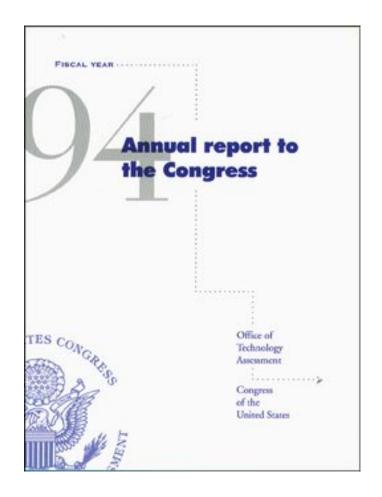
Annual Report to the Congress: Fiscal Year 1994

March 1995

OTA-A-544



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Edward M. Kennedy

it has been my distinct pleasure to serve again as OTA's Chairman during the 103d Congress.

My association with this agency goes back to its earliest days. I still feel a great deal of pride and excitement about the work the agency does. OTA continues to produce a steady stream of advice for the Congress—advice which always can be counted on to be competent, objective, and useful. This advice also has been impressive since it helps us with technology on a broad range of topics, such as education, defense, medicine, and transportation.

In a time when some have come to question the value of OTA, my own opinion is that without OTA the Congress will be a less informed place, making decisions knowing less, which is a position that few of our constituents would support. For a relatively small sum, OTA arms Members of Congress with high-quality advice on issue of enormous magnitude and cost—it's a bargain in my book.

I look forward to a continued association with OTA and its advisors, and to a vigorous future.

Neil E. Harl

Assessment Advisory Council during the past Congress. I would like to extend my particular thanks to Dr. Jim Hunt, who has served so ably as the Vice Chairman, and who will now succeed me as Chairman.

OTA is a unique resource to the Congress. In a period where some are questioning its value, I would like to extend my personal regards to the organization. OTA manages to put out a steady stream of high-quality analysis which is objective, thorough, and reliable.

As Congress faces a multitude of complex issues of public policy for the remainder of the 1990s, I hope it will continue to support this organization, which will be more needed than ever.

Roger C. Herdman

During fiscal year 1994 OTA underwent a sweeping restructuring that to some extent had been foreshadowed by events in FY 1993. The proposal for this restructuring was developed through extensive consultation with OTA staff at all levels. The organizational plan approved by OTA's Technology Assessment Board streamlined OTA's research activities from nine programs to six. This move followed similar streamlining in FY 1993, when three divisions were merged into two, and all administrative functions were placed under the direction of OTA's assistant directors to eliminate separate operations management.

A number of objectives have been achieved by this restructuring. OTA has responded to legislative branch budget policy by creating substantial economies by eliminating about 35 to 40 percent of senior management positions; these savings amount to approximately \$1.3 million annually. Also, the restructuring has permitted maintenance of "critical mass" during maximum workloads. In addition, eliminating a number of internal boundaries and establishing a culture of collaboration and sharing has improved cross-program and cross-discipline cooperation and reinforcement. It has become easy to recruit project teams from throughout the agency based on desirable skills and experience, regardless of the program home of any individual staff member; this has moved OTA toward matrix management. Also, larger research units provide greater diversity and enhance the capacity for staff to participate in multiple projects simultaneously. The result of all this is a more efficient and, of course, less expensive organization. There is further renewal in the agency in that seven of the current nine senior managers are new in their jobs, and the two who continue as program directors have new responsibilities insofar as their programs are changed and enlarged through restructuring.

Focus on Technology

In 1994 the Appropriations Committees **asked** OTA to consider ways in which the agency could focus more sharply on science and technology and ensure that work did not stray into other fields where it might duplicate the efforts of other support agencies. OTA responded promptly to these instructions from the Committees, designing a three-part approach that was approved by OTA's board on June 23, 1994.

- First, OTA strengthened the analysis that staff always undertake in preparing proposals to be taken to the Technology Assessment Board on work requested by Committees of Congress. Staff are required to review proposed responses to Committee requests in order to specify what technological applications and what societal impacts of such applications would be involved in the study and be included in the final report. Staff must identify what sections of OTA's enabling statute, P.L. 92-484, would support the proposed work. This analysis is set forth in a "technology page" included prominently in all proposals submitted to the Board for approval. In this way, staff and management identify and specify the technology content, and the Board is assured that this step has been undertaken and can review the documentation in the course of deciding on the appropriateness of the work for OTA. All proposals after the June Board meeting have included this "technology page." This has been helpful in focusing attention on the science and technology content of OTA work.
- Second, to improve the understanding of the Appropriations Committees and to enable them to better review and assess OTA's performance, the Committees were formally invited to a meeting of the Board staff with OTA and to a regular Board meeting. Staff of the Committees attended these meetings.
- Third, to expand requesting Committee understanding of OTA's role among the support agencies and to improve and refine requesters' focus on OTA as a science and technology agency, several brochures and other materials were prepared and made widely available in Congress.

PROCUCTIVITY LEVEL HIGH

In this time of change and restructuring, OTA succeeded in maintaining traditional levels of output. OTA delivered 51 publications to Congress, including 22 assessments, 27 background papers, and two administrative documents, and estified 38 times before Congressional Committees. OTA

ilso provided numerous special briefings and expert advice. As of September 30, 1994, 44 studies approved by the Technology Assessment Board and nine special responses were in progress. OTA's reports for the year covered the usual wide range of subject matter, and included a number which could be singled out as particularly of interest and use to Congress and the nation:

IN A TIME
OF CHANGE AND
RESTRUCTURING,
OTA SUCCEEDED
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TRADITIONAL
LEVELS OF

- The second of two studies on multinational corporations and the U.S. technology base was completed.

 OUTPUT

 The assessment provided careful analysis of the impact of multinational corporate policy on the development of technologies and high-technology manufacturing in this country and the linkage of foreign investment policy and trade balances, particularly in the case of Japan.
- As the health reform debate heated up over the course of the second session of the 103d Congress, OTA took an in-depth look at the strengths and weaknesses of economic projections in reports on understanding estimates of expenditures under health reform and questioned the reliability of such estimates and the likely validity of assumptions on the use of system technologies on which economic models are based.
- At the request of the Appropriations Committees, OTA also prepared a report on the Social Security Administration's massive computer procurement strategy. In essence, the report noted that SSA had not developed the service plan to effect the maximum value from the intelligent work station/local area network technical solution proposed. The Committees have held up some funding pending better descriptions and planning from SSA.
- > OTA investigated information security and privacy in network environments. This study took issue with the formulation of the federal decision regarding the "clipper chip" solution and probed the appropriateness of

federal decisions that may have an effect on private industrial capacity to develop security and to compete outside of the United States if federal governmental access to transmissions has been built into hardware.

- OTA issued a report on managed health care and competitive health care markets.
- A series of reports examined a variety of issues with respect to technologies underlying, and methods of control of, weapons of mass destruction.
- And the most recent in a series of OTA reports analyzing defense industry conversion assessed the potential for civil-military integration.

Many other reports could be highlighted in the areas of technology covered by OTA, including energy, the environment, health, international security, transportation, education, information technologies and telecommunications, and industrial competitiveness. We see complex issues involving science and technology continuing to come before Congress and the American people—issues that will need thoughtful, careful analysis. We look forward to continuing to serve as a shared resource for the Senate and the House, the Majority and the Minority, to help frame issues, to help inform the debate, and to provide useful options for resolution of the issues.

Organization and operations

The profound technological and scientific advances affecting all facets of the nation's future—from economic competitiveness in the new global economy, to the challenges of new communication technologies, to the changing needs of national security—are placing unprecedented and complex policy issues before Congress. The Office of Technology Assessment (OTA), a congressional support agency created by the Technology Assessment Act of 1972 [86 Stat.797], provides Congress with objective and extensive analyses of these issues.

With an analytical staff representing every major field of science and technology, OTA works directly for congressional committees. The small agency (about 200 employees) has two analytical divisions: 1) Industry, Commerce, and International Security; and 2) Health, Education, and the Environment. They include assessments grouped in the areas of energy, transportation, and infrastructure; industry, telecommunications, and commerce; international security and space; education and human resources; environment; and health.

Administrative offices support the analytical work of the agency. These offices handle budget and finance, contracts, information services, personnel, telecommunications and information systems, building services, and publishing.

The Congressional and Public Affairs Office handles much of OTA's congressional and press liaison work, relations with the Technology Assessment Board, and relations with the Technology Assessment Advisory Council. OTA publications are widely disseminated on Capitol Hill and are publicly available in both electronic and paper formats. Electronic versions of OTA publications, information about the agency, and news about work in progress are accessed by thousands of users worldwide. The agency's Information Center provides public access to the full collection of OTA

publications, including an on-line index, and the Publications Distribution Office handles public dissemination of OTA publications. (General information about OTA and availability of OTA publications is listed on page 60.)

OTA is governed by a 12-member bipartisan congressional Technology Assessment Board of six Senators and six Representatives, equally divided by party. In addition, a distinguished council of 10 leaders from science and technology, business and industry, and education provides advice.

OTA undertakes assessments at the request of any congressional committee Chairman. The Chairman may request the work personally, on behalf of a Ranking Minority Member, or on behalf of a majority of committee Members. The OTA Board may also request work, as can OTA's Director. In practice, most assessments are requested by the Chairman and the Ranking Minority Member of a committee, and many are supported by more than one committee. The Technology Assessment Board makes the final decision on whether OTA can proceed with an assessment and reviews all reports prior to their release.

Most of OTA's work concentrates on in-depth assessments that take one to two years to complete. Drawing on past and current work, OTA also meets immediate congressional needs with a variety of analytical support such as briefings, testimony, and special reports.

FISCAL YEAR 1994 ACTIVITIES

OTA continues, as it has since 1972, to follow the agenda set by the requests from committees of Congress and approved by its bipartisan Technology Assessment Board "as an aid in the identification and consideration of existing and probable impacts of technological application (P.L. 92-484)." Each year sees a shifting array of issues in science and technology to which OTA must respond, and which require a consistent approach and the delivery of accurate, complete, nonpartisan information, analysis and conclusions that can serve as a foundation for congressional deliberations and actions. OTA's reports help the Congress in the preparation of specific legislation, in deliberating from a general background and base of information and knowledge, and in providing oversight and monitoring of executive branch programs.

During FY 1994, OTA delivered 51 formal publications to Congress, including reports, background papers, and administrative documents. As of September 30, 1994, 44 approved studies and nine special responses were in progress. An integral aspect of OTA's assessments includes providing expert advice, briefings, testimony, and results of OTA research to committees throughout the projects. The

following are examples of OTA projects that had a significant impact in areas that are of vital interest to the Congress:

as technologies for counterproliferation, including preventive, active and passive measures.

Congress's concern about the future of the U.S. space launch industry and the uses to which satellites might be put has spawned a number of projects to provide Congress with information on ways to structure approaches to this industry, a vital national security sector.

TECHNOLOGICAL AND
SCIENTIFIC ADVANCES
AFFECTING THE
NATION'S FUTURE PLACE
UNPRECEDENTED
AND COMPLEX POLICY
ISSUES BEFORE
CONGRESS

Two reports have been released on multinational corporations and the U.S. technology base, exploring the role of multinational corporations in the development of technologies for American industry and the way that technology diffuses and is controlled through networks of multinationals and their subsidiaries. This analysis points out the major implications for U.S. trade and competitiveness of how and, most importantly, where advanced research is carried out and where the new technology is located. OTA continues to work on a related issue, commercialization of emerging technologies, and issues relevant to the conceptualization of a technology policy.

- In the 104th Congress, OTA's Energy Transportation, and Infrastructure Program will deliver work on *technological reshaping of metropolitan America*. New communications, information, and transportation production technologies have affected and will continue to affect the distribution and location of economic activity in America's cities and suburbs, and this in turn will need to be understood to direct the wise use of public and private transportation and other infrastructure investments. At the same time, *advanced automotive technologies* will play a role not only in urban and transportation policy but in energy and environmental policies as well.
- > OTA's Education and Human Resources Program is looking at the technologies useful to work-based learning and training and how these might make a difference in the employability of America's youth, their preparation to use

the technologies becoming so pervasive in the workplace, and the competitiveness of American industry. This program is also examining the full scope of *residential* design *technologies for elderly and disabled populations*, a study with particularly wide application. It has long been thought that facilities for these populations do not take full advantage of the technological potential for improvement in the care of patients.

- OTA's new Environment Program is more diverse than in the past, and its projects reflect this increased diversity. A great variety of impacts flow from the extensive nuclear contamination of the former Soviet Union, particularly in the Arctic, and this contamination of the waters of the northern oceans has major implications. An extensive assessment of the Arctic and other regional impacts from Soviet nuclear contamination is underway, examining a huge geographic area with severe contamination. Smaller projects undertaken include a look at the potential for biological pest control as the number of chemical pesticides available for agriculture diminishes.
- In support of health reform efforts which may be undertaken in the 104th Congress, OTA has delivered reports on international differences in health technology, services and economics and identifying health technologies that work, and has illuminated the strengths and usefulness of economic projections in reports on understanding estimates of expenditures under health reform. OTA is also researching impacts of antibiotic-resistant bacteria. The emergence of strains of common infectious bacteria that are resistant to all, or almost all, of the currently available antimicrobial drugs is becoming recognized as a significant threat to the public health. It is important for Congress to know the extent of this threat, the seriousness of the implications for health care, and the possible actions that might be taken to ameliorate the situation.

OTA's work in FY 1996 will continue to reflect the explicit needs of the committees of jurisdiction. The bipartisan, bicameral Technology Assessment Board will guide OTA's work with committees and shape the agency's agenda through the assessment proposal approval process. OTA serves as a shared resource for Congress, providing nonpartisan analysis of scientific and technological issues—issues intrinsic to all important policy issues-in a highly cost-effective way.

Industry, commerce, and international security division

The Industry, Commerce, and International Security Division comprises three research progams: Energy Transportation, and Infrastructure; Indstry, Telecommunications, and Commerce; and International Security and Space.

ENERGY, TRANSPORTATION, AND INFRASTRUCTURE are essential systems underpinning the nation's prosperity, security, and well-being. The Energy, Transportation, and Infrastructure (ETI) Program examines the role of technology in producing and using energy resources; designing, operating, and improving transportation systems; and constructing and maintaining infrastructure. Applications of materials to these issues, including the development of natural and manufactured material resources through extraction, processing, use, and recycling or waste management are also included in ETI's work. The program covers the export and import of energy, transportation, and infrastructure technologies, goods, and services, including energy fuels and efficiency. The program's work helps Congress develop policies for these systems that will sustain economic growth, global competitiveness, and international stability while minimizing adverse social, economic, and environmental impacts.

The Industry, Telecommunications, and Commerce (ITC) Program is responsible for assessments on technology and international industrial competitiveness, telecommunications and computing technologies, international trade, industry productivity, and related topics. ITC examines how technology affects the ability of U.S. industry to contribute to a healthy national economy. This includes consideration of the role of technology on competitiveness of U.S. industries in international markets; trade and economic development issues; the changing role of telecommunications and computing technologies in the nation's industry, commerce, and government; the effect of technology on the number and nature of employment opportunities in the U.S. economy; the effects of technological change on

jobs and training; and ways to ease adjustments in structural economic transitions brought about by technological change. The program also studies telecommunications regulation, information policy, and applications of information technology in the public sector.

OTA's work concerning technological aspects of national security, international security (as it concerns the U.S.), and space is pursued in the International Security and Space (ISS) Program. The program's security work focuses on implications of technology and technological change for national defense as well as international stability, arms control, arms proliferation, terrorism, and alliance relations. Assessments of issues related to the nation's defense industrial and technology base is an increasing part of ISS's work. The program's space work involves a broad range of issues, such as space transportation, earth observation, international cooperation and competition, exploration, use, and commercialization of space. The program's work has also ranged into areas such as law enforcement.

In FY 1994, the Industry, Commerce, and International Security Division published 13 assessment reports and six background papers.

➤ Global Change Research and NASA's Earth Observing System

Requested by:

House Committee on Science, Space, and Technology

Senate Committee on Commerce, Science, and Transportation

Senate Committee on Environment and Public Works

House Committee on Appropirations, Subcommittee on Veterans Affairs, Housing and Urban Development, and Independent Agencies

Senate Committee on Appropirations, Subcommittee on Veterans Affairs, Housing and Urban Development, and Independent Agencies

House Permanent Select Committee on Intelligence

➤ Industry, Technology and the Environment

Requested by:

House Committee on Energy and Commerce House Committee on Foreign Affairs Senate Committee on Finance Technologies Underlying Weapons of Mass Destruction

Requested by:

Senate Committee on Foreign Relations Senate Committee on Governmental Affairs House Permanent Select Committee on Intelligence

The Social Security Administration's Decentralized Computer Strategy Requested/y:

House Committee on Appropriations

Energy Efficiency in Federal Facilities: Update in Funding and Potential Savings

Requested by:

House Committee on the Budget

➤ Electronic Enterprises: Looking to the Future

Requested by:

House Committee on Science, Space, and Technology

Senate Committee on Commerce, Science, and Transportation

Export Controls and Nonproliferation Policy

Requested by:

Senate Committee on Foreign Relations Senate Committee on Governmental Affairs

➤ Power Sources for Remote Arctic Applications

Requested by: Senator Ted Stevens Senator Frank Murkowski

➤ Fueling Reform: Energy Technologies for the Former East Bloc

Requested by:

Senate Committee on Environment and Public Works

House Committee on Foreign Affairs House Committee on Energy and Commerce Senate Committee on Foreign Relations

> Saving Energy in U.S. Transportation

Requested by:

Senate Committee on Governmental Affairs Senate Committee on Energy and Natural Resources

House Committee on Energy and Commerce House Committee on Science, Space, and Technology

➤ Information Security and Privacy in Network Environments

Requested by:

Senate Committee on Governmental Affairs

Proliferation and the Former Soviet Union

Requested by:

Senate Committee on Foreign Relations Senate Committee on Governmental Affairs

 Remotely Sensed Data: Technology, Management, and Markets

Requested by:

House Committee on Science, Space, and . Technology

Senate Committee on Commerce, Science, and Transportation Civilian Satellite Remote Sensing: A Strategic Approach

Requested by:

House Committee on Science, Space, and Technology

Senate Committee on Commerce, Science, and Transportation

Assessing the Potential for Civil-Military Integration: Technologies,

Processes, and Practices

Requested by:

Senate Committee on Armed Services and its Subcommittee on Defense Technology, Acquisition, and Industrial Base House Committee on Armed Services

> Federal Research and Technology for Aviation

Requested by:

House Committee on Science, Space, and Technology

Endorsedby:

House Committee on Public Works and Transportation, Subcommittee on Aviation

➤ Multinationals and the U.S. Technology Base

Requestedby:

Senate Committee on Commerce, Science, and Transportation

Senate Committee on Banking, Housing, and Urban Affairs

Studies of the Environment Costs of Electricity

Requested by

House Committee on Science, Space, and Technology

 Virtual Reality and Technologies for Combat Simulation

Requested by:

House Committee on Armed Services
Senate Committee on Armed Services and its
Subcommittee on Defense Technology,
Acquisition, and Industrial Base

Health, education, and the environment division

The Health, Education, and the Environment Division comprises three programs: Education and Human Resources, Environment, and Health.

The Education and Human Resources (EHR) Program is responsible for studies of technologies for learning, and of science-grounded human resource topics. Education studies critically examine technologies of all kinds, for learners of all ages and abilities, and in all settings. Human resources studies deal with the costs, availability, effectiveness, and impacts of technologies in areas such as long-term care and disability services, housing for people with disabilities, and prevention of drug abuse.

The Environment (ENV) Program assesses scientific, technical, and policy issues related to the environment. Program areas include the use and conservation of renewable resources; pollution prevention, control, and remediation; and environmental health and risk management. Assessments have focused on such topics as agriculture; management of public lands; biological diversity; risk assessment methods and policy; air and water pollution; management of solid, hazardous, and nuclear wastes; and the effects of weather and climate change.

The Health (HLTH) Program's charter is reflected in three primary types of efforts: 1) assessments of specific clinical and general health care technologies, 2) studies of broader issues of health policy related to or with implications for technology, and 3) studies of applications, particularly to human health, of the biological and behavioral sciences (including biotechnology human molecular genetics, neurological sciences, and health-related behaviors). The program also is responsible for OTA's statutory, methodology oversight responsibilities regarding Vietnam veterans' health studies.

In addition, the division provides the staff work for the OTA Director's mandated responsibility to select and appoint members of the Prospective Payment Assessment Commission, the Physician Payment Review Commission, and the Advisory Panel on Alzheimer's Disease.

In FY 1994, the Health, Education, and the Environment Division published nine assessment reports and 21 background papers.

> Researching Health Risks

Requested/y:

House Committee on Energy and Commerce House Committee on Science, Space, and Technology

- Preparing for an Uncertain Climate, volume 1
- ➤ Preparing for an Uncertain Climate, volume 2

Requested&

Senate Committee on Environment and Public Works

Senate Committee on Commerce, Science, and Transportation

House Committee on Science, Space, and Technology

➤ International Health Statistics: What the Numbers Mean for the United States

Requested by:

House Committee on Ways and Means and its Subcommittee on Health

Psychiatric Disabilities, Employment and the Americans with Disabilities Act

Requested by:

Senate Committee on Labor and Human Resources

Endorsed by:

House Working Group on Mental Illness and Health

Testing and Assessment in Vocational Education

Requested/y:

House Committee on Education and Labor

➤ An Alaskan Challenge: Native Village Sanitation

Requested by:

Senator Ted Stevens, Member, Technology Assessment Board Understanding Estimates of National Health Expenditures Under Health Reform

Requested by:

Technology Assessment Board

Technical Options for the Advanced Liquid Metal Reactor

Requested by:

House Committee on Energy and Commerce, Subcommittee on Energy and Power

- ➤ Wage Record Information Systems
- Climate Treaties and Models: Issues in the International Management of Climate Change

Requested@

Senate Committee on Environment and Public Works

Senate Committee on Commerce, Science, and Transportation

House Committee on Science, Space, and Technology

➤ Managed Care and Competitive Health Care Markets: The Twin Cities Experience

Requested by:

Technology Assessment Board

Defensive Medicine and Medical Malpractice

Requested by:

House Committee on Ways and Means Senate Committee on Labor and Human Resources

House Committee on Appropriations

➤ Technologies for Understanding and Preventing Substance Abuse and Addiction

Requested/y:

Senate Committee on Labor and Human Resources

Senate Committee on Governmental Affairs House Committee on Government Operations ➤ Identifying Health Technologies That . Work: Searching for Evidence

Requested by:

Senate Committee on Labor and Human Resources

Endorsed by:

Technology Assessment Board

Perspectives on the Role of Science and Technology in Sustainable Development

Requested by:

House Committee on Foreign Affairs Senate Committee on Foreign AffFairs

Universal Health Insurance and Uninsured People: Effects on Use and Cost

Requested&

Senate Committee on Labor and Human Resources

Understanding Estimates of the Impact of Health Reform on the Federal Budget

Requested by:

Technology Assessment Board

> OTA Review:

The Medical Follow-Up Agency

Requested by:

House Committee on Veterans' Affairs Senate Committee on Veterans' Affairs

Public Information About Osteoporosis: What's Available, What's Needed?

Requestedby:

Senate Special Committee on Aging

- ➤ Tools for Evaluating Health
 Technologies—5 Background Papers
 - Using Patients' Reports to Evaluate Medical Outcomes
 - 2. Large Administrative Database Analysis
 - 3. Large and Small Randomized Trials

- 4. Meta-Analysis
- 5. Clinical-Economic Trials

&quested by:

Senate Committee on Labor and Human Resources

➤ The Department of Defense Kuwait Oil Health Fire Risk Assessment (The "Persian Gulf Veterans' Registry")

requeste by:

Mandated under Public Law 102-585

➤ International Comparisons of Administrative Costs in Health Care Requested by:

House Committee on Ways and Means and its Subcommittee on Health

Mental Disorders and Genetics: Bridging the Gap Between Research and Society

Rrquested by:

House Committee on Appropriations
House Committee on Energy and Commerce
House Committee on Science, Space, and
Technology

House Committee on Veterans Affairs Senate Committee on Commerce, Science, and Transportation, Subcommittee on Science, Technology, and Space

➤ Health Care Technology and Its Assessment in Eight Countries

Requested by:

House Committee on Ways and Means and its Subcommittee on Health

External Review of the Federal Centers for Disease Control and Prevention's HIV Prevention Programs

Requestedby:t

House Committee on Energy and Commerce, Subcommittee on Health and the Environment

OTA online

THE CONGRESSIONAL OFFICE OF TECHNOLOGY ASSESSMENT (OTA) has taken advantage of recent developments in information technologies to make its work widely available electronically. General information about the agency, electronic versions of OTA publications, and news about work in progress all are accessible online. You can retrieve this information in a variety of ways.

How to Access

OTA Online includes many common Internet features such as a World Wide Web (WWW) home page, an FTP collection of OTA report files and other information, and an electronic bulletin board (BBS) accessible via WWW or Telnet that permits file text searches. OTA Online is accessible through the following standard Internet tools:

➤ WWW: http://www.ota.gov

> FTP: otabbs.ota.gov, login as anonymous, password is

your e-mail address; publications are in the

/pub directory

Telnet: otabbs.ota.gov, login as public, password is public

Additional features of the BBS are available through client software with a graphical user interface for Microsoft Windows. This software is available free through the WWWV home page or by contacting the OTA Telecommunications and Information Systems Office. (202) 228-6000, or e-mail sysop@ota.gov. Soon the BBS will be available via telephone dial-in access. Questions or comments on Internet services should be directed by e-mail to netsupport@ota.gov

E -MAIL L ISTSERVER

OTA also maintains a free electronic mailing list known as OTANEWS which allows anyone with access to electronic mail on the Internet to receive notices of all OTA reports upon their release. To subscribe to OTANEWS, address an electronic mail message to listserv@ota.gov. Leave the subject line blank. In the text of the message, type: subscribe otanews [your name]. For example, Jane Smith would type:

SUBSCRIBE OTANEWS JANE SMITH

After you have sent the message, you will receive confirmation that your subscription has been entered. If you encounter difficulties, send an e-mail message to postmaster@ota.gov

Work in progress

More than 44 projects were in progress during fiscal year 1994. In addition, 24 new studies were undertaken.

This section lists the tides of assessments underway or in press, as of September 30, 1994. For a full description of these projects, please refer to the current "Assessment Activities," OTA-PC-1O5. This pamphlet is available from OTA by calling the Publications Distribution Office, (202) 224-8996.

INDUSTRY, COMMERCE, AND INTERNATIONAL SECURITY

- Energy, Transportation, and Infrastructure Program

 Advanced automotive technologies

 Renewing our energy future: research, development and
 commercialization of renewable energy technologies

 Reducing earthquake damage: research, development, and application
 International collaboration in large science and technology projects

 Cities, technology, and infrastructure
- Industry, Telecommunications, and Commerce Program
 Commercialization of emerging technologies
 Technology, jobs and productivity in the service economy
 Information technology and the health care system
 Wireless technologies and the national information infrastructure
 Telecommunications technology and Native Americans:

 opportunities and challenges

 Information technologies for control of money laundering
 Global communications policy: issues and technology
 Development and diffusion of innovative environmental technologies

International Security and Space
 Program
 Defense modeling and simulation
 Proliferation of weapons of mass
 destruction

Assessing future space launch industry alternatives

Technologies for tracking ammuni tion and for controlling the inadvertent use of handguns

Countering proliferated weapons of mass destruction

International peace support operations

HEALTH, EDUCATION, AND THE ENVIRONMENT DIVISION

Education and Human Resources Program

Teachers and technology

The human genome project and patenting human DNA sequences

Eligibility criteria for a federally mandated long-term care program

Technology and work-based learning

Residential design technologies for elderly and disabled populations

Environment Program

New approaches to environmental regulation

Agriculture, trade and the environment

Aquaculture: food and renewable resources from U.S. waters
Biological pest control
Risks to students in school

OSHA's selection of control
technologies and assessments
of their impacts and costs
Arctic and other regional impacts
from soviet nuclear
contamination

Technologies to protect fish at dams

Technologies for testing and review of chemicals in commerce

An Assessment of the agricultural research system and conservation program

Safety of rotary kiln incineration systems at Superfund sites and possible alternative technologies

Health Program

Regulatory and health assessment of dietary supplements

Monitoring of mandated veteran studies

Federal response to AIDS: congressional issues

Improving the distribution of the medical workforce

Technology, insurance, and the health care system

International differences in health technology, services and economics

Policy issues in the prevention and treatment of osteoporosis

Impacts of antibiotic-resistant bacteria

Appointment and monitoring of the Prospective Payment Assessment Commission and the Physician Payment Review Commission

Technology assessment advisory council*

THE TECHNOLOGY ASSESSMENT ADVISORY COUNCIL (TAAC) was established by OTA's statute, and members are appointed by OTA's Congressional Technology Assessment Board (TAB). The Council advises TAB and the Director on issues and other matters related to science, technology, and technology assessment.

JAM ES H U NT, CHAIRMAN

Dr. Hunt is Distinguished Professor, Health Sciences Center, at the University of Tennessee in Memphis. He previously served as Chancellor of the Health Science Center and as the Dean of Medicine for the University. Prior to joining the University, he served as Chairman of the Department of Medicine at the Mayo Clinic.

MAX LEN NON, VICE CHAIRMAN

Dr. Lennon is President and CEO of Eastern Foods, Inc., Atlanta, Georgia. Previously he served as President of Clemson University. He also served on the faculties of Ohio State University (Vice-President for Agricultural Administration and Executive Dean for Agriculture, Home Economics and Natural Resources), University of Missouri, and Texas Tech University.

LEWIS M. BRANSCOMB

Dr. Branscomb is the Albert Pratt Public Service Professor at Harvard's John F. Kennedy School of Government. He is a former executive of International Business Machines. Prior to joining IBM, he was the Director of the National Bureau of Standards.

HERBERT D. (TED) DOAN

Mr. Doan is a Partner with Doan Associates. He was Chairman and Founder of Doan Resources Corporation. He served as President of the Dow Chemical Company for nine years.

NEILE. HARL

Dr. Harl is the Charles F. Curtiss Distinguished Professor of Economics at Iowa State University, where he has served on the faculty since 1964.

JOSHUA LEDERBERG

Dr. Lederberg was President of Rockefeller University, New York. He is the former Chairman of the Department of Genetics at Stanford University School of Medicine. He is a member of the National Academy of Sciences and is a Nobel Laureate in Physiology and Medicine.

THOMAS J. PERKINS

Mr. Perkins is the General Partner of Kleiner & Perkins in San Francisco. He held a number of positions with Hewlett Packard Company, most recently as Assistant Manager, Corporate Research Laboratories. He also served as Vice President, Marketing with Optics Technology, Inc. and as an Electrical Engineer with Sperry Corporation.

JOHN F. M. SIMS

Dr. Sims is Vice President for Marketing for Usibelli Coal Mine, Inc. He previously served as Director of the Office of Mineral Development with the Alaska Department of Commerce and Economic Development. Dr. Sims also taught Geological Engineering at the University of Alaska-Fairbanks.

L. DOUGLAS SMOOT

Dr. Smoot is Dean, College of Engineering and Technology, at Brigham Young University. He is also Director of the Advanced Combustion Engineering Research Center. He has been associated with the Brigham Young University since 1967. Dr. Smoot previously served as a visiting assistant professor at the California Institute of Technology and as an engineer with Lockheed Propulsion.

MARINA V.N. WHITMAN

Dr. Whitman is a Professor at the Institute of Public Policy Studies at the University of Michigan. Previously she served as the Vice President and Group Executive for Public Affairs Staffs Group at General Motors Corporation. She also served as Vice President and Chief Economist at General Motors. Prior to joining General Motors she taught at the University of Pittsburgh.

> STATUTORY MEMBERS

CHARLES A. BOWSHER

Mr. Bowsher is Comptroller General of the United States and Director of the U. S. General Accounting Office.

DANIEL MULHOLLAN

Dr. Mulhollan is Director of the Congressional Research Service, U.S. Library of Congress.

^{*}CHASE N. PETERSON, TAAC MEMBER

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Frances Hemingway, Senior Finance Analyst : Alan King, Accounting Technician
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Linda Trout, Librarian

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Debra Datcher, Information Marketing
 Theodore Williams, Publications
 Distribution Technician

> Building Services

Kevin McNair, Building Services Supervisor Robert Raines, Clerk/Courier

> MEDICAL SERVICES

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> CONFERENCE CENTER

Edie Grandstaff, Coordinator Sean Copeland, Assistant Coordinator

> SERVICE CENTER

Carlton Agee, Service Center Supervisor Michael Brown, Technician

Awards and fellowship programs

Association's Government Documents Round Table announced their list of Notable Government Documents for 1994. Of the 20 federal government documents recognized, three were OTA reports:

P Harmful Non-Indigenous Species in the United States
Environment Program
Phyllis Windle, Project Director

- > Making Government Work: Electronic Delivery of Federal Services
 Telecommunication and Computing Technologies Program
 Fred Wood, Project Director
- Proliferation of Weapons of Mass Destruction: Assessing the Risks International Security and Space Program Gerald Epstein, Project Director

The Government Documents Round Table selects those documents the, consider to be the best of the government information sources produced in the previous year. These documents "expand our knowledge, enhance the quality of life, and/or contribute to an understanding of govern merit."

BLUE PENCIL AWARDS

The National Association of Government Communicators conducts the Blue Pencil Competition as an annual recognition of outstanding government communications projects and producers. Entries are judged on writing, editorial content, layout and design, achievement of purpose and cost effectiveness. This year two OTA reports were recognized in the competition:

- Adult Literacy and New Technologies: Took for a Lifetime Science, Education, and Transportation Program Kathleen Fulton, Senior Analyst Second Place, Books for a Technical Audience Category
- Biological Components for Substance Abuse and Addiction
 Biological and Behavioral Sciences Program
 Kevin O'Connor, Project Director,
 Second Place, Publications for a Technical Audience Category

THE MORRIS K. UDALL FELLOWSHIP PROGRAM

The Udall Fellowship Program is awarded to up to six individuals each year for a one-year appointment at OTA. It was established in April 1991 by the Technology Assessment Board in honor of Morris K. Udall, retired congressman from Arizona who served 30 years in the House of Representatives and 18 years on the Technology Assessment Board. Qualified candidates must demonstrate exceptional ability in areas needed in OTA's work, such as the physical or biological sciences, engineering, law, economics, environmental and social sciences, and public policy. Candidates must have significant experience in technical fields or management or have completed research at the doctoral level.

FELLOWS, 1993-94

Dean Cheng, International Security and Space Program Betsy Gunn, Environment Program Lois Joellenbeck, Environment Program

> FELLOWS, 1994-95

Elise Berliner, Health Program

William Creager, Industry Telecommunications, and Commerce

Program

CONGRESSIONAL FELLOWSHIP IN HEALTH POLICY

The Milbank Memorial Fund and OTA established the Congressional Fellowship in Health Policy in 1992 to be served at OTA beginning in September 1992. The program seeks candidates with substantial training and experience in research and a strong interest in health policy. The fellowship provides an opportunit, for an individual of proven ability and considerable promise to work with OTA researchers to assist Congress in its deliberations of science and technology issues affecting our nation's health policy and to gain a better understanding of the ways in which Congress establishes national policy related to these issues.

The fund and OTA invited applications from individuals who have demonstrated ability in research on issues of health policy. Applicants should have doctorates in the social sciences or related areas or have terminal scientific or professional degrees and considerable training in research using the policy sciences.

- > Fellow, 1993-94

 Gerard Fergerson, Biological and Behavioral Sciences Program
- > Fellows, 1994-95 Cynthia Palmer, Health Program Rochelle Rollins, Health Program

Advisory panel members*

ENERGY, TRANSPORTATION, AND INFRASTRUCTURE PROGRAM

ADVANCED AUTOMOTIVE
TECHNOLOGY PROJECT

Don Kash, *Chairman*Hazel Chair of Public Policy
Institute of Public Policy
George Mason University

Steve Barnett, Principal Global Business Network

Ron Blum, Senior Auto Analyst Research Department International Union United Auto Workers

Tom Cackette, Chief Deputy Officer California Air Resources Board

Malcolm R. Currie, Chairman M-B Resources, Inc.

John DeCicco, Senior Research Associate American Council for an Energy-Efficient Economy

Kennedy Digges, Consultant

Chris Flavin, Vice President for Research Worldwatch Institute

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David Greene, Senior Research Staff Center for Transportation Analysis Oak Ridge National Laboratory Maurice Issac, Manager Automotive Technical Programs GE Automotive

Maryann Keller, Managing Director Furman, Selz, Inc.

Gunnar Larsson, Vice President of Research Department K-E/FO Volkswagen AG

Robert Mull, Director

Partnership for a New Generation of
Vehicles

Ford Motor Company

Nobukichi Nakamura, Project General Manager Toyota Motors Corporation Service of North America

Peter T. Peterson, Director Marketing Strategies & Product Applications U.S. Steel

Daniel Roos, Director

Center for Technology, Policy &

Industrial Development

Rhett Ross, Sales Manager/Engineer Energy Partners

Dan Santini, Section Manager
Environmental & Economic Analysis
Section
Argonne National Laboratories

[&]quot;Affliations are at time of appointment to panel or workshop

Owen J. Viergutz, Executive Engineer New Generation Vehicles Chrysler Corporation

Margaret Walls, Fellow Energy & Natural Resources Division Resources for the Future

FEDERAL EFFORTS TO REDUCE EARTHQUAKE DAMAGE

Gilbert F. White, *Chairman* Professor
University of Colorado

Jesus Burciaga, Assistant Fire Chief Los Angeles County Fire Department

Charles D. Eadie, Assistant Planning Director City of Watsonville, California

Dean C. Flesner, VI, Operations State Farm Fire and Casualty Company

I.M. Idriss, ProfessorDepartment of Civil & Environmental EngineeringUniversity of California, Davis

Cynthia Ingham, Assistant Director Capital Programs University of California, LA

Tom Jordan, Professor and Department Chair, Department of Earth, Atmospheric & Planets Massachusetts Institute of Technology

Joseph Kelly, Senior Consulting Engineer Port Authority of NY and NJ

Howard Kunreuther, Director of Risk Management Center The Wharton School University of Pennsylvania

Mike Lynch, Earthquake Program Manager Department of Emergency Services State of Kentucky Steven A. Mahin, Professor Earthquake Engineering Research Center University of California at Berkeley

Diane F. Merten, Consultant

Joanne M. Nigg, Director Disaster Research Center University of Delaware

Dennis K. Ostrum, Consulting Engineer Southern California Edison

Vernon H. Persson, Chief Division of Safety of Dams California Department of Water Resources

James Smith, Executive Director Building Seismic Safety Council

Paul G. Somerville, Senior Associate Woodward-Clyde Consultants

Robert S. Yeats, Professor Department of Geosciences Oregon State University

Nabih Youssef President Nabih Youssef and Associates

FEDERAL RESEARCH AND

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Najeeb E. Halaby, *Chair* Chairman Safair International

Robert W. Baker Executive Vice President, Operations American Airlines, Inc.

William F. Ballhaus, Jr. President Martin Marietta Aero & Naval Systems

Robert A. Davis Vice President, Engineering Boeing Commercial Airplane Group

Donald D. Engen President AOPA Air Safety Foundation Edmund S. Greenslet, President

ESG Aviation Services

David Haase, Executive Central Air Safety Chairman

Air Line Pilots Association

Jonathan Howe, Attorney Zuckert, Scoutt, & Rasenberger

Noreene Koan, Chairperson National Air Safety Committee Association of Flight Attendants

Richard Livingston, Air Transportation . International Resources Group Consultant

T. Allan McArtor, President FEDEX Aeronautics Corporation

Clinton V. Oster, Jr., Professor School of Public and Environmental Affairs Indiana University

Willard G. Plentl, Jr., Director Division of Aviation for North Carolina

Robert W. Simpson, Director Flight Transportation Lab Massachusetts Institute of Technology

Richard Swauger, Air Traffic Consultant

Patricia F. Wailer, Director Transportation Research Institute University of Michigan

FUEL REFORM: ENERGY
TECHNOLOGIES FOR THE
FORMER EAST BLOC

Marshall Goldman, Chairman

Associate Director Russian Research Center Wellesley College

Margaret Bowman, Director Environmental Program for Central & Eastern Europe Environmental Law Institute Robert W. Campbell, Professor Department of Economics Indiana University

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· Charles K. Ebinger, Director, Energy Group and Executive Vice President

: Richard Jacobs, President Newstar, Inc.

" Richard A. Liroff, Director Central & Eastern European Program

World Wildlife Fund

. Simon K. Mencher, PresidentAlpha Finance & Management Corporation

John P. Minneman, Vice President Chase Manhattan Bank, New York

Espy P. Price, Vice President CIS Department

. Cls Department

Chevron Overseas Petroleum Inc.

Matthew J. Sagers, Director Energy Services & Senior Economist PlanEcon, Inc.

Raymond J. Sero, General Manager International Division Westinghouse Energy Central

Ray Snokhaus, Senior Vice President Government & Regulatory Affairs Houston Industries, Inc.

Robert H. Socolow, Director

Energy and Environmental Policy Center

Princeton University

Richard Spears, Vice President

Spears & Associates

RENEWABLE ENERGY
TECHNOLOGY: RESEARCH,
DEVELOPMENT AND
COMMERCIAL PROSPECTS

Robert W. Fri, *Chairman*President
Resources for the Future

Jim Batchelor, VP. Technical Services SF Services, Inc.

Art Brooks, President Sun Earth. Inc.

Edward J. Carlough, General President Sheet Metal Workers International

John Corsi, Chairman and CEO Solarex

J. Michael Davis, Director,
Sales and Marketing
Golden Technologies Company, Inc.

David Dawson, Forest Policy Consultant

Elizabeth Hughes, Commissioner State of Maine

W. Densmore Hunter, Department Manager Process R&D, Weyerhauser Company

Renz D. Jennings, Chairman Arizona Corporation Commission

David Kearney, President Kearney and Associates

John Kennedy, Product General Manager, Space Systems, Allied-Signal Aerospace and Advanced Applications Los Angeles Division

Alden Meyer, Director Climate Change and Energy Program Union of Concerned Scientists

Roberta Nichols, Manager, Electric Vehicle Strategy and Planning Ford Motor Company Mike Nicklas, President Innovative Design

Dale Osborn, Vice President Kenetech/U.S. Windpower, Inc.

Bruce Pasternak, Senior VP.1 Energy Practice Booz, Allen, and Hamilton

Maria Richter, Principal, Independent
Power Industry and Latin American
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Morgan Stanley & Company, Inc.

Victor Shaio, President New Energy Corporation of Indiana

Scott Sklar, Executive Director Solar Energy Industries Association

Carl Weinberg Weinberg Associates

Robert H. Williams, Senior Research Scientist, Center for Energy and Environmental Studies Princeton University

Kurt E. Yeager, Senior Vice President Technical Operations Electric Power Research Institute

SAVING ENERGY IN U.S. TRANSPORTATION

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Stanford University

Dale Compton, Professor School of Industrial Engineering Purdue University

Marc Cooper, Director of Research Consumers Federation of America

Robert deHaan, Director of Engineering Amana Refrigeration Company

Daniel A. Dreyfus, Director of Civilian Radiation Waste Management U.S. Department of Energy Clark W. Gellings, Vice President Customer Systems Division Electric Power Research Institute

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Cheryl Barrington, Director Regulatory Assistance Project

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Edward McInerney, Chief Engineer GE Appliance Division General Electric Company

Alan Miller, Executive Director Center for Global Change University of Maryland-College Park

Gary Nakarado, Technical Director National Renewable Energy Laboratory

John W. Rowe, President and CEO New England Electric System

Maxine Savitz, Director Garrett Ceramic Components Allied-Signal Aerospace

Sherwood Smith, Chairman & President Carolina Power & Light Company

Richard Tracey, Director of Engineering Services Ryland Homes

B.C. Waycaster, VP and General Manager Hydrocarbons & Energy Department Dow Chemical

Irvin White, Senior Director Laboratory Energy Program Battelle Pacific Northwest Labs

Mason Willrich, President Pacific Gas and Electric Enterprise

James L. Wolf, Vice President, Energy and Environmental Markets Honeywell, Inc. " Eric R Zausner, President Strategic Performance Management

Workshop on Advance Auto Safety

- . Workshop on Review of Federal Fusion Energy Program
- . Workshop on International Collaboration in Large Science Projects

INDUSTRY, TECHNOLOGY, AND EMPLOYMENT PROGRAM

COMMERCIALIZATION OF EMERGING TECHNOLOGIES

- " Avtar Oberai. Chairman
- . Consultant
- " Linda A. Capuano, Vice President
- . Conductus, Inc.
- Sidney C. Chao, President and CEO Hughes Environmental Systems, Inc.
- Robert Cook-Deegan, Director,
 Division of Biobehavioral Sciences and Mental Disorders
- Institute of Medicine

Mark Cunningham, Director . Buck Consultants

Mark Eaton, PresidentIMC Ventures

Robert T. Fraley, Group Vice President and General Manager

- New Products Division Monsanto Company
- Charles E. Harris, Chairman and CEO
 Harris & Harris Group, Inc.
- Maryellen R. Kelley, Associate Professor
 H. John Heinz III School of
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 Carnegie Mellon University Pittsburgh

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Peter H. Mills, Chief Executive Officer US Display Consortium

William Morin, Director, Technology Policy National Association of Manufacturers

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Walter H. Plosila, President Suburban Maryland/Montgomery County Technology Council

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➤ INDUSTRY, TECHNOLOGY, AND THE ENVIRONMENT

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^{*}Resigned April 1993.

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Samuel A. Schulhof, Director **Environmental Research Center** General Electric Company

James Selover, Principal Selover Associates

Margaret Seminario, Director Department of Occupational Safety and Health AFL-CIO

John J. Sheehan, Legislative Director and Assistant to the President United Steelworkers of America

Sally Shelton, Former U.S. Ambassador of **Eastern Caribbean Countries**

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- " Linda Chavez Director Center for New American Community
- Peggy Connerton, Director
- : Public Policy

Services Employees International Union

: Pat Flanagan, Principal American Capital Strategies

: Thomas Geoghegan, Attorney Despres, Schwartz and Geoghegan

: Robert Gibbons, Vice President American Institute for Chartered Property and Casualty Underwriters

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: HealthSpan Health Systems Corporation

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- " Ruth Milkman, Professor Department of Sociology
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INTERNATIONAL SECURITY AND SPACE PROGRAM

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Larry Stone, Senior Vice President Metron, Inc.

Jack Thorpe, Corporate Vice President SAIC Corporation

Verena S. Vomastic, Senior Analyst Electrospace Systems, Inc.

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FUTURE OF REMOTE SENSING FROM SPACE

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William Brown, President ERIM Corporation

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Alexander F.H. Goetz, Director Center for Aerospace Sciences University of Colorado

David Goodenough, Chief Research Scientist Pacific Forestry Center Forestry Canada

Donald Latham, Vice President Loral Corporation

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Molly McCauley Fellow Resources for the Future

Earl Merritt, President Space Systems Markets

Alan Miller, Director Center for Global Change University of Maryland-College Park

Raymond E. Miller, Professor Department of Computer Science University of Maryland

Kenneth Pedersen, Research Professor of International Affairs School of Foreign Service Georgetown University

David T. Sandwell, Geological Resources
Division
Scripps Institute of Oceanography

Dorm Walklet, President Terra Nova Corporation

Albert D. Wheelon, Consultant

PROLIFERATION OF WEAPONS OF Mass Destruction

James E. Goodby, *Chairman'*Distinguished Service Professor
Carnegie-Mellon University

. James F. Leonard, Chairman,' Executive Director

: Washington Council on Non-Proliferation

George Anzelon, Associate Division Leader : Lawrence Livermore National Laboratory

· Will D. Carpenter, Consultant

Lewis A. Dunn, Assistant Vice President and Manager of Negotiations and Planning Division Science Applications International Corporation

Randall Forsberg, Executive Director Institute for Defense and Disarmament Studies

 Thomas R. Fox, Director
 Office of National Security Technology Pacific Northwest Laboratories

: Alan R Goldhammer, Director of Technical Affairs

· Industrial Biotechnology Association

John M. Googin, Senior Staff Consultant Martin Marietta Energy Systems, Inc.

Robert G. Gough, Senior Member, Technical Staff

" Sandia National Laboratories

Elisa D. Harris, Senior Research Analyst The Brookings Institution

. Geoffrey Kemp, Senior Associate
Carnegie Endowment for International
Peace

Joshua Lederberg,⁵ ProfessorThe Rockefeller University

John W. Lewis, Professor Center for International Security and Arms Control Stanford University

Lee W. Mercer, Corporate Export Manager Digital Equipment Corporation

Matthew S. Meselson, Professor Department of Biochemistry and Molecular Biology Harvard University

Stephen M. Meyer, Professor Center for International Studies Massachusetts Institute of Technology

Gary Milhollin, Director Wisconsin Project on Nuclear Arms Control

Marvin M. Miller, Senior Research Scientist Department of Nuclear Engineering Massachusetts Institute of Technology

Janne E. Nolan, Senior Fellow-in-Foreign Policy The Brookings Institution

William C. Potter, Director Center for Russian and Eurasian Studies Monterey Institute of International Studies

Barbara Hatch Rosenberg, Professor Division of Natural Sciences SUNY

Lawrence Scheinman, Professor of Government and Associate Director Peace Studies Program Cornell University

Leonard S. Spector, Senior Associate Carnegie Endowment for International Peace

Sergio C. Trindade, President $SE^2\tau$ International, Ltd

Workshop on a National Strategy for Civilian Space-Based Remote Sensing Workshop on Future of Remotely Sensed Data

Workshop on Geospatial Data: Agency Needs, Formats and Standards

Workshop on USGCRP and NASA's Earth Observation Systems

TELECOMMUNICATION AND COMPUTING TECHNOLOGIES PROGRAM

ASSESSMENT OF INFORMATION
TECHNOLOGY FOR CONTROL OF
MONEY LAUNDERING

Randall Davis, Assistant Director Artificial Intelligence Laboratory Massachusetts Institute of Technology

Elroy Garcia, Jr., Assistant Special Agent in Charge Operational Commander Houston H. I. D.T.A.

W. Douglas Johnson, Assistant Director Division of Banking Department of Banking and Finance State of Florida

Robert M. McAllister, Vice President & Senior Associate Counsel The Chase Manhattan Bank, N.A.

¹Resigned March 22, 1993 to become Chief U.S. Negotiator for Safe and Secure Dismantlement of Nuclear Weapons.

²Panel member until June 1, 1993; Panel chair after June 1,1993.

³Deceased January 16, 1994.

^{&#}x27;Resigned January 29, 1993 to join National Security Council staff.

⁵Ex-officio; Member of Technology Assessment Advisory Council.

^{*}Resigned August 13, 1993 to become Counselor for Nonproliferation in the U.S. Department of Energy

Bruce W. Porter, Associate Professor of Computer Science Department Of Computer Sciences University of Texas at Austin

Priscilla M. Regan, Assistant Professor Department of Public & International Affairs

George Mason University

Joel Reidenberg, Associate Professor of Law Fordham University School of Law

Robert Serino, Deputy Chief Counsel Office of the Comptroller of the Currency U.S. Department of Treasury

John Stern, Executive Vice President Human Resources Sony Electronics, Inc.

David Vogt, Assistant Director, Financial Crimes Enforcement Network (FinCEN) U.S. Department of Treasury

Sarah N. Welling, Professor of Law College of Law University of Kentucky

ELECTRONIC ENTERPRISE: LOOKING TO THE FUTUR E

Daniel Bell, *Chairman* Scholar-in-Residence American Academy of Arts & Sciences

Marietta L. Baba, Acting Chair Department of Anthropology Wayne State University

James Beatty, President National Consulting Systems

Jim Berm, Executive Director Federation for Industrial Retention & Renewal

Kathleen Bernard, Director,
External Programs

North Carolina Supercomputer Center

Willard R. Bishop, Jr., President Willard Bishop Associates

Fred Block, ChairmanDepartment of Sociology University of California, Davis

: Bernie Campbell, Vice President Information Services· Sonoco Products

Carl Cargill, Standards Strategist Sun Microsystems, Inc.

Rev. William Cunningham Founder and Director Focus HOPE

Irwin Dorros, Vice President
Technical Services
Bell Communications Research

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. Sara Kiesler, Professor

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➤ INFORMATION SECURITY AND PRIVACY IN NETWORK ENVIRONMENTS

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J. David Roessner, Professor School of Public Policy Georgia Institute of Technology

Joseph Straus, Professor

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TECHNOLOGIES FOR

UNDERSTANDING THE ROOT

CAUSES OF SUBSTANCE ABUSE

AND ADDICTION

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Workshop on Commercial Biotechnology and Patenting Human DNA Sequences

Workshop on Eligibility Criteria for Long-Term Care

Workshop on Technology Implementation Projects: What Research Reveals About Teachers and Technology

ENVIRONMENT PROGRAM

AGRICULTURE, TRADE AND THE ENVIRONMENT

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> New Approaches to Environmental Regulations

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- : William L. Templeton, Senior Research Advisor
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➤ OSHA'S CHOICE OF CONTROL TECHNOLOGY AND ESTIMATION OF ECONOMIC 1 M PACTS

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Workshop on Agriculture, Trade and The Environment

Workshop on Biological Pest control: Role of the Private Sector

Workshop on Technologies to Benefit Shoreline Property and Rare Wildlife: An Atlantic Coast Example

Workshop on Risks to Students in School

HEALTH PROGRAM

> Assessing the Assumptions
Behind Health Reform
PROJECTIONS

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Karl Yordy, Visiting Professor Department of Community & Family Medicine School of Medicine, University of Arizona

POLICY ISSUES IN THE PREVENTION AND TREATMENT OF OSTEOPROSIS

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➤ IDENTIFYING HEALTH TECHNOLOGIES THAT WORK

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Workshop on Impacts of Antibiotic Resistant Bacteria

Workshop on Eligibility Criteria for Federally Mandated Long-Term Care

Workshop on Issues in the Development and Marketing of an AIDS Vaccine

Workshop on IOM Medical Follow-Up Agency

Workshop on Mental Health and Substance Abuse

Office of Technology Assessment Act

PUBLIC LAW 92-484
92D CONGRESS, H.R. 10243
OCTOBER 13, 1972
AN ACT

TO ESTABLISH AN OFFICE OF TECHNOLOGY ASSESSMENT for the Congress as an aid in the identification and consideration of existing and probable impacts of technological application; to amend the National Science Foundation Act of 1950; and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled, That this Act maybe cited as the "Technology Assessment Act of 1972."

FINDINGS AND DECLARATION OF PURPOSE SEC. 2. The Congress hereby finds and declares that:

- (a) As technology continues to change and expand rapidly, its applications are-
- 1. large and growing in scale; and
- 2. increasingly extensive, pervasive, and critical in their impact, beneficial and adverse, on the natural and social environment.
- (b) Therefore, it is essential that, to the fullest extent possible, the consequences of technological applications be anticipated, understood, and considered in determination of public policy on existing and emerging national problems.
- (c) The Congress further finds that:
- the Federal agencies presently responsible directly to the Congress are not designed to provide
 the legislative branch with adequate and timely information, independently developed, relating
 to the potential impact of technological applications, and
- 2. the present mechanisms of the Congress do not and are not designed to provide the legislative branch with such information.
- (d) Accordingly, it is necessary for the Congress to-
- equip itself with new and effective means for securing competent, unbiased information concerning the physical, biological, economic, social, and political effects of such applications; and
- utilize this information, whenever appropriate, as one factor in the legislative assessment of
 matters pending before the Congress, particular in those instances where the Federal
 Government may be called upon to consider support for, or management or regulation of,
 technological applications.

ESTABLISHMENT OF THE OFFICE OF TECHNOLOGY ASSESSMENT

- SEC. 3. (a) In accordance with the findings and declaration of purpose in section 2, there is hereby created the Office of Technology Assessment (hereinafter referred to as the "Office") which shall be within and responsible to the legislative branch of the Government.
- (b) The Office shall consist of a TechnologyAssessment Board (hereinafter referred to as the "Board") which shall formulate and promulgate the policies of the Office, and a Director who shall carry out such policies and administer the operations of the Office.
- (c) The basic function of the Office shall be to provide early indications of the probable beneficial and adverse impacts of the applications of technology and to develop other coordinate information which may assist the Congress. In carrying out such function, the Offive shall:
 - 1. identify existing or probable impacts of technology or technological programs;
 - 2. where possible, ascertain cause-and-effect relationships;
 - 3. identify alternative technological methods of implementing specific programs;
 - 4. identifyy alternative programs for achieving requisite goals;
 - 5. make estimates and comparisons of the impacts of alternative methods and programs;
 - 6. present findings of completed analyses to the appropriate legislative authorities;
 - 7. identify areas where additional research or data collection is required to provide adequate support for the assessments and estimates described in paragraph (1) through (5) of this subsection; and
 - 8. undertake such additional associated activities as the appropriate authorities specified under subsection (d) may direct.
- (d) Assessment activities undertaken by the Office may be initiated upon the request of:
 - the chairman of any standing, special, or select committee of either House of the Congress, or of any
 joint committee of the Congress, acting for himself or at the request of the ranking minority member
 or a majority of the committee members;
 - 2. the Board: or
 - 3. the Director, in consultationwith the Board.
- (e) Assessments made by the Office, including information, surveys, studies, reports, and findings related thereto, shall be made available to the initiating committee or other appropriate committees of the Congress. In addition, any such information, surveys, studies, reports, and findings produced by the Office maybe made available to the public except where-
 - 1. to do so would violate security statutes; or
 - 2. the Board considers it necessary or advisable to withhold such information in accordance with one or more of the numbered paragraphs in section 552(b) of tide 5, United States Code.

TECHNOLOGY ASSESSMENT BOARD

- SEC. 4. (a) The Board shall consist of thirteen members as follows:
 - 1. six Members of the Senate, appointed by the President pro tempore of the Senate, three from the majority party and three from the minority party;
 - 2. six Members of the House of Representatives appointed by the Speaker of the House of Representatives, three from the majority party and three from the minority party; and
 - 3. the Director, who shall not be a voting member.
- (b) Vacancies in the membership of the Board shall not affect the power of the remaining members to execute the functions of the Board and shall be filled in the same manner as in the case of the original appointment.

- (c) The Board shall select a chairman and a vice chairman from among its members at the beginning of each Congress. The vice chairman shall act in the place and stead of the chairman in the absence of the chairman. The chairmanshi, and the vice chairmanship shall alternate between the Senate and the House of Representatives with each Congress. The chairman during each even-numbered Congress shall be selected by the Members of the House of Representatives on the Board from among their number. The vice chairman durin, each Congress shall be chosen in the same manner from that House of Congress other than the House of Congress of which the chairman is a Member.
- (d) The Board is authorized to sit and act at such places and times during the sessions, recesses, and adjourned periods of Congress, and upon a vote of a majority of its members, to require by subpoena or otherwise the attendance of such witnesses and the production of such books, papers, and documents, to administer such oaths and affirmations, to take such testimony, to procure such printing and binding, and to make such expenditures, as it deems advisable. The Board may make such rules respecting its organization and procedures as it deems necessary, except that no recommendation shall be reported from the Board unless a majority of the Board assent. Subpoenas maybe issued over the signature of the chairman of the Board or of any voting member designated by him or by the Board, and may be served by such person or persons as maybe designated by such chairman or member. The chairman of the Board or any voting member thereof may administer oaths or affirmations to witnesses.

DIRECTOR AND DEPUTY DIRECTOR

- SEC. 5. (a) The Director of the Office of Technology Assessment shall be appointed by the Board and shall serve for a term of six years unless sooner removed by the Board. He shall receive basic pay at the rate provided for level III of the Executive Schedule under section 5314 of tide 5, United States Code.
- (b) In addition to the powers and duties vested in him by this Act, the Director shall exercise such powers and duties as maybe delegated to him by the Board.
- (c) The Director may appoint with the approval of the Board, a Deputy Director who shall perform such functions as the Director may prescribe and who shall be Acting Director during the absence or incapacity of the Director or in the event of a vacancy in the office of Director. The Deputy Director shall receive basic pay at the rate provided for level IV of the Executive Schedule under section5315 of tide 5, United States Code.
- (d) Neither the Director nor the Deputy Director shall engage in any other business, vocation, or employment than that of serving as such Director or Deputy Director, as the case may be; nor shall the Director or Deputy Director, except with the approval of the Board, hold any office in, or act in any capacity for, any organization, agency, or institution with which the Office makes any contract or other arrangement under this Act.

AUTHORITY OF THE OFFICE

- SEC. 6. (a) The Office shall have the authority, within the limits of available appropriations, to do all things necessary to carry out the provisions of this Act, including but without being limited to, the authority to
 - make full use of competent personnel and organizations outside the Office, public or private, and form special ad hoc task forces or make other arrangements when appropriate;
 - 2. enter into contracts or other arrangements as ma, be necessary for the conduct of the work of the Office with any agency or instrumentality of the United States, with any State, territory, or possession or any political subdivision thereof, or with any person, firm, association, corporation, or educational institution, with or without reimbursement, without performance or other bonds, and without regard to section 370\$1 of the Revised Statutes (41 U.S.C. 5);
 - 3. make advance, progress, and other payments which relate to technology assessment without regard to the provisions of section 3648 of the Revised Statutes (31 U.S.C. 529);

- 4. accept and utilize the services of voluntary and uncompensated personnel necessary for the conduct of the work of the Office and provide transportation and subsistence as authorozed by section 5703 of title 5, United States Code, for persons serving without compensation;
- acquire by purchase, lease, loan, or gift, and hold and dispose of by sale, lease, or loan, real and personal property of all kinds necessary for or resulting from the exercise of authority granted by this Act: and
- prescribe such rules and regulations as it deems necessary governing the operation and organization of the Office.
- (b) Contractors and other parties entering into contracts and other arrangements under this section which involve costs to the Government shall maintain such books and related records as will facilitate an effective audit in such detail and in such manner as shall be prescribed by the Office, and such books and records (and related documents and papers) shall be available to the Office and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination.
- (c) The Office, in carrying out the provisions of this Act, shall not, itself, operate any laboratories, pilot plants, or test facilities.
- (d) The Office is authorized to secure directly from any executive department or agency information, suggestions, estimates, statistics, and technical assistance for the purpose of carrying out its functions under this Act. Each such executive department or agency shall furnish the information, suggestions, estimates, statistics, and technical assistance directly to the Office upon its request.
- (e) On request of the Office, the head of any executive department or agency may detail, with or without reimbursement, any of its personnel to assist the Office in carrying out its functions under this Act.
- (f) The Director shall, in accordance with such policies as the Board shall prescribe, appoint and fix the compensation of such personnel as may be necessary to carry out the provisions of this Act.

E STABLISHMENT OF THE TECHNOLOGY ASSESSMENT ADVISORY COUNCIL SEC. 7. (a) The office shall establish a Technology Assessment Advisory Council (hereinafter referred to as the "Council"). The Council shall be composed of the following twelve members:

- ten members from the public, to be appointed by the Board, who shall be persons eminent in one or more fields of the physical, biological, or social sciences or engineering or experienced in the administration of technological activities, or who ma,be judged qualified on the basis of contributions made to educational or public activities;
- 2. the Comptroller General; and
- 3. the Director of the Congressional Research Service of the Library of Congress.
- (b) The Council, upon request by the Board, shall—
 - review and make recommendations to the Board on activities undertaken by the Office or on the initiation chereof in accordance with section 3(d);
 - review and make recommendations to the Board on the findings of any assessment made by or for the Office: and
 - 3. undertake such additional related tasks as the Board may direct.
- (c) The Council, by majority vote, shall elect from its members appointed under subsection (a)(l) of this section a Chairman and a Vice Chairman, who shall serve for such time and under such conditions as the Council may prescribe. In the absence of the Chairman, or in the event of his incapacity, the Vice Chairman shall act as Chairman.

- (d) The term of office of each member of the Council appointed under subsection (a)(1) shall be four years except that any such member appointed to fill a vacancy occurring prior to the expiration of the term for which his predecessor was appointed shall be appointed for the remainder of such term. No person shall be appointed a member of the Council under subsection (a)(1) more than twice. Terms of the members appointed under subsection (a)(1) shall be staggered so as to establish a rotating membership according to such method as the Board may devise.
- (e) 1. The members of the Council other than those appointed under subsection (a)(1) shall receive no pay for their services as members of the Council, but shall be allowed necessary travel expenses (or, in the alternative, mileage for use of privately owned vehicles and per diem in lieu of subsistence at not to exceed the rate prescribed in sections 5702 and 5704 of tide 5, United States Code), and other necessary expenses incurred by them in the performance of duties vested in the Council, without regard to the provisions of subchapter 1 of chapter 57 and section 5731 of title 5, United States Code, and regulations promulgated thereunder.
 - 2. The members of the Council appointed under subsection (a)(l) shall receive compensation for each day engaged in the actual performance of duties vested in the Council at rates of pay not in excess of the daily equivalent of the highest rate of basic pay set forth in the General Schedule of section 5332(a) of title 5, United States Code, and in addition shall be reimbursed for travel, subsistence, and other necessary expenses in the manner provided for other members of the Council under paragraph (1) of this subsection.

UTILIZATION OF THE LIBRARY OF CONGRESS

- SEC. 8. (a) To carry out the objectives of this Act, the Librarian of Congress is authorized to make available to the Office such services and assistance of the Congressional Research Service as may be appropriate and feasible.
- (b) Such services and assistance made available to the Office shall include, but not be limited to, all of the services and assistance which the Congressional Research Service is otherwise authorized to provide to the Congress.
- (c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the Congressional Research Service under law performs for or on behalf of the Congress. The Librarian is, however, authorized to establish within the Congressional Research Service such additional divisions, groups, or other organizational entities as may be necessary to carry our the purpose of this Act.
- (d) Services and assistance made available to the Office by the Congressional Research Service in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Librarian of Congress.

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