Phase behavior of colloidal superballs: Shape interpolation from spheres to cubes

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The phase behavior of hard superballs is examined using molecular dynamics within a deformable periodic simulation box. A superball’s interior is defined by the inequality $|x|^q+|y|^q+|z|^q \leq 1$, which provides a versatile family of convex particles ($q \geq 0.5$) with cubelike and octahedronlike shapes as well as concave particles ($q < 0.5$) with octahedronlike shapes. Here, we consider the convex case with a deformation parameter $q$ between the sphere point ($q = 1$) and the cube ($q = \infty$). We find that the asphericity plays a significant role in the extent of cubic ordering of both the liquid and crystal phases. Calculation of the first few virial coefficients shows that superballs that are visually similar to cubes can have low-density equations of state closer to spheres than to cubes. Dense liquids of superballs display cubatic orientational order that extends over several particle lengths only for large $q$. Along the ordered, high-density equation of state, superballs with $1 < q < 3$ exhibit clear evidence of a phase transition from a crystal state to a state with reduced long-ranged orientational order upon the reduction of density. For $q \geq 3$, long-ranged orientational order persists until the melting transition. The width of the apparent coexistence region between the liquid and ordered, high-density phase decreases with $q$ up to $q = 4.0$. The structures of the high-density phases are examined using certain order parameters, distribution functions, and orientational correlation functions. We also find that a fixed simulation cell induces artificial phase transitions that are out of equilibrium. Current fabrication techniques allow for the synthesis of colloidal superballs and thus the phase behavior of such systems can be investigated experimentally.

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I. INTRODUCTION

As the ability to control size, shape, and structure of nanoparticles [1–3] and colloids [4–6] improves, computer simulation and theory of hard-particle systems become increasingly important to the identification of technologically useful bulk properties. Hard convex particles have been used as models for simple atomic liquids and solids as a means to connect the particle shape and excluded volume to the entropy and to the equilibrium phase diagram of a system. The hard-sphere model has a rich history and continues to provide deep insights into fundamental physical phenomena [7–15]. However, nonspherical hard particles exhibit more complex phase behavior than hard spheres, since the possibility of anisotropic phases arises, including smectic, nematic, columnar, and cubic liquid crystals [16].

The cubic phase has garnered recent attention because, unlike other liquid-crystalline phases, it is characterized by ordering in three mutually perpendicular directions while the particles retain translational mobility [17]. Such unusual ordering may lead to novel optical, rheological, or transport properties. The cubic phase has been discovered in several hard-particle systems. Cut hard spheres of certain aspect ratios form small stacks that align perpendicularly to neighboring stacks [18]. The Onsager cross, a particle consisting of three thin rods aligned along orthogonal axes and intersecting at their midpoints [19] and tetrapods, hard bodies formed by four rods connected at tetrahedral angles [20], are examples of nonconvex particles that exhibit cubic ordering. The cubic phase also arises in systems of perfect tetragonal parallelepipeds [21] as well as systems of cuboids, nonconvex particles consisting of an array of tangent hard spheres that approximate tetragonal parallelepipeds [17,22]. Perfect tetragonal parallelepipeds have sharp corners and flat faces while the cuboid is “bumpy” to approximate friction. Monte Carlo simulation studies of these particles revealed a cubic phase, or parquet phase for aspect ratios other than 1:1:1, that arises between the liquid and crystal phases [17,21,22].

In this paper, we use molecular dynamics (MD) to investigate the equilibrium phase behavior and the onset of cubic ordering in systems of cubelike superballs. A superball is a centrally symmetric particle defined by [23]

$$|x|^q + |y|^q + |z|^q \leq 1,$$

where $x$, $y$, and $z$ are Cartesian coordinates and $q$ is the deformation parameter [24]. Superballs can take on concave shapes ranging from a cross ($q = 0$) to the convex octahedron ($q = 1/2$) to a sphere ($q = 1$) and finally to a cube ($q = \infty$). We focus on the “cubelike” range $1 \leq q \leq \infty$ to examine the interpolation from spheres to cubes and reveal the role of particle shape and curvature on cubic ordering. As $q$ is increased from unity, the particle takes on more cubelike characteristics as edges and corners sharpen while faces flat-
ten. Figure 1 displays several superballs with their principal axes for the deformation from unity to infinity.

For a system of superballs, the packing fraction is the fraction of space occupied by the particles, \( \phi = \rho v_{sb} \), where \( \rho \) is the number density, \( v_{sb} \) is the volume of a superball,

\[
v_{sb} = \frac{2}{q^2} B\left(\frac{1}{2q}, \frac{2q+1}{2q}\right) B\left(\frac{1}{2}, \frac{q+1}{q}\right),
\]

\( B(x,y) = \Gamma(x) \Gamma(y) / \Gamma(x+y) \), and \( \Gamma(x) \) is the Euler gamma function \([23]\). In this study, we do not consider the family of superballs for which \( q < 1 \). Superballs are well-suited to study the effect of the curvature of edges and corners. Although experimentalists have the ability to control shape and symmetry of colloidal particles, controlling the curvature may be a larger challenge. Scanning electron micrographs of nanoparticles reveal that edges and corners are not necessarily sharp like perfect hard polyhedra \([3]\). Therefore, understanding the effects of curvature of hard particles on the phase diagram is not only of fundamental interest, but also of practical importance.

In this study, we detail the phase diagram of hard superball systems as a function of \( q \) and \( \phi \) using molecular dynamics. To characterize the equilibrium phase behavior, it is useful to start a system in an equilibrium state and allow the particles to grow or contract (or equivalently, allow the simulation cell to shrink or expand). The ideal gas is a suitable initial condition to study low-density phases. Fast growth rates applied to liquids of hard superballs generate nonequilibrium, randomly jammed packings with novel characteristics \([25,26]\). However, slow growth rates can also result in nonequilibrium glasses and defective crystals and can limit access to complex, high-density equilibrium phases.

The densest-packing arrangement is a suitable initial condition for high-density phases since this arrangement minimizes free energy for a hard-particle system. This study was motivated by and made possible by the recent development of optimal packings for the entire family of superballs \([23,27]\). Jiao et al. determined the densest known, and likely optimal, packings of superballs \([23]\) and superdisks, the two-dimensional analog \([28]\). The optimal packings of spheres were proved rigorously only recently \([29]\) and advances in the densest-known packings of aspherical particles, including ellipsoids \([30]\) and the Platonic and Archimedean solids \([31,32]\), will allow researchers to explore the entire phase diagram of these hard particles.

The low-density and liquid-crystalline phases of many hard-particle systems have received significant research attention including those for cylinders \([33]\), spherocylinders \([34]\), ellipsoids \([35–38]\), cut spheres \([18,39]\), tetragonal parallelepipeds \([21]\), and parallel superellipsoids, a perturbation from ellipsoids to cylinders \([40]\). However, for many of these shapes, the optimal packings are yet to be identified (e.g., ellipsoids \([30]\)) and, therefore, exploring the high-density equilibrium phases remains challenging.

In the following, we examine the liquid equation of state (EOS), structure of the liquid phase, and the virial expansion. For ordered, high-density phases of superballs, we examine the crystal branch EOS and use suitable order parameters and correlation functions to characterize the entropy-driven phase transitions and cubic ordering. We find that:

(i) Excluded volume effects are dominated by edges and corners (Sec. IV A).

(ii) There exists a phase transition along the ordered, high-density branch of the EOS that is associated with changes in long-ranged orientational order (Sec. IV B).

(iii) The extent of orientational order increases with \( q \) at all densities (Sec. V).

(iv) Fixed system boundaries can produce apparent phase transitions in the ordered, high-density systems (Appendix).

The remainder of this paper is organized as follows. We present the deformable box molecular-dynamics methodology, order parameters, and correlation function in Sec. II and review the equations of state for the hard-sphere and hard-cube systems in Sec. III. In Sec. IV A, we compare the virial expansion and approximate equations of state to simulation data. In Sec. IV B, we present the EOS for the ordered, high-density, and crystal phases as generated with deforming box MD simulations and show the onset of cubic ordering as a function of \( q \). The freezing transitions are examined in Sec. IV C while the phase diagram is illustrated in Sec. IV D. The structural characteristics are investigated in Sec. V and discussions are provided in Sec. VI. In the Appendix, we discuss the role of system geometry on the isotropy of the internal stresses, particularly how fixed boundaries can induce apparent, misleading phase transitions.

II. METHODS

A. Molecular dynamics

Simulation studies of the phase behavior of nonspherical particles typically use Monte Carlo simulation methods, primarily due to the simplicity of implementation. One drawback of Monte Carlo methods is the difficulty in achieving collective motion among the particles, which is often an
important characteristic in systems of anisotropic phases. To generate the pressure equations of state for cubelike superballs, we use the Donev-Torquato-Stillinger (DTS) molecular-dynamics algorithm [41–43]. The DTS algorithm generalizes the Lubachevsky-Stillinger sphere-packing algorithm [44] to nonspherical, convex particles including superballs and ellipsoids. In this algorithm, particles are allowed to grow at a specified nondimensional rate $\gamma$ (or contract for $\gamma<0$) or, equivalently, the system is compressed (or expanded). Contact between particles is predicted using generalized overlap potentials.

The algorithm allows for shape deformation of the boundary using an approach similar to Parrinello-Rahman MD [45]. In Parrinello-Rahman MD, the “coordinates” of the simulation cell are continuously driven by the internal stresses of the system which are directly related to particle interactions. However, in an event-driven simulation of hard particles, particles only interact upon contact and cannot directly interact with the cell. In this paper, a Parrinello-Rahman-like algorithm is employed where the “velocities” of the lattice vectors are updated after a certain number of collisions based on the anisotropy of the stress tensor [41,43]. The mass assigned to the cell is equal to that of the total mass of the particles.

In the work presented here, we have verified that the pressure tensor remains isotropic on average when employing the deforming box algorithm. Although this algorithm may not rigorously sample an isostress or constant-pressure ensemble, it is a reasonable approximation. We note that simulations using fixed boundaries exhibited pressure tensors that were anisotropic and gave rise to nonequilibrium phase behavior as detailed in the Appendix.

We limit our study to $q \leq 4$ since the algorithm is numerically unstable for $q > 4.0$ [43]. Periodic boundary conditions were employed. The reduced pressure is defined as $Z = p/k_BT$, where $p$ is the system’s pressure, $\rho$ is the number density, and $k_BT$ is the usual energy scale for hard-particle systems. Particles are of unit “diameter,” the surface-to-surface distance of a chord along one principal axis (i.e., the shortest chord).

To obtain the liquid EOS, particles were placed randomly in a low-density configuration inside a cubic box. They were given random linear and angular velocities and allowed to grow at a specified rate $\gamma$ until the system reached a defined pressure. For the crystal branch, particles were initialized in a slightly expanded form of the densest lattice configuration, with the number of particles $N$ chosen to be commensurate with the lattice, assigned random linear and angular velocities, and simulated using a contraction rate $\gamma<0$.

The densest-known packings of cubelike superballs occur in one of two families of Bravais lattices, denoted as $C_0$ and $C_1$ [23]. For $1 \leq q \leq 1.1509$, the densest packings of superballs are achieved with the $C_0$ lattice, a perturbation of the fcc lattice. For superballs with $q \approx 1.1509$, the $C_1$ lattice, a deformation of the simple-cubic lattice, represents the densest arrangement. Since the MD algorithm is slow at high densities due to the high frequency of particle collisions, the initial crystal configurations were unsaturated, typically near 80% of the maximum possible packing fraction.

Growth rates in the range $10^{-6} \leq |\gamma| \leq 10^{-3}$ were utilized. The simulation data for spheres were compared to widely accepted data and we find that equilibrium was well approximated with $|\gamma| \leq 10^{-5}$. Obtaining a full sweep of the density at $|\gamma| > 10^{-5}$ required over two weeks of computation time with 1000 particles and therefore significantly slower growth rates over the entire density range were not practical.

We more closely examined parts of the phase diagram in which phase transitions were evident by running the algorithm with slower rates using near-equilibrium configurations as the initial conditions. In some cases, we averaged over constant-density (\(\gamma=0\)) MD trajectories of nearly $10^8$ collisions per particle. We find that the phase transitions in the $\gamma=0$ cases are slightly sharper than those in cases using slow growth or contraction rates but generally occur at the same densities. Growth rates of $\gamma > 10^{-3}$ usually produced jammed, metastable structures. These are explored in separate studies [25,26]. For each $q$, we obtained multiple, independent sweeps of the density for both the liquid and solid branches to examine the variability of the results. The results presented in this paper were obtained using 1000 particles. We have varied the system size between $N = 216$ and 1728.

It is important to point out that no simulation method can effectively determine rare events. Even detailed free-energy calculations may encounter the inability to find states associated with rare events. Unfortunately, hard-particle MD requires serial calculations and advances in the parallelization of these algorithms are required to produce longer trajectories. Until then, these algorithms are the most efficient use of computer resources and can complement Monte Carlo methods that lack the ability to capture dynamics of collective motion.

B. Quantifying order

Superballs have three equivalent principal, mutually orthogonal axes labeled $A$, $B$, and $C$. For each axis $j = A$, $B$, or $C$ of superball $i$, there is an associated unit vector $\mathbf{u}_{ij} = [u_{ijx}, u_{ijy}, u_{ijz}]$. For a nematic-forming system, there is at least one “director,” $\mathbf{n} = [n_x, n_y, n_z]$, which represents the most aligned direction in a system. For sufficiently anisotropic (large $q$) superballs, one might expect systems to have at least one nematic direction in a low-density phase and three orthogonal directions in a crystal phase.

Order parameters are useful to characterize the local and global order in a system of particles and several have been employed for particles with cubic symmetry [21]. We find that the nematic and cubic order parameters, $S_{2,j}$ and $S_4$, respectively, are the most useful scalar metrics for quantifying orientational order. For cubic ordering, a nematic order parameter can describe ordering in each direction $A$, $B$, and $C$. The nematic order parameter for a particular set of axes $j$, $S_{2,j}$, is defined as

$$S_{2,j} = \frac{1}{N} \sum_{n=1}^{N} \left( \frac{3}{2} u_{ij} \cdot n_j^2 - \frac{1}{2} \right),$$

where $N$ is the number of particles, $\mathbf{u}_j$ is a set of particle axes, and $\mathbf{n}$ is the director for direction $j$. The solution to Eq. (3) can be found by solving the eigenvalue problem $A_n \mathbf{n} = \lambda \mathbf{n}$, where
The local alignment between “neighbor” particles can be characterized by the angular distribution function $f(\theta)$ defined for $0^\circ \leq \theta < 180^\circ$. The probability of finding two neighbor particles whose axes are aligned at an angle between $\theta$ and $\theta + d\theta$ is given by $f(\theta)d\theta$. We consider two particles to be neighbors if they are separated by less than 1.35 diameters, since for most particles, this is between the first and second neighbor shells of the associated crystal. However, $f(\theta)$ is relatively unaffected by small variations of the cutoff radius. For the perfect crystal of cubelike particles, $f(\theta) = \frac{1}{2} \delta(\theta) + \frac{1}{2} \delta(\theta - 90^\circ)$, where $\delta(\theta)$ is the Dirac delta function. At equilibrium, $f(\theta)$ is symmetric about $\theta = 90^\circ$.

The order parameters derived from the spherical harmonics [46] were calculated but do not add significantly to our analysis. The use of a different reference crystal for each $q$ precludes our ability to compare superballs at different $q$. Also, changes in these order parameters as a function of the crystal melted. This confirms that lower-dimensional translational order, such as that found in columnar or smectic phases, was never present.

C. Virial coefficients

The virial expansion for hard particles in terms of reduced pressure $Z$ is given by

$$Z = \frac{p}{\rho kT} = 1 + \sum_{i=2}^{\infty} (B_i/v^{i-1})\phi^i,$$

where $p$ is the pressure, $B_i$ is the $i$th virial coefficient, and $v$ is the volume of a single particle. For hard, convex particles, the second virial coefficient is known analytically as $B_2 = RS + v$ [47], where $R$ and $S$ are the radius of mean curvature and surface area of a particle, respectively. Evaluating analytic expressions for these quantities is highly nontrivial, as was the case for ellipsoids [48], but numerical calculation of the second and higher virial coefficients is straightforward given a suitable overlap function. We calculate the first few virial coefficients using Monte Carlo integration [8]. Trial configurations were generated using the method of Rees and Hoover [10]. For $B_2$, $1.5 \times 10^6$ random trial configurations were used. For $B_4$, random configurations were generated until $2 \times 10^6$ configurations satisfied the condition that particle 1 overlaps particle 2, 2 overlaps 3, and 3 overlaps 1. For $B_4$, random configurations were produced until $2 \times 10^6$ configurations satisfied the condition that particle 1 overlaps particle 2, 2 overlaps 3, 3 overlaps 4, and 4 overlaps 1. The standard deviations of ten subaverages were less than 0.5% of the virial coefficient. The algorithm was tested against known results for hard ellipsoids [49]. We have calculated hard-cube...
virial coefficients to a higher accuracy than in Ref. [50] using the separating axis theorem to check for overlaps.

III. REFERENCE SYSTEMS: HARD SPHERES AND HARD CUBES

Since superballs interpolate between a sphere and cube for \( q \) ranging from unity to infinity, we review the phase behavior of these reference systems. The hard-sphere liquid and crystal have been well-characterized by simulation and theoretical treatments. The hard-sphere EOS as generated by the DTS algorithm with \( \gamma = \pm 10^{-5} \) and \( q = 1 \) is shown in Fig. 2(a). Although the DTS algorithm does not achieve true equilibrium, which requires \( \gamma = 0 \) for long MD trajectories, the slow growth rate approximates equilibrium well. The DTS algorithm correctly produces the well-known and widely accepted Carnahan-Starling EOS for liquids.

The freezing and melting points of a hard-sphere system are \( \phi = 0.490 \) and \( \phi = 0.545 \), respectively, with a coexistence pressure \( Z = 11.48 \) [51]. In Fig. 2(a), one can see that the DTS algorithm produces a first-order phase transition at \( \phi = 0.551 \) to a partially crystalline system when starting the system in a random initial configuration and allowing the particles to grow slowly at \( \gamma = 10^{-5} \). This packing fraction is \( \phi = 0.545 \) and \( 0.52 \). Halving the growth rate to \( \gamma = 5 \times 10^{-6} \) (not shown in figure) resulted in a freezing event at \( \phi = 0.547 \). Starting in the FCC crystal arrangement and using \( \gamma = 10^{-5} \), the simulation data trace the Speedy EOS and the system shows a first-order transition at \( \phi = 0.495 \). The density at which the phase transitions occurred showed little variability, occurring between \( \phi = 0.496 \) and \( 0.498 \) across multiple runs. Halving the rate to \( \gamma = -5 \times 10^{-6} \) yielded a transition at \( \phi = 0.496 \). The algorithm evidently is appropriate for determining the densities at which phase transitions occur and identifying coexistence regions. The DTS algorithm, however, cannot explicitly identify the coexistence pressure, which requires extensive free-energy calculations. An equal-area construction of the pressure-volume equation of state may provide an approximation to the coexistence pressure.

There has been considerably less attention devoted to the hard-cube system. However, several studies have elucidated the EOS, phase transitions, and orientational ordering. Parallel hard cubes undergo a continuous melting transition from a crystal to a liquid [53, 54]. The EOS for parallel hard cubes has several gentle curvature changes but no evidence of a first-order phase transition [55].

However, we are interested in the EOS and phase transitions in systems of freely rotating hard cubes. John et al. obtained the phase diagram for freely rotating hard cubes by Monte Carlo methods [21] and their EOS is shown in Fig. 2(b). A first-order melting transition was first calculated to be between \( \phi = 0.45 \) and \( 0.52 \) [53]. More recent studies reveal a narrower coexistence region between an isotropic and a cubic phase to be in the region \( 0.437 \leq \phi \leq 0.495 \) [21]. More interestingly, John and co-workers suggested that there is a cubic-crystalline transition between \( \phi = 0.634 \) and 0.674. However, the nature of the transition was not well-characterized and necessitated free-energy calculations for verification [21]. The DTS algorithm is numerically unstable for superballs with large \( q \) and, therefore, these Monte Carlo calculations are the best available reference data.

IV. EQUATIONS OF STATE

A. Isotropic liquid phase

The low-order virial coefficients describe the low-density EOS of a system. The second, third, and fourth virial coefficients, shown in Fig. 3, monotonically increase with the de-

FIG. 3. The (a) second, (b) third, and (c) fourth virial coefficients for hard superballs fit to the equation \( W_i = a_i \exp(-b_i / q) + c_i \). Inset shows \( W_i = b_i \ln((\exp(c_i) - 1) / a_i) \).
formation parameter $q$. This is expected for $B_2$ and $B_3$ given that the cluster integrals involved in the calculations effectively measure excluded volume and a larger particle generally yields a larger excluded volume. The effects on $B_4$ and higher-order coefficients are not intuitively obvious, since these coefficients are not necessarily strictly positive for hard particles. The virial coefficients are closely approximated by an exponential equation

$$B_i / v^i = a_i \exp(-b_i/q) + c_i.$$  

The values of the parameters obtained by nonlinear least-squares regression are given in Table I. These parameters were the best obtained, though they are not guaranteed to be optimal due to the nonlinearity of the fit. The insets in Fig. 3 plot

$$W_i = -\frac{1}{b_i} \ln \left( \frac{B_i / v^i - c_i}{a_i} \right)$$  

versus $1/q$ to show that the scaling of $W_i$ is approximately linear with $1/q$, except near the sphere point $q=1$ where the fit tends to degrade.

A significant portion of the excluded volume evidently arises from the particles’ edges and corners. Sharpening of edges and corners increases the excluded volume in an isotropic phase faster than it increases the actual volume of a particle. When visually comparing a superball to a moderately large $q$ value, say $q=4$, to the perfect cube (see Fig. 1), one might surmise that because the two particles have such similar appearances, the two particles would have similar behavior in the liquid phase. However, when comparing $B_2 / v$, the relative excluded volume, for these two particle shapes, the superball with $q=4$ is closer to a sphere than to a cube. The edges and corners evidently are dominant features contributing to excluded volume effects.

Table I. Coefficients of fit for $B_i / v^i = a_i \exp(-b_i/q) + c_i$.

<table>
<thead>
<tr>
<th>$B_2 / v$</th>
<th>$B_3 / v^2$</th>
<th>$B_4 / v^3$</th>
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<tbody>
<tr>
<td>$a_i$</td>
<td>$b_i$</td>
<td>$c_i$</td>
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<tr>
<td>1.638</td>
<td>2.770</td>
<td>3.869</td>
</tr>
<tr>
<td>8.759</td>
<td>3.262</td>
<td>9.564</td>
</tr>
<tr>
<td>24.077</td>
<td>3.794</td>
<td>17.715</td>
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Figure 4(a) shows the simulation data for several $q$ values for low densities. We compare our simulation results to the Nezbeda EOS [56,57], a modification of the Carnahan-Starling EOS [12] for convex hard particles. Using only the nonsphericity parameter $a=RS/v$, the Nezbeda EOS is given as

$$Z = \frac{1}{1 - \phi} + \frac{3a\phi}{(1-\phi)^2} + \frac{3a^2\phi^2 - a(a - 5)\phi^3}{(1-\phi)^3}.$$  

The Nezbeda curve, Fig. 4(b), follows simulation data of superballs along the entire liquid branch for $q<2.5$. For $q \geq 2.5$, the Nezbeda curve follows the simulation data at low densities, slightly underestimates pressures at moderate liquid densities, and slightly overestimates pressures at higher densities. For example, with $q=2.5$, the Nezbeda curve is accurate for $\phi=0.2$, underestimates the pressure for $0.2 < \phi < 0.47$, and overestimates the pressure for $\phi \geq 0.47$. Using local polynomial fits to the simulation curves, we can compare the pressure values of the simulation curves to those of the Nezbeda equation of state. For $q=2.5$, $Z_{sim} - Z_{Nez}$ is less than 0.059 at $\phi=0.2$, while for $\phi=0.35$, $Z_{sim} - Z_{Nez}$ is less than 0.138. At $\phi=0.48$, $Z_{sim} - Z_{Nez}$ is about −0.086. As shown by the simulation data, superballs that are visually similar to cubes have pressures midway between that of spheres and that of cubes, demonstrating the effects of sharp edges and corners on the low-density EOS.

B. Ordered, high-density phases: Melting

To obtain the ordered, high-density equations of state, systems were initialized in the optimal lattice configuration and a contraction rate of $\gamma=-10^{-3}$ was applied until the system entered the fluid phase. Figure 5 illustrates the resulting equations of state for various values of $q$. As seen in the figure for $q \approx 3.0$, there exist two apparent phase transitions, which result in three distinct phases. We define these phases, going from highest density to lowest, as the crystal ($K$), cubic ($Q$), and liquid ($L$) phases. For $q > 3.0$, only one phase transition is evident from the pressure EOS, separating the $K$ and $L$ phases.

The $K$ phase is characterized by long-ranged translational and orientational orders, quantifiable by the appropriate order metrics and distribution functions. We characterize the $Q$ phase as having a moderate degree of long-ranged orientational order compared to the crystal phase. Particles are...
loosely braced and have an $S_4$ value above that of a dense liquid but less than that of a crystal, between values of 0.05 and 0.20. In this phase, crystalline translational order remains. While some have characterized the cubatic phase as having no long-ranged positional order [33], others also consider those phases with an “intermediate degree of translational order” as cubic phases [17,22]. We choose to use the latter definition of “cubatic,” since this was the definition employed for the use of hard cubes. The $L$ phase lacks long-ranged positional and orientational order.

The nematic and cubic order parameters associated with the ordered, high-density phases are shown in Fig. 6. In all cases, the curve for $S_2$ exhibited more noise than the corresponding $S_4$ curve, possibly due to the “relabeling” scheme. Regardless, the behavior of both order metrics follows closely with the pressure equations of state. When the pressure-density curve is discontinuous or shows a change in curvature, the order parameters exhibited a corresponding change.

Beginning in the densest crystal and moving down along the EOS, the slope of the reduced pressure is discontinuous at the $K$-$Q$ transition. This transition point initially occurs at high densities for small $q$. For increasing $q$, this transition occurs at lower densities and by $q=3.5$, the transition vanishes. As seen by the order parameters in Fig. 6, this transition results in a reduction in cubic ordering. While the $S_4$-density curve remains continuous at the transition, the slope does not, similar to the behavior of the pressure-density curve. Although the $Q$ phase has less orientational order than the $K$ phase, there remains significant long-ranged cubic order. At the melting transition from $Q$ to $L$ or $K$ to $L$, the nematic order parameters are discontinuous as shown in the inset of Fig. 6.

The $K$-$Q$ transition was observed in the EOS for $1.3 \leq q \approx 3.0$, however, we strongly suspect that similar transitions occur for smaller $q$ and high pressure. Unfortunately, simulating the system for $q=1.2$ and $\phi > 0.72$ proved challenging, both in the stability of the code and achieving near-equilibrium behavior. Because of the monotonic behavior of the location of the $K$-$Q$ transition with respect to $q$, we suspect this to continue for $q$ near the sphere point. For $q > 3.0$, we do not observe a $K$-$Q$ transition, although it is possible that a $K$-$Q$ phase transition exists but is of a higher order. Higher-order phase transitions were suggested for hard cubes [21], though in the case of nearly cubic superballs, there is no discontinuity in the cubic order parameters aside from the first-order transition associated with melting. In addition, we have shown that edges and corners play an important role in the liquid EOS and, therefore, it is unreasonable to expect that the high-density behavior of nearly cubic superballs ($q=4.0$) can deviate from that of hard cubes.

As the density is reduced in the $Q$ phase, the cubic order parameters smoothly decreases. At the $Q$-$L$ transition, the pressure jumps while $S_2$ and $S_4$ drop close to the values associated with random rotations. The $Q$-$L$ transition is clearly first order and is present for all $q$ tested. The density at which melting occurs increases monotonically from $\phi = 0.494$ for hard spheres up to 0.536 for $q=4.0$. While reducing the density along the high-density equation of state, the translational order appears to drop continuously. The peaks in $g_2(r)$ maintain crystal-like characteristics. We discuss translational order in greater details in the next section. Figure 7 shows a representative sample of the system at several densities in the $K$ and $Q$ phases. The decreased orientational order associated with the $Q$ phase is not easily distinguishable from the visual inspection but is clearly evident by the order parameters.

The decrease in the density associated with the $K$-$Q$ phase transition for increasing $q$ is attributed to the broadness of the edges and corners of particles as they relate to particle rotations. For each $q$ in the $K$ phase, particles are braced against rotations. Particle rotations require large local fluctuations in the density to allow for particle flips. As the relative lattice spacing is increased, the rotational mobility of the particles increases. With increasing $q$, particles have sharper
corners that are more effective in bracing against rotations for larger density ranges. The required local volume necessary for particle rotations increases with more cubelike shape and, therefore, we observe a larger $K$ phase for increasing $q$.

The increased rotational mobility of the $Q$ phase also imparts larger internal stresses than in the $K$ phase, as observed by the greater slope of the pressure-density curve in the $Q$ phase than the $K$ phase at the $K$-$Q$ transition. In Sec. VI, we detail some of the tests we performed to ensure that these results were not related to system-size, boundary, or kinetic effects.

**C. Ordered, high-density phases: Freezing**

The freezing transitions for superballs were examined by applying a slow growth rate to particles initialized in a low-density liquid. Figure 8 shows the pressure as a function of packing fraction for two values of $q$ along with the crystal branch equation of state. In the figure, two growth rates are displayed, $\gamma=10^{-5}$ and $5 \times 10^{-6}$. We find that upon freezing, most systems order into a partially crystalline structure representative of a $L$-$Q$ transition. Across this transition, the pressure drops while the order parameters increase discontinuously. At high pressures, the particles increase the face-to-face contacts, but the nematic directors of the separate crystal regions destructively interfere. Therefore, the order parameters cannot achieve values as large as the equilibrium branch at the same density. The pressure of the partially crystalline phase is greater than that of the equilibrium structure, which is evident in Fig. 8. These systems have characteristics of translational order of a crystal but have a large grain boundary or vacancies. At very high pressures, the grains are eliminated by the shear deformation of the box. The structural characteristics of jammed superballs are detailed in Ref. [26].

The $Q$-$K$ transition is sensitive to the extent of crystallization occurring at the $L$-$Q$ transition. In several cases, the system slightly overshoots the $Q$-$K$ transition while in other cases, the system never shows signs of a $Q$-$K$ transition. One might expect that using slower growth rates would alleviate this phenomenon. However, as seen in Fig. 8(a), slower growth rates are not necessarily better at achieving the $Q$-$K$ transition and tracing the crystal branch EOS. The density at which the crystallization from the $L$ phase to the $Q$ phase occurs is relatively insensitive to the growth rate for the slow rates used in this study. It is of note that substantially faster growth rates produce amorphous, jammed structures [26].

For $q \approx 2.5$, we find that the initial freezing from a liquid phase occurs at a density higher than that of the $Q$-$L$ transition along the ordered, high-density branch which is shown in Fig. 8(b). In these cases, both growth rates, $10^{-5}$ and $5 \times 10^{-6}$, resulted in a second transition, presumably a $Q$-$K$ transition, and then approximated the curvature of the crystal branch. The pressure remained higher than that of the perfect crystal. The order parameters increase continuously after this freezing transition. For $q \approx 3.5$, we find that the $L$-$K$ transitions along the growth and contraction branches approach one another. It is possible that relaxation times are faster for these $q$ values and that transition to a liquid state is more easily achieved.

**D. Phase diagram**

As with the case of spheres, we consider the density region between the $L$-$Q$ transition of the growth branch and the
identified by the authors of Ref. /H20851

function $f$ diminishes within four particle diameters. Mutual alignment of neighboring particles increases sharply for increasing $q$.

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points along the cube line our simulations. The green diamonds represent the freezing crystal. It is the last density at which the crystal was stable in calculating their intersection. The red squares represent the regression of data points on either side of the transition and branch. The precise boundary was determined using a linear transition as found along the crystal transition branch lies at a density below the transition of the contraction branch to be coexistence. There are significantly around $q=2.5$. By $q=4.0$, the $K-L$ transition approaches the same density as the $L-K$ transition. There are

several possible explanations to the narrowing of the apparent coexistence region near $q=4.0$. We may not observe the possible coexistence region due to the relaxation times in the system. The free-energy barrier associated with melting may be reduced for increasing $q$ and thus the crystal may be difficult to stabilize in a coexistence region. Alternatively, we may be observing microphase separation where the melting into a liquid phase is preferable to maintaining the crystal phase. The system may also be large enough where certain domains of the system are liquid while others remain crystal, although we do not directly observe evidence for this phenomenon.

Detailed free-energy calculations are necessary to determine the precise phase boundaries, refine the approximation of the phase diagram shown in Fig. 9, and determine the coexistence pressures. One could approximate the coexistence pressure using an equal area construction of the two pressure branches. We expect that the coexistence pressures would be nonmonotonic in $q$ as well. The coexistence pressure for the sphere is $Z=11.48$ and for the cube, $Z \sim 12.5$. Using Fig. 8(b) as an example after rescaling the axes appropriately, one can estimate the coexistence pressure to be much higher than 12.5. Ultimately, the free-energy calculations would definitively determine such a conjecture.

V. STRUCTURAL CHARACTERISTICS

A. Liquid phase

The liquid phase of superballs lacks long-range orientational and translational orders but can show significant local order. Comparing systems at the same $\phi$ but for various values of $q$, we find that increasing $q$ yields increased local orientational order but diminished local translational order. The radial distribution function for dense liquids at various $q$ for the common $\phi=0.54$ is shown in Fig. 10(a). The first peak in the $g_2(r)$ is reduced with increasing $q$, likely due to the interaction anisotropy building up in the liquid. Because of the anisotropy of the particles, contacting neighbors are not restricted to $r=1$ as they are for spheres and thus the first peak in $g_2(r)$ is lower than that for spheres.

The strong peak in $G_4(r)$, defined by Eq. (6) at $r=1$ for all particle shapes, shown by $G_4(r)$ in Fig. 10(b), demonstrates that particles with cubelike shape have a strong preference to

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align orthogonally with contacting particles. Evidently, the existence of cubelike shape is sufficient to produce preferential cubatic alignment at contact, even for particles with \( q \) just above unity and whose cubelike shape is difficult to see visually. The degree of curvature determines the range of order in the liquid phase. For larger \( q \), the orientational correlations persist for up to three diameters. Sharper corners effectively “brace” particles against rotations. The angular distribution function \( f(\theta) \), Fig. 10(c), reinforces the notion of bracing. With sharper edges, nearest neighbors have a greater preference to align along mutually orthogonal directions in the liquid phase.

**B. High-density phases**

While \( S_4 \) measures the global orientational order in the system, the orientational correlation function \( G_4(r) \) provides insight in the locality of cubatic ordering. Figure 11 shows \( G_4(r) \) for several \( q \) values. For \( q = 1.5 \) in the \( K \) phase, Fig. 11(a) and \( \phi = 0.68 \), the long-ranged orientational order is evident by the large value of \( G_4(r) \) for nearly all \( r \). Particles with “face-to-face” contacts at \( r = 1 \) and those at pair distances associated with lattice sites are more strongly aligned than those that deviate from lattice sites. However, those pairs of particles that are separated by 1.35 diameters are misaligned, evidenced by \( G_4(r) \) which is nearly zero at this distance. Once the system enters the \( Q \) phase, orientational correlations remain local, although \( S_4 \) suggests there remains some global cubatic order. These local orientational correlations are slightly longer ranged than in the liquid phase, which results in a larger value of \( S_4 \).

For larger \( q \), we observe similar trends, though particles are better stabilized at all pair distances. The bracing of particles with sharper edges prevent rotations at the pair distance of 1.35 diameters. For \( q = 2.5 \), Fig. 11(b) shows that \( G_4(r) \) has an initial maximum and minimum, followed by weak maxima and minima associated with neighbor distances. The general shape of the \( G_4 \) curve is maintained through all densities associated with the \( K \) phase, aside from general loss of long-ranged order. In going from the \( K \) to \( Q \) phase, the long-ranged orientational correlations vanish in the same manner as that of \( q = 1.5 \). Figure 11(c) shows that the orientational correlations for \( q = 3.5 \) are similar to those at \( q = 2.5 \). Surprisingly, the contact value of \( G_4(r) \) was relatively unaffected by the density in all cases. The degree of curvature and density have little influence on the ordering at contact, but rather it is the presence of cubic symmetry that produces alignment at \( r = 1 \).

To further examine the local ordering environment, we used the angular distribution function \( f(\theta) \), shown in Fig. 12 for several \( q \). This measures the correlations between the alignments of principal axes for neighboring particles. Local cubatic ordering is present when the function has three maxima but has minimal cubatic ordering when there is a single maximum. Since the axes are labeled (i.e., all of the \( A \) axes are in the same direction), the crystal system will have an \( f(\theta) \) that is asymmetric in \( \theta \) at high densities. At equilibrium, particles will have flipped sufficiently to mix the labels and \( f(\theta) \) will become symmetric about 90°. The data shown in Fig. 12 illustrate that this symmetry is easily achieved at these densities even in the \( K \) phase.

**FIG. 11.** (Color online) Orientational correlation function \( G_4(r) \) for various \( \phi \). (a) \( q = 1.5 \), (b) \( q = 2.5 \), and (c) \( q = 3.5 \).

**FIG. 12.** (Color online) Angular distribution function \( f(\theta) \) for various \( \phi \). (a) \( q = 1.5 \), (b) \( q = 2.5 \), and (c) \( q = 3.5 \).
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For \( q = 1.5 \), Fig. 12(a) shows that superballs in the \( K \) phase maintain strong neighbor bracing. Orthogonal alignment among neighbors is the most probable alignment. Entering the \( Q \) phase reduces the peak at \( \theta = 90^\circ \) and eliminates the other local maxima. The shape of the curve is similar to that of the dense liquid phase.

For \( q = 2.5 \), Fig. 12(b) demonstrates that the \( K \) phase prevents nearly all neighboring particle axes from aligning at 45° since the depth of the local minima approach zero. As the \( K-Q \) transition is neared, \( \phi = 0.57 \), the shape of the curve changes distinctly as the local minima and maxima converge. For further increases in \( q \), Fig. 12(c) shows that the peaks become sharper and narrower, though the fundamental shape does not change.

The radial distribution function \( g_2(r) \) generally shows that translational order is maintained through the \( K \) and \( Q \) phases. The crystal-like characteristics, peaks in \( g_2(r) \), are evident but smear out gradually as the density is reduced. Figure 13 shows \( g_2(r) \) for various \( q \) and several densities. While the peaks in the liquid phase, Fig. 13(c) and \( \phi = 0.54 \), decay quickly to unity, the peaks in the \( K \) and \( Q \) phases do not appear to decay rapidly. Evident in \( g_2(r) \) for \( q = 2.5 \) and 3.5 is a split first peak that represents the subtle difference in pair distances of the first and second neighbors associated with the corresponding \( C_1 \) lattices. In addition, the particle distribution functions, in which the particle coordinates are projected onto a line perpendicular to the crystal planes, are completely periodic throughout the \( K \) and \( Q \) phases. This suggests that lower-dimensional translational order, such as that in columnar phases, was not present in either the \( K \) or \( Q \) phases.

VI. DISCUSSION

In this paper, we have determined the phase behavior of a general class of hard convex particles with shapes between a sphere and cube. We found that the degree of curvature plays a significant role in the cubic ordering and phase transitions. Despite seemingly similar visual appearance among particle shapes, subtle differences in the curvature can yield large changes in the EOS. In the liquid phase, edges and corners are the dominant features that contribute to excluded volumes, while in the crystal phase, they can brace against rotations.

Although MD has some advantages over Monte Carlo techniques, including the ability to simulate cooperative behavior more efficiently, there are always questions concerning kinetic effects and boundary effects. We have performed comprehensive tests to address and minimize these issues. The use of a deformable box helped to alleviate the possibility of anisotropic stresses and to reduce boundary effects. In each case, we verified that the pressure tensor remained isotropic throughout the simulations. The pressure tensor showed slight anisotropy immediately before the melting transition, likely due to the large stresses that build up and induce the transition. In all other cases, including \( K-Q \) transitions, the pressure tensor remained isotropic. We tested several system sizes within the limits of our computational capabilities and we found that the curvature of the EOS remains unchanged as the system size was increased. Smaller systems produced larger fluctuations and slight variations in the density of the melting transition compared to larger systems.

In addition, we tested systems in what we knew to be poor initial conditions. For example, for \( q = 2.0 \), we initialized the system in three distinct crystals—face-centered cubic (fcc), simple cubic (sc), and the \( C_1 \) crystal. The fcc and sc cases nearly converged to the pressure equation of state associated with the \( C_1 \) crystal. This supports the notion that we have used simulation conditions that can approximate the equilibrium phase behavior. The internal stresses of the fcc and sc simulations were relieved when the system shifted toward the \( C_1 \) crystal.

As with many simulation methodologies, kinetic effects are possible due to the inability to simulate long-time behavior. We tested several growth rates and found little variation among the EOSs generated for rates of \( \gamma \sim 10^{-5} \). Long, constant-density trajectories revealed slight variation in the densities associated with freezing and melting transitions, though not substantial enough to warrant concern. The curvatures of the EOS in the \( K \) and \( Q \) phases were insensitive to the growth rates \( \gamma \sim 10^{-5} \).

The primary extension of this work includes the refinement of the boundaries in the phase diagram. As we have shown in Fig. 9, the DTS algorithm reveals first-order phase transitions quite well. However, detailed free-energy calculations are needed in order to provide precise phase boundaries and identify higher-order phase transitions, if they exist. In addition, we have yet to explore the dynamic behavior in
hard superball systems. The diffusion coefficient may exhibit discontinuous jumps when crossing between $K$ and $Q$ phases. Additionally, rotational degrees of freedom play an important role in the glassy phase. Understanding the extent of curvature of corners and edges on the jamming characteristics has undergone initial exploration [26]. It may also be possible to manufacture colloidal particles with such controlled shape via photolithography or other synthetic techniques. Testing these systems for certain technologically relevant properties including wave scattering characteristics and rheology may reveal unusual behavior.

While we have used a particle-growth algorithm to understand the phase behavior of hard particles, particle-growth algorithms are often used to search for optimal particle packings. In our experience, allowing particles in a dense liquid to grow slowly generally produces a partially crystalline system. Our results identify one of the primary challenges associated with searching for optimal packing arrangements since these algorithms could hardly ever achieve the densest state. The relaxation times are far too long for computer simulations. This highlights the need for alternative methods to find dense packings of particles [23,28,31]. Only after finding the densest packings can researchers attempt to determine the entire equilibrium phase behavior of hard particles by applying particle contraction.

The application of overlap potentials to generalized convex particles [43] and the development of efficient MD algorithms [41,42] have made available the opportunity to explore more deeply how shape influences phase behavior. Along these lines, planned future work includes determining the onset of nematic, smectic, and possibly parquet phases of elongated superballs. This particular perturbation would allow one to explore the continuous evolution from ellipsoids to tetragonal parallelepipeds, which exhibit various types of liquid crystals for certain aspect ratios. With the tools available, one can determine, for example, where the crossover point is for the appearance of a parquet phase in a system of elongated superballs. Additionally, a study of parallel hard superellipsoids, a perturbation from spheres or ellipsoids to cylinders, showed the onset of a smectic phase [40]. With the addition of rotational degrees of freedom, this system would presumably exhibit a cubic or parquet phase depending on the deformation parameter. There are seemingly endless possibilities for hard-particle shapes, including polyhedra such as the Platonic and Archimedean solids [31,32], and perhaps a mathematical treatment of generalized particles with “superexponents” may be enlightening. It is possible that inverse statistical-mechanical techniques [58] could be used to find optimally shaped hard particles in order to obtain “targeted” thermodynamic phase behavior.

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APPENDIX: PHASE BEHAVIOR EFFECTS INDUCED BY SYSTEM GEOMETRY

Here we report on the importance of utilizing a deformable boundary in simulations of anisotropic particles. Because superballs are centrally symmetric objects, we expected that the pressure tensor would remain isotropic throughout simulations. The EOS for the liquid does not depend on the geometry of simulation box. The pressure tensor for the liquid is isotropic since particle rotations are random.

However, in high-density phases, we find that anisotropic stresses can build up when the boundaries are fixed, even in the case where $q$ is near unity. Figure 14 compares the equations of state generated using a fixed box and a deforming box for $q=1.3$ and $2.0$. For small $q$, the equations of state differ significantly in their curvature.

For $q \geq 1.4$, a fixed simulation box not only affects the curvature but also introduces a series of apparent first-order phase transitions. As seen in Fig. 14 for $q=2.0$, the system with a fixed simulation box has three apparent first-order phase transitions. These discontinuities along the branch are highly reproducible. Each apparent phase transition can be attributed to rotations of particles about certain axes. The highest-density transition corresponds to rotations about a single particle axis. At this density, there is enough average spacing between particles in the lattice to allow for rotations about a single axis. The middle transition corresponds to rotations about two particle axes while the lowest-
density transition on the crystal branch corresponds to free rotations about three particle axes. In general, the melting densities in the deforming box and fixed box differed slightly, with the deforming box having a lower melting density. In all cases, melting appeared to be a first-order phase transition.

Observing the elements of the pressure tensor as a function of density shows that anisotropic stresses build up as the system approaches each apparent phase transition. Deforming box simulations using the Parrinello-Rahman-like algorithm allow for these internal stresses to be relaxed away quickly, as they would occur in thermodynamic systems. Although the Parrinello-Rahman-like algorithm does not rigorously sample an isostress ensemble because the lattice vectors are not directly coupled to the particle interactions, it is a reasonable approximation.

[24] We use $q$ for the deformation parameter whereas previous literature used $p$ since we reserve that character to represent the pressure.
[52] Equation of state data were provided by Fernando Escobedo and were generated by Monte Carlo simulation of 1728 cubes in the isobaric-isothermal ensemble.