professor and Division Director  
 Department of Environmental Health  
 Sciences

School of Hygiene and Public Health  
 The Johns Hopkins University

Bonnie L. Exner  
 Consultant  
 Governor's Lowry Landfill Monitoring  
 Committee  
 State of Colorado

Ted Greenwood  
 Research Associate  
 Center for International Studies  
 Massachusetts Institute of Technology

Linda E. Greer  
 Science Associate  
 Environmental Defense Fund

Robert G. Kissell  
 Senior Consultant in Engineering  
 Service Division  
 E. I. du Pont de Nemours & Co., Inc.

Gary E. Kovall  
 Manager, Environmental Legislative  
 and Regulatory Affairs  
 ARCO Petroleum Products Co.

Stephen U. Lester  
 Consultant  
 Citizens Clearinghouse for  
 Hazardous Waste  
 Arlington, Va.

Adeline G. Levine  
 Professor of Sociology  
 State University of New York at Albany

Randy M. Mott, Esq.  
 Breed, Abbott & Morgan

Norman H. Nosenchuck  
 Director  
 Division of Solid and Hazardous Waste  
 New York State Department of  
 Environmental Conservation

James T. O'Rourke  
 Senior Vice President, Industrial Group  
 Camp Dresser & McKee, Inc.

James W. Patterson  
 Professor and Chairman  
 Pritzker Department of Environmental  
 Engineering

Illinois Institute of Technology

Robert Repetto  
 Senior Associate  
 World Resources Institute

Bernard L. Simonsen  
 Vice President, Administration and  
 Corporate Planning  
 IT Corp.

William A. Wallace  
 Director  
 Solid and Hazardous Waste  
 Management  
 CH2M HILL

## HEALTH AND LIFE SCIENCES DIVISION

### Biological Applications Program

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Arthur D. Bloom, *Chairman*  
Professor of Pediatrics  
College of Physicians and Surgeons  
Columbia University  
J. Grant Brewen  
Director  
Molecular and Applied Genetics Lab  
Allied Chemical Corp.  
Eula Bingham  
Dean, Graduate School  
Department of Environmental Health  
University of Cincinnati  
Patricia Bufferl  
Associate Dean  
University of Texas School of Public Health  
Ira H. Cisin  
Director, Social Research Group  
The George Washington University  
Burford W. Culpepper  
Medical Director  
E. I. du Pont de Nemours & Co., Inc.  
James D. English  
Associate General Counsel  
United Steelworkers of America  
Neil Holtzman  
Associate Professor  
Johns Hopkins Hospital  
Paul Kotin  
Johns Manville Corp. (retired)  
Thomas O. McGarity  
Professor, School of Law  
University of Texas at Austin  
Rafael Moure  
Industrial Hygienist  
Health and Safety Department  
Oil, Chemical, and Atomic Workers Union  
Robert F. Murray, Jr.  
Chief, Division of Medical Genetics  
College of Medicine  
Howard University  
Elena Nightingale  
Scholar and Resident  
Institute of Medicine  
National Academy of Sciences

Gilbert Omenn  
Dean, School of Public Health  
University of Washington  
William N. Rom  
Associate Professor  
Rocky Mountain Center for Occupational and Environmental Health  
University of Utah Medical Center  
Stuart Schweitzer  
Director  
Program in Health Planning and Policy Analysis  
School of Public Health  
University of California  
Robert Veatch  
Professor of Medical Ethics  
The Kennedy Institute of Ethics  
Georgetown University

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President  
Bennington College  
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Patent Counsel  
Wisconsin Alumni Research Federation  
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President  
Cetus Corp.  
Julian Gresser  
Professor, Program in Science, Technology, and Society  
Massachusetts Institute of Technology  
Ralph Hardy  
Director, Life Sciences  
E. I. du Pont de Nemours & Co., Inc.  
Zsolt Harsanyi  
Vice President, Biotech Group  
E. F. Hutton  
Peter Hutt, Esq.  
Covington & Burling  
David Jackson  
Scientific Director  
Genex Corp.

William Maxon  
Group Manager, Fermentation Products  
Production  
Upjohn Co.

Laura Meagher  
Acting Administrator  
North Carolina Biotechnology Center

Robert R. Miller  
Director, International Business  
Courses

University of Houston

Dorothy Nelkin  
Professor, Program on Science,  
Technology and Society  
Cornell University

Norman Oblon  
Oblon, Fisher, Spivak, McClelland &  
Maier

David Padwa  
Chairman of the Board  
Agrigenetics

David Parkinson  
Director of Occupational Medicine  
Program

Falk Clinic  
University of Pittsburgh

Phillip A. Sharp  
Professor of Biology  
Center for Cancer Research  
Massachusetts Institute of Technology

William J. Whelan  
Chairman, Biochemistry  
School of Medicine  
University of Miami

John Zysman  
Director, Roundtable for International  
Economics  
University of California, Berkeley

**Technology and Aging in  
America Advisory Panel**

Robert Binstock, *Chairman*  
Director, Policy Center on Aging  
Brandeis University

Ray Bartus  
Group Leader of Geriatrics  
Medical Research Division  
Lederle Laboratories

Robert Berliner  
Dean  
School of Medicine  
Yale University

Robert Butler  
Chairman, Department of Geriatrics  
and Adult Education  
Mt. Sinai Medical Center

Robert Clark  
Associate Professor  
Department of Economics and Business  
North Carolina State University

Lee Davenport  
Senior Vice President and  
Chief Scientist  
GTE Corp.

Ken Dychtwald  
President  
Dychtwald & Associates

Caleb Finch  
Professor of Biological Sciences and  
Gerontology  
University of Southern California

Velma Murphy Hill  
Director  
Civil and Human Rights Division  
Service Employees International Union

Robert L. Kane  
Senior Researcher  
The Rand Corp.  
Paul A. Kerschner  
Associate Director for Programs,  
Legislation and Development  
National Retired Teachers Association  
American Association of Retired  
Persons

Maggie Kuhn  
Founder and National Convener  
Gray Panthers

Matt Lind  
Vice President  
Corporate Planning and Research  
The Travelers Insurance Co.

Robert G. Lynch  
Vice President, Marketing Planning  
GTE Corp.

Mathy D. Mezey  
Director  
Teaching Nursing Home Program  
University of Pennsylvania

Hamish Munro  
Professor of Medicine and Nutrition  
Tufts University

Bernice Neugarten  
Professor of Education and Sociology  
Northwestern University

Sara Rix  
Director of Research  
The Women's Research and Education  
Institute

Pauline Robinson  
Research Professor of Gerontology  
University of Southern California

John Rowe  
Chief of Geriatrics  
Beth Israel Hospital

Bert Seidman  
Department of Occupational Safety,  
Health and Social Security  
AFL-CIO

Jacob Siegel  
Senior Researcher  
Center for Population Research  
Georgetown University

**Workshop: Impacts of Neuroscience**

Barbara Mishkin, **Chair**  
Hogan & Hartson

W. Kent Anger  
Chief, Neurobehavioral Research  
Section  
Applied Psychology and Ergonomics  
Branch  
National Institute for Occupational  
Safety and Health

Raymond Bartus  
Group Leader  
Department of Central Nervous  
System Research  
Medical Research Division  
Lederle Laboratories  
American Cyanamid Co.

David L. Bazelon  
Senior Circuit Judge  
U.S. Court of Appeals  
District of Columbia Circuit

Floyd E. Bloom  
Director, Davis Center for  
Behavioral Neurobiology  
Salk Institute

Greg R. Christoph  
Principal Scientist  
Neurobiology Group  
Central Research and Development  
Department

E. I. du Pont de Nemours & Co., Inc.

David Cohen  
Leading Professor and Chairman  
Department of Neurobiology and  
Behavior  
State University of New York,  
Stony Brook

Joe Dan Coulter  
Associate Professor  
Marine Biomedical Institute  
University of Texas Medical Branch

Miriam Davis  
Science Policy Research Division  
Congressional Research Service  
Library of Congress

John Dowling  
Professor, Department of Biology  
Harvard University

John Hildebrand  
Professor  
Department of Biological Sciences  
Columbia University

Jack Houck  
President, Endorphin, Inc., and  
Virginia Mason Research Center  
Seattle, Wash.

Zaven S. Khachaturian  
Chief, Physiology of Aging Branch  
Biomedical Research and Clinical  
Medicine Division  
National Institute on Aging

Dennis Landis  
Assistant Professor  
Department of Neurology  
Massachusetts General Hospital

Candace Pert  
Chief, Section on Brain Biochemistry  
Neuroscience Branch  
National Institute of Mental Health

Dominick P. Purpura  
Dean, School of Medicine  
Stanford University

Michael Riddiough  
Consultant  
Syntex Corp.

Joshua Sanes  
Assistant Professor of Physiology  
Department of Physiology and  
Biophysics  
Washington University Medical Center

**Alternatives to Animal Use in Testing and Experimentation Advisory Panel**

Arthur L. Caplan, *Chair*  
Associate for the Humanities  
Hastings Center  
Perrie M. Adams  
Professor of Psychiatry  
Department of Psychiatry and  
Behavioral Research  
University of Texas  
Melvin Balk  
Vice President and Scientific Director  
Charles River Breeding Laboratories,  
Inc.  
Earle W. Brauer  
Vice President, Medical Affairs  
Revlon Research Center  
David J. Brusick  
Molecular Sciences Directorate  
Litton Bionetics  
G. Gilbert Cloyd  
Human and Environmental Safety  
Division  
Miami Valley Laboratories  
W. Jean Dodds  
Division of Laboratories and Research  
New York State Department of Health  
Kurt Enslein  
President  
Health Designs, Inc.  
Alan M. Goldberg  
Director, The Johns Hopkins Center for  
Alternatives to Animal Testing  
Richard M. Hoar  
Associate Director  
Division of Toxicology and Pathology  
Hoffmann-La Roche, Inc.

Peter Hutt, Esq.  
Covington & Burling  
Connie Kagan  
Animal Political Action Committee  
Ronald Lament-Havers  
Director, Research Administration  
Massachusetts General Hospital  
John McArdle  
Associate Director  
Institute for Study of Animal Problems  
Humane Society of the United States  
Robert A. Neal  
President  
Chemical Industry Institute  
of Toxicology  
J. Wesley Robb  
Professor of Religion  
School of Religion  
Professor of Bioethics  
School of Medicine  
University of Southern California  
Andrew N. Rowan  
Assistant Dean for New Programs  
School of Veterinary Medicine  
Tufts University  
Jeri Sechzer  
Associate Professor  
Department of Psychiatry  
The New York Hospital—  
Cornell Medical Center  
Henry Spira  
Director  
Coalitions to Abolish the LD50  
and Draize Tests

**Food and Renewable Resources Program****Water-Related Technologies for Sustainable Agriculture in U.S. Arid/Semiarid Lands Advisory Panel**

James B. Kendrick, Jr., *Chairman*  
Vice President  
Agriculture and University Services  
University of California, Berkeley  
Alton A. Adams, Jr.  
President  
Adams & Associates  
Wilbert H. Blackburn  
Professor  
Department of Range Science  
Texas A&M University

William T. Dishman  
Rancher  
Idaho  
Harold E. Dregne  
Professor  
Department of Plant and Soil Science  
Texas Tech University  
Chester E. Evans  
USDA Research Director (retired)  
Colorado  
Larry J. Gordon  
Director  
Albuquerque Environmental Health  
Department

Robert M. Hagan  
Professor  
Department of Land, Air, and  
Water Resources  
University of California, Davis  
David E. Herrick  
U.S. Forest Service (retired)  
Western Agricultural Research  
Committee  
Colorado  
Helen Ingram  
Professor  
Department of Government  
University of Arizona, Tucson  
Cyrus McKell  
Director of Research  
Plant Resources Institute  
Utah  
Michael F. McNulty  
Director  
Tucson Active Management Area  
Arizona Department of Water  
Resources  
Milton E. Mekelburg  
President  
National Association of Soil  
Conservation Districts  
Colorado  
Clifford J. Murino  
President  
Desert Research Institute  
Nevada  
Alice Parker  
Farmer/Rancher  
Washington  
Cynthia Reed  
Rancher  
South Dakota  
Luis Torres  
Program Director  
American Friends Service Committee  
Northern New Mexico  
Casey E. Westell, Jr.  
Director of Industrial Ecology  
Tenneco, Inc.  
Texas  
Norman K. Whittlesey  
Professor  
Department of Agricultural Economics  
Washington State University, Pullman

**Technologies To Sustain Tropical  
Forest Resources Advisory Panel**

Leonard Berry, **Chairman**  
Research Professor  
Center for Technology, Environment,  
and Development  
Clark University  
Eddie Albert  
Conservationist  
Hugh Bollinger  
Director  
Plant Resources Institute  
Robert Cassagnol  
Member, Technical Committee  
CONAELE  
Robert Cramer  
Former President  
Virgin Islands Corp.  
Gary Eilerts  
Operations Representative  
Appropriate Technology International  
John Ewel  
Associate Professor  
Department of Botany  
University of Florida, Gainesville  
Robert Hart  
Agronomist  
Winrock International  
Susanna Hecht  
Assistant Professor  
Department of Geography  
University of California, Los Angeles  
Marilyn Hoskins  
Lecturer  
Department of Sociology  
Virginia Polytechnic Institute  
Norman Johnson  
Vice President, North Carolina Region  
Weyerhaeuser Co.  
Jan Laarman  
Assistant Professor  
Department of Forestry  
North Carolina State University  
Chuck Lankester  
Forester  
U.N. Development Programme  
Robert Owen  
Chief Conservationist (retired)  
Trust Territory of the Pacific Islands

Christine Padoch  
 Assistant Professor  
 Institute of Environmental Studies  
 University of Wisconsin, Madison

Allen Putney  
 Principal Investigator  
 Eastern Caribbean Natural Area  
 Management Program  
 West Indies Lab

Jeff Romm  
 Assistant Professor  
 Department of Forestry  
 University of California, Berkeley

John Terborgh  
 Professor  
 Department of Biology  
 Princeton University

Henry Tschinkel  
 Forestry Advisor  
 Regional Office for Central  
 American Programs  
 Agency for International Development  
 U.S. Department of State

**Plants: The Potentials for Extracting  
 Protein, Medicines, and Other  
 Useful Chemicals Workshop**

Robert P. Adams  
 Manager, Phytochemical Products  
 Native Plants, Inc.

John Becker  
 General Manager  
 Leaf Protein International, Inc.

Frederick H. Buttell  
 Department of Rural Sociology  
 Cornell University

James Duke  
 Chief, Economic Botany Lab  
 U.S. Department of Agriculture

Norman R. Farnsworth  
 Director, Programme for Collaborative  
 Research in the Pharmaceutical  
 Sciences  
 College of Pharmacy  
 University of Illinois

William Fewical  
 Professor of Oceanography  
 Institute of Marine Resources  
 Scripps Institution of Oceanography  
 University of California, San Diego

Cornelia B. Flora  
 Professor  
 Department of Sociology,  
 Anthropology, and Social Work  
 Kansas State University

Richard Harwood  
 Director of Research  
 Rodale Research Center

Martin Jacobson  
 Laboratory Chief  
 Biologically Active Natural Products  
 Laboratory  
 U.S. Department of Agriculture

Prachuab Kwanyuen  
 Leaf Protein International, Inc.

Aklilu Lemma  
 Senior Scientific Affairs Officer  
 United Nations Center for Science and  
 Technology for Development

Frederick L. Mann  
 Assistant Director  
 International Programs in Agriculture  
 University of Missouri, Columbia

Ara der Marderosian  
 Professor of Pharmacognosy  
 Philadelphia College of Pharmacy and  
 Science

Gordon H. Svoboda  
 Visiting Research Professor  
 in Pharmacognosy  
 School of Pharmacy  
 University of Pittsburgh

Howard Tankersley  
 Director, Land Use Division  
 Soil Conservation Service  
 U.S. Department of Agriculture

Lehel Telek  
 Chemist  
 Mayaguez Institute of Tropical  
 Agriculture  
 U.S. Department of Agriculture

E. Richard Wheaton  
 Manager, Natural Rubber Program  
 U.S. Department of Agriculture

Samuel Wildman  
 Leaf Protein International, Inc.  
 (retired)

**Technology, Public Policy, and the  
Changing Structure of American  
Agriculture Advisory Panel**

Frank Baker  
Director  
International Stockmen's School  
Winrock International Livestock  
Research and Training Center

James Bonnen  
Professor  
Department of Agricultural Economics  
Michigan State University

William Brown  
Chairman of the Board  
Pioneer Hi-Bred International, Inc.

Frederick Buttell  
Associate Professor  
Department of Rural Sociology  
Cornell University

Willard Cochran  
Consultant

Jack Doyle  
Director  
Agricultural Resources Project  
Environmental Policy Center

Marsha Dudden  
Dudden Farms, Inc.  
Reinbeck, Iowa

Water Ehrhardt  
Blue Ridge Farms  
Knoxville, Md.

Dean Gillette  
Executive Director  
Bell Telephone Laboratory Systems  
Research

Rogert Granados  
Executive Director  
La Cooperative

Richard Harwood  
Director of Research  
Rodale Research Center

Ralph Hofstad  
President  
Land-O' Lakes Cooperative

Charles Kidd  
Dean, College of Engineering Science,  
Technology, and Agriculture  
Florida A&M University

Robert Lanphier 111  
Chairman of the Board  
Dickey-John Corp.

Edward Legates  
Dean, College of the Agriculture and  
Life Sciences  
North Carolina State University

John Marvel  
President and General Manager  
Research Division  
Monsanto Agriculture Products Co.

Donella Meadows  
Adjunct Professor  
Resources Policy Center  
Dartmouth College

Don Paarlberg  
Consultant

Don Reeves  
Consultant  
Interreligious Taskforce on U.S. Food  
Policy

Milo Schanzenbach  
Schanzenbach Farms

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and the Changing Structure of  
American Agriculture**

Peter Barry  
Professor  
Department of Agricultural Economics  
University of Illinois, Urbana

Hoy Carman  
Professor  
Department of Agricultural Economics  
University of California, Davis

William Cochran  
Consultant

B. R. Eddleman  
Director, National Agricultural  
Research Planning and Analysis  
State Agricultural Experiment Stations  
Mississippi

Robert Emerson  
Associate Professor  
Food and Resource Economics  
Department  
University of Florida, Gainesville

Ronald Knutson  
Professor  
Department of Agricultural Economics  
Texas A&M University

Dean MacCannell  
Associate Professor  
Department of Applied and Behavioral  
Sciences  
University of California, Davis  
Philip Raup  
Professor  
Department of Agriculture and Applied  
Economics  
University of Minnesota  
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Assistant Professor of Engineering  
Resource Policy Center  
Thayer School of Engineering  
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Professor  
Department of Agricultural Economics  
Michigan State University  
Lauren Seth  
Consultant  
Tom Sporleder  
Professor  
Department of Agricultural Economics  
Texas A&M University  
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Professor  
Department of Agricultural Economics  
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Resources, and American Crafts**

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Agricultural Cooperative Service  
U.S. Department of Agriculture  
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Indian Arts and Crafts Board  
U.S. Department of the Interior  
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Folklife Specialist  
American Folklife Center  
Library of Congress  
Linda McMahan  
Director, TRAFFIC-U.S.  
World Wildlife Fund  
Robert Teske  
Arts Specialist  
Folkarts Program  
National Endowment for the Arts  
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Special Agent Training Officer  
Division of Law Enforcement  
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Sciences  
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of Science  
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Rockefeller Foundation

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Vice President  
Lewin & Associates

Howard Frazier  
Director  
Center for the Analysis of Health  
Practice  
School of Public Health  
Harvard University

Cliff Gaus  
Director  
Center for Health Policy Studies  
Georgetown University

Jack Hadley  
Senior Research Associate  
Urban Institute

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Chair, Board of Governors  
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Graduate School of Public Health  
University of Pittsburgh

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Member  
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New York

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Truman Medical Center

Seymour Perry  
Senior Fellow and Deputy Director  
Institute for Health Policy Analysis  
Georgetown University Medical Center

Robert Sigmond  
Community Programs for Affordable  
Health  
Blue Cross/Blue Shield of Philadelphia  
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Professor  
Department of Environment and  
Community and Family Medicine  
Rutgers University  
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Professor  
School of Public Health  
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Group Vice President  
American Medical International

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University of California, Berkeley  
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National Service Director  
Paralyzed Veterans of America  
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Manager  
Government and Industry Affairs  
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Salt Lake City, Utah  
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Department of History and Sociology of  
Science  
University of Pennsylvania  
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President  
Amigo Sales Inc.  
Albuquerque, N. Mex.  
Eric von Hippel  
Associate Professor of Management  
Sloan School  
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Chairman  
Technicon Corp.

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Robert Britain  
Associate Director for Device  
Evaluation  
Office of Medical Devices  
Food and Drug Administration  
Allen Greenberg  
Staff Attorney  
Public Citizen's Health Research Group  
Linda Horton  
Deputy Chief Counsel for Regulation  
and Hearings  
Office of General Counsel  
Food and Drug Administration  
Peter Barton Hutt, Esq.  
Partner  
Covington & Burling  
Robert Leflar  
Assistant Professor of Law and  
Medicine  
School of Law  
University of Arkansas  
David Meade  
Assistant Counsel  
Office of Legislative Counsel of the  
House of Representatives  
U.S. Congress

Richard Merrill  
Dean, School of Law  
University of Virginia

Rodney Muncie  
Partner  
Dickstein, Shapiro & Morin  
Geoffrey Smith  
Assistant General Counsel  
Pharmaceutical Manufacturers  
Association

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Professor  
Department of Environmental Health  
Sciences

School of Hygiene and Public Health  
The Johns Hopkins University

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Medical Director  
Ford Motor Co.

Richard F. Boggs  
Vice President  
Organization Resources Counselors,  
Inc.

Mark R. Cullen  
Professor of Statistics  
Occupational Medicine Program  
School of Medicine  
Yale University

Philip E. Enterline  
Professor of Biostatistics  
School of Public Health  
University of Pittsburgh

Melvin W. First  
Professor  
Department of Environmental Health  
Sciences

School of Public Health  
Harvard University

Matt Gillen  
Industrial Hygienist  
Amalgamated Clothing and Textile  
Workers Union

Melvin Glasser  
Consultant  
Committee for National Health  
Insurance

William J. McCarville  
Director, Environmental Affairs  
Monsanto Co.

Wilbur L. Meier, Jr.  
Dean, School of Engineering  
Pennsylvania State University

John Mendeloff  
Associate Professor  
Program in Science, Technology, and  
Public Affairs  
University of California

Samuel Milham, Jr.  
Section Head, Epidemiology Section  
Washington State Department of Social  
and Health Services

Kenneth B. Miller  
Consultant  
Occupational Medicine

Ted E. Potter  
Environmental Manager  
Shepherd Chemical Co.

Milan Racic  
Director, Safety and Health  
Allied Industrial Workers Union

Mark A. Rothstein  
Associate Professor  
West Virginia University College of  
Law

Marilyn Schule  
Principal  
Centaur Associates  
Washington, D.C.

Michael O. Varner  
Corporate Manager  
Department of Environmental Sciences  
American Smelting & Refining Co.

James L. Weeks  
Industrial Hygienist  
United Mineworkers of America

Roger H. Wingate  
Executive Vice President (retired)  
Liberty Mutual Insurance Co.  
New Hampshire

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Vice President for Academic Affairs  
University of Iowa

Margit Bleecker  
Assistant Professor  
Division of Occupational Medicine  
School of Hygiene and Public Health  
The Johns Hopkins Medical Institutes

George L. Carlo  
Epidemiologist  
Epidemiology, Health and  
Environmental  
Sciences

Dow Chemical U.S.A.

Neal Castagnoli, Jr.  
Professor  
Department of Chemistry and  
Pharmaceutical Chemistry  
University of California, San Francisco  
Theodore Colton  
Professor  
School of Public Health  
Boston University  
Frederic Halbert  
Delton, Mich.  
George B. Hutchison  
Professor  
School of Public Health  
Harvard University  
Patricia King  
Professor  
Georgetown Law Center  
Lewis Kuller  
Professor  
Department of Epidemiology  
Graduate School of Public Health  
University of Pittsburgh  
Claire O. Leonard, M.D.  
Salt Lake City, Utah  
John F. Sommer, Jr.  
Assistant Director  
National Veterans Affairs and  
Rehabilitation Commission  
The American Legion  
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Veterans of Foreign Wars of the  
United States  
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National Membership Director  
Vietnam Veterans of America  
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Corporate Medical Director  
American Cyanamid Co.

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Administration  
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Operations Research Center  
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Acute Communicable Disease Control  
Section  
Department of Health Services  
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Fairfax Hospital, Va.  
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Vice President  
Regulatory and Technical Affairs  
Ethical Products Division  
Revlon Health Care Group  
Rosemary Stevens  
Professor and Chair  
Department of History and Sociology of  
Science  
University of Pennsylvania  
Scott N. Swisher  
President's Council  
American Red Cross  
National Headquarters  
Martin J. Valaske  
Medical Director  
Medical Faculty Associates  
George Washington University Medical  
Center  
William D. White  
Associate Professor  
Center for Policy Alternatives  
Massachusetts Institute of Technology  
Theodore Zimmerman  
Professor  
Department of Immunology  
Department of Basic and Clinical  
Research  
Scripps Clinic and Research  
Foundation

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Executive Director  
National Hemophilia Foundation

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President  
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Robert Edelberg  
Professor of Psychiatry and Psychology  
Rutgers Medical School

Frank Horvath  
Associate Professor  
School of Criminal Justice  
Michigan State University

David T. Lykken  
Professor of Psychiatry and Psychology  
Department of Psychiatry  
University of Minnesota Medical  
School

Martin T. Orne  
Professor of Psychiatry  
Director, Unit for Experimental  
Psychiatry  
University of Pennsylvania Medical  
School

Gail J. Povar  
Assistant Professor of Medicine and  
Health Care Sciences  
The George Washington University  
Medical Center

Steve Pruitt  
Director of Congressional Affairs  
Public Employees Department  
AFL-CIO

Christopher H. Pyle  
Associate Professor of Politics  
Mt. Holyoke College

David C. Raskin  
Professor of psychology  
University of Utah

Harold Sigall  
Professor of Psychology  
University of Maryland

George B. Trubow  
The John Marshall Law School

Althea M. I. Wagman  
Research Associate of Psychiatry  
Neuroscience Program  
University of Maryland School of  
Medicine

Paul M. Wortman  
Associate Professor of Public Health  
Program Director, Institute for Social  
Research  
University of Michigan

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Related Technology for Tropical  
Diseases Advisory Panel**

Pedro Acha  
Director of Programming and  
Operations Coordination  
Pan American Health Organization

George Alleyne  
Chief  
Research Promotion and Coordination  
Pan American Health Organization

Karen Bell  
Professional Associate  
Board on Science and Technology for  
International Development  
National Academy of Sciences

William Campbell  
Senior Director  
Basic Parasitology  
Merck, Sharpe, & Dohme

Richard Cash  
Director  
Office of International Health  
Harvard School of Public Health

Barnett Cline  
Professor and Chairman  
Department of Tropical Medicine  
Tulane Medical Center

Joseph Cook  
Program Director  
Tropical Disease Research  
The Edna McConnell Clark Foundation

Robert Goodland  
Ecologist  
Office of Environmental Affairs  
World Bank

Abraham Horowitz  
Director Emeritus and Special  
Consultant  
Pan American Health Organization

Dieter Koch-Weser  
Chairman  
Department of Preventive and Social  
Medicine  
Harvard Medical School

Francisco Lopez-Antunano  
Coordinator  
Tropical Disease Programme  
Pan American Health Organization

Arnold Monto  
Professor  
Department of Epidemiology  
School of Public Health  
University of Michigan

Ruth Nussenzweig  
 Head  
 Division of Parasitology  
 New York University School of  
 Medicine  
 Richard Riegelman  
 Associate Professor  
 Department of Health Care Sciences  
 The George Washington University  
 Medical Center  
 Gabriel Schmunis  
 Medical Officer  
 Tropical Diseases Programme  
 Pan American Health-Organization

Thomas Simpson  
 Director  
 Eastern Shore Health District  
 Accomack County Health Department  
 Ronald Vogel  
 Associate Professor  
 Department of Management and Policy  
 College of Business and Public  
 Administration  
 University of Arizona  
 Kenneth Warren  
 Director of Health Sciences  
 The Rockefeller Foundation

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 Research, Information Sciences  
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 Control Data Corp.  
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 Senior Research Fellow  
 The Harvard Business School

**Workshop: Fiber Optics**

Michael Barnoski  
 Consultant

Melvin Cohen Director Interconnection Technology Laboratory	Donald M. Austin Head, Applied Mathematical Programs Office of Basic Energy Research U.S. Department of Energy
Anthony J. DeMaria Assistant Director of Research for Electrical and Electrooptics Technology United Technology Research Center	James C. Browne Professor Department of Computer Sciences University of Texas, Austin
Charles W. Deneka Director, Optical Wave Guide Development Corning Glass Works	Alan Charlesworth Senior Staff Engineer Floating Point Systems, Inc.
Thomas Giallorenzi Superintendent, Optical Sciences Division Naval Research Laboratory	Steve Chen Vice President of Development Cray Research, Inc.
Paul Golunski Manager, Economic Assessment Office International Department Direction Generale Des Telecommunications (France)	Peter J. Denning Director Research Institute for Advanced Computer Science
Charles Kao ITT Executive Scientist ITT—Advanced Technology Center	Sidney Fernbach Consultant Control Data Corp.
Herwig Kogelnick Director Electronics Research Laboratory Bell Laboratories	Dennis Gannon Assistant Professor Department of Computer Science Purdue University
Henry Kressel Senior Vice President E. M. Warburg, Pincus & Co.	Robert G. Gillespie Vice Provost for Computing University of Washington
William Streifer Senior Research Fellow Palo Alto Research Center Xerox Corp.	David Kuck Professor Department of Computer Sciences University of Illinois at Urbana-Champaign
John Whinnery Professor Department of Electrical Engineering and Computer Science University of California, Berkeley	Neil Lincoln Executive Consultant Control Data Corp.
Ammon Yariv Professor of Electrical Engineering and Applied Physics California Institute of Technology	Roger G. Nell Chairman, Division of Humanities and Social Sciences California Institute of Technology
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Duane Adams Consultant Defense Advanced Research Projects Agency	Paul E. Ritt, Jr. Vice President and Director of Research GTE Laboratories, Inc.
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 Planning and Economic Research  
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 Chemical Bank  
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 First Interstate Bancorp  
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 Banking in Monetary Economics  
 Department of Economics  
 Ohio State University  
 Jerome Svigals  
 Manager, Growth, Planning and  
 Electronics Banking Consultants  
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 Willis H. Ware  
 Senior Computer Specialist  
 The Rand Corp.  
 Steven Weinstein  
 Vice President—Corporate Strategy  
 American Express  
 Milton Wessel  
 Legal Counsel  
 Association of Data Processing Service  
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 Vice President  
 Arthur D. Little, Inc.

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 Systems Program Manager  
 J. C. Penney Co.  
 Ellen Broadman  
 Counsel for Government Affairs  
 Consumers Union  
 James L. Brown  
 Associate Professor of Law  
 Director of Center for Consumer  
 Affairs  
 University of Wisconsin-Extension  
 Meredith M. Fernstrom  
 Senior Vice President—Public  
 Responsibility  
 American Express Co.  
 Edward J. Kane  
 Everett D. Reese Professor of Banking  
 in Monetary Economics  
 Department of Economics  
 The Ohio State University

Mark Leymaster  
Staff Attorney  
National Consumer Law Center  
Barbara Quint  
Money Management Editor  
Family Circle  
Thelma V. Rutherford  
Public Citizen for Senior Citizen  
Consumers  
Michael Van Buskirk  
Community Development Officer  
Bane One Corp.

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Manufacturing Technical Group  
Engineer  
Lockheed-Georgia  
Jack Cahall  
Manager, Training and Development  
Cincinnati Milacron, Inc.  
Dennis Chamot  
Assistant Director  
Department for Professional Employees  
AFL-CIO  
Robert Cole  
Director  
Center for Japanese Studies  
University of Michigan  
Alan E. Drane  
Manager of Automated Systems  
Emhart Corp.  
Audrey Freedman  
Senior Research Associate  
The Conference Board, Inc.  
Sheldon Friedman  
Director, Research Department  
United Automobile, Aerospace and  
Agricultural Implement Workers  
of America, UAW  
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Battle, Fowler, Jaffin & Kheel

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Manufacturing Development  
Deere & Co.  
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Vice President  
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M. Granger Morgan  
Professor  
Engineering and Public Policy  
Carnegie-Mellon University  
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General Vice President  
International Association of Machinists  
and Aerospace Workers  
Bernard M. Sallot  
Director, Professional and  
Governmental Activities  
Society of Manufacturing Engineers  
Executive Director  
Robot Institute of America  
Harley Shaiken  
Research Fellow  
Massachusetts Institute of Technology  
Kevin G. Snell  
Director  
Forward Planning Program and  
Development  
Career Works, Inc.  
Alfred P. Taylor  
Manager  
Factory Automation Plant Services  
Operation  
General Electric Co.  
Philippe Villers  
President  
Automatix, Inc.  
Victor C. Walling, Jr.  
Coordinator  
Business Futures Program  
SRI International  
Dennis Wisnosky  
Vice President  
Industrial Systems Group  
GCA Corp.  
Michael J. Wozny  
Director  
Interactive Computer Graphics Center  
Rensselaer Polytechnic Institute  
Robert Zager  
Vice President, Policy Studies and  
Technical Assistance  
Work in America Institute

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 Publisher  
 Anderson Reports  
 Laura Congiliaro  
 Vice President  
 Prudential-Bache Securities, Inc.  
 Joseph Franklin  
 Statistical Director  
 National Machine Tool Builders  
 Association  
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 Machover Associates Corp.  
 James Meadlock  
 President  
 Intergraph Corp.  
 Bernard M. Sallot  
 Director of Professional and  
 Governmental Activities  
 Society of Manufacturing Engineers  
 Philippe Villers  
 President  
 Automatix, Inc.  
 Dennis Wisnosky  
 Vice President  
 Industrial Systems Group  
 GCA Corp.

**Workshop: Automation Technology**

David Grossman  
 Manager of Automation Research  
 IBM Thomas J. Watson Research  
 Center  
 Robert Hocken  
 Chief, Automated Production  
 Technology Division  
 National Bureau of Standards  
 Stuart G. Miller  
 Manager  
 Automation and Control Laboratory  
 Corporate Research and Development  
 General Electric & Co.  
 Brian Moriarty  
 Charles Stark-Draper Laboratories  
 Richard Mueller  
 Senior Consultant for Advanced CAD-  
 CAM Research  
 Control Data Corp.

Michael Radeke  
 Vice President, Robotics  
 Cincinnati Milacron  
 Bernard Roth  
 Design Division, Mechanical  
 Engineering  
 Stanford University  
 Ali Seireg  
 Professor  
 Department of Mechanical Engineering  
 University of Wisconsin-Madison  
 Richard Simon  
 Director, Product Management  
 CAM Systems  
 Computervision Corp.  
 Theodore J. Williams  
 Director, Laboratory for Applied  
 Industrial Control  
 Purdue University

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 Chairman of the Board  
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 Chairman, Science and Technology  
 Section  
 Common Law Division  
 American Bar Association  
 David Burnham  
 Reporter on Information Policy Issues  
 The New York Times  
 Michael Pertschuk  
 Commissioner  
 Federal Trade Commission  
 John Shattuck  
 Director of the Washington Office  
 American Civil Liberties Union  
 Christopher Sterling  
 Director  
 Center for Telecommunication Studies  
 The George Washington University  
 George Trubow  
 Director, Center on Policy and  
 Information Technology  
 John Marshall Law School  
 Willis Ware  
 Corporate Research Staff  
 Rand Corp.

Milton Wessel  
General Counsel  
Association of Data Processing Service  
Organizations  
Alan Westin  
Professor  
Department of Public Law and  
Government  
Columbia University

Mary Alice White  
Professor of Psychology  
Director, Electronic Learning  
Laboratory  
Teachers College  
Columbia University

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Professor  
Department of Zoology  
University of Wisconsin  
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Consultant  
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Electric Power Research Institute  
J. William Futrell  
President  
Environmental Law Institute  
Edward Goldberg  
Professor of Geology  
Scripps Institution of Oceanography  
University of California  
Harriet Keyserling  
Member  
House of Representatives  
State of South Carolina  
Terry Lash  
Deputy Director  
Department of Nuclear Safety  
State of Illinois  
Kai Lee  
University of Washington  
Institute for Environmental Studies  
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Candorcom Corp.  
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Center for Environmental Studies  
Princeton University

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National Audubon Society  
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Frank P. Ramsey Professor of  
Managerial Economics  
Harvard Business School  
William A. Thomas  
Consultant  
American Bar Foundation  
Mason Willrich  
Vice President—Corporate Planning  
Pacific Gas & Electric Co.  
Donald Wodrich  
Rockwell International-Hanford  
Operations  
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General Manager  
Advanced Power Systems Divisions  
Westinghouse Electric Corp.

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Department of Environmental Medicine  
New York University Medical Center  
Thomas H. Brand  
Director, Environmental Activities  
Edison Electric Institute  
Robert Wilbur Brocksen  
Manager—Ecological Effects Program  
Electric Power Research Institute  
Jack George Calvert  
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National Center for Atmospheric  
Research

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Senior Attorney  
National Resources Defense Council,  
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Committee on Energy and Environment  
National Governor's Association

Richard L. Kerch  
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Consolidation Coal

Anne LaBastille  
Commissioner  
Adirondack Park Agency

Gene E. Likens  
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Section of Ecology and Systematic  
Cornell University

Donald H. Pack  
Consultant

Carl Shy  
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School of Public Health  
Professor of Epidemiology  
University of North Carolina

Lester Thurow  
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Economics  
Sloan School of Management  
Massachusetts Institute of Technology

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**Wetlands: Their Use and Regulation  
Advisory Panel**

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Director, Laboratory for Wetland  
Soils and Sediment  
Louisiana State University

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Public Lands and Public Water  
National Audubon Society

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Fairbanks, Alaska  
(Ex Officio Panel Member)

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Wildlife Management Institute

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Wildlife Management  
University of Massachusetts

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Economic Development  
Port of Grays Harbor

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North Dakota State University

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Department of Environmental  
Conservation

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Safety and Environmental Affairs  
Louisiana Land & Exploration Co.

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Wetland Program Manager  
California Coastal Commission

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National Forest Products Association

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Maryland Waterman's Association

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University of Alaska

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Metal Trades Department  
AFL/CIO

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 Paul F. Richardson Associates, Inc.  
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**Technologies to Measure, Monitor,  
 and Mitigate Groundwater  
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 Belmont, Calif.  
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 Princeton University  
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 Resources for the Future  
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 Great Plains Office of Policy Studies  
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 Counsel  
 Environmental Defense Fund

Science, Transportation, and Innovation Program

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Frank Stanton  
 President Emeritus  
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James A. Van Allen  
 Head  
 Physics and Astronomy Department  
 University of Iowa

**Workshop #1: Lower Cost Alternatives  
 to One or More Space Stations**

Wilbur Eskite  
 Deputy Chief  
 Systems Planning and Development  
 National Environmental Satellite, Data,  
 and Information Service  
 National Oceanic and Atmospheric  
 Administration

Edmund J. Habib  
 Vice President for Engineering  
 Satellite Systems Engineering

Tadahiko Inada  
 National Space Development Agency of  
 Japan  
 Scientific Section  
 Embassy of Japan

Akihiko Iwahashi  
 Representative  
 Science and Technology Agency  
 Government of Japan

Norbert Kiehne  
 Deutsche Forschungs-und  
 Versuchsanstalt fur Luft-und  
 Raumfahrt e.V.  
 Federal Republic of Germany

Kazuo Matsumoto  
 National Space Development Agency of  
 Japan  
 Scientific Section  
 Embassy of Japan

Wilfred Mellors  
 Head  
 European Space Agency  
 Washington Office

Robert Noblitt  
 Senior Systems Analyst  
 Teledyne Brown Engineering

Alain Perard  
 Long-Term Study Manager  
 CNES Paris

Udo Pollvogt  
 President  
 ERNO-USA, Inc.

Hans Traumann  
 Attache  
 Scientific and Technological Affairs  
 Embassy of the Federal Republic of  
 Germany

H. J. Weigand  
 Consultant  
 Space Division  
 Messerschmitt-Bolkow-Blohm

**Workshop #2: Lower Cost Alternatives  
 to One or More Space Stations**

Ivan Bekey  
 Director of Advanced Programs  
 National Aeronautics and Space  
 Administration

Hubert Bortzmeyer  
 Special Assistant to the Director of  
 Programming  
 Center National d'Etudes Spatiales

Joseph Carroll  
 Research Associate  
 Department of Chemistry  
 California Space Institute  
 University of California, San Diego

Jacques Collet  
Head of Long-Term Preparatory  
Program  
European Space Agency Headquarters  
David Criswell  
Consultant  
Department of Chemistry  
California Space institute  
University of California, San Diego  
Troy A. Crites  
Member of the Technical Staff  
Test Analysis Section  
Systems Evaluation Department  
The Aerospace Corp.  
Hubert P. Davis  
Vice President  
Eagle Engineering, Inc.  
Russell Drew  
president  
Science & Technology Consultants  
Jean-Pierre Fouquet  
Scientific Attache for Space Affairs  
Embassy of France  
George F. Fraser  
Chief Engineer, Advanced Engineering  
Shuttle Orbiter Division  
Rockwell International  
Al Hill  
MESA Program Manager  
Space Systems Division  
Boeing Aerospace Corp.  
Tadahico Inada  
Representative  
National Space Development Agency of  
Japan  
Scientific Section  
Embassy of Japan  
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Vice President for Engineering  
Fairchild Space Co.  
Charles Mathews  
Consultant  
Vienna, Va.  
Wilfred Mellors  
Head  
European Space Agency  
Washington Office  
Udo Pollovogt  
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ERNO-USA, inc.  
Wilbur L. Pritchard  
president  
Satellite Systems Engineering

Thomas C. Taylor  
president  
Taylor & Associates, inc.  
Hans Traumann  
Attache  
Scientific and Technological Affairs  
Embassy of the Federal Republic of  
Germany  
**H. J. Weigand**  
Consultant  
Space Division  
Messerschmitt-Bolkow-Blohm  
Paul Wunsch  
Manager, Instrument Requirement  
Branch  
Teledyne Brown

**Workshop: Skylab**

Leland Belew  
Consultant  
Huntsville, Ala.  
David Compton  
Contractor  
History Office  
NASA/Johnson Space Center  
John Disher  
Consultant  
Bethesda, Md.  
Herbert Friedman  
Chairman, Commission on Physical  
Sciences, Mathematics, and  
Resources  
National Academy of Sciences  
Owen Garriott  
Astronaut  
NASA/Johnson Space Center  
Roger Hoffer  
Professor, Department of Forestry and  
Natural Resources  
Purdue University  
Kenneth Kleinknecht  
Manager, Procurement, Manufacturing  
and Tests for Spacecraft System  
Martin Marietta  
Charles Mathews  
Consultant  
Vienna, Va.  
Jesco H. Von Puttkamer  
Technical Engineer  
Operations Management  
NASA Headquarters

Edmond Reeves  
 Chief, Astrophysics Payload Branch  
 Spacelab Flight Division  
 NASA Headquarters  
 William Schneider  
 Vice President  
 Control Systems Activity  
 Computer Sciences Corp.  
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 Chief, Separation Processes Branch  
 Marshall Space Flight Center

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 Consultant  
 Washington, D.C.  
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 Boeing Commercial Aircraft Co.  
 Pierre Champagne  
 Director of Airport Planning  
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 Conway Publications  
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 School of Aeronautics and Astronautics  
 Purdue University  
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 University of California, Berkeley  
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 Gellman Research

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 Supervisor, Transportation Planning  
 Port of Oakland, Calif.  
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 Lambert St. Louis International Airport  
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 Director of Aviation  
 Dade County, Fla.  
 Alfred Kahn  
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 Department of Economics  
 Cornell University  
 Leonard Martin  
 Vice President, Passenger Services  
 Piedmont Airlines  
 Dorn McGrath  
 Department of Urban and  
 Regional Planning  
 George Washington University  
 Sonny Najera  
 Director  
 Division of Aeronautics  
 State of Arizona  
 Edmund Nelle, Jr.  
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 Butler Aviation International  
 Jan Roskam  
 Ackers Distinguished Professor of  
 Aerospace Engineering  
 University of Kansas  
 William Supak  
 Aviation Director  
 Port of Portland, Oreg.  
 William Wilson  
 Vice President, Properties and  
 Facilities  
 Federal Express Corp.

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Regional Economic Development  
Advisory Panel**

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Chairman and Chief Executive Officer  
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Graduate School of Business  
Harvard University

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President  
Massachusetts Technology  
Development Corp.

Henry Cisneros  
Mayor, City of San Antonio, Texas

Ella Francis  
President  
Parkside Association of Philadelphia

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Gellman Research Associates, Inc.

Don Lee Gevirtz  
Chairman  
The Foothill Group, Inc.

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Science and Public Policy Advisor  
Office of the Governor  
State of North Carolina

Neal R. Pierce  
Contributing Editor  
National Journal

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Case Western Reserve University

John Stewart  
Assistant General Manager  
Tennessee Valley Authority

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National Association of Manufacturers

Thomas L. Yount, Jr.  
Commissioner of Employment Security  
State of Tennessee

**Assessment of U.S. Passenger Rail  
Technology**

**Workshop: Railcar Manufacturing**

Frank Cihac  
Director of Technical and Research  
Services  
American Public Transit Association

Ross Higginbotham  
Director of Car Engineering  
Mechanical Department  
Amtrak

George Krambles  
Consultant  
Oak Park, Ill.

Richard Sklar  
President  
Entertainment Express Corp.

Jeffrey Stayer  
Manager, Marketing, Planning, and  
Administration  
Westinghouse Electric

**Workshop: Magnetic Levitation**

Robert Borcherts  
Research Scientist  
Ford Motor Co.

Mike Daly  
Economic Development Director  
City of Las Vegas, Nev.

John A. Darling  
Director of Cost Analysis and Research  
Santa Fe Railway

Ross Higginbotham  
Director of Car Engineering  
Mechanical Department  
Amtrak

Roger Katz  
Research Section Head  
Sperry Corp.

George Krambles  
Consultant  
Oak Park, Ill.

Myles Mitchell  
Director, Office of Freight and  
Passenger Research and Development  
Federal Railroad Administration

Herbert Richardson  
Associate Dean of Engineering  
Massachusetts Institute of Technology

\* Deceased.

Richard Sklar  
President  
Entertainment Express Corp.  
William Wieters  
General Manager, Passengers Transport  
Conrail

***Workshop: Demand, Economic, and  
Institutional Considerations***

Ross Capon  
Executive Director  
National Association of Railway  
Passengers  
Steve Ditmeyer  
Director of Research and Development  
Burlington Northern Railroad  
John Fischer  
Transportation Analyst  
Economics Division  
Congressional Research Service  
Anthony Gomez-Ibanez  
Professor  
John F. Kennedy School of Government  
Harvard University  
Ross Higginbotham  
Director of Car Engineering  
Mechanical Department  
Amtrak  
Mike Mates  
Consultant  
Washington, D.C.

Arrego Mongini  
Deputy Associate Administrator  
Northeast Corridor Improvement  
Project  
Federal Railroad Administration  
Gordon Peters  
Senior Rail Transportation Specialist  
Rail Division  
New York State Department of  
Transportation  
Lenore Sek  
Transportation Analyst  
Economics Division  
Congressional Research Service  
Library of Congress  
Richard Sklar  
President  
Entertainment Express Corp.  
Saul Sokolsky  
Senior Engineer  
Aerospace Corp.  
Lou Thompson  
Acting Associate Administrator  
Passenger and Freight Services  
Northeast Corridor  
Federal Railroad Administration

# Appendix B

## OTA Act

Public Law 92-484  
92nd Congress, H. R. 10243  
October 13, 1972

### An Act

86 STAT. 797

To establish an Office of Technology Assessment for the Congress as an aid in the identification and consideration of existing and probable impacts of technological application; to amend the National Science Foundation Act of 1950; and for other purposes.

*Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,* That this Act may be cited as the "Technology Assessment Act of 1972".

Technology  
Assessment Act  
of 1972.

#### FINDINGS AND DECLARATION OF PURPOSE

**SEC. 2.** The Congress hereby finds and declares that:

(a) As technology continues to change and expand rapidly, its applications are-

(1) large and growing in scale; and

(2) increasingly extensive, pervasive, and critical in their impact, beneficial and adverse, on the natural and social environment.

(b) Therefore, it is essential that, to the fullest extent possible, the consequences of technological Replications be anticipated, understood, and considered in determination of public policy on existing and emerging national problems.

(c) The Congress further finds that :

(1) the Federal agencies presently responsible directly to the Congress are not designed to provide the legislative branch with adequate and timely information, independently developed, relating to the potential impact of technological applications, and

(2) the present mechanisms of the Congress do not and are not designed to provide the legislative branch with such information.

(d) Accordingly, it is necessary for the Congress to-

(1) equip itself with new and effective means for securing competent, unbiased information concerning the physical, biological, economic, social, and political effects of such applications; and

(2) utilize this information, whenever appropriate, as one factor in the legislative assessment of matters pending before the Congress, particularly in those instances where the Federal Government may be called upon to consider support for, or management or regulation of technological applications.

#### ESTABLISHMENT OF THE OFFICE OF TECHNOLOGY ASSESSMENT

Sec. 3. (a) In accordance with the findings and declaration of purpose in section 2, there is hereby created the Office of Technology Assessment (hereinafter referred to as the "Office") which shall be within and responsible to the legislative branch of the Government.

(b) The Office shall consist of a Technology Assessment Board (hereinafter referred to as the "Board") which shall formulate and promulgate the policies of the (Office, and a Director who shall carry out such policies and administer the operations of the Office.

Technology  
Assessment  
Board.

(c) The basic function of the Office shall be to provide early indications of the probable beneficial and adverse impacts of the applications of technology and to develop other coordinate information which may assist the Congress. In carrying out such function, the Office shall :

Duties.

(1) identify existing or probable impacts of technology or technological programs;

- (2) where possible, ascertain cause-and-effect relationships;
- (3) identify alternative technological methods of implementing specific programs;
- (4) identify alternative programs for achieving requisite goals;
- (5) make estimates and comparisons of the impacts of alternative methods and programs;
- (6) present findings of completed analyses to the appropriate legislative authorities;
- (7) identify areas where additional research or data collection is required to provide adequate support for the assessments and estimates described in paragraph (1) through (5) of this subsection; and

(8) undertake such additional associated activities as the appropriate authorities specified under subsection (d) may direct.

(d) Assessment activities undertaken by the Office may be initiated upon the request of

- (1) the chairman of any standing, special, or select committee of either House of the Congress, or of any joint committee of the Congress, acting for himself or at the request of the ranking minority member or a majority of the committee members;
- (2) the Board; or
- (3) the Director, in consultation with the Board.

Information, availability.

(e) Assessments made by the Office, including information, surveys, studies, reports, and findings related thereto, shall be made available to the initiating committee or other appropriate committees of the Congress. In addition, an such information, surveys, studies, reports, and findings produced by the Office may be made available to the public except where-

- (1) to do so would violate security statutes; or
- (2) the Board considers it necessary or advisable to withhold such information in accordance with one or more of the numbered paragraphs in section 552(b) of title 5, United States Code.

81 Stat. 54.

TECHNOLOGY ASSESSMENT BOARD

Membership.

**SEC. 4.** (a) The Board shall consist of thirteen members as follows:

- (1) six Members of the Senate, appointed by the President pro tempore of the Senate, three from the majority party and three from the minority party;
- (2) six Members of the House of Representatives appointed by the speaker of the House of Representatives, three from the majority party and three from the minority party; and
- (3) the Director, who shall not be a voting member.

Vacancies,

(b) Vacancies in the membership of the Board shall not affect the power of the remaining members to execute the functions of the Board and shall be filled in the same manner as in the case of the original Appointment.

Chairman and vice chairman.

(c) The Board shall select a chairman and a vice chairman from among its members at the beginning of each Congress. The vice chairman shall act in the place and stead of the chairman in the absence of the chairman. The chairmanship and the vice chairmanship shall alternate between the Senate and the House of Representatives with each Congress. The chairman during each even-numbered Congress shall be selected by the Members of the House of Representatives on the Board from among their number. The vice chairman during each

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Congress shall be chosen in the same manner from that House of Congress other than the House of Congress of which the chairman is a Member.

(d) The Board is authorized to sit and act at such plain and times during the sessions, recesses, and adjourned periods of Congress and upon a vote of a majority of its members, to require by subpoena or otherwise the attendance of such witnesses and the production of such books, papers, and documents, to administer such oaths and affirmations, to take such testimony, to procure such printing and binding, and to make such expenditures, as it deems advisable. The Board may make such rules respecting its organization and procedures as it deems necessary, except that no recommendation shall be reported from the Board unless a majority of the Board assent. Subpenas may be issued over the signature of the chairman of the Board or of any voting member designated by him or by the Board, and may be served by such person or persons as may be designated by such chairman or member. The chairman of the Board or any voting member thereof may administer oaths or affirmations to witnesses.

Meetings.

Subpena.

## DIRECTOR AND DEPUTY DIRECTOR

SEC. 3. (a) The Director of the Office of Technology Assessment shall be appointed by the Board and shall serve for a term of six years unless sooner removed by the Board. He shall receive basic pay at the rate provided for level III of the Executive Schedule under section 5314 of title 5, United States Code.

Appointment.

Compensation.

83 Stat. 863.

(b) In addition to the powers and duties vested in him by this Act, the Director shall exercise such powers and duties as may be delegated to him by the Board.

(c) The Director may appoint with the approval of the Board, a Deputy Director who shall perform such functions as the Director may prescribe and who shall be Acting Director during the absence or incapacity of the Director or in the event of a vacancy in the office of Director. The Deputy Director shall receive basic pay at the rate provided for level IV of the Executive Schedule under section 5315 of title 5, United States Code.

(d) Neither the Director nor the Deputy Director shall engage in any other business, vocation, or employment than that of serving as such Director or Deputy Director, as the case may be; nor shall the Director or Deputy Director, except with the approval of the Board, hold any office in, or act in any capacity for, any organization, agency, or institution with which the Office makes any contract or other arrangement under this Act.

Employment restriction.

## AUTHORITY OF THE OFFICE

SEC. 6. (a) The Office shall have the authority, within the limits of available appropriations, to do all things necessary to carry out the provisions of this Act, including, but without being limited to the authority to--

(1) make full use of competent personnel and organizations outside the Office, public or private, and form special ad hoc task forces or make other arrangements when appropriate;

(2) enter into contracts or other arrangements as may be necessary for the conduct of the work of the Office with any agency or instrumentality of the United States, with any State, territory,

Contracts.

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86 STAT. 800	or possession or any political subdivision thereof, or with any person, firm, association, corporation or educational institution, with or without reimbursement, without performance or other bonds, and without regard to section 3709 of the Revised Statutes (41 U.S.C. 5) ;
80 Stat. 499; 93 <i>sid.</i> 190.	(8) make advance, progress, and other payments which relate to technology assessment without regard to the provisions of section 3648 of the Revised Statutes (31 U.S.C. 529); 4 accept and utilize the services of voluntary and uncompensated personnel necessary for the conduct of the work of the Office and provide transportation and subsistence as authorized by section 5703 of title 5, United States Code, for persons serving without compensation; (5) acquire by purchase, lease, loan, or gift, and hold and dispose of by sale, lease, or loan, real and personal property of all kinds necessary for or resulting from the exercise of authority granted by this Act; and (6) prescribe such rules and regulations as it deems necessary governing the operation and organization of the Office.
Recordkeeping.	(b) Contractors and other parties entering into contracts and other arrangements under this section which involve costs to the Government shall maintain such books and related records as will facilitate an effective audit in such detail and in such manner as shall be prescribed by the Office, and such books and records (and related documents and papers shall be available to the Office and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination. (c) The Office in carrying out the revisions of this Act shall not, itself, operate any laboratories, pilot plants or test facilities.
Agency cooperation	(d) The Office is authorized to secure directly from any executive department or agency information, suggestions, estimates, statistics, and technical assistance for the purpose of carrying out its functions under this Act. Each such executive department or agency shall furnish the information, suggestions, estimates, statistics, and technical assistance directly to the Office upon its request.
Personnel detail.	(e) On request of the Office, the head of any executive department or agency may detail, with or without reimbursement any of its personnel to assist the Office in carrying out its functions under this Act. (f) The Director shall, in accordance with such policies as the Board shall prescribe, appoint and fix the compensation of such personnel as may be necessary to carry out the provisions of this Act.
ESTABLISHMENT OF THE TECHNOLOGY ASSESSMENT ADVISORY COUNCIL	
Membership.	<b>SEC. 7. (a) The Office shall establish a Technology Assessment Advisory Council (hereinafter referred to as the "Council"). The Council shall be composed of the following twelve members:</b> (1) ten members from the public, to be appointed by the Board, who shall be persons eminent in one or more fields of the physical, biological, or social sciences or engineering or experienced in the administration of technological activities, or who may be judged qualified on the basis of contributions made to educational or public activities; (2) the Comptroller General; and (3) the Director of the Congressional Research Service of the Library of Congress.

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86 STAT. 801

- (b) The Council, upon request by the Board, shall—
- (1) review and make recommendation to the Board *on* activities undertaken by the Office or on the initiation thereof in accordance with section 3(d);
- (2) review and make recommendations to the Board on the findings of any assessment made by or for the Office; and
- (3) undertake such additional related tasks as the Board may direct.
- (c) The Council by majority vote, shall elect from its members appointed under subsection (a) (1) of this section a Chairman and a Vice Chairman, who shall serve for such time and under such conditions as the Council may prescribe. In the absence of the Chairman in the event of his incapacity, the Vice Chairman shall act as Chairman.
- (d) The term of office of each member of the Council appointed under subsection (a) (1) shall be four years except that any such member appointed to fill a vacancy occurring prior to the expiration of the term for which his predecessor was appointed shall be appointed for the remainder of such term. No person shall be appointed a member of the Council under subsection (a) (1) more than twice. Terms of the members appointed under subsection (a)(1) shall be staggered so as to establish a rotating membership according to such method as the Board may devise.
- (e) (1) The members of the Council other than those appointed under subsection (a) (1) shall receive no pay for their services as members of the Council, but shall be allowed necessary travel expenses (or, in the alternative, mileage for use of privately owned vehicles and a per diem in lieu of subsistence at not to exceed the rate prescribed in sections 5702 and 5704 of title 5, United States Code), and other necessary expenses incurred by them in the performance of duties vested in the Council, without regard to the provisions of subchapter 1 of chapter 57 and section 5731 of title 5, United States Code, and regulations promulgated thereunder.
- (2) The members of the Council appointed under subsection (a) (1) shall receive compensation for each day engaged in the actual performance of duties vested in the Council at rates of pay not in excess of the daily equivalent of the highest rate of basic pay set forth in the General Schedule of section 5332(a) of title 5, United States Code, and in addition shall be reimbursed for travel, subsistence, and other necessary expenses in the manner provided for other members of the Council under paragraph (1) of this subsection.

Duties.

Chairman and Vice Chairman.

Term of office.

Travel expenses.

80 Stat. 498;  
83 Stat. 190.  
5 USC 5701.

Compensation.

## UTILIZATION OF THE LIBRARY OF CONGRESS

SEC. 8. (a) To carry out the objective of this Act, the Librarian of Congress is authorized to make available to the Office such services and assistance of the Congressional Research Service as may be appropriate and feasible.

(b) Such services and assistance made available to the Office shall include, but not be limited to, all of the services and assistance which the Congressional Research Service is otherwise authorized to provide to the Congress.

(c) Nothing in this section shall alter or modify any services or responsibilities other than those performed for the Office, which the Congressional Research Service under law performs for or on behalf

of the Congress. The Librarian is, however, authorized to establish within the Congressional Research Service such additional divisions, groups, or other organizational entities as may be necessary to carry out the purpose of this Act.

(d) Services and assistance made available to the Office by the Congressional Research Service in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Librarian of Congress.

#### UTILIZATION OF THE GENERAL ACCOUNTING OFFICE

Sec. 9. (a) Financial and administrative services (including those related to budgeting, accounting, financial reporting, personnel, and procurement) and such other services as may be appropriate shall be provided the Office by the General Accounting Office.

(b) Such services and assistance to the Office shall include, but not be limited to, all of the services and assistance which the General Accounting Office is otherwise authorized to provide to the Congress.

(c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the General Accounting Office under law performs for or on behalf of the Congress.

(d) Services and assistance made available to the Office by the General Accounting Office in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Comptroller General.

#### COOPERATION WITH THE NATIONAL SCIENCE FOUNDATION

SEC. 10. (a) The Office shall maintain a continuing liaison with the National Science Foundation with respect to-

(1) grants and contracts formulated or activated by the Foundation which are for purposes of technology assessment; and

(2) the promotion of coordination in areas of technology assessment, and the avoidance of unnecessary duplication or overlapping of research activities in the development of technology assessment techniques and programs.

Scientific  
programs,  
financing,  
92 Stat. 360.

(b) Section 3(b) of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1862(b)), is amended to read as follows:

64 Stat. 156;  
32 Stat. 365,  
42 USC 1873.

“(b) The Foundation is authorized to initiate and support specific scientific activities in connection with matters relating to international cooperation, national security, and the effects of scientific applications upon society by making contracts or other arrangements (including grants, loans, and other forms of assistance) for the conduct of such activities. When initiated or supported pursuant to requests made by any other Federal department or agency, including the office of Technology Assessment, such activities shall be financed whenever feasible from funds transferred to the Foundation by the requesting official as provided in section 14(g), and any such activities shall be unclassified and shall be identified by the Foundation as being undertaken at the request of the appropriate official.”

#### ANNUAL REPORT

SEC. 11. The Office shall submit to the Congress an annual report which shall include, but not be limited to, an evaluation of technology assessment techniques and identification, insofar as may be feasible, of technological areas and programs requiring future analysis. Such report shall be submitted not later than March 15 of each year.

October 13, 1972

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Pub. Law 92-484

86 STAT. 803

## APPROPRIATION

Sac. 12. (a) To enable the Office to carry out its powers and duties, there is hereby authorized to be appropriated to the Office out of any money in the Treasury not otherwise appropriated, not to exceed \$5,000,000 in the aggregate for the two fiscal years ending June 30, 1973, and June 30, 1974, and thereafter such sums as may be necessary.

(b) Appropriations made pursuant to the authority provided in subsection (a) shall remain available for obligation for expenditure, or for obligation and expenditure for such period or periods as may be specified in the Act making such appropriations.

Approved October 13, 1972.

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LEGISLATIVE HISTORY:

HOUSE REPORTS: No. 92-469 (Comm. on Science and Astronautics) and

No. 92-1436 (Comm. of Conference).

SENATE REPORT No. 92-1123 (Comm. on Rules and Administration).

CONGRESSIONAL RECORD, Vol. 118 (1972):

Feb. 6, considered and passed House.

Sept. 14, considered and passed Senate, unended.

Sept. 22, Senate agreed to conference report.

Oct. 4, House agreed to conference report.