# **Technology Assessment Act of 1972**



Public Law 92-484 92nd Congress, H. R. 10243 October 13, 1972

# An Act

86 STAT. 797

To establish an Office of Technology Assessment for the Congress as an aid in the identification and consideration of existing and probable impacts of technological application; to amend the National Science Foundation Act of 1950; and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled, That this Act may be cited as the "Technology Assessment Act of 1972".

Technology Assessment Act of 1972.

### FINDINGS AND DECLARATION OF PURPOSE

SEC. 2. The Congress hereby finds and declares that:

(a) As technology continues to change and expand rapidly, its applications are—

(1) large and growing in scale; and

- (2) increasingly extensive, pervasive, and critical in their impact, beneficial and adverse, on the natural and social environment.
- (b) Therefore, it is essential that, to the fullest extent possible, the consequences of technological applications be anticipated, understood, and considered in determination of public policy on existing and emerging national problems.

(c) The Congress further finds that:

- (1) the Federal agencies presently responsible directly to the Congress are not designed to provide the legislative branch with adequate and timely information, independently developed, relating to the potential impact of technological applications, and
- (2) the present mechanisms of the Congress do not and are not designed to provide the legislative branch with such information.
  (d) Accordingly, it is necessary for the Congress to—
  - (1) equip itself with new and effective means for securing competent, unbiased information concerning the physical, biological, economic, social, and political effects of such applications;
  - (2) utilize this information, whenever appropriate, as one factor in the legislative assessment of matters pending before the Congress, particularly in those instances where the Federal Government may be called upon to consider support for, or management or regulation of, technological applications.

## ESTABLISHMENT OF THE OFFICE OF TECHNOLOGY ASSESSMENT

SEC. 3. (a) In accordance with the findings and declaration of purpose in section 2, there is hereby created the Office of Technology Assessment (hereinafter referred to as the "Office") which shall be within and responsible to the legislative branch of the Government.

(b) The Office shall consist of a Technology Assessment Board (hereinafter referred to as the "Board") which shall formulate and promulgate the policies of the Office, and a Director who shall carry out such policies and administer the operations of the Office.

(c) The basic function of the Office shall be to provide early indications of the probable beneficial and adverse impacts of the applications of technology and to develop other coordinate information which may assist the Congress. In carrying out such function, the Office shall:

(1) identify existing or probable impacts of technology or technological programs;

Technology Assessment Board.

Duties.

|                |         | Pub. Law 92-484 - 2 - October                               | Octol      | ber 13, 1972 - 3 Pub. Law  | 92 <b>-4</b> 84 |
|----------------|---------|---|------------|--|-----------------|
| 86 STA         | T. 798  | <del></del>   |            | Common shall be about in the                                       | - 00            |
|                |         | (2) where possible, ascertain cause and effect relatio      |            | Congress shall be chosen in the same manner from that              |                 |
|                |         | (3) identify alternative technological methods of imp       | g          | Congress other than the House of Congress of which the cha Member. |                 |
|                |         | specific programs;  | .0         | (d) The Board is authorized to sit and act at such places          | Meetings        |
|                |         | (4) identify alternative programs for achieving             | :е         | during the sessions, recesses, and adjourned periods of Con        | meetings.       |
|                |         | goals; (5) make estimates and comparisons of the impacts of | i-         | upon a vote of a majority of its members, to require by s          | Subpena.        |
|                |         | tive methods and programs;                                  | <b>1</b> - | otherwise the attendance of such witnesses and the production      |                 |
|                |         | (6) present findings of completed analyses to the ap        | :е         | books, papers, and documents, to administer such oaths an          |                 |
|                |         | legislative authorities;                                    |            | tions, to take such testimony, to procure such printing and        |                 |
|                |         | (7) identify areas where additional research or data        | n          | and to make such expenditures, as it deems advisable. The F        |                 |
|                |         | is required to provide adequate support for the assessi     | ď          | make such rules respecting its organization and procedures a       |                 |
|                |         | estimates described in paragraph (1) through (5) of         | b-         | necessary, except that no recommendation shall be reported         |                 |
|                |         | section; and  |            | Board unless a majority of the Board assent. Subpenss may          |                 |
|                |         | (8) undertake such additional associated activitie          | ıe         | over the signature of the chairman of the Board or of any vo-      |                 |
|                |         | appropriate authorities specified under subsection (d) n    | t.         | ber designated by him or by the Board, and may be serve            |                 |
|                |         | (d) Assessment activities undertaken by the Office may b    | »d         | person or persons as may be designated by such chairman o          |                 |
|                |         | upon the request of:  |            | The chairman of the Board or any voting member the                 |                 |
|                |         | (1) the chairman of any standing, special, or select        | 20         | administer oaths or affirmations to witnesses.                     |                 |
|                |         | of either House of the Congress, or of any joint con        |            |  |                 |
|                |         | the Congress, acting for himself or at the request of th    | ıg         | DIRECTOR AND DEPUTY DIRECTOR                                       |                 |
|                |         | minority member or a majority of the committee me           |            | Sec. 5. (a) The Director of the Office of Technology A             | Annaintment     |
|                |         | (2) the Board; or   |            | shall be appointed by the Board and shall serve for a te           | Appointment.    |
|                |         | (3) the Director, in consultation with the Board.           |            | years unless sooner removed by the Board. He shall receive         | Compensation.   |
| Information.   | ation,  | (e) Assessments made by the Office, including informa-      | r-         | at the rate provided for level III of the Executive Sched          | Compensacion.   |
| avai lability. | bility. | veys, studies, reports, and findings related thereto, shall | ie         | section 5314 of title 5, United States Code.                       | 83 Stat. 863.   |
|                |         | available to the initiating committee or other appropriat   | .t-        | (b) In addition to the powers and duties vested in him by          | 03 BLAC. 003.   |
|                |         | tees of the Congress. In addition, any such information     | 'S.        | the Director shall exercise such powers and duties as may be       |                 |
|                |         | studies, reports, and findings produced by the Office may   | дe         | to him by the Board.   |                 |
|                |         | available to the public except where—                       |            | (c) The Director may appoint with the approval of the              |                 |
|                |         | (1) to do so would violate security statutes: or            |            | Deputy Director who shall perform such functions as the            |                 |
|                |         | (2) the Board considers it necessary or advisable to        | lď         | may prescribe and who shall be Acting Director during th           |                 |
|                |         | such information in accordance with one or more of the      | ed         | or incapacity of the Director or in the event of a vacancy in      |                 |
| 81 Stat. 5-4.  | t. 54.  | paragraphs in section 552(b) of title 5, United States      |            | of Director. The Deputy Director shall receive basic pay a         |                 |
|                |         |   |            | provided for level IV of the Executive Schedule under secti-       |                 |
|                |         | TECHNOLOGY ASSESSMENT BOARD                                 |            | title 5. United States Code.                                       |                 |
| Membership.    | ship.   | SEC. 4. (a) The Board shall consist of thirteen members a   | s:         | (d) Neither the Director nor the Deputy Director shall             |                 |
| manuer surp.   | B.II.P. | (1) six Members of the Senate, appointed by the             | nt         | any other business, vocation, or employment than that of :         | restriotion.    |
|                |         | pro tempore of the Senate, three from the majority          | ıd         | such Director or Deputy Director, as the case may be; nor          |                 |
|                |         | three from the minority party;                              |            | Director or Deputy Director, except with the approval of t         |                 |
|                |         | (2) six Members of the House of Representatives app         | by         | hold any office in, or act in any capacity for, any organizatio    |                 |
|                |         | the Speaker of the House of Representatives, three          | he         | or institution with which the Office makes any contract            |                 |
|                |         | majority party and three from the minority party; an        |            | arrangement under this Act.  |                 |
|                |         | (3) the Director, who shall not be a voting member.         |            |  |                 |
| Vacancies.     | 1es.    | (b) Vacancies in the membership of the Board shail not      | he         | AUTHORITY OF THE OFFICE  |                 |
|                |         | power of the remaining members to execute the functions of  | rd         | Sec. 6. (a) The Office shall have the authority, within the        |                 |
|                |         | and shall be filled in the same manner as in the case of th | ıal        | available appropriations, to do all things necessary to carr       |                 |
|                |         | appointment.  |            | provisions of this Act, including, but without being limit         |                 |
| Chairman and   | an and  | (c) The Board shall select a chairman and a vice chair      | )m         | authority to-  |                 |
| vice chai man. |         | among its members at the beginning of each Congress. The    | ir-        | (i) make full use of competent personnel and org                   |                 |
|                |         | man shall act in the place and stead of the chairman in the | of         | outside the Office, public or private, and form speci              |                 |
|                |         | the chairman. The chairmanship and the vice chairman        | al!        | task forces or make other arrangements when approp                 |                 |
|                |         | alternate between the Senate and the House of Representa    | ith        |  | Contracts.      |
|                |         | each Congress. The chairman during each even-numbered       | 289        | sary for the conduct of the work of the Office with at             |                 |
|                |         | shall be selected by the Members of the House of Represen   | on         | or instrumentality of the United States, with any State,           |                 |
|                |         | the Board from among their number. The vice chairman di     | ch         | · · · · · · · · · · · · · · · · · · ·                              |                 |

or possession or any political subdivision thereof, or with any person, firm, association, corporation, or educational institution, with or without reimbursement, without performance or other bonds, and without regard to section 3709 of the Revised Statutes (41 U.S.C. 5);

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(3) make advance, progress, and other payments which relate to technology assessment without regard to the provisions of section 3648 of the Revised Statutes (31 U.S.C. 529):

(4) accept and utilize the services of voluntary and uncompensated personnel necessary for the conduct of the work of the Office and provide transportation and subsistence as authorized by section 5703 of title 5, United States Code, for persons serving without compensation;

(5) acquire by purchase, lease, loan, or gift, and hold and dispose of by sale, lease, or loan, real and personal property of all kinds necessary for or resulting from the exercise of authority granted by this Act: and

(6) prescribe such rules and regulations as it deems necessary governing the operation and organization of the Office.

80 Stat. 499;

83 Stat, 190.

Recordkeeping (b) Contractors and other parties entering into contracts and other arrangements under this section which involve costs to the Government shall maintain such books and related records as will facilitate an effective audit in such detail and in such manner as shall be prescribed by the Office, and such books and records (and related documents and papers) shall be available to the Office and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination.

(c) The Office, in carrying out the provisions of this Act, shall not,

itself, operate any laboratories, pilot plants, or test facilities.

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(d) The Office is authorized to secure directly from any executive cooperation. department or agency information, suggestions, estimates, statistics, and technical assistance for the purpose of carrying out its functions under this Act. Each such executive department or agency shall furnish the information, suggestions, estimates, statistics, and technical assistance directly to the Office upon its request.

Personnel detail.

(e) On request of the Office, the head of any executive department or agency may detail, with or without reimbursement, any of its personnel to assist the Office in carrying out its functions under this Act.

(f) The Director shall, in accordance with such policies as the Board shall prescribe, appoint and fix the compensation of such personnel as may be necessary to carry out the provisions of this Act.

#### ESTABLISHMENT OF THE TECHNOLOGY ASSESSMENT ADVISORY COUNCIL

Membership.

SEC. 7. (a) The Office shall establish a Technology Assessment Advisory Council (hereinafter referred to as the "Council"). The Council shall be composed of the following twelve members:

(1) ten members from the public, to be appointed by the Board. who shall be persons eminent in one or more fields of the physical. biological, or social sciences or engineering or experienced in the administration of technological activities, or who may be judged qualified on the basis of contributions made to educational or public activities;

(2) the Comptroller General; and

(3) the Director of the Congressional Research Service of the Library of Congress.

(b) The Council, upon request by the Board, shall-

(1) review and make recommendations to the Board on activities undertaken by the Office or on the initiation thereof in accordance with section 3(d);

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(2) review and make recommendations to the Board on the findings of any assessment made by or for the Office; and

(3) undertake such additional related tasks as the Board may direct.

(c) The Council, by majority vote, shall elect from its members appointed under subsection (a) (1) of this section a Chairman and a Vice Chairman, who shall serve for such time and under such conditions as the Council may prescribe. In the absence of the Chairman, or in the event of his incapacity, the Vice Chairman shall act as Chairman.

(d) The term of office of each member of the Council appointed under subsection (a)(1) shall be four years except that any such member appointed to fill a vacancy occurring prior to the expiration of the term for which his predecessor was appointed shall be appointed for the remainder of such term. No person shall be appointed a member of the Council under subsection (a) (1) more than twice. Terms of the members appointed under subsection (a) (1) shall be staggered so as to establish a rotating membership according to such method as the Board may devise.

(e) (1) The members of the Council other than those appointed under subsection (a) (1) shall receive no pay for their services as members of the Council, but shall be allowed necessary travel expenses (or, in the alternative, mileage for use of privately owned vehicles and a per diem in lieu of subsistence at not to exceed the rate prescribed in sections 5702 and 5704 of title 5. United States Code), and other necessary expenses incurred by them in the performance of duties vested in the Council, without regard to the provisions of subchapter 1 of chapter 57 and section 5731 of title 5. United States Code, and regulations promulgated thereunder.

(2) The members of the Council appointed under subsection (a) (1) shall receive compensation for each day engaged in the actual performance of duties vested in the Council at rates of pay not in excess of the daily equivalent of the highest rate of basic pay set forth in the General Schedule of section 5332(a) of title 5, United States Code. and in addition shall be reimbursed for travel, subsistence, and other necessary expenses in the manner provided for other members of the Council under paragraph (1) of this subsection.

#### UTILIZATION OF THE LIBRARY OF CONGRESS

SEC. 8. (a) To carry out the objectives of this Act, the Librarian of Congress is authorized to make available to the Office such services and assistance of the Congressional Research Service as may be appropriate and feasible.

(b) Such services and assistance made available to the ()ffice shall include, but not be limited to, all of the services and assistance which the Congressional Research Service is otherwise authorized to provide to the Congress.

(c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the Congressional Research Service under law performs for or on behalf

Duties

Chairman and Vice Chairman.

Term of office.

Travel expenses.

80 Stat. 498: 83 Stat. 190. 5 usc 5701.

Compensation.

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Scientific

programs,

financing.

92 Stat. 360.

64 Stat. 156:

32 Stat. 365. 42 USC 1873.

of the Congress. The Librarian is, however, authorized to establish within the Congressional Research Service such additional divisions, groups, or other organizational entities as may be necessary to carry out the purpose of this Act.

(d) Services and assistance made available to the Office by the Congressional Research Service in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Librarian of Congress.

#### UTILIZATION OF THE GENERAL ACCOUNTING OFFICE

Sec. 9. (a) Financial and administrative services (including those related to budgeting, accounting, financial reporting, personnel, and procurement) and such other services as may be appropriate shall be provided the Office by the General Accounting Office.

(b) Such services and assistance to the Office shall include, but not be limited to, all of the services and assistance which the General Accounting Office is otherwise authorized to provide to the Congress.

(c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the General Accounting Office under law performs for or on behalf of the

(d) Services and assistance made available to the Office by the General Accounting Office in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Comptroller General.

#### COORDINATION WITH THE NATIONAL SCIENCE FOUNDATION

SEC. 10. (a) The Office shall maintain a continuing liaison with the National Science Foundation with respect to-

(1) grants and contracts formulated or activated by the Foundation which are for purposes of technology assessment; and

(2) the promotion of coordination in areas of technology assessment, and the avoidance of unnecessary duplication or overlapping of research activities in the development of technology assessment

techniques and programs.

(b) Section 3(b) of the National Science Foundation Act of 1950. as amended (42 U.S.C. 1862(b)), is amended to read as follows:

"(b) The Foundation is authorized to initiate and support specific scientific activities in connection with matters relating to international cooperation, national security, and the effects of scientific applications upon society by making contracts or other arrangements (including grants, loans, and other forms of assistance) for the conduct of such activities. When initiated or supported pursuant to requests made by any other Federal department or agency, including the Office of Technology Assessment, such activities shall be financed whenever feasible from funds transferred to the Foundation by the requesting official as provided in section 14(g), and any such activities shall be unclassified and shall be identified by the Foundation as being undertaken at the request of the appropriate official.

Sec. 11. The Office shall submit to the Congress an annual report which shall include, but not be limited to, an evaluation of technology assessment techniques and identification, insofar as may be feasible. of technological areas and programs requiring future analysis. Such report shall be submitted not later than March 15 of each year.

#### A PPROPRIATIONS

SEC. 12. (a) To enable the Office to carry out its powers and duties, there is hereby authorized to be appropriated to the Office, out of any money in the Treasury not otherwise appropriated, not to exceed \$5,000,000 in the aggregate for the two fiscal years ending June 30, 1973, and June 30, 1974, and thereafter such sums as may be necessary.

(b) Appropriations made pursuant to the authority provided in subsection (a) shall remain available for obligation, for expenditure, or for obligation and expenditure for such period or periods as may be specified in the Act making such appropriations.

Approved October 13, 1972.

#### LEGISLATIVE HISTORY:

HOUSE REPORTS: No. 92-469 (Comm. on Science and Astronautics) and

No. 92-1436 (Comm. of Conference).

SENATE REPORT No. 92-1123 (Comm. on Rules and Administration). CONGRESSIONAL RECORD, Vol. 118 (1972):

Feb. 8, considered and passed House.

Sept.14, considered and passed Senate, amended. Sept.22, Senate agreed to conference report.

Oct. 4, House agreed to conference report.