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# **A Universal Operator Theoretic Framework for Quantum Fault Tolerance**

**G Gilbert**

**MITRE Quantum Information Science Group**

**26 September 2007**

**Symposium on Frontiers in Quantum Computation  
Princeton University**

**MITRE**

# Recent Papers

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**“Reliable Final Computational Results from Faulty Quantum Computation”**

**G Gilbert, M Hamrick, YS Weinstein (MITRE)  
submitted to New Journal of Physics**

**“A Universal Operator Theoretic Framework for Quantum Fault Tolerance”**

**G Gilbert, M Hamrick, YS Weinstein (MITRE)  
V Aggarwal, A Robert Calderbank (Princeton)  
submitted to Physical Review A**

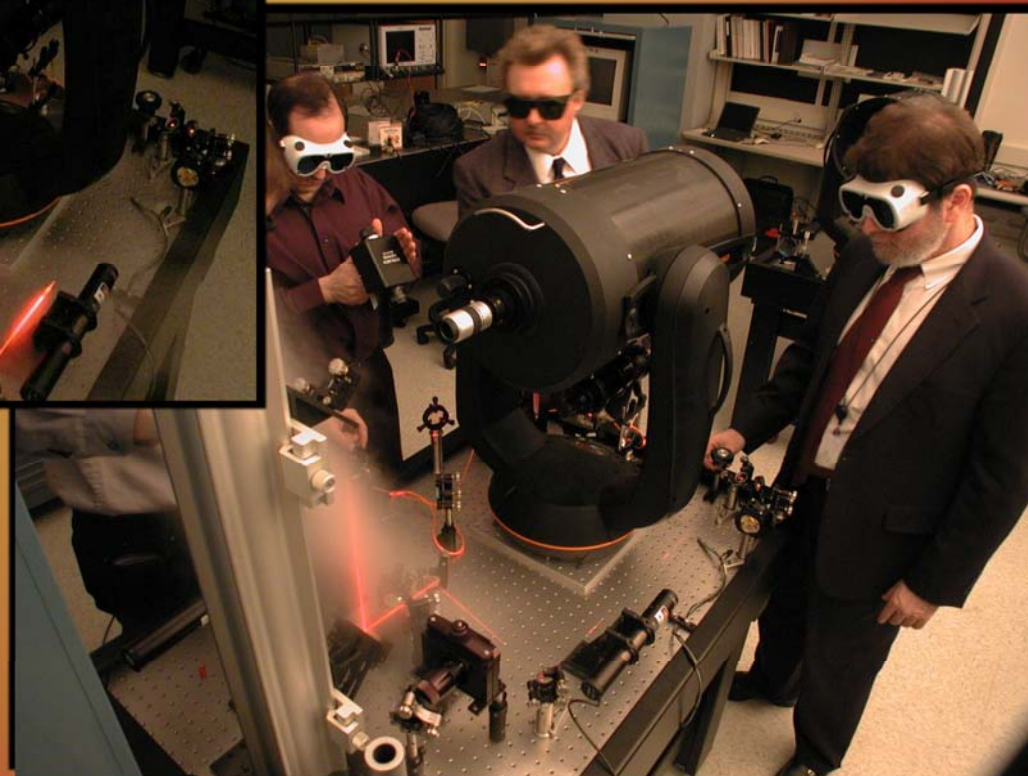
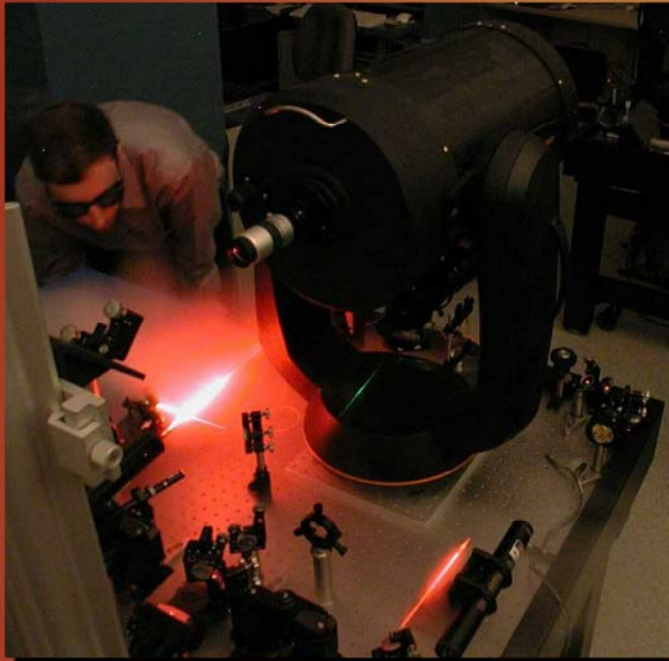
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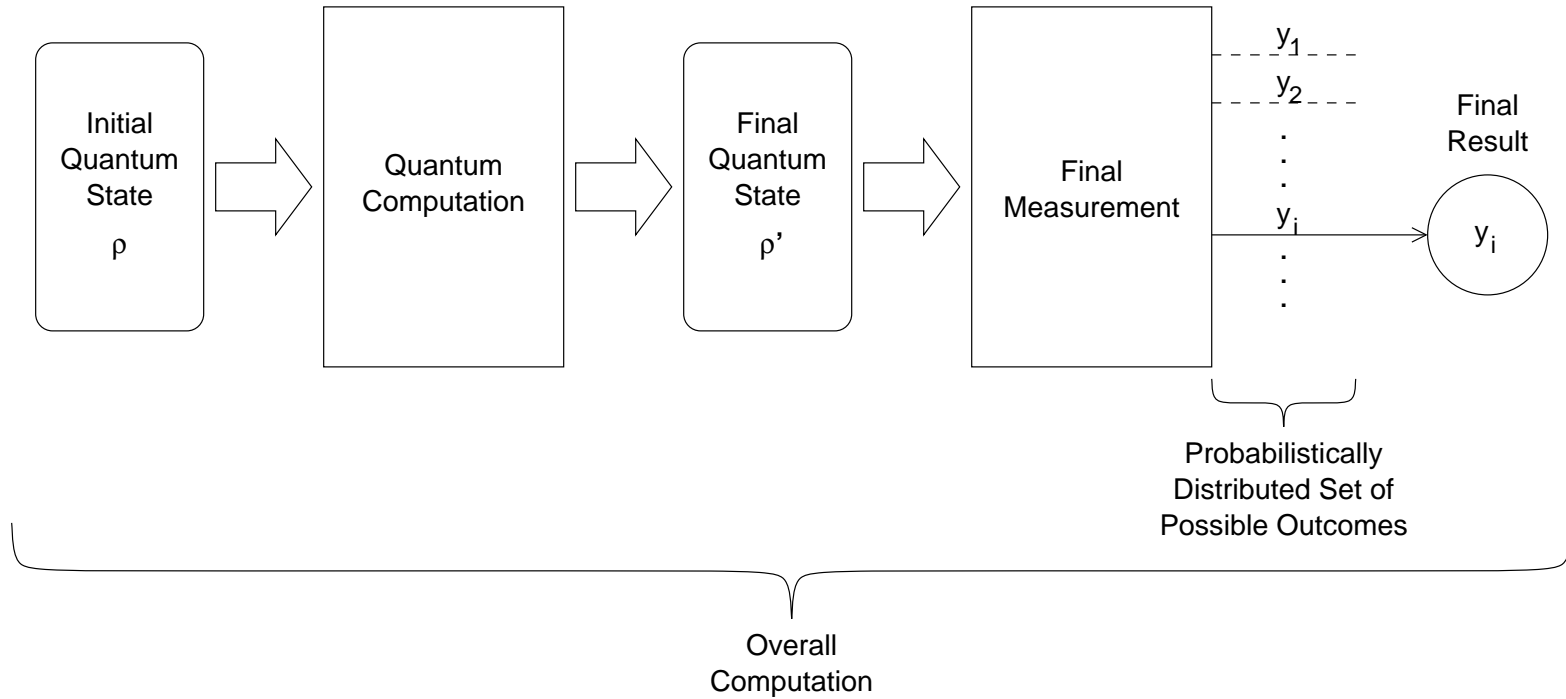
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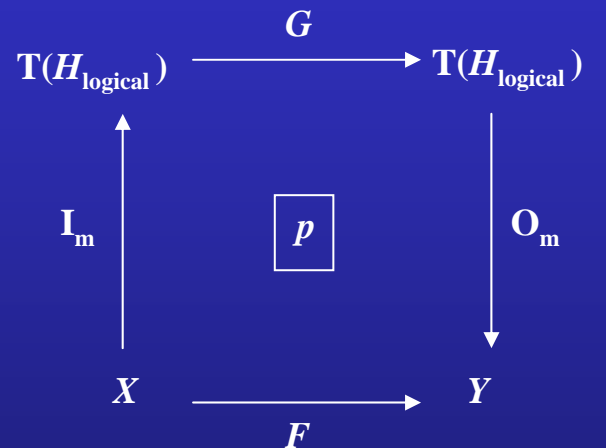
# Quantum Computational Path



# Accuracy of Quantum Computational Results (1)

## Kitaev's Model of Ideal Quantum Computation

Kitaev (1997)



ideal (unitary)  
quantum  
computation:

$$G(\rho) \equiv U\rho U^+$$

Probability that the ideal quantum computation followed by measurement produces outcome  $y$ :

$$\Pr[(O_m \circ G \circ I_m)(x) = y] = \text{tr}(\sqrt{E_y} G(I_m(x)) \sqrt{E_y})$$

Probability that the ideal quantum computation followed by measurement produces the correct result  $F(x)$ :

$$\text{tr}(\sqrt{E_{F(x)}} G(I_m(x)) \sqrt{E_{F(x)}}) > 1 - p$$

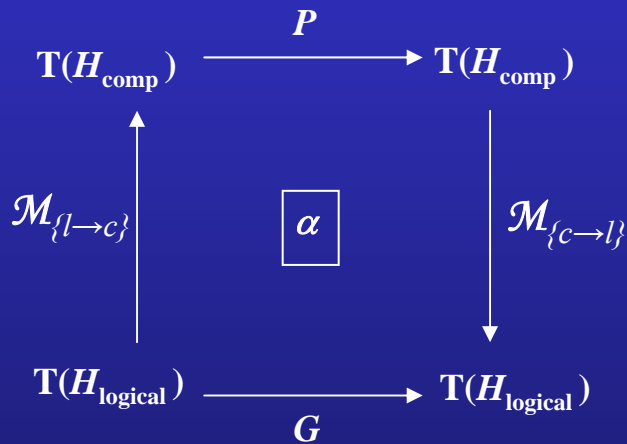
The quantity  $p$  bounds the probability that the ideal quantum computation followed by measurement fails to produce the correct result

But real quantum computers don't implement  $U$  exactly.  
What's the effect on the failure probability bound of implementation errors?

# Accuracy of Quantum Computational Results (2)

## The Quantum Computer Condition (QCC)

Gilbert, Hamrick & Weinstein (2007)



Implementation Inaccuracy

$$\left\| \left( \underbrace{\mathcal{M}_{\{c \rightarrow l\}} \circ P \circ \mathcal{M}_{\{l \rightarrow c\}}}_{\text{Actual Computation}} \right) (\rho) - \underbrace{U \rho U^\dagger}_{\text{Ideal Computation}} \right\|_1 \leq \alpha$$

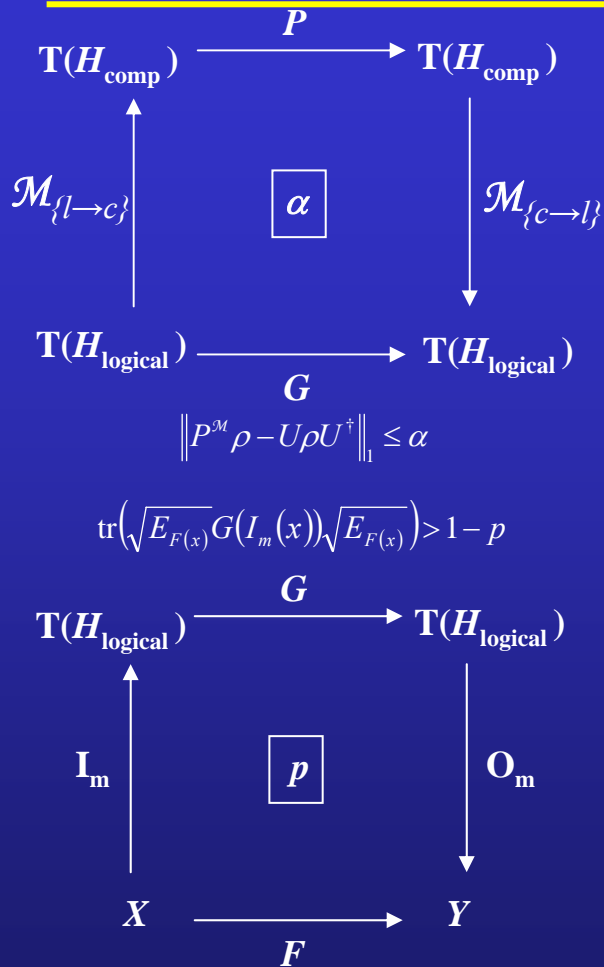
Non-dynamical maps linking the logical and computational Hilbert spaces

Notational Simplification:  $P^{\mathcal{M}} \equiv \mathcal{M}_{\{c \rightarrow l\}} \circ P \circ \mathcal{M}_{\{l \rightarrow c\}}$

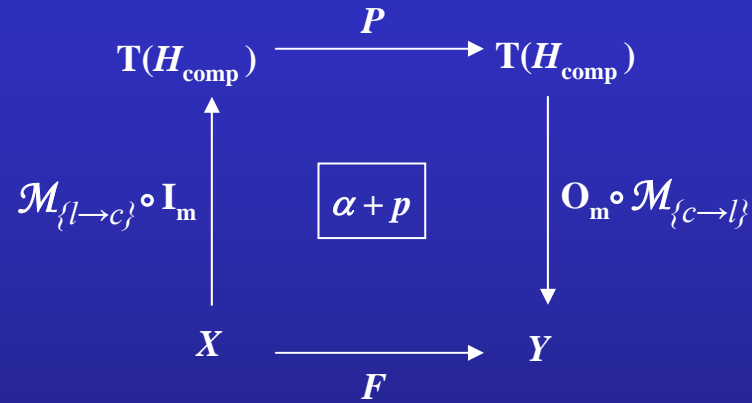
$$\left\| P^{\mathcal{M}} \rho - U \rho U^\dagger \right\|_1 \leq \alpha$$

**Kitaev model gives failure probability for ideal computation.  
QCC describes deviation of the quantum computation from the ideal.  
What's the combined effect?**

# Accuracy of Quantum Computational Results (3)



Composite diagram commutes with probability  $> 1 - (p + \alpha)$



$$\text{tr}\left(\sqrt{E_{F(x)}} P^{\mathcal{M}}(I_m(x)) \sqrt{E_{F(x)}}\right) > 1 - (p + \alpha)$$

Failure probability  $p_f \leq (p + \alpha)$

- Kitaev model shows ideal quantum computation can produce correct output, within  $p$
- the QCC states that real quantum computation can realize ideal quantum computation, within  $\alpha$
- our result shows that real quantum computation can produce correct output, within  $p + \alpha$

# Achieving a Specified Probability of Success

We can now derive a bound on the implementation inaccuracy that will guarantee the correct result with a specified probability

- End user specifies maximum probability of failure
  - $\hat{p} < 1/2$  means majority voting can be used
- Our result bounds total probability of failure
- Bounds  $p_b$  on failure probability,  $p$ , for ideal quantum computation are known for algorithms of interest:
  - e.g., in Grover search for 1 out of  $n$  items  $p_b = 1/n$
- We require that the implementation error tolerance be bounded by  $\hat{p} - p_b$
- It follows that the probability that the computation fails to return the correct result is bounded, as required, by  $\hat{p}$

We wish to achieve  $p_f \leq \hat{p}$

$$p_f \leq p + \alpha$$

$$p \leq p_b$$

$$\alpha \leq \hat{p} - p_b$$

$$p_f \leq p + \alpha \leq p_b + \alpha \leq \hat{p}$$

**But the standard theory of fault tolerance yields a bound on the probability that the quantum computation results in the correct quantum state, not the implementation inaccuracy, which is a bound on the error norm of the state.**

**Can we relate the two?**



# Fault Tolerance Theory

- Fault tolerance techniques enable correct dynamical evolution of the quantum computation in the presence of noise
  - Circuit is subject to (random) errors
  - Techniques such as concatenated quantum error correcting codes are used to correct errors
  - Fault tolerant circuit operates on encoded states
  - Not all errors are corrected, only the more likely ones
  - There is a residual error probability that the circuit fails to produce the desired quantum state as its output
    - Residual error probability can be estimated from theory
      - Doesn't address quantum uncertainties in the final measurement
    - Need to find connection between residual error probability and probability of obtaining the correct final result

Residual Error Probability  $\mathcal{E}_N$

$$\frac{\mathcal{E}_N}{\mathcal{E}_{th}} \approx \left( \frac{\mathcal{E}_0}{\mathcal{E}_{th}} \right)^{2^N}$$

$\mathcal{E}_0$  Elementary gate failure probability

$\mathcal{E}_{th}$  Error threshold (function of circuit structure)

$N$  Number of levels of concatenation

(for a single logical gate using simplified model for concatenated error codes)

**Preskill (1998)**

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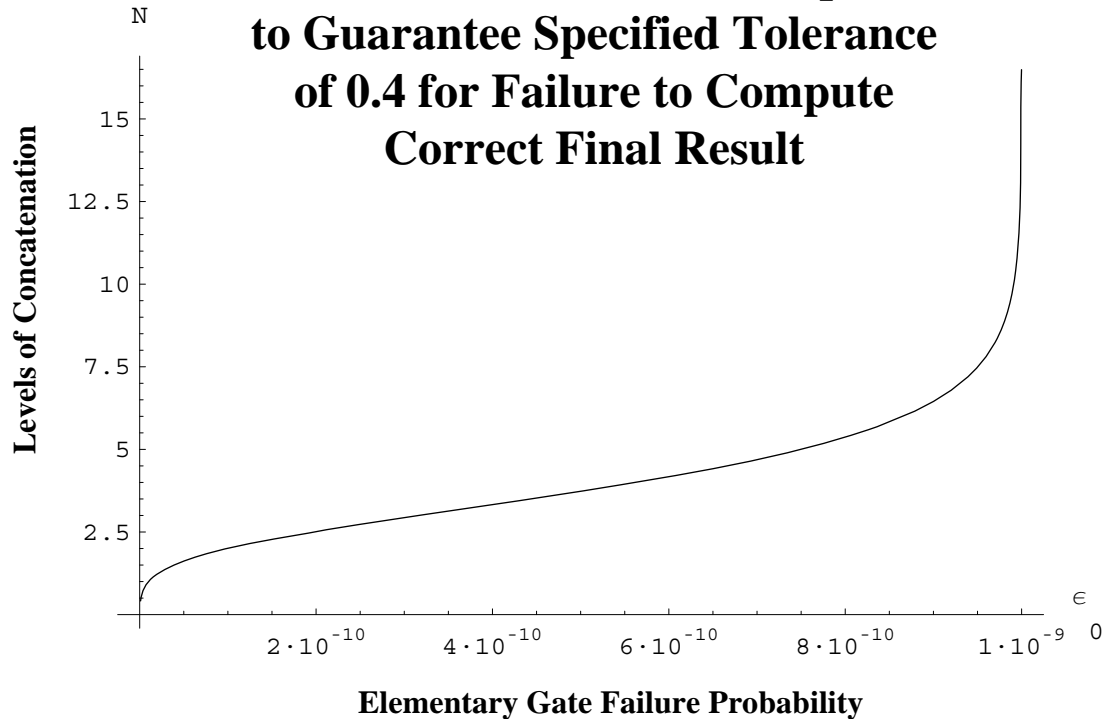
# Specifying Fault Tolerance to Guarantee Probability of Obtaining Correct Final Result

- Fault tolerance theory yields a residual error probability  $\approx \mathcal{N}_g \varepsilon_N$ 
  - $\mathcal{N}_g$  is the number of logical gates in the formal description of the algorithm
- We derive from this a bound on implementation inaccuracy given by:  $\|P^M \cdot \rho - U\rho U^\dagger\|_1 \leq 2\mathcal{N}_g \varepsilon_N$
- Success criterion is achieved if this bound satisfies  $2\mathcal{N}_g \varepsilon_N \leq \hat{p} - p_b$
- For a given circuit and a given probability of elementary gate failure, this gives the number of levels of concatenation required to achieve the success criterion for the overall result

$$N_{\min} \approx \log_2 \frac{\ln \frac{2\mathcal{N}_g \varepsilon_{th}}{\hat{p} - p_b}}{\ln \frac{\varepsilon_{th}}{\varepsilon_0}}$$

# Example

## Levels of Concatenation Required to Guarantee Specified Tolerance of 0.4 for Failure to Compute Correct Final Result



Failure probability tolerance for final result

$$\hat{p} = 0.4$$

Number of gates in circuit

$$\mathcal{N}_g = 10^{12}$$

Measurement failure bound for quantum algorithm

$$p_b = 0.2$$

Fault tolerant error threshold

$$\epsilon_{th} = 10^{-9}$$

# Quantum Error Correction (1)

**QEC**  $V_{dec} R \mathcal{E} V_{enc} \rho = \rho$

**OQEC**  $V_{dec} Tr_B F_{AB} R \mathcal{E} W_{\rho_B} V_{enc} \rho = \rho$

**EAQEC**  $\mathcal{V}_{dec} \mathcal{R} \mathcal{E} \mathcal{V}_{enc} \rho = \rho$

**EAOQEC**  $\mathcal{V}_{dec} Tr_B F_{AB} \mathcal{R} \mathcal{E} W_{\rho_B} \mathcal{V}_{enc} \rho = \rho$

$V_{enc}, V_{dec}$  encoding, decoding

$\mathcal{V}_{enc}, \mathcal{V}_{dec}$  encoding, decoding w/ebits

$W_{\rho_B} : W_{\rho_B} \equiv \rho_A \otimes \rho_B \oplus 0_K$

$\mathcal{W}_{\rho_B} : \mathcal{W}_{\rho_B} \equiv \rho_A \otimes \rho_B \oplus 0_K$  w/ebits

$\mathcal{E}$  error dynamics

$R$  measurement and recovery

$\mathcal{R}$  measurement and recovery w/ebits

$F_{A,B}$  projects  $H$  onto  $A \otimes B$

$\mathcal{F}_{A,B}$  projects  $H$  onto  $A \otimes B$  w/ebits

# Quantum Error Correction (2)

$$\lim_{c \rightarrow 0} \mathcal{V}_{dec}^{enc} = \mathcal{V}_{dec}^{enc}$$

$$\lim_{c \rightarrow 0} \mathcal{F}_{AB} = \mathcal{F}_{AB}$$

$$\lim_{c \rightarrow 0} \mathcal{R} = \mathcal{R}$$

$$\lim_{\dim B \rightarrow 0} \text{Tr}_B = 1$$

$$\lim_{\dim B \rightarrow 0} \mathcal{W}_{\rho_B} = 1$$

**OQEC contains QEC**

**EAQEC contains QEC**

**EAOQEC contains EAQEC and OQEC**

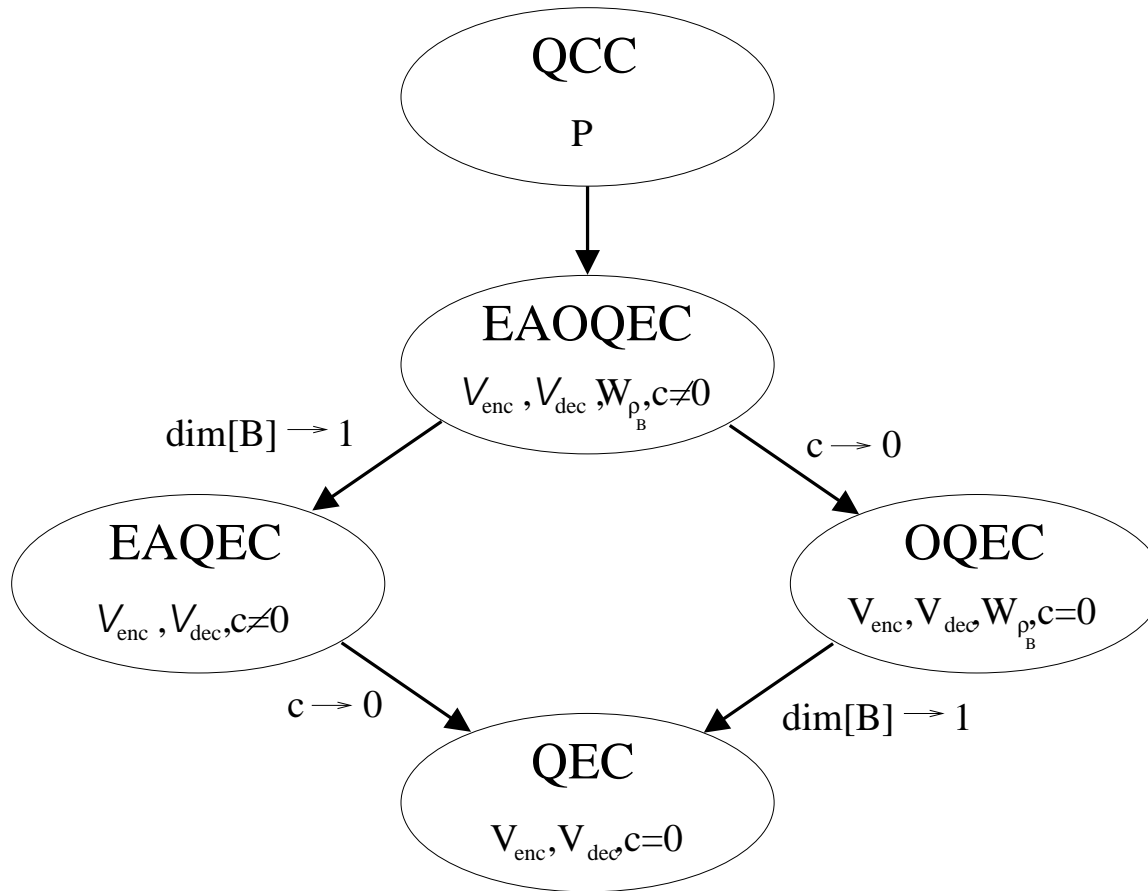
$$\text{EAOQEC: } \mathcal{V}_{dec} \text{Tr}_B \mathcal{F}_{AB} \mathcal{R} \mathcal{E} \mathcal{W}_{\rho_B} \mathcal{V}_{enc} \rho = \rho$$

$$\Rightarrow \mathcal{V}_{dec} \text{Tr}_B \mathcal{F}_{AB} \mathcal{R} \mathcal{E} \mathcal{W}_{\rho_B} \mathcal{V}_{enc} \rho - \rho = 0 \quad (\text{EAOQEC})$$

$$\Rightarrow \left\| \tilde{P} \rho - U \rho U^\dagger \right\|_1 \leq \alpha \quad (\text{QCC})$$

$$\text{with } \tilde{P} \equiv \mathcal{V}_{dec} \text{Tr}_B \mathcal{F}_{AB} \mathcal{R} \mathcal{E} \mathcal{W}_{\rho_B} \mathcal{V}_{enc}, \quad \alpha = 0 \text{ and } U = I$$

# Quantum Error Correction (3)



**all forms of error correction  
are special cases of the QCC**

# Operator Quantum Fault Tolerance (1)

- Would like “top-down” approach to fault tolerance based on system-level dynamical constraint

$$\left\| \tilde{P} \rho - U \rho U^\dagger \right\|_1 \leq \alpha$$

full specification of system dynamics  
with success criterion

$$\left\| \tilde{P}^{(i)} \rho - U \rho U^\dagger \right\|_1$$

characterization system dynamics  
at  $i^{\text{th}}$  level of concatenation

$$\Rightarrow \frac{\sup_{\rho} \left\| \tilde{P}^{(i+1)} \rho - U \rho U^\dagger \right\|_1}{\sup_{\rho} \left\| \tilde{P}^{(i)} \rho - U \rho U^\dagger \right\|_1} < 1$$

Operator Quantum Fault Tolerance (OQFT)

MITRE

# Operator Quantum Fault Tolerance (2)

$$\mathcal{M}_{\{c \rightarrow l\}} \left[ Y \left( \mathcal{M}_{\{l \rightarrow c\}} \rho \right) Y^\dagger \right] = U \rho U^\dagger$$

$Y$  (acting on  $H_{\text{comp}}$ ) faithfully implements  $U$  (acting on  $H_{\text{log}}$ )

$$P^{(i)} \left( \mathcal{M}_{\{l \rightarrow c\}} \rho \right) = \left( 1 - \varepsilon_f^{(i)} \right) Y \left( \mathcal{M}_{\{l \rightarrow c\}} \rho \right) Y^\dagger + \varepsilon_f^{(i)} Q_f^{(i)} \left( \mathcal{M}_{\{l \rightarrow c\}} \rho \right)$$

model for  $P$  that includes local stochastic noise and locally correlated stochastic noise (*i.e.*, standard quantum fault tolerance)

$$\frac{\varepsilon_f^{(i+1)}}{\varepsilon_f^{(i)}} \cdot \frac{\sup_{\rho} \left\| \tilde{Q}^{(i+1)} \rho - U \rho U^\dagger \right\|_1}{\sup_{\rho} \left\| \tilde{Q}^{(i)} \rho - U \rho U^\dagger \right\|_1} < 1$$

**OQFT success criterion**

$$\frac{\varepsilon_f^{(i+1)}}{\varepsilon_f^{(i)}} < 1$$

**standard FT success criterion**

**OQFT can produce more accurate (larger) error thresholds than standard FT**



# Conclusion

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- **The probability that a quantum computation produces the correct final answer can be simply expressed in terms of the intrinsic failure probability due to quantum uncertainty in measurement and the implementation inaccuracy**
- **The resulting expression can be used to specify the “amount” of fault tolerance required to achieve a specified success probability that the quantum computer yields the correct final answer**
- **All forms of error correction and avoidance are special cases of the QCC**
- **The QCC provides a universal operator-theoretic framework for quantum fault tolerance based on a top-down criterion, leading to improved accuracy for error threshold values**